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RELIABILITY, REPLICABILITY AND REPRODUCIBILITY IN PET IMAGING

Granville J. Matheson

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Cover Illustration: Metaphorical representation of the concepts of reliability (left), replicability (centre) and reproducibility (right) depicted through cats. Created by Tasartir through fiverr. Explanations of the representations can be found in the Introduction section.

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Reliability, Replicability and Reproducibility in PET Imaging

THESIS FOR DOCTORAL DEGREE (Ph.D.)

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Abstract

Positron Emission Tomography (PET) is a non-invasive biomedical imaging method which can quantify biochemical markers as well as functional and metabolic activity in vivo. Following image analysis, quantification can be performed using various pharmacokinetic models, or using simplified semi-quantitative methods. There are numerous methods by which PET data can be analysed, and outcomes which can be reported, which differ in their accuracy, stability and specificity. The quantification of PET data, as well as the statistical procedures used to test clinical hypotheses, leads to conclusions which may differ in their degree of correctness.

This thesis explores themes of reliability, replicability and reproducibility for PET research. Reliability concerns the consistency and accuracy of an outcome for distinguishing between individuals. Replicability concerns the accuracy of research conclusions, and whether they can be obtained again using the same procedures in new studies. Reproducibility concerns steps towards increasing the transparency of data analysis, by recording and sharing the exact procedures used to arrive at the conclusions. Across the studies in this thesis, these themes are detailed, expanded upon, and used in a series of methodological and applied clinical PET studies.

In Study I, the performance of surface-based methods for normalisation and smoothing of PET data were compared with volumetric methods for exploratory parametric analysis using PET test-retest data measuring $[^{11}\text{C}]\text{SCH23390 BP}_{\text{ND}}$ in cortical regions. We replicated previous results of decreased spread, and showed that these methods also show improved test-retest repeatability. In Study II, using the same data we evaluated the performance of post-reconstruction movement correction as well as automatic and manual methods for delineation of regions of interest. We showed that motion correction improves the reliability and repeatability of binding estimates, and that automatic methods for delineation do not perform less well than manual methods, and appear to be more consistent.

Study III evaluated the test-retest performance of simplified ratio-based outcome measures for quantification of translocator protein (TSPO) binding using $[^{11}\text{C}]\text{PBR28}$. We showed that these methods exhibit poor reliability, and little to no association with the gold-standard outcome measure $V_T$, suggesting that caution is warranted for interpretation of studies making use of these measures.

In Study IV, diurnal and seasonal changes in the availability of the serotonin 1A receptor and the serotonin transporter were measured across the day and year in a large sample of healthy controls. We replicated previous findings of seasonal changes in the availability of the
serotonin 1A receptor, failed to replicate findings of seasonal changes in the availability of the serotonin transporter, and additionally showed diurnal changes in both targets.

In **Study V**, the importance of reliability is discussed with reference to study design, and a new method is presented for making approximations of the reliability for new samples. This approach allows researchers to more effectively gauge the feasibility of new between-individual studies before collection of any data, and to focus their efforts on research questions which can be expected to yield more interpretable outcomes.

In **Study VI**, we perform a direction replication of a previous finding of a strong association between the Self-Transcendence scale of the Temperament and Character Inventory using a much larger sample to assess the veracity of the original findings. We showed moderate to strong evidence for no effect relative to the the previous results, suggesting that the original results were more likely to be either a false positive or greatly overestimated.

In **Study VII**, we carried out an individual-participant data meta-analysis of TSPO binding measured using second-generation tracers in healthy controls compared with schizophrenia and psychotic disorder patients. Contrary to the original hypothesis of increases in TSPO binding, we showed strong evidence for decreases in TSPO in patients compared to controls in both cortical and subcortical regions.

In **Studies VIII and IX**, we hypothesised that D1 receptor binding would be higher with increasing proneness to develop psychosis and in the early stages of the disease prior to medication exposure, respectively. In **Study VIII**, we showed convergent evidence of no association between D1 receptor availability and delusional ideation in healthy controls. In **Study IX**, contrary to our hypotheses, we found moderate evidence in favour of lower levels of D1 receptor availability in the dorsolateral prefrontal cortex of first-episode drug-naive psychosis patients compared to healthy controls.

While reliability and replicability of previous findings were directly assessed, the theme of reproducibility concerned our sharing the analysis code, and the data where possible, such that all analysis steps including those which could not be adequately described in the papers were recorded to ensure transparency, and demonstrate the correctness of our conclusions.

All of the three themes of the thesis concern efforts to improve the quality, robustness, and utility of scientific research. For a field such as PET imaging, which is not only resource-intensive, but also requires exposing participants to harmful radiation, it is especially important both from a scientific as well as an ethical perspective that data are processed and analysed in a manner which is transparent, generalisable and optimal such that they are made use of to their full potential.
List of publications


List of additional publications


Plavén-Sigay P†, Matheson GJ†, Schiffler BC† & Thompson WH (2017). The readability of scientific texts is decreasing over time. eLife, 6, e27725.


† Authors contributed equally to this work

List of open source software contributions


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# Abbreviations

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<th>Abbreviation</th>
<th>Term</th>
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<tr>
<td>3D-OP-OSEM</td>
<td>3D-ordinary Poisson ordered subset expectation maximization</td>
</tr>
<tr>
<td>APD</td>
<td>Absolute Percentage Difference</td>
</tr>
<tr>
<td>AD</td>
<td>Alzheimer’s Disease</td>
</tr>
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<td>AUC</td>
<td>Area under the curve</td>
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<tr>
<td>AIF</td>
<td>Arterial input function</td>
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<td>ABSS</td>
<td>Automated blood sampling system</td>
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<tr>
<td>BF</td>
<td>Bayes factor</td>
</tr>
<tr>
<td>BP&lt;sub&gt;X&lt;/sub&gt;</td>
<td>Binding Potential relative to X compartment</td>
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<tr>
<td>BIDS</td>
<td>Brain imaging data structure</td>
</tr>
<tr>
<td>COV</td>
<td>Coefficient of variation</td>
</tr>
<tr>
<td>B&lt;sub&gt;avail&lt;/sub&gt;</td>
<td>Density of receptors available to bind in vivo</td>
</tr>
<tr>
<td>K&lt;sub&gt;D&lt;/sub&gt;</td>
<td>Dissociation constant</td>
</tr>
<tr>
<td>DVR</td>
<td>Distribution volume ratio</td>
</tr>
<tr>
<td>EEG</td>
<td>Electroencephalography</td>
</tr>
<tr>
<td>f&lt;sub&gt;X&lt;/sub&gt;</td>
<td>Free fraction in X compartment</td>
</tr>
<tr>
<td>FWHM</td>
<td>Full width at half maximum</td>
</tr>
<tr>
<td>fMRI</td>
<td>Functional Magnetic Resonance Imaging</td>
</tr>
<tr>
<td>HAB</td>
<td>High-affinity binder</td>
</tr>
<tr>
<td>HARK</td>
<td>Hypothesising after the results are known</td>
</tr>
<tr>
<td>IRF</td>
<td>Impulse response function</td>
</tr>
<tr>
<td>IPD</td>
<td>Individual participant data</td>
</tr>
<tr>
<td>ICC</td>
<td>Intraclass correlation coefficient</td>
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<tr>
<td>KI</td>
<td>Karolinska Institutet</td>
</tr>
<tr>
<td>LAB</td>
<td>Low-affinity binder</td>
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<tr>
<td>MRI</td>
<td>Magnetic Resonance Imaging</td>
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<td>MRS</td>
<td>Magnetic Resonance Spectroscopy</td>
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<tr>
<td>Acronym</td>
<td>Definition</td>
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<tr>
<td>MEG</td>
<td>Magnetoencephalography</td>
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<tr>
<td>MCMC</td>
<td>Markov chain monte carlo</td>
</tr>
<tr>
<td>MAB</td>
<td>Mixed-affinity binder</td>
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<tr>
<td>MNI</td>
<td>Montreal Neurological Institute</td>
</tr>
<tr>
<td>NHST</td>
<td>Null hypothesis significance testing</td>
</tr>
<tr>
<td>PET</td>
<td>Positron Emission Tomography</td>
</tr>
<tr>
<td>PCA</td>
<td>Principal component analysis</td>
</tr>
<tr>
<td>QRP</td>
<td>Questionable research practice</td>
</tr>
<tr>
<td>ROI</td>
<td>Region of Interest</td>
</tr>
<tr>
<td>5-HT</td>
<td>Serotonin</td>
</tr>
<tr>
<td>SRTM</td>
<td>Simplified reference tissue model</td>
</tr>
<tr>
<td>SPECT</td>
<td>Single Photon Emission Computed Tomography</td>
</tr>
<tr>
<td>SDD</td>
<td>Smallest detectable difference</td>
</tr>
<tr>
<td>SESOI</td>
<td>Smallest effect size of interest</td>
</tr>
<tr>
<td>SEM</td>
<td>Standard error of measurement</td>
</tr>
<tr>
<td>SUV</td>
<td>Standardised uptake value</td>
</tr>
<tr>
<td>SUVR</td>
<td>SUV ratio</td>
</tr>
<tr>
<td>TCI</td>
<td>Temperament and Character Inventory</td>
</tr>
<tr>
<td>TAC</td>
<td>Time Activity Curve</td>
</tr>
<tr>
<td>TCM</td>
<td>Tissue compartment model</td>
</tr>
<tr>
<td>TSPO</td>
<td>Translocator protein</td>
</tr>
<tr>
<td>WAPI</td>
<td>Wavelet-transform aided parametric imaging</td>
</tr>
<tr>
<td>WSCV</td>
<td>Within-subject coefficient of variation</td>
</tr>
<tr>
<td>$V_X$</td>
<td>Volume of Distribution of X compartment</td>
</tr>
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Chapter 1

Introduction

The data may not contain the answer. The combination of some data and an aching desire for an answer does not ensure that a reasonable answer can be extracted from a given body of data.

– John W. Tukey

1.1 Positron Emission Tomography

Positron Emission Tomography (PET) is an in vivo biomedical imaging method which provides detailed information about biochemistry as well as functional and metabolic activity. The spatial resolution of PET is in the range of millimetres, while Magnetic Resonance Imaging (MRI) can achieve sub-millimetre resolution. PET imaging has a temporal resolution of several minutes to hours, while functional MRI (fMRI) can measure events of only a few seconds, and EEG or MEG recordings can measure electrochemical changes in the brain at a millisecond resolution\(^1\). However, while PET cannot compare to these other methods in the spatiotemporal domain, its strengths are in its biochemical sensitivity and specificity, which are unparalleled compared to other in vivo imaging methods. While magnetic resonance spectroscopy (MRS) using an MRI system can detect and quantify concentrations in the millimolar (i.e. \(10^{-3}\)M) range, PET has the sensitivity to detect concentrations in the picomolar (i.e. \(10^{-12}\)M range)\(^2\). The specificity of PET is related to its use of radiolabelled ligands which bind to target molecules of interest. These radioligands are designed such that they exhibit a high binding affinity for the target molecule which is ideally several orders of magnitude greater than for other related molecules. This means that the vast majority of the final signal should be
attributable to the availability of the specific target of interest. For this reason, PET imaging yields unique information from that provided by other \textit{in vivo} imaging methodologies, and can thereby answer different questions.

\subsection*{1.1.1 Applications}

PET imaging is used in clinical diagnosis, pharmaceutical research as well as research more broadly due to its properties of high biochemical sensitivity and specificity, as well as providing this information with detailed spatial resolution.

Common clinical applications of PET are in oncology, cardiology, and neurology. An example is the use of PET using radioligands targeting the $\beta$-amyloid deposition for the diagnosis of Alzheimer’s Disease (AD). In this way, PET imaging can differentiate AD from other dementia syndromes whose symptoms might be similar, but whose underlying pathology is different. A high degree of certainty can be gained from visual inspection of a measurement following even very simplistic quantification and short measurement duration\textsuperscript{3}, as AD is associated with greatly increased signal intensity in several regions of the brain grey matter.

In pharmaceutical research, PET is often used in microdosing\textsuperscript{4} and occupancy\textsuperscript{5} studies. Microdosing studies involve radiolabelling the drug molecule itself and assessing its uptake into the body, allowing researchers to determine for example whether the drug can penetrate the blood brain barrier and enter the brain. Occupancy studies involve PET measurements with established radioligands, before and after the administration of different doses of the drug of interest. With these studies, researchers can determine whether the drug can bind (and thereby block) the target of interest, and the extent to which this occurs. These estimates can also be used to determine the degree of target occupancy associated with therapeutic effects\textsuperscript{6}, and side effects\textsuperscript{7}, and thereby select appropriate doses of the drug.

In research more broadly, and in the study of the brain specifically, PET is used to answer questions relating to brain physiology and to both psychiatric and neurological pathology. In the study of brain physiology, PET studies can be broadly categorised into those examining 1) the association of PET-derived outcome measures with theoretically stable attributes (such as personality traits\textsuperscript{8} or cognitive performance\textsuperscript{9}), 2) occupancy by endogenous neurotransmitter release or depletion\textsuperscript{10–12} during or following acute behavioural\textsuperscript{13} or pharmacological\textsuperscript{14,15} interventions, or 3) changes in target concentration before and after prolonged behavioural\textsuperscript{16} or pharmacological\textsuperscript{17} interventions or simply in healthy ageing\textsuperscript{18–20}. In the study of pathology, PET studies are generally focused either on patient-control comparisons\textsuperscript{21–24}, or on examining within-individual effects following treatment and their association to reductions in
symptoms. There are a wide variety of experimental contexts for which PET imaging can be employed, and a diverse range of biochemical targets which can be studied owing to the extensive range of PET radiotracers available. As such, PET allows for the quantification of numerous physiological parameters, including neurotransmitter receptors and transporters, abnormal deposits of proteins and peptides, blood flow and volume, protein synthesis, metabolism of various substances, endogenous concentrations of neurotransmitters and their release, as well as the dynamics, kinetics and distribution of various drugs. From the perspective of measurement and study design, PET imaging is commonly used to make comparisons between individuals, within individuals, or even to compare within-individual changes between individuals.

1.1.2 Measurement

1.1.2.1 Radiochemistry

Radiotracers for PET imaging are synthesised by incorporating a radioactive isotope, commonly $^{11}$C, $^{15}$O or $^{18}$F, into chemical molecules which bind to, or are taken up by, the target of interest. The isotopes are first created using a cyclotron. The labelling of the molecules is subsequently performed using precursors. This process is usually mostly automated to ensure that synthesis is rapid in order for radioisotopes to have decayed minimally before injection. Following quality control, the radioligand is cleared for injection. There are several important properties of the final injected dose which are recorded. The injected radioactivity is proportional to the number of injected atoms of the radioactive isotope, and hence to the number of tracer molecules containing radioactive isotopes (i.e. “hot” tracer). It is typically measured in units of megabecquerel (MBq). The injected mass is, for a given tracer compound, proportional to the number of injected molecules. It is measured in units of micrograms (µg). The specific activity is the ratio of the injected radioactivity to the injected mass, which is a measure of the (small) proportion of injected tracer molecules which contain radioactive isotopes, compared to those which do not contain radioactive isotopes, i.e. “cold” tracer. This is typically expressed in units of megabecquerel per microgram (MBq/µg). This is similar to the molar activity, which is the fraction of injected radioactivity to the number of moles of the compound, typically measured in units of megabecquerel per micromolar (MBq/µmol). As such, synthesis of radiotracers with a high specific/molar activity allows for the injected compound to consist of a sufficient level of radioactivity, thereby ensuring sufficient count statistics during measurement in the PET system, without requiring injection of high mass, i.e. a large number of molecules of the
Figure 1.1: When PET radioisotopes decay, in this case carbon-11 to boron-11, a positron ($e^+$) and an electron neutrino ($\nu_e$) are released. The positron collides with a nearby electron ($e^-$). This gives rise to the emission of two $\gamma$ photons in approximately opposite directions. The figure is modified from Figure 31.4.5 from OpenStax College (2016) (27), licensed under CC BY.

cold compound which, in sufficiently large quantities, cause a small degree of blocking of the target.

### 1.1.2.2 Acquisition

Radioligands are usually administered through intravenous injection. PET radioisotopes undergo $\beta^+$ decay, meaning that one of the protons in the nucleus of the parent nuclide becomes a neutron, and a positron and a neutrino are emitted. The positron is emitted with a specific amount of kinetic energy (positron energy) dependent on the specific radionuclide. When this positron comes into contact with a nearby electron, usually within a millimetre of its release, the positron and electron annihilate together, producing a pair of $\gamma$ photons, which travel in a random direction at approximately $180^\circ$ to one another (see Figure 1.1).

These two photons travel through tissue, skull and air and eventually come into contact with detectors mounted in a ring around the subject (see Figure 1.2 A). These detectors consist of scintillating crystals, which emit visible light when $\gamma$ particles pass through them. The crystals are coupled to a photomultiplier tube, which generates an electric response and registers a detection whenever the crystals scintillate. When two photons are detected simultaneously, this is recorded as a coincidence, and it is assumed that the positron-electron annihilation occurred at some position along the line of response between the two detectors (Figure 1.2 A in orange).

Some of these lines of response are detected are erroneous. Some of the $\gamma$ photons are scattered by collisions with other molecules: while many of these events are not recorded due to the
Figure 1.2: A. The simultaneous detection of two $\gamma$ photons in two detectors is recorded as a line of response between the two detectors along which it is assumed that the annihilation took place. Small circles represent the annihilations, dashed lines represent the path of the photons, and the coloured detectors represent the detectors at which a scintillation took place. The orange line of response represents a correct recording, where the line of response and the path of the photons are overlapping. The blue line of response represents a scattered event. The purple line of response represents a random coincidence. B. The difference between 2D and 3D PET measurement and the permitted lines of response for a given location of the annihilation.

scattered photon never being detected (i.e. there is no coincident detection), if both photons are detected, then the line of response will be inferred incorrectly between the two detectors, called a scattered event (Figure 1.2 A in blue). Sometimes, by chance, two $\gamma$ photons which were emitted from different annihilations will be detected simultaneously, resulting in a coincidence detection. This leads to an incorrectly inferred line of response, called a random coincidence (Figure 1.2 A in purple).

PET measurements can be recorded in two- or three-dimensions (see Figure 1.2 B). In 2D measurements, only coincident detections in the same or closely neighbouring rings of detectors (in the axial direction, i.e. head-to-toe) are recorded. PET measurements acquired in 3D have
a much larger number of counts, which corresponds with a higher sensitivity, however they are associated with a much higher number of both scattered and random events, and reconstruction is more computationally intensive\textsuperscript{28,29}. While PET systems were initially operated exclusively in 2D, PET systems used in research today are mostly, if not exclusively, operated in 3D. This is due to the relative increase in computational power, the development and application of more sophisticated methods of reconstruction, as well as the use of shielding to reduce random counts arising from activity outside the field of view of the camera\textsuperscript{30}.

\subsection*{1.1.2.3 Reconstruction}

Following detection of a large number of lines of response, PET time frames can be reconstructed using algorithms which assign coincident detections to particular voxels of the brain. This is performed in such a way as to determine the most likely origin of each annihilation within the duration of the given time frame. Filtered backprojection is used in studies taking place with the HR PET system, while \textit{3D-ordinary Poisson ordered subset expectation maximisation (3D-OP-OSEM)} is used in studies making use of the HRRT PET system\textsuperscript{31,32}. While iterative methods such as 3D-OP-OSEM may exhibit bias for frames with low numbers of counts\textsuperscript{33,34}, and are more computationally intensive\textsuperscript{35}, they provide images with superior resolution, whose outcome measures are highly correlated with those of filtered backprojection\textsuperscript{35–37}.

The resolution of a PET image is its ability to spatially discriminate between different sources of radioactivity. As such, the resolution of PET is inherently limited by several factors. First, the number of detectors is important: with more detectors, lines of response are defined more accurately. Secondly, the distance between the release of the photon and the annihilation with an electron result in a degree of blurring of the image. Thirdly, the angle at which the photons travel away from one another is not quite $180^\circ$ due to conservation of momentum, which also leads to blurring. Fourth, some lines of response are erroneous due to scattered events and random coincidences, leading to noise in the reconstructed image. Following reconstruction, and following adjustment for decay of radioactivity, a PET image is produced consisting of three dimensional frames, for which the value assigned to each voxel is an estimated concentration of radioactivity within the spatial confines of that voxel.
1.1.3 Quantification

1.1.3.1 Regions of the brain

Following reconstruction, a PET image is obtained, which is a 3D image consisting of estimated radioactivity concentrations in each 3D pixel (voxels) in the units of radioactivity per unit volume (typically kBq/ml). Static PET images consist of one 3D image acquired over the whole period of measurement, while dynamic PET images consist of a series of 3D PET images acquired during different time frames. The series of radioactivity concentrations within each voxel, or the mean radioactivity concentration within a set of voxels (referred to as a region of interest, ROI) over time is referred to as a time activity curve (TAC). For the purpose of defining which voxels are of anatomical interest, and which should be combined to form specific anatomical ROIs, PET images are coregistered to anatomical T1-weighted (anatomical) MR images acquired for the same individuals. Anatomically relevant regions of the brain can be defined on the MR image, and resliced to the space of the PET image to define these regions.

While comparison of outcome measures in ROIs is more commonly used in PET research, when examining effects for which there is no strong a priori regional hypothesis, or when fine-grained regional heterogeneity of the effects is expected, exploratory spatial methods represent a useful approach. These methods involve calculation of parameters of interest within each voxel of the brain independently, in contrast to ROI-based approaches. They are therefore referred to as parametric images. This approach is therefore more computationally intensive than ROI-based approaches as there are more voxels than ROIs, but also substantially more prone to error in calculation of the parameters of interest due to the noisy reconstruction of each individual voxel. A further complication is that, in order to make comparisons between individuals, the spatial positions of these voxels must be comparable. This involves transforming the parametric image of the brain into a common set of spatial coordinates. Statistical comparisons between individuals, groups or conditions are thereby made at each voxel of this shared spatial coordinate system independently, although this necessitates an increased type I error rate. Spatial smoothing is applied in order to increase the signal-to-noise ratio of the resulting spatial parameter estimates, either prior to, or following kinetic modelling. Smoothing also partially compensates for the problem of multiple comparisons, allowing the use of Random Field Theory to perform familywise error rate correction at the level of clusters rather than individual voxels.\textsuperscript{38}

For parametric analysis of cortical regions, the surface-area-to-volume ratio of the grey matter is very high. This means that three-dimensional Gaussian smoothing can lead to problems as a large proportion of the signal within cortical voxels following smoothing can have originated...
outside of the cortical grey matter. Additionally, signal from neighbouring sulci can be
smoothed into one another, despite their being far from one another within the cortical grey
matter itself. More recently, surface-based registration and smoothing methods have been
developed, by which values are first sampled from the centre of the cortical ribbon to a
cortical surface, following which registration and smoothing are performed using only these
values in two dimensions\(^{39,40}\). In this way, all signal originates in cortical grey matter, and
registration and smoothing are based on cortical folding patterns, and are thus able to be more
anatomically precise than volumetric methods allow. It was shown by Greve et al. (2014)\(^{40}\)
that surface-based registration and smoothing led to greatly reduced intersubject variance
and bias, and could allow for greater statistical sensitivity in applied clinical studies using
parametric analysis.

1.1.3.2 Kinetic Modelling

From a TACs describing the time course of radioactivity concentration in the ROI, researchers
usually seek to derive scalar estimates describing the concentration of the target of interest (or
some proxy thereof) based on all of these values. Quantification of these outcome measures
involves comparing the radioactivity concentration in the target with a reference radioactivity
concentration such as the arterial plasma (such as the total volume of distribution, \(V_T\)) or a
reference region (such as binding potential relative to the non-displaceable compartment, \(BP_{ND}\))
of the brain using a kinetic model. Alternatively, the radioactivity in the target of interest can
be compared with the injected radioactivity per unit body mass, or the background signal
during the course of measurement for semi-quantitative estimates such as the standardised
uptake value (SUV).

The objective of kinetic modelling is to derive an analytical expression which describes the
measured TAC, and to use this mathematical description to estimate outcome measures which
describe the concentration of the target in the given anatomical location, or to describe the
behaviour of the radiotracer in the tissue. These outcome measures can then be statistically
compared between individuals, groups or conditions for a given region of the brain.

Compartmental models are the classical form of kinetic modelling. This means that the
concentration of the radiotracer within the target tissue and the reference input function
(whether it may be brain tissue or arterial plasma) are described according to compartments
between which the radiotracer can be transferred. The transfer between compartments is
described using rate constants. Models making use of the metabolite-corrected arterial plasma
as input function (shortened to the arterial input function, AIF) or are based on the three-tissue
Figure 1.3: For both panels, C represents the radioactivity concentrations within each compartment. The red cylinder on the left of each panel represents the artery, containing plasma (P). Within the plasma, the radiotracer is either free (FP), or bound to plasma proteins (PP). The black boxes represent the compartments. TCM refers to Tissue Compartment Model.

A. The three tissue compartment model is the basis for the two- and one-tissue compartment models: transfer between certain compartments are assumed to be sufficiently rapid that they can be considered as single compartments for the two- and one-tissue compartment models (coloured boxes). The compartments include FT free tracer, NS non-specifically bound, S specifically bound, T total, and ND non-displaceable. B. Reference region models consider the total concentration of radiotracer in the target T and in the reference region R, and assume that the non-displaceable concentration is comparable in both regions, and that the specific binding in the reference region is equal to 0.

compartmental model and simplifications thereof (Figure 1.3A), while models making use of reference regions compare the concentration of radiotracer in the target and reference regions under the assumption that the specific binding in the reference region is equal to zero, or is at least negligible (Figure 1.3B).

Full quantification methods yield two classes of outcomes for reversible tracers: volumes of distribution (V) and binding potential (BP). Volumes of distribution define the concentration of the target protein in different compartments of the target tissue \( V_T \) total, \( V_S \) specific, \( V_{ND} \) non-displaceable, \( V_{NS} \) non-specific, \( V_{FT} \) free tracer) relative to a common reference: the concentration of radiotracer in the arterial plasma (including that which is bound to plasma proteins)\(^{41}\).

\[
V_T = V_{FT} + V_{NS} + V_S = V_{ND} + V_S
\]

Volumes of distribution can alternatively be described in terms of rate constants as follows:
\[ V_T = \frac{K_1}{k_2} (1 + \frac{k_3}{k_4}) \]

\[ V_{ND} = \frac{K_1}{k_2} \]

\[ V_S = \frac{K_1 k_2}{k_3 k_4} \]

Binding potential (BP) values have in common that they are defined as the concentration of target protein in the specifically bound compartment, relative to different reference concentrations \((BP_{ND} \) non-displaceable compartment, \(BP_P \) plasma, or \(BP_F \) the free concentration in plasma). BP can also be conceptualised in terms of \textit{in vitro} measures of \(B_{avail}\), the concentration of the available unbound target, \(K_D\), the dissociation constant, and the free fraction in either the non-displaceable compartment \((f_{ND})\), or the plasma \((f_P)\)\textsuperscript{41}.

\[
BP_{ND} = \frac{V_T - V_{ND}}{V_{ND}} = \frac{V_S}{V_{ND}} = f_{ND}\frac{B_{avail}}{K_D} = \frac{k_3}{k_4}
\]

\[
BP_P = V_T - V_{ND} = f_P\frac{B_{avail}}{K_D} = \frac{K_1 k_2}{k_3 k_4} = V_S
\]

\[
BP_F = \frac{V_T - V_{ND}}{f_P} = \frac{BP_P}{f_P} = \frac{B_{avail}}{K_D} = \frac{K_1 k_2}{f_P k_3 k_4}
\]

These are all examples of full quantification methods: these differ from semi-quantitative estimates in that they compare the concentration of radioactivity in tissue not just during the course of the measurement (i.e. \textit{their area under the curve, AUC}), but their integral to infinity, i.e.

\[
V_T = \int_0^\infty C_T(t) dt / \int_0^\infty C_P(t) dt
\]

An example of a semi-quantitative methods is the standardised uptake value (SUV), which makes use of the AUC during a specified time window, and compares this to a reference quantity, in this case the ratio of the injected dose per unit body mass. Another semi-quantitative method is the SUV ratio, which is a measure of the ratio of SUV values from two different
Figure 1.4: The TAC can be described as the convolution of the input function with the IRF. 1TCM and 2TCM refers to the fits of the one- and two-tissue compartment models.

The instantaneous rate of change of $C_T$ can be described by flow of radiotracer to and from the plasma, i.e. $\frac{dC_T(t)}{dt} = K_1C_P(t) - k_2C_T(t)$. Solving the differential equation reveals that the concentration of $C_T$ at each point in time, i.e. the TAC, can be described by a convolution of the AIF with the impulse response function (IRF) (Figure 1.4). The IRF is the function which describes the concentration of the radiotracer over time if the delivery of the radiotracer were instantaneous (i.e. a Dirac delta function). The IRF therefore describes the efflux of the tracer from the tissue once it is already there. The TAC can be conceptualised as resulting from a continuous series of deliveries of the tracer over time as described by the input function, each of which flows out of the tissue according to the IRF. In the example in Figure 1.4, after 25 minutes, only a small proportion of the tracer which arrived during the first minute after injection remains in the tissue, while the small amount of tracer still present in the plasma at this point is still being delivered to the tissue.

Performing blood sampling to derive an AIF can cause discomfort for research participants, is resource-intensive due to both instrumentation and labour, and the measurements themselves are prone to measurement error. Alternatives to blood sampling are therefore desirable. Reference region models such as the simplified reference tissue model (SRTM) do not require blood sampling, and provide estimates of $BP_{ND}$ (for reversible tracers), provided that there is a region of the brain with zero or negligible specific binding. $BP_{ND}$ can be calculated by assuming equality of $V_{ND}$ between the target and the reference region, and by making use of the TAC of the reference tissue as input instead of the AIF.

Fitting kinetic models to data is typically performed by using nonlinear least squares estimation, by which rate constants describing the IRF are updated over successive iterations, and convolved with the input function until the created TAC matches the measured TAC (i.e. it minimises...
the weighted sum of squared residuals). While these models are unbiased, they are not guaranteed to find the optimal solution, i.e. they may arrive at a local minimum, rather than the global minimum solution. They are also much more computationally intensive than linear models. While computational time is barely relevant for the fitting of individual TACs, this becomes a significant issue for the fitting of multiple TACs at the level of voxels. Furthermore, TACs at the voxel level are usually much noisier than for ROIs, and therefore convergence issues are more likely for nonlinear least squares estimation. For this purpose, linearisations of kinetic models have been developed, which enable the use of linear least-squares fitting procedures, which are much quicker, and guaranteed to arrive at the global minimum. These models typically make use of asymptotic properties of the tissue response and provide only the macroparameters (e.g. only $V_T$ or $BP_{ND}$ values for invasive or non-invasive models respectively, and not rate constants, i.e. microparameters). There exist numerous linearised models for the fitting of data using both plasma input functions (e.g. Logan graphical analysis\textsuperscript{43}) as well as reference tissue models (e.g. the non-invasive Logan plot\textsuperscript{44}). However, these models may often provide slightly biased outcomes\textsuperscript{45}, but may also reduce noise (variance) in the process.

Individual rate parameters, or microparameters, are estimated by compartmental models. These rate constants can be compared between individuals, or used to obtain measures of binding potential directly (e.g. $BP_{ND} = \frac{k_3}{k_4}$), however this approach is prone to noise and usually produces unreliable estimates compared to calculation of the more conservative $V_T$\textsuperscript{46}. This is because estimation of the rate constants may often suffer from a lack of identifiability. This means that they can not be estimated uniquely from the data, and that multiple different combinations of values of the rate constants, which may differ considerably, can give rise to a very similar predictions of the measured curve (Figure 1.5). The identifiability of these outcomes is determined in part by the degree of statistical noise in the measured signal\textsuperscript{46}.

There is no one optimal model or strategy for quantification of PET TAC data. Selection of the appropriate model should be performed by taking into consideration not only the radioligand and its kinetic behaviour, but also the results of previous test-retest evaluations, the research question, as well as practical considerations for performing the study.

### 1.2 Statistical Inference

Most biomedical research is performed using the null hypothesis significance testing (NHST) paradigm\textsuperscript{47}. These approaches are referred to as frequentist as they concern themselves with $p$ values, which represent frequencies. In frequentist statistics, any given experiment can be considered as one of an infinite series of possible repetitions of the same experiment, each of
Figure 1.5: Identifiability of parameters relates to the degree to which the measured data, i.e. the time activity curve, can be described by a unique combination of the fitted parameters. In this figure, a single time activity curve from the same region from three individuals (rows) was fitted using a grid of 30 parameter values for each of the four rate constants, and the weighted sum of square residuals was calculated for each fit (i.e. the cost function). Each point represents the value of the best fit based on the other two rate constants for the specific combination of the rate constants represented by the x and y axes. In each case, the minimum is represented by a red cross. It is clear that several combinations of rate constants are associated with similar values of the cost function, implying that the goodness of their specific fit to the measured data is similar, and that identifiability of the individual rate constants is poor: some more poor than others. Notably, the relationships between the parameters appears to be somewhat more identifiable than for the individual rate constants themselves, and more so for V_{ND} (left) than for BP_{ND} (right).
which produces a statistically independent result. The outcomes of these infinite repetitions are calculated by what can be conceptualised as performing a simulation (or calculating the results of such a simulation analytically given certain assumptions). This simulation concerns what the distribution of outcomes would be if there were no effect at all in the repetitions of the same experiment, based on the observed data which constitutes a single repetition. The $p$ value represents the proportion of the simulations which are more extreme than the obtained value from the study. The significance level is defined as a reference point beyond which only a prespecified proportion (e.g. 5%) of simulated repetitions of the experiment obtain effects which are more extreme, despite there being no true effect. If the $p$ value observed is more extreme than the significance level, then it is deemed sufficiently incompatible with the null hypothesis. This approach is referred to as “proof by contradiction”.

The Fisherian approach uses the $p$ value to assess how surprising the outcome is under the assumption of the null hypothesis being true. Smaller $p$ values are therefore interpreted as being more surprising. The crux of the Neyman-Pearson approach is to define an alternative hypothesis and to frame the interpretation of $p$ values in terms of error rates. In the latter approach, the outcome of the experiment is dichotomised into rejecting or failing to reject the null hypothesis based on whether the $p$ value is above or below a prespecified threshold. This means that the specific $p$ value obtained is irrelevant, but that only whether it is above or below the threshold is considered. As described before, based on the long-run (imaginary, or simulated) frequencies, the null hypothesis will be rejected 5% of the time when it is in fact true. This is referred to as a Type I error, i.e. a false positive. These errors are controlled by defining a Type I error rate, called $\alpha$. The other type of error is defined as Type II error, i.e. a false negative. The type II error rate ($\beta$) is therefore the rate of failing to reject the null hypothesis when the null hypothesis is in fact false. This can alternatively be conceptualised as power: the rate of successfully rejecting the null hypothesis when the null hypothesis is false, i.e. $1 - \beta$. The power of an experiment is a function of the sample size and the true underlying size of the effect (Figure 1.6). Because the latter quantity cannot be known, power analysis, and thereby sample size determination, is usually performed based on an estimated effect size. A disadvantage of this approach is that optimistic effect size estimates lead to optimistic estimates of power. An alternative, better strategy is to perform a study which has sufficient power to detect the smallest effect size of interest, such that for all interesting underlying true effect sizes, the null hypothesis will be reliably rejected.

This approach is not intuitive, and misinterpretations of $p$ values are common, even by statisticians. This has even prompted the American Statistical Association to issue a statement urging scientists not to simply rely on whether such a summary statistic passes a given threshold, but to take results in greater context. Common misconceptions about $p$
Figure 1.6: Power is a function of the true underlying effect size and the sample size. In the upper row, the observed effect sizes obtained by simulation are shown by the histogram, with the underlying, true, effect size depicted by the blue line. The width of the observed effect size distribution is a function of the sample size i.e. larger samples yield effect size estimates with lower variability. The transparent red region depicts those outcomes which are statistically significant. The power represents the proportion of the observed effect sizes which are significant, i.e. for 50% power, 50% of the observed effect sizes will be significant, but the other 50% are not significant due to type II error. For 0% power, i.e. the null hypothesis is true, 5% of the effect sizes are statistically significant due to type I error. In the lower row, the distribution of the likelihood of the resulting p values is shown, with dots depicting the relative likelihood of obtaining p values equal to 0.001 and 0.050. For 0% power, i.e. no effect, these values are equally likely and 5% of the effect sizes will be significant. For true effects, i.e. power>0%, p values of 0.001 are more likely to be observed than p values of 0.050 by the following margins: $4 \times$ for 20%, $13 \times$ for 50%, and $41 \times$ for 80% power.

Values include the inverse probability fallacy (the belief that the p value indicates the probability that the null hypothesis is true), the replication fallacy (the belief that the p value reflects the replicability of a result), the effect size fallacy (the belief that the p value provides direct information about the effect size) and the clinical or practical significance fallacy (the belief that the p value relates to the importance of an effect size)\textsuperscript{52,55}. It has also been suggested that a source of the misinterpretation of p values is that the inverse probability fallacy reflects the question that we, as scientists, are typically asking, i.e. what is the probability that the alternative hypothesis (i.e. often our research hypothesis) is true\textsuperscript{56}? Following the growing concern around the replicability crisis in psychology and biomedical science\textsuperscript{57–62}, there have recently been suggestions to lower the default alpha threshold\textsuperscript{63}, not to rely on default alpha.
thresholds\textsuperscript{64}, or even to abandon significance testing entirely\textsuperscript{65}.

Another issue is that of the null hypothesis: as described, a p value permits concluding either to reject the null hypothesis or to fail to reject the null hypothesis due to insufficient evidence. This leaves no room for being able to accept the null hypothesis, i.e. to say that there is no effect. However, when it is important for scientists to be able to conclude that a meaningful effect is absent, making this conclusion based on a nonsignificant p value is neither satisfactory nor statistically valid, although the practice is common\textsuperscript{66}.

There do, however, exist several approaches which can assess the null hypothesis in a statistically valid manner. I will describe two of these approaches which are utilised in this thesis. Within the frequentist tradition, equivalence testing involves defining a smallest effect size of interest (SESOI) as opposed to using a point estimate null hypothesis of zero\textsuperscript{67,68}. The procedure can internally be as straightforward as performing two one-sided t tests against the SESOI bounds. This method thereby inverts the null hypothesis, and can reject a new null hypothesis that the effect size is larger than these bounds. Another alternative is the use of Bayesian statistics, which, due to defining prior probability distributions over each of the parameters of the model, is able to provide more nuanced conclusions than frequentist methods are usually capable of inferring.

In Bayesian statistics parameters are described by probability distributions over potential values. In this way, they differ from frequentist methods which concern themselves with the long-run frequencies of observing certain outcomes. Rather, with Bayesian statistics, inference can be performed with reference to the probabilities of parameters falling within certain ranges, or by comparing the relative likelihood of the data arising under two or more different hypotheses.

Broadly, Bayes’ rule defines the optimal manner by which beliefs can be updated given new data\textsuperscript{69,70}. In practice, when applying Bayesian inference, Bayes’ rule allows for conversion between the probability of the data (\(D\)), and the probability of the parameters (\(\theta\)) under certain circumstances.

\[
P(\theta|D) = \frac{P(\theta) \times P(D|\theta)}{P(D)}
\]  

(1.1)

This is useful, as it allows for describing the likelihood of a set of parameters conditional on (meaning given) the data (i.e. \(P(\theta|D)\), the posterior), or for describing the likelihood of the
data conditional on a set of parameters (i.e. $P(\mathcal{D}|\theta)$, the likelihood). Bayesian modelling concerns itself with the posterior probability, while frequentist statistics concerns itself with the likelihood.

In order to be able to calculate the posterior from the likelihood, a prior distribution must be defined over the parameter values. This is occasionally viewed as a drawback, as it necessitates an increased degree of subjectivity. However, this can also be viewed as a strength, as we almost always have at least some knowledge about what values of the parameter are reasonable and unreasonable.

Inference in Bayesian statistics can take several different forms. Parameter estimation refers to examination of the posterior probability distribution for any the parameters, summarising it by its mean value and credible intervals. In this way, as described before, we can describe the interval in which there is a given percentage probability that the true parameter lies, given our prior beliefs and the new data by which to update those beliefs. Similarly, we can calculate the probability that a given parameter is above or below a certain value such as zero (called a posterior p value). Bayesian hypothesis testing is another alternative, by which multiple hypotheses ($\mathcal{H}$), described by models, are compared. The outcome of these tests is the Bayes Factor (BF) (equation 1.2).

\[
\frac{P(\mathcal{H}_1|\mathcal{D})}{P(\mathcal{H}_2|\mathcal{D})} = \frac{P(\mathcal{D}|\mathcal{H}_1)}{P(\mathcal{D}|\mathcal{H}_2)} \frac{P(\mathcal{H}_1)}{P(\mathcal{H}_2)}
\]  

(1.2)

BFs can be interpreted in several different ways depending on the context, all of which are correct. $BF_{12}$ represents the comparison of model 1 with model 2, such that $BF_{12} > 1$ means that hypothesis 1 is preferred over hypothesis 2. BFs describe the predictive adequacy of competing models, or hypotheses, relative to one another. They can also be conceptualised as the relative probability of the observed data under one hypothesis compared to another \(^{69,71}\), i.e. $BF_{12}=5$ means that the observed data are five times more likely to have occurred under hypothesis 1 compared to hypothesis 2. The posterior odds refers to the probability of one hypothesis relative to another, and is equal to the BF if both models were deemed to be equally plausible \(a \text{ priori}\) (i.e. equal prior model odds) (equation 1.2). As such, the BF can also be conceptualised as the degree to which a skeptical observer can favour hypothesis 2 \(a \text{ priori}\) before they should start favouring hypothesis 1, i.e. a $BF_{12}=5$ should influence an observer who previously believed that hypothesis 2 was up to 5 times more likely than hypothesis 1, to revise their beliefs and start to believe that hypothesis 1 is more likely than hypothesis 2. In this way, the BF functions as a belief update factor.
Within a Bayesian framework, the common misinterpretations made within frequentist statistics are often the correct interpretations of similar outcomes. For instance, confidence intervals within the frequentist paradigm do not represent the range in which there is a 95% probability that the true value lies\(^7\) (although this misconception is widespread\(^7\)), while this is the correct interpretation of credible intervals, their Bayesian analogue. This is an example of the inverse probability fallacy, which is not a fallacy within the Bayesian approach. Indeed Fisher described Bayesian statistics as “inverse probability”\(^7\). Similarly, in Bayesian hypothesis testing, one directly evaluates and compares the likelihood of different hypotheses against one another, while frequentist methods simply evaluate whether the null can be rejected. A further example is that of sequential testing: using frequentist statistics, researchers cannot decide to collect more data after checking whether the results were significant without performing correction for multiple comparisons. This is due to the use of error rates to constrain inference, since there are more opportunities to make errors. However, re-examination of the data, and collection until the evidence is sufficiently compelling, is of no consequence for Bayesian methods as they make use of probability updating\(^7\).

### 1.3 Challenges for Biomedical Research

Before individual observations can be represented by scientific theories and thereby become knowledge, there are significant issues which must be overcome. These issues may either prevent scientists from gaining conclusions from observations, or worse, may mislead scientists into drawing incorrect conclusions from their observations. In other words, these issues concern the ‘truth’ of individual research claims themselves, and threaten the link between observations from research and science itself. A famous, and infamous, theoretical paper by Ioannidis (2005)\(^7\) titled *Why most published research findings are false*, describes how current practices in the field of biomedical research lead to a large number of research findings which are untrue. Based on the considerations of the paper, several corollaries are presented, defining properties which would be expected to diminish the likelihood of truth of any given research finding. Of these, several can be said to be of particular relevance to the field of PET research, and especially its clinical application. These include studies being conducted with small sample sizes, examining small effect sizes, with flexibility of analysis outcomes, and with less a priori selection of tested relationships. It is therefore of critical importance for biomedical research, including clinical PET research, that the following issues be taken into consideration and addressed.
1.3.1 Errors and Bias

There is a potential for errors and biases to occur throughout all stages of the scientific process (Figure 1.7) which should be considered. Below, I will expand upon most of these issues and how they relate to one another.

Low statistical power (Figure 1.7) implies that there is an insufficiently large set of data for providing a consistent answer to the specific research question. As described before (shown in Figure 1.6), the power is a function of the true, unknown, effect size and the sample size. Due to the high cost of PET examinations (individual measurements can cost more than USD 10 000), and the exposure of participants to harmful radioactivity, sample sizes are usually low. This would not be a problem if effect sizes were large (e.g. quantifying the dopamine transporter in Parkinson’s Disease), however truly large effect sizes are unusual in psychiatric PET studies. As such, this issue is of particular relevance for this field, and particular efforts should be devoted to solving this issue (discussed more in the Future Perspectives chapter).
The issue of low statistical power is not unique to PET however, and rather appears to be a common feature of neuroscience research across numerous methodologies, and likely to most fields of biomedical research.

The act of analysing and interpreting data in several different ways, and settling on a method which produces the desired or expected outcome while neglecting to report the results of the other paths, has been likened to a garden of forking paths. This has also been called P-hacking, but it has been argued that this latter term incorrectly implies an intention to cheat, while it is much more commonly performed unconsciously due to all of the above biases. It has been suggested to stem in part from the inverse probability fallacy: if one interprets a p value as the probability that the alternative hypothesis is true, then it would be less relevant that another method produced an insignificant result. It is only by correctly interpreting p values as error rates that it becomes clear that there have been more opportunities by which to make errors while exploring the different paths. Similarly, publication bias refers to publishing only ‘successful’ outcomes, and neglecting to publish those which did not show the expected or desired result. Publication bias, in contrast to the garden of forking paths, usually refers to entire studies. In both cases, however, neglecting to publish outcomes which were not statistically significant results in a substantial exaggeration of the average effect size based on the published literature (in meta-analyses for example). In fact, with low power, only those studies (or forking paths of the analysis) whose observed effect sizes are exaggerated compared to the true underlying effect size will be statistically significant as can be seen from Figure 1.6.

Another related bias is that of HARKing, or hypothesising after the results are known. Due primarily to hindsight bias, exploratory results are often seen as more predictable than they really were. This has also been described as just-so storytelling: finding a story to tell to rationalise the results. Related again to poor statistical literacy among researchers, it is not commonly known that, in a strict Neyman-Pearson framework, p values apply exclusively to confirmatory research (i.e. hypotheses which were predicted in advance), and cannot be interpreted when they are used to explore the data from which effects are found (referred to as postdiction). To find a hypothesis among the final results, and to present a research finding as if this were the primary research question as predicted from the literature, leads to inflated effect sizes and increased rates of false positives.

The garden of forking paths, publication bias and HARKing are referred to as Questionable Research Practices (QRPs). These QRPs affect the planning of new studies, which, if they do not account for the sometimes dramatic positive bias in reported outcomes, will be overoptimistic about the expected underlying effect sizes. This may lead to selection of small sample sizes, and thereby low statistical power for the true underlying effect in future studies.
If all these practices were uncommon, then the degree of bias in the scientific literature would not be expected to be large. Studies of QRPs within the fields of psychology\textsuperscript{86} and ecology\textsuperscript{87} reveal, however, that they are common and widespread, suggesting that the bias within the scientific literature is likely to be severe.

While this leads us to conclude that a large proportion of the existing literature is quantitatively, and presumably even qualitatively, biased, there are no shortage of solutions for how this situation can be remedied in future, most of which relate to transparency, both at the stages of reporting and even as early as study planning; and openness of analysis methods, data, and materials\textsuperscript{77}. This will be covered in more detail in later sections.

Another very recent encouraging result comes from a large-scale replication analysis of 21 social science papers published in Nature and Science between 2010 and 2015\textsuperscript{88}. Sample sizes were defined such that they had 90\% power to obtain an effect size of half the magnitude of the original findings, leading to sample sizes of on average five times the original sample sizes. While 62\% of these effects replicated, what was most encouraging was a secondary analysis in which independent groups of peers were asked to predict the results of the replications through a prediction market before knowing the outcomes. The results of these predictions show perfect separation of predictions for studies which did, and did not, replicate in the correct directions, i.e. all studies which successfully replicated were predicted as being more likely to replicate than all studies which did not successfully replicate. This means that the replicability of research claims is unlikely to be simply random. Rather, research peers appear to be highly capable of detecting which results are “too good to be true”\textsuperscript{88}. With increasing awareness of the above biases, and an increasing awareness that rigorous statistical training is important, the quality of research is likely to increase.

1.4 Reliability, Replicability and Reproducibility

The work within this thesis is centred around the concepts of reliability, replicability and reproducibility. In this section, these terms will be defined, and related to their metaphorical imagery on the front cover.

1.4.1 Reliability

Reliability, broadly, refers to the consistency of a measurement. In PET imaging, there are several different measures of consistency, which I will outline briefly before returning to
reliability specifically.

1.4.1.1 Coefficient of Variation (COV)

The coefficient of variation relates the dispersion, or spread of a set of observations, as a function of the mean value.

\[
COV = \frac{\sigma}{\mu}
\]  \hspace{1cm} (1.3)

where \(\sigma\) represents the standard deviation, and \(\mu\) represents the mean value of the sample. The COV is the inverse of Cohen’s D: for this reason, a reduction of the COV results in greater power to detect an effect of a given proportional magnitude of mean difference. COV only applies for scales with an absolute 0.

1.4.1.2 Measurement Error

Each measurement is made with an associated error. This error can be described by its standard error (\(\sigma_e\)), which can be thought of as a standard deviation around the measured value referring to the spread other potential measurements of the same individual. It can be estimated from a set of measurements in which each individual is measured more than once using the following equation from Baumgartner et al. (2018)\(^8\).

\[
\sigma_e^2 = \frac{1}{n} \sum_{i=1}^{n} \sum_{j=1}^{k} (y_{ij} - \bar{y}_i)^2
\]  \hspace{1cm} (1.4)

where \(n\) represents the number of participants, \(i\) represents the subject number, \(j\) represents the measurement number, \(k\) represents the number of measurements per subject, \(y\) represents the outcome and \(\bar{y}_i\) represents the mean outcome for that subject.

1.4.1.3 Repeatability

The repeatability of an observation refers to the closeness of agreement between successive measurements\(^9\). In the field of PET imaging, this is often described using the absolute percentage difference (APD), or absolute variability, which relates the within-individual changes in the outcome value to its mean.
where $y$ represents the outcome, and the subscripts refer to the measurement number. The average APD across the sample of test-retest measurements is usually presented to describe the repeatability for a particular outcome. Baumgartner et al. (2018)\cite{89}, however, points out that this measure is not sufficiently sensitive to outliers whose two measures might be highly inconsistent. Instead, the within-subject coefficient of variation (WSCV) is recommended\cite{91}, which relates the within-subject variation to the mean outcome across the group.

\[
WSCV = \frac{\sigma_e}{\mu} \tag{1.6}
\]

This measure can easily be converted to the smallest detectable difference (SDD), or repeatability coefficient, which describes the smallest within-individual change which could be considered sufficiently large that it is sufficiently unlikely to occurred by chance alone (according to a given confidence interval, e.g. using $z_{(1-\alpha/2)}=1.96$ below)\cite{89,92}.

\[
SDD = \sqrt{2} \times z_{(1-\alpha/2)} \times \sigma_e \tag{1.7}
\]

The WSCV and SDD are relevant for understanding the expected degree of variability within individuals which can be expected due to random fluctuations, and can therefore be used the gauge the feasibility of within-subject study designs.

### 1.4.1.4 Reliability

This leads us to reliability, which is a measure of the consistency or accuracy of an outcome measure. Reliability, in contrast to repeatability, is defined relative to between-individual variation. It is defined as the proportion of the total variance which is due to ‘true’ differences, as opposed to differences arising due to error (itself caused by measurement error or within-individual differences).

\[
\text{reliability} = \frac{\sigma_t^2}{\sigma_t^2 + \sigma_e^2} = \frac{\sigma_t^2}{\sigma_{tot}^2} \tag{1.8}
\]

where the subscripts refer to the sources of variation: $t$ true, $e$ error, and $tot$ total. As described
in equation 1.8 and shown in figure 1.8, it represents the relative proportion of variance in
the data attributable to true differences as compared to the combination of true and error.
This can be explained by the fact that accuracy of a measurement can only be judged in
terms of the question being asked: a common stopwatch can reliably be used to compare the
times of runners completing a marathon and group them by percentiles. However, the same
stopwatch is not considered sufficiently accurate to decide which medal should be awarded to
whom at the 100m sprint in the Olympic games. When true differences between individuals
are in the order of hours, then inaccuracies in the order of a few seconds are unimportant.
Conceptualised in this way, reliability can be treated as a measure of distinguishability of
measurements\(^{93}\): the ability to separate individual measurements into those which are relatively
high compared to the rest of the group, and relatively low compared to the rest of the group.
With poor reliability, the measure itself provides insufficiently accurate information to be able
to answer this question. This is metaphorically depicted in the leftmost two panels of the
front cover, in which the cats can and cannot be easily distinguished. If measurement error
(i.e. within-individual variation) is large, but the between-individual variation is much larger,
then individuals can still be meaningfully separated into those who exhibit high outcome values,
and those who have low outcome values. Similarly, even if a measure is extremely accurate, it
is still incapable of meaningfully distinguishing between individuals who all obtain the same
outcome value. As such, reliability is a relevant measure for understanding between-individual
comparisons.

There exist several different ways to estimate the reliability of measurements. Within
psychometrics (e.g. questionnaires), reliability can also be assessed from the consistency
of different item responses within the particular scale: this is referred to as internal consistency
and can be assessed using measures such as Cronbach’s \(\alpha\). This is not possible for PET and
for other measures where the single measurement cannot be broken down into constituent
parts. For these measures, test-retest reliability is a more appropriate measure of reliability.

Test-retest reliability for continuous scales is typically defined using the intraclass correlation
coefficient (ICC). In measures for which there are no systematic effects of the measurement
order, such as PET test-retest studies in which individuals are each measured twice by the
same PET system and for which within-individual changes are expected to be negligible, we
use the one-way ANOVA fixed effects model\(^{94}\). This is the most conservative formulation
of the ICC, and is described by the following equation:

\[
ICC = \frac{MS_B - MS_W}{MS_B + (k-1)MS_W} \tag{1.9}
\]
where $MS_B$ represents the between subjects mean sum of squares, $MS_W$ represents the within subject mean sum of squares, and $k$ represents the number of observations (usually 2). The ICC is an approximation of the true population reliability: while true reliability can never be negative (equation 1.8) one can obtain negative ICC values if the $MS_W$ is larger than $MS_B$ (equation 1.9), in which case the reliability can be treated as zero.

Measures of reliability are important in order to confirm that the data is capable of distinguishing between individuals to a sufficient degree such that comparisons can be made. There have been several suggestions of standard values by which to judge reliability values94–98. As will be argued in in Study V, the guidelines of Portney & Watkins (2015)98 are most relevant for PET studies, which defined values between 0.5 and 0.75 as poor to moderate, 0.75 to 0.9 as good, and above 0.9 as sufficient for measurements used in clinical diagnosis. These standards are comparatively conservative, but can be considered in light of the fact that an ICC value of 0.5 means that the variance in the data due to true inter-individual variability is equal to the variance due to measurement error: this implies that an individual obtaining a score equal to the mean of the group could have either the highest, or the lowest, underlying true value of the outcome in the group, however the precision with which the outcome is measured is not such that this could be known from a single measurement (see Figure 1.8).

1.4.2 Replicability

Replicability is ultimate standard by which scientific claims can be validated: provided that an effect is real and robust, then any competent researcher should be able to obtain the same result using the same procedures with adequate statistical power99. In this way, replicability refers to the degree to which the conclusions made in a scientific study can be replicated in a new sample (or new data) using the same analysis methods. This is described in Figure 1.9, according to which this can be instantiated through computer code, but also refers to similar methods more broadly.

Replications can be divided into direct and conceptual replications. Direct replication refers to repeating an experiment in such a way as to correspond as closely as possible to the original study. The purpose of direct replication is therefore to ascertain the consistency by which an effect can be observed. This might involve performing testing using a new sample, or using different software by which to arrive at the results, but doing so in such a way as to adhere to the procedures of the original study. Conceptual replications, on the other hand, refer to new experiments to test the predictions of a particular theory. The goal of conceptual replications is to determine the credibility of a theoretical hypothesis99.
Figure 1.8: Relative variance due to true inter-individual variance in blue (i.e. between-individual variability of the underlying ‘true’ values), and measurement error in red (i.e. within-individual variability) for different ICC values depicted by their proportional contributions to the measured variance (above) and by their density distributions showing the size of the distributions relative to one another (below).
Given all the challenges for biomedical research described above, as well as the replicability crisis in psychology and biomedical science\textsuperscript{57–62}, verification of published results is of great importance for scientific progress, and the gold standard by which the veracity of published results can be assessed is by direct replication\textsuperscript{101}. It is this that I will henceforth refer to as replicability. This is metaphorically depicted in the middle two panels of the front cover, in which the cat will, given two samples of the PhD student’s study environment, exhibit the same behaviour.

### 1.4.3 Reproducibility

Neuroimaging, including PET, data are highly complex, and have been growing in complexity and size. Neuroimaging data contain information in different formats from different sources arranged in different ways, and its analysis can take many forms. Collection, storage, analysis and sharing of data and results are therefore highly idiosyncratic between or even within groups, and communication of all steps taken through scientific publications is not always feasible\textsuperscript{102–104}. This complicates replication efforts and thereby slows scientific progress. As the size of data sets increases, as well as their complexity, so too do their cost. As such, full replication of scientific claims may not even be feasible for certain research questions due to time and expense\textsuperscript{105}: Peng (2011)\textsuperscript{101} therefore describes a spectrum of reproducibility, ranging from not reproducible (publication only) through the varying degrees of reproducibility, to full...
Figure 1.10: The spectrum of reproducibility based on Peng (2011) (101).

replication as the gold standard (Figure 1.10). According to this definition, reproducibility thereby partially accomplishes the role of a full replication, i.e. increasing the veracity of scientific claims. In this thesis, reproducibility will be defined as varying degrees of this spectrum, but excluding both ends.

This has led to calls for computational reproducibility as a minimum standard for assessment of scientific claims, i.e. that researchers share analysis code and data such that all steps are recorded, allowing an independent researcher to reproduce the results and assess their veracity (Figure 1.9). Reproducibility allows more than the validation of reported results, but also functions to accelerate scientific progress, as novel methods can be readily applied and extended by other researchers using the shared code\textsuperscript{101,105,106}.

Computational reproducibility also increases the potential for the detection and correction of mistakes, which might otherwise be unrecorded if resulting from manual graphical user interfaces. A prominent example is that of the Duke University Scandal\textsuperscript{107,108}, where unrecorded user actions during data analysis led to clinical trials in which the wrong, potentially harmful, medications were administered to 109 cancer patients based on incorrect results. This error arose as a result of misalignment of two columns of a spreadsheet. It was only through highly complex reverse-engineering of the results that this could be detected, while a reproducible analysis would have permitted rapid detection and correction of this mistake. Another notable example was an economics paper claiming to demonstrate the effectiveness of austerity measures in fiscal policy, which was widely cited in political debates\textsuperscript{109}: “surely the most influential economic analysis of recent years”\textsuperscript{110}. The original study was not accompanied by the data and code. Upon request, the spreadsheet was later made available, in which it was detected that omissions, questionable statistical procedures and an error in a Microsoft Excel formula led to a complete reversal of the reported effect\textsuperscript{111}. It is therefore of great importance that analysis code, and data if possible, are shared, and that tools and methods are developed which allow for all steps to be reported transparently.

For this reason, in the later papers presented in this thesis, we have published the analysis code, as well as the data where possible. For those papers for which the data is shared, this allows reproduction of our results, and for other researchers to be able to experiment with our
procedures both to fully understand them, as well as to apply or extend them for their own purposes. For those papers for which the analysis code is shared, but not the data, this will at the very least allow other researchers to see exactly how we have performed every part of the presented analyses, and to use our same procedures. This is metaphorically depicted in the rightmost two panels of the front cover, in which scientific results are depicted by the cat, and all of the steps between the data (the ink pool) and the final results are visible and recorded.

1.5 Clinical Applications

The principles of reliability, replicability and reproducibility are applied in this thesis to several research questions. Below, I will provide a brief background to each of these questions.

1.5.1 Schizophrenia

Schizophrenia has a lifetime prevalence of 0.7% in the general population\textsuperscript{112} and has a very high degree (~80%) of heritability\textsuperscript{113}. The disorder gives rise to great adversity both for sufferers of the condition as well as their caregivers. Current pharmacological treatment is unsatisfactory in terms of efficacy and side effects, and there is therefore a great need for improved diagnostic and treatment strategies directed towards the pathological mechanisms of the disease. In particular, finding both behavioural and biological markers to aid diagnosis at a very early phase of the disorder would enable the development of preventative treatment approaches.

The most characteristic symptoms of schizophrenia are hallucinations and delusions. Hallucinations are false perceptions, such as hearing voices. Delusions are irrational or strange beliefs, such as believing that others can hear one’s thoughts. Theories about schizophrenia have traditionally considered these perceptual alterations and the formation of irrational beliefs as separate dysfunctions, but recent models of this disorder suggest that these experiences may be attributable to the same core deficit beginning at some of the earliest levels of perceptual processing\textsuperscript{114}.

1.5.2 Dopamine, the D1 Receptor and schizophrenia

Schizophrenia has been directly associated with dopamine for over fifty years, due primarily to the fact that antipsychotic drugs block dopamine receptors, that those which bind to dopamine receptors with greater affinity were more clinically effective\textsuperscript{115}, and that the
extent of occupancy was related to drugs’ clinical effectiveness\textsuperscript{6,7}. The dopamine hypothesis of schizophrenia has evolved over these years through several iterations\textsuperscript{116}. In its first iterations, it was focused on excessive dopaminergic transmission at dopamine receptors. In its second iteration, it came to regard the dopaminergic disturbances as being regionally specific: more specifically striatal hyperdopaminergia and frontal hypodopaminergia. The most recent versions of this theory posit that psychosis in schizophrenia is associated with elevations of presynaptic striatal dopamine function as a ‘final common pathway’: there exist many causes of schizophrenia, all of which may interact, but they all converge in striatal presynaptic dopamine hyperfunction. These alterations in dopamine function are associated with psychosis, rather than schizophrenia in general. The effects of this dysfunction of the dopamine system influence evaluation of perceived stimuli through aberrant salience attribution\textsuperscript{117}. This theory therefore differs from previous conceptions primarily in its focus on presynaptic changes in dopamine function, and in downplaying prefrontal hypodopaminergia\textsuperscript{116}. However, there has recently been some \textit{in vivo} evidence in support of cortical hypodopaminergia too\textsuperscript{118}.

There has been a great deal of \textit{in vivo} evidence, shown in numerous PET studies, for elevations in presynaptic dopamine function in schizophrenia\textsuperscript{116}. These studies have focused on dopamine release following amphetamine challenge as well as presynaptic dopamine synthesis. There has also been evidence of elevations of presynaptic dopaminergic synthesis in individuals at high risk of developing schizophrenia, of a smaller magnitude compared to those individuals with schizophrenia\textsuperscript{119}. Furthermore, presynaptic dopamine synthesis was shown to be higher at baseline in those who subsequently transitioned to schizophrenia compared to those who did not\textsuperscript{120}, and increased further in these individuals following the transition to schizophrenia\textsuperscript{121}.

In contrast, only a few PET studies have examined the D1 receptor (D1R) in schizophrenia. Compared with the D2R, there is a much higher concentration of D1R in the cortex\textsuperscript{122}, and the frontal, and especially the dorsolateral prefrontal, cortex is thought to be a crucial brain region for understanding the biological basis for schizophrenia\textsuperscript{123–125}. In-vivo studies of the D1R in schizophrenia patients have yielded mixed results (Table 1.1). Initial studies found lower\textsuperscript{126}, higher\textsuperscript{127}, or no difference\textsuperscript{128} in the availability of D1R in the frontal cortex compared to healthy control subjects. This led to concerns as to whether there were systematic differences between what was detected with the two radioligands employed in these studies ([\textsuperscript{11}C]SCH23390 or [\textsuperscript{11}C]NNC112). The former two research groups have both subsequently replicated their own respective results, in a sample of chronic medicated patients\textsuperscript{129}, and in a subsample of drug naive patients\textsuperscript{130} respectively. Further, both groups examined patients and controls using both radioligands\textsuperscript{129,131}, leading both to conclude that any differences were unlikely to be a result of differences between the two tracers. In another small sample of twin pairs discordant for schizophrenia, Hirvonen et al. (2006)\textsuperscript{132} observed decreases in D1R binding
Table 1.1: PET studies comparing D1-R binding in patients with schizophrenia or schizophreniform psychosis to that of healthy control subjects

<table>
<thead>
<tr>
<th>Publication</th>
<th>Subjects</th>
<th>Radioligand</th>
<th>Differences</th>
</tr>
</thead>
<tbody>
<tr>
<td>Okubo et al. 1997</td>
<td>17(10)/18 SCZ(DN)/HC</td>
<td>SCH</td>
<td>↓: PFC</td>
</tr>
<tr>
<td>Abi-Dargham et al. 2002</td>
<td>16(7)/16 NNC</td>
<td>↑: DLPFC</td>
<td></td>
</tr>
<tr>
<td>Karlsson et al. 2002</td>
<td>10(10)/10 SCH</td>
<td>no sign. diff.</td>
<td></td>
</tr>
<tr>
<td>Hirvonen et al. 2006</td>
<td>9(0)/11 SCH</td>
<td>↓: CAU, PUT, CX</td>
<td></td>
</tr>
<tr>
<td>Kosaka et al. 2010</td>
<td>6(0)/12 both</td>
<td>↓: FC, ACC, TC, STR</td>
<td></td>
</tr>
<tr>
<td>Abi-Dargham et al. 2012</td>
<td>25(12) / 48 NNC</td>
<td>↑ (DN): DLPFC, MPFC, OFC</td>
<td></td>
</tr>
<tr>
<td>Poels et al. 2013</td>
<td>7(4)/11 SCH</td>
<td>no sign. diff.</td>
<td></td>
</tr>
<tr>
<td>Study IX</td>
<td>18(18)/17 SCH</td>
<td>↓: DLPFC</td>
<td></td>
</tr>
</tbody>
</table>

SCZ=patients with schizophrenia or schizophreniform psychosis; DN=Drug Naive; HC=healthy control subjects; NNC=\([^{11}\text{C}]\)NNC112; SCH=\([^{11}\text{C}]\)SCH23390; PFC=prefrontal cortex; DLPFC=dorsolateral prefrontal cortex; CAU=caudate nucleus; PUT=putamen; CX=cortical regions; FC=frontal cortex; ACC=anterior cingulate cortex; TC=temporal cortex; STR=striatum; MPFC=medial prefrontal cortex; OFC=orbitofrontal cortex.

in chronic, medicated schizophrenia probands compared to controls. In contrast, higher levels were shown in monozygotic unaffected co-twins, i.e. those individuals at high genetic risk.

Of note, in studies where both drug naive and either medicated or drug free patients were examined, the former group has shown numerically lower D1R binding\textsuperscript{126,127,130,131}. This may be explained by a reduction in D1R due to antipsychotic treatment as has been shown in experimental studies of non-human primates (NHPs)\textsuperscript{133,134} (although see Knable et al. 1996\textsuperscript{135}), or in the case of ongoing medication, direct D1-R occupancy\textsuperscript{7,132}. To avoid this confounding factor, future investigations of differences in the availability of the D1R in schizophrenia need to focus on the early stages of the illness, before antipsychotic treatment, or changes prior to its onset which lead certain individuals to be more prone to psychosis than others.

Though all of the PET studies of the D1R in schizophrenia patients have been conducted with small sample sizes, and therefore with low statistical power, a tentative interpretation of the results is that drug-naive patients with psychosis disorders, and potentially also unmedicated individuals at high genetic risk for schizophrenia, show higher D1R binding in frontal cortex\textsuperscript{136}.
1.5.3 Immune activation and TSPO in schizophrenia

In addition to the dopamine system, the association of schizophrenia with immune function also has a long history starting as early as the 1930s. Findings of elevated schizophrenia risk for people born in the winter months led to suggestions that the disease may be a result of immunological disturbances following prenatal viral exposure. Evidence for a role for the immune system in the pathophysiology of schizophrenia has since accumulated from the fields of genetics, epidemiology and immunological research. Although the involvement of the dopamine system in schizophrenia has been clearly demonstrated, the underlying mechanism by which dopaminergic dysfunction might occur has been suggested to possibly lie in disturbances of the glutamatergic system caused by immune function. In this case, immunological changes may precipitate the dopamine dysfunction thought to represent the “final common pathway” for schizophrenia.

Translocator protein (TSPO) is expressed throughout the body and brain, but importantly is expressed in glial cells, including microglia within the brain. Active brain disease causes the activation of microglia, and this change in state is associated with de novo expression of TSPO. For this reason, quantification of TSPO within the brain is thought to provide an index of microglial activation, or neuroinflammation. PET imaging using tracers which bind to TSPO is currently the most established measure of in vivo neuroinflammation.

PET tracers for quantification of TSPO are divided into first-generation (i.e. $^{11}$C-(R)-PK11195) and second-generation tracers. $^{11}$C-(R)-PK11195 exhibits a low signal-to-noise ratio due to its high lipophilicity and hence high nonspecific binding, as well as poor test-retest reliability, however it remains the most commonly used PET TSPO tracer. Concerns regarding the low signal-to-noise ratio of this tracer led to the development of second-generation TSPO tracers, including $^{11}$C-PBR28, $^{11}$C-DPA-713, $^{11}$C-ER176 and $^{18}$F-FEPPA among others, which exhibit higher signal to background (i.e. BP$_{ND}$) ratios, as well as higher reliability.

There have been numerous studies attempting to measure in vivo neuroinflammation in schizophrenia using PET. The first studies made use of the $^{11}$C-PK11195, indicating increases in patients compared to controls. Following the introduction of second-generation TSPO radiotracers, there was a diversification of results. Later studies using $^{11}$C-PK11195 with larger samples showed no differences, or higher levels only in medicated patients with no differences observed between healthy controls and antipsychotic-free patients. Studies using second-generation TSPO tracers, including $^{11}$C-DAA1106, $^{11}$C-PBR28, $^{11}$C-DPA-713 and $^{18}$F-FEPPA, observed no differences, increases as well as decreases.
1.5.4 Delusional Ideation and Self-Transcendence

As described earlier, hallucinations and delusions are central symptoms of schizophrenia. While psychotic patients have more of these experiences than healthy populations do, there is a wide distribution of the number of delusional beliefs\textsuperscript{171,172} and anomalous perceptions\textsuperscript{173} held by healthy populations. These experiences in healthy populations exhibit various properties which suggest that they may be related to the same experiences in patients: delusional beliefs and anomalous perceptions appear to co-vary to a large extent\textsuperscript{173}, there are more of these beliefs held by relatives of schizophrenia patients\textsuperscript{174}, and these subclinical symptoms may constitute an important risk factor for later psychosis\textsuperscript{175,176}. This provides evidence both for the validity, and of the relevance, of these behavioural dimensions for understanding psychosis itself.

Just because symptoms associated with psychosis are continuously distributed within the general population, this does not necessarily imply that psychosis itself is not qualitatively different from normal experience\textsuperscript{177}. This necessitates careful definition of what exactly is being referred to, and what exactly it is that lies along the continuum. It has been suggested that psychotic experiences should be separated from true subclinical psychotic symptoms (associated with distress and help-seeking), but even psychotic experiences have an estimated prevalence of less than 10\%\textsuperscript{176,178}: these symptoms are still described as being ‘clinically relevant’\textsuperscript{178}.

The types of beliefs and experiences measured by the PDI\textsuperscript{171,172} and CAPS\textsuperscript{173} scales respectively, are comparatively much more common, and for the most part are primarily not of clinical relevance unless present in large numbers or associated with significant distress. Rather, these scales are considered an index of delusional ideation and anomalous perceptions as manifestations of a latent schizotypy which may predispose one to developing psychosis. These measures do not represent gradations of disease, and high scores do not deterministically produce the disease. Rather, an individual with a very high score on these scales may never develop psychosis, but may have been more ‘prone’ to develop psychosis than another individual who, through environmental exposure, \textit{does} go on to develop psychosis. While there exists considerable disagreement about what schizotypy truly represents\textsuperscript{179}, this view is consistent with a version of schizotypy which accepts a continuum among the general population, but which posits that development of a psychotic episode itself is not attributable simply to an extreme number or strength of this trait in and of itself.

As such, these scales are thought to be of utility for understanding the continuum of psychological and/or biological processes which may underlie these symptoms in psychosis, and have been shown to be related to various other behavioural models of psychotic
symptoms\textsuperscript{180–182}.

The Temperament and Character Inventory (TCI) is a model of the structure and development of personality, and one of its subscales is the Self-Transcendence scale\textsuperscript{183}. This scale was created to refer to the degree to which an individual feels part of nature and the universe at large, as well as extraordinary experiences: it is a measure of creativity and spirituality\textsuperscript{183,184}. These extraordinary experiences, however, include experiences such as extra-sensory perception, which bears more than a passing resemblance to items from the PDI questionnaire. Indeed, it was later found that scores on this scale were in fact associated with proneness to developing psychosis as well as psychotic phenotypes\textsuperscript{185–190}. This can be taken both to lend more evidence for this theory of delusional ideation as psychosis proneness, but also to suggest that this scale may, in addition to the PDI, be of relevance for understanding this trait.
Chapter 2

Aims

The central aims of this thesis can be conceptualised in terms of proximal and distal aims.

Proximal aims

The proximal aims of this thesis are focused on advancing the ability of PET research to answer applied clinical research questions in a manner which is as robust as possible as follows:

I **Reliability**: Measure and evaluate the reliability of outcome measures for answering clinical research questions, and determine the influence of various image analytic and methodological factors on the reliability of these measures.

II **Replicability**: Evaluate the correctness of published and preliminary scientific results by replication.

III **Reproducibility**: Conduct research in as robust and transparent a manner as possible to allow others to better evaluate claims, reduce the potential for errors, and increase the ease and speed of transmission of methods and tools.

Distal aims

The distal aim of this thesis is the study and characterisation of the disturbances underlying, and leading to the development of, schizophrenia. We examine the following:

I **Dopamine system**: Evaluate the hypothesis for changes in the brain dopamine system, and the D1 receptor in particular, associated with schizophrenia and proneness for
developing schizophrenia, using PET.

II Immune system: Evaluate the hypothesis that schizophrenia is associated with upregulation of the immune function in the brain, using PET.
Chapter 3

Materials and Methods

This chapter provides a general description of the methods used throughout the following thesis.

3.1 Participants

All studies involving collection of PET data from human participants were approved by the Regional Ethics and Radiation Safety Committee of the Karolinska Hospital, and all subjects included in the studies provided written informed consent prior to their participation. The thesis also includes data which was collected in other PET centres in the United Kingdom, the United States of America and in Canada, however these data consisted only of outcome measures from already-published studies to answer the same research question as had originally been posed, and thereby did not require additional ethical approval or consent.

Studies I and II: The participants included in these studies consisted of sixteen healthy control subjects, each of whom were examined twice with $[^{11}\text{C}]$SCH23390. All participants underwent a screening procedure and were deemed to be healthy with no history of significant psychiatric or somatic illness. Participants were aged between 21.8 and 35.0 years, and all were male.

Study III: The participants included in this study consisted of twelve healthy control subjects, each of whom were examined twice with $[^{11}\text{C}]$PBR28. The study consisted of six medium-affinity binders (MABs) and six high-affinity binders (HABs)$^{191-193}$. The mean age of participants was 23.9 (SD 2.99), and the sample consisted of six males and six females. These individuals are the same as those included in a previous test-retest study with this tracer$^{158}$. 

47
Study IV: The participants included in this study consisted of healthy control subjects, of whom 56 individuals aged between 22.0 and 55.4 years old were examined with $^{[11C]}$WAY-100635, and 40 individuals aged between 21.9 and 55.4 years old were measured using $^{[11C]}$MADAM. These individuals consisted of healthy male control subjects from previous studies conducted within the group using the same PET system and the same measurement and reconstruction protocols$^{194–201}$.

Study V: This study did not make use of any new participants, and instead consisted of an analysis of summary statistics from several previously published studies$^{20,158,202,203}$.

Study VI: The participants included in this study are the same as those included in Study IV who underwent PET measurements using $^{[11C]}$WAY-100635 who had also completed the Temperament and Character Inventory (TCI) scale. The final sample consisted of 50 healthy male participants in the same age range as for Study IV.

Study VII: This study was a meta-analysis of published findings using individual participant data (IPD). Research groups who had published studies comparing TSPO levels in schizophrenia patients with controls using arterial blood sampling and using second-generation TSPO PET tracers, were asked to provide $V_T$ values quantified using the two-tissue compartment model$^{166–170}$. The final sample consisted of 77 healthy controls (35 female, 56 HABs) and 75 patients with psychosis or schizophrenia (24 female, 52 HABs) aged 33.9 ± 12.6 and 35.4 ± 15.1 (mean ± SD) respectively.

Study VIII: This study consisted of four substudies conducted using data from three different cohorts of subjects. A first cohort consisted of 132 subjects (72 female) aged between 22 and 76 who had completed psychometric questionnaires. The second cohort consisted of a cohort of individuals who were each measured once with PET and $^{[11C]}$SCH23390. Of these individuals, 27 (8 female), aged between 23 and 76, completed psychometric questionnaires at the time of PET. Of the same cohort, those individuals who were male and between the ages of 20 and 35 at the time of PET, were contacted by letter and asked to complete an additional psychometric questionnaire online. This sample consisted of 41 participants, who completed the questionnaires between 4.8 and 12.7 years after their PET studies. An third cohort was collected consisting of 20 healthy male participants aged between 22 and 35, and each measured at least once with $^{[11C]}$SCH23390 and who completed psychometric questionnaires.

Study IX: The participants included in this study consisted of 17 healthy control subjects and 18 first-episode drug-naive psychosis patients, each of whom were measured with PET and $^{[11C]}$SCH23390. Participants were aged between 22 and 52 years.
3.2 Magnetic Resonance Imaging Procedures

All participants in all studies underwent MRI measurements to describe the underlying brain anatomy. In all studies but Study IX, T1-weighted MRI images were acquired for all individuals for delineation of anatomical regions of interest. T2-weighted images were acquired for all individuals who underwent PET at Karolinska Instituret and examined for structural pathology. In Study IX, only T2-weighted images were acquired for some individuals, which were used for anatomical delineation.

Three different MRI systems were used for PET measurements conducted at the Karolinska Hospital. These include the 1.5T Siemens Magnetom Avanto system (Erlangen, Germany) (Studies I, II, XIII), the 1.5T GE Signa system (Milwaukee, WI) (Studies IV, VI, IX), and the 3-T General Electric Discovery MR750 system (GE, Milwaukee, WI) (Study III).

3.2.1 Definition of regions of interest

In the thesis, regions of interest (ROIs) were defined using manual, automated and semi-automated methods. Manual ROI delineation was performed using in-house software\textsuperscript{204} in which ROIs are drawn on subsequent slices of the anatomical MR image. Our primary method for automated delineation of ROIs was FreeSurfer (5.0.0, http://surfer.nmr.mgh.harvard.edu/)\textsuperscript{205–208}, which defines ROIs in the space of each individual MR. Our secondary method for automated ROI delineation was the use of volumetric normalisation methods. This involves normalising each individual brain to MNI (Montreal Neurological Institute) space, and saving the warping parameters. Subsequently, these warping parameters can be inverted and used to warp ROIs defined in MNI spatial coordinates back to individual space. For the reference region in particular, we aimed not to have the most anatomically correct region, but rather the specific areas of the anatomical reference region (in this case the cerebellum) with the best properties for quantification. We therefore made use of a customisation of the defined region which would exclude regions of the reference region which were in close proximity to either the CSF or to higher-binding regions. Semi-automated methods for ROI delineation involved the approximate delineation of ROIs, and using the image itself to refine the delineation by selecting the voxels with the highest intensity\textsuperscript{209}.
3.3 Positron Emission Tomography Procedures

3.3.1 Radioligands and Targets

$^{[11C]}$SCH23390$^{210}$ was used in studies I, II, VIII and VI to measure the dopamine D1 receptor. This receptor is highly concentrated in the striatum, lower in cortical regions, and negligible in the cerebellum$^{122}$. $^{[11C]}$SCH23390 binds primarily to the dopamine D1 receptor, although 5-HT$_{2A}$ receptor binding represents a non-negligible proportion of the specific binding (estimated to be approximately a quarter) in cortical regions$^{211}$.

$^{[11C]}$PBR28$^{212}$ was used in studies III and VII. This is a second-generation radiotracer for the 18kDa translocator protein (TSPO). This target was initially described as a peripheral benzodiazepine receptor, but it has since been shown to be expressed throughout the body and brain$^{145}$. Importantly, it is expressed in glial cells, including microglia. Active brain disease causes the activation of microglia, and this change in state has been associated with de novo expression of TSPO$^{146,147}$. For this reason, PET tracers binding to TSPO are used as an estimate of microglial activation, or neuroinflammation$^{150}$. This radiotracer binds throughout the brain, meaning that there is no suitable reference region$^{213}$. Comparison of individuals assessed using this tracer is complicated by the fact that the binding affinity is dependent on a polymorphism in the gene encoding the protein$^{191–193}$ which is not thought to affect the biological function of TSPO. However, this results in differences in binding estimates: individuals are thus described as high-affinity binders (HABs, high-affinity homozygotes), medium-affinity binders (MABs, heterozygotes) and low-affinity binders (LABs, low-affinity homozygotes). These differences must be accounted for during statistical analysis.

$^{[11C]}$WAY-100635$^{214}$ was used in studies IV and VI. This is a radiotracer for the serotonin 1A (5-HT$_{1A}$) receptor, which is highly concentrated in the hippocampus and neocortex, and which is expressed in very low quantities within the basal nuclei. Binding in the cerebellum is low in most individuals, but exceptions have been noted, thereby complicating its use as a reference region for quantification$^{215,216}$.

$^{[11C]}$MADAM$^{217}$ is a radiotracer for the serotonin transporter (5-HTT), and was used in Study IV. This tracer exhibits high binding in the striatum (especially the putamen), thalamus and brainstem, moderate binding in the cingulate cortex and limbic cortex, low binding in the neocortex, and very low to negligible binding in the cerebellar cortex$^{196}$.
3.3.2 Image Acquisition and Reconstruction

PET measurements which took place at the Karolinska Hospital (i.e. excluding Study VII) were performed using two PET systems, namely the ECAT Exact HR 47 (CTI/Siemens, Knoxville, TN), and the High Resolution Research Tomograph (HRRT) (Siemens Molecular Imaging). These will henceforth be referred to as the HR and HRRT PET systems.

For PET images acquired using the HR, spatial resolution ranges from 3.6 mm full width half maximum (FWHM) at the centre of the field to 4.5 mm tangentially and 7.4 mm radially at 20 cm from the centre\textsuperscript{218}. Prior to each PET measurement, transmission scans were performed using three rotating 68Ge rods in order to correct for signal attenuation. For all studies except for Study IX, the camera was run in 3D mode. For Study IX, acquisition was performed in 2D for most measurements. Data were reconstructed using filtered backprojection using a Hann filter with a 2mm cutoff frequency.

For PET images acquired using the HRRT, spatial resolution ranges between 2.5mm FWHM at the centre of the field, to 3.5 mm at 14 cm from the centre\textsuperscript{219}. Prior to each PET measurement, transmission scans were performed using a single $^{137}$Cs source. Measurements were acquired in 3D. Reconstruction was performed using the 3D-OP-OSEM reconstruction with 16 subsets and 10 iterations.

3.3.3 Arterial Blood Sampling

Arterial blood sampling was performed for the PET examinations in Study III. During the first minutes of the acquisition, blood samples were acquired continuously using an automated blood sampling system (Allogg AB, Sweden). Manual blood samples of 1-3 ml were also acquired throughout the acquisition. Radioactivity was measured using a well counter cross-calibrated with the PET system. Plasma samples were obtained following centrifugation of the blood. Plasma radioactivity was measured using the same well counter, from which plasma-to-blood ratios could be derived. The plasma parent fraction, i.e. the fraction of the unmetabolised tracer compound in the plasma, was assessed using high performance liquid chromatography.

For obtaining metabolite-corrected arterial plasma input function curves, we first performed dispersion correction on the blood measurements obtained using the automated blood sampling system, and then derived blood curves using linear interpolation of the blood radioactivity using both automated as well as manual samples. Linear interpolation was applied to measured plasma-to-blood ratios, and this ratio was multiplied by the blood curve to obtain an estimate of plasma radioactivity. Linear interpolation was applied to measurements of the plasma parent
fraction, which were multiplied by the plasma concentrations to obtain metabolite-corrected arterial plasma input function curves.

### 3.3.4 Kinetic Modelling and Quantification

In the majority of the quantification performed within this thesis, we made use of the simplified reference tissue model (SRTM)\(^{42}\). This model makes use of nonlinear least squares estimation to derive estimates of three parameters: \(R_1\) (the relative target to reference rate of delivery, i.e. \(\frac{K_1}{K'_1}\)), \(k_2\) (the efflux rate constant), and \(BP_{ND}\). This model is based on four assumptions, namely that 1. the reference region has no specific binding, 2. the kinetic behaviour of the tracer can be represented by a one tissue compartment model (1TCM) in both the target and reference regions, 3. the blood volume for both the target and reference regions is negligible, and 4. that both the target and reference regions have the same \(V_{ND}\). SRTM is described by the following equation.

\[
C_T(t) = R_1C_R(t) + (k_2 - \frac{R_1k_2}{1 + BP_{ND}^{\text{target}}})C_R(t) \otimes e^{-\frac{k_2}{1 + BP_{ND}^{\text{target}}}}
\]

In studies for which parametric imaging was used, we made use of the 3D stationary wavelet-transform aided parametric imaging (WAPI)\(^{220,221}\) employing the non-invasive Logan plot fitted with a multilinear regression\(^{222}\). This model is fitted using ordinary least squares, and requires a fixed value of \(k'_{2}\) (which we define as 0.1 by default), as well as a specification of the \(t^*\) (the point in time at which the fitted line becomes linear). It yields two parameters, namely \(BP_{ND}\) and \(b\), the latter of which can be discarded. This model is described by the following equation.

\[
\int_0^t C_T(\tau)d\tau = DVR \left( \int_0^t C_R(\tau)d\tau + \frac{C_R(t)}{k_2} \right) + bC_T(t)
\]

We made use of the two-tissue compartment model (2TCM) using metabolite-corrected arterial plasma (AIF) for quantification of \([^{11}\text{C}]\text{PBR28}\). This model makes use of nonlinear least squares estimation to derive estimates of the rate constants \(K_1\), \(k_2\), \(k_3\) and \(k_4\), from which \(V_T\) is calculated using the following equation: \(V_T = \frac{K_1}{k_2}(1 + \frac{k_3}{k_4})\).

We also made use of several semi-quantitative estimates of uptake. These included the standardised uptake value, described as \(SUV(t) = \frac{C_{\text{img}}(t)}{IR/BW}\), where \(C_{\text{img}}\) is the radioactivity concentration in the image for a particular time frame, \(IR\) is the injected dose of radioactivity,
and BW is the bodyweight. This measure is therefore an index of the tracer uptake relative to the injected dose per unit body mass. This measure is usually calculated as the AUC for a particular time interval. We also employed ratio methods: the SUV ratio (SUVR) and the distribution volume ratio (DVR), which are ratios of SUV and $V_T$ values in the target compared to a reference region.

### 3.4 Questionnaires

In studies VI and VIII, we make use of questionnaires. In Study VIII, we made use of the 21-item version of Peters Delusion Inventory (PDI)$^{171,172}$. In both studies, we made use of the Temperament and Character Inventory (TCI)$^{183}$, translated into Swedish$^{223}$. In Study VI, we made use of the self-transcendence scale and its spiritual acceptance subscale. In Study VIII, we made use of the same scale, but selected only a subset of items to define an instrument for the measurement of delusional ideation specifically, rather than self-transcendence. We performed psychometric validation of these items, and derived a novel scale for this construct which showed acceptable reliability and convergent validity.

### 3.5 Statistical Analysis

In studies II, IV, VI, VIII and IX, we made use of various classic forms of linear regression including correlations, multiple linear regression, t-tests and two-way ANOVA, using p values to draw inferences. Additionally in Study I, we made use of non-parametric Mann-Whitney U Tests since normality of residuals could not be assumed. In the exploratory analysis of Study XIII, we performed a multiverse analysis$^{224}$, since we could not define a favoured analysis method a priori. This means that the results were transparently presented following each potential analysis decision, i.e. along each path of the “garden of forking paths”.

We also used principal components analysis (PCA) in Study III for dimension reduction in order to examine the correlational structure of the data. Distributions were represented using histograms, kernel density estimation displayed in density plots and violin plots. Two-dimensional kernel density estimations were also represented by colour in scatter plots in Study I.
3.5.1 Bayesian Analysis

We used Bayesian methods to perform both hypothesis testing (Studies VI-IX) as well as parameter estimation (Study VIII). Bayesian model fitting was performed both using analytical solutions using *JASP*, as well as using Markov Chain Monte Carlo (MCMC) using the Gibbs sampler *JAGS*, as well as the Hamiltonian Monte Carlo sampler *STAN* (the latter performed using the *brms* interface) called from *R*. In studies making use of Bayesian hypothesis testing, we made use of Bayes Factors (BFs), comparing the average likelihood of the alternative hypothesis to the (nested) null hypothesis, using the Savage-Dickey ratio.

We made use of both regularising and informative priors. Regularising priors are centred at zero and decrease in probability with more extreme values. We made use of normal distributions, for which the standard deviation is equal to the size of the tested effect: this means that 68% of the prior probability density is allocated to effect sizes smaller than the tested effect size, and only 5% of the probability density to effect sizes more than twice as large, based on the recommendation of Dienes (2014).

Informative priors were defined for accounting for the effects of confounders, and specifically the effects of age. In most studies in this thesis, age is expected to have a non-negligible effect on various outcome parameters. However, in the examined data, neither the age ranges nor the sample sizes are large, which might have allowed us to accurately assess the effects of age. Rather, we can see that there appears to be an effect, but deriving an accurate estimation of its magnitude based on our data is not possible. The usual solution would be to add age to the regression model and hope that it is relatively accurately estimated. However, using Bayesian modelling, we can define an informative prior over the expected degree to which age is expected to affect the measured values, and then update this estimate based on our data. This both corrects for the effects of age, as well as constrains this correction to reasonable values of the relationship based on previous studies using larger samples and wider age ranges.

Informative priors were also used in this thesis in the studies which involved a replication, or more specially, made use of replication BFs. These tests evaluate the success of a replication attempt by defining the results of an initial study as a posterior distribution. This posterior distribution is utilised as a prior for the parameter of interest in the replication attempt, and the BF is calculated using a Savage-Dickey ratio. In so doing, the replication BF compares a skeptic’s null hypothesis with the proponent’s initial results, including their uncertainty. The replication BF can broadly be interpreted as a measure of how much support there is in data for a successful replication relative to a failed replication. Alternatively, it can be formulated as the relative likelihood of the new data originating under each of the two
hypotheses, or as the relative change in the likelihood of the estimated effect being equal to 0 before, and after, having observed the data from the replication study.

Linear mixed effects modelling (multilevel modelling) was employed in the meta-analysis. Such models allow for the specification of a hierarchical structure of data to exploit similarities between data belonging to different clusters, thereby leading to improved estimates and predictions\textsuperscript{235}. Multilevel modelling has been applied in numerous fields of research, and has been argued to be a more sensible default method by which statistical inference should be performed in general\textsuperscript{236}.
Chapter 4

Results and Discussion

In this section, I will outline the relevant results and conclusions from each study, describe how the themes are represented by each study, and follow this with a transparency statement for certain studies.

4.1 Study I: Reliability of volumetric and surface-based normalisation and smoothing techniques

In neuroimaging, the conventional approach for parametric analysis is to apply normalisation and smoothing procedures in three dimensions. However, due to the high surface-area-to-volume ratio in the cortex, volumetric (three-dimensional) methods entail that values originating in voxels belonging to different tissue types are averaged together. Surface-based (two-dimensional) methods were proposed as an alternative approach which would be expected to minimise this issue for cortical regions\(^{39}\), and it was subsequently shown that this method greatly reduced intersubject variance and bias in PET data acquired using an HRRT PET system using \[^{11}\text{C}]\text{SB207145}\(^{40}\).

In this study, we aimed to extend these findings to determine whether they were also reflective of improved test-retest repeatability and reliability. In the previous study, kinetic modelling was always performed after smoothing, however our group has shown excellent performance of a wavelet-based method for noise reduction (wavelet-transform aided parametric imaging, WAPI)\(^{220,221}\) which performs minimal smoothing while enhancing signal-to-noise ratio. We therefore also aimed to explore whether kinetic modelling performed before or after smoothing could rescue the performance of volumetric methods, or further improve the performance of
surface-based methods.

We found that surface-based methods produced higher $BP_{ND}$ values, with less dispersion (coefficient of variation, COV) and with less bias. For the comparison of test and retest outcomes, we showed that surface-based methods improved repeatability, and decreased measurement error (SEM). However, we found that surface-based methods exhibited lower reliability (Figure 4.1).

Due to the fact that reliability values appeared to show an advantage for volumetric methods in contrast to all other tested metrics, we examined this outcome in more detail. By comparing the reliability (ICC) with other outcomes at the level of individual voxels, we discovered that those voxels for which the ICC was highest for volumetric methods, were also the voxels with poor repeatability, high bias and negative $BP_{ND}$ (Figure 4.2). Of the voxels with ICC>0.75 using an 8mm volumetric smoothing kernel, 44% had an APD>90%, and 42% had $BP_{ND}$<0. Using the comparable surface-based smoothing kernel using dynamic data, only 13% had an APD>90%, and 1.3% had $BP_{ND}$<0. This means that the apparent improvement in reliability for volumetric methods is likely to be artefactual. Further, this means that volumetric normalisation and smoothing methods are inducing systematic differences between individuals, presumably as a result of subtle differences in anatomy.

We additionally showed that the use of WAPI was not sufficient to cause volumetric methods to exhibit similar performance to surface-based methods. Further, for surface-based methods we showed that with a small degree of smoothing, modelling $BP_{ND}$ using WAPI prior to smoothing caused improvements over modelling $BP_{ND}$ after smoothing, but that this improvement disappeared and even reversed with larger smoothing kernels, suggesting that the less computationally-demanding surface-based smoothing method can be used for noise reduction instead of the more computationally expensive WAPI method, provided that the degree of smoothing is sufficient.

In conclusion, we show that surface-based methods for normalisation and smoothing appear not only to improve the spread and result in higher $BP_{ND}$ values as previously reported, but also improve test-retest performance. Although reliability appeared to be increased for volumetric methods, we showed that this reflected systematic bias in $BP_{ND}$. This bias, due to its non-stochastic nature, is likely to increase the possibility of false positives in applied studies, especially in studies of patient groups who are known to exhibit anatomical differences. These improvements in performance using surface-based methods were calculated to correspond with the need for a sample size of approximately half the size to detect a difference between groups of a given magnitude compared to using volumetric methods.
Figure 4.1: Comparison of voxel/vertex-wise test-retest value metrics for each method tested. Points in the centres of distributions represent medians. Values beyond the y axis limits have been truncated to be equal to the axis limit value in order to visualise the entire distribution of values.
A secondary conclusion from this study arose as a result of our having provided ROIs as a baseline comparison group, since ROI analysis is more common in PET imaging than parametric approaches. As expected, ROI analysis exhibited higher reliability and better repeatability, but the degree to which ROI analysis showed improvements over parametric analysis was unexpectedly large. We therefore recommend the use of surface-based methods for performing exploratory statistical parametric analysis of cortical regions, but only when the use of ROIs is not appropriate (i.e. when the expected effects may not be restricted to the anatomical boundaries of a ROI, and when no hypothesis can be made about their location).

Themes

Reliability

We used the ICC as a measure of reliability, as well as the related repeatability, to assess the performance of each method. Reliability was therefore the primary outcome measure for comparison of the performance of the different techniques.

Replicability

In this study, we performed a replication of the results of Greve et al. (2014)\textsuperscript{40}, using a different PET camera, a different PET tracer, a different kinetic model, as well as a different protocol.
for the volumetric methods (which we believed might improve the performance of volumetric methods relative to surface-based methods). We successfully replicated the previous findings, despite the differences between the studies, suggesting that these previous findings are not only replicable, but also generalisable (as described in Figure 1.9)\textsuperscript{100}.

Reproducibility

This study constituted a valuable learning experience in reproducibility, as the processing pipeline required switching several times between the use of R and MATLAB, but these two were never integrated. However, after reviewers requested that we analyse the whole cortex as opposed to just the frontal cortex as we had originally, this could be achieved in a matter of days, as all steps following definition of the ROIs could be run from their scripts, and all graphs and figures were automatically created and added to the manuscript. This study was reproducible in parts, and this resulted in a great deal of time saved. The data and code were not, however, shared.

4.2 Study II: Reliability of \([^{11}C]SCH23390\) binding using different image processing methods

There exist an very large number of different methods for image processing of neuroimaging data which both can be, and are, applied, which limits the generalisability of findings\textsuperscript{102,104}. In this study, we aimed to study whether the use of automated and manual ROI delineation methods, as well as frame-by-frame realignment for movement correction, altered the test-retest repeatability and reliability of \(BP_{ND}\) measured using \([^{11}C]SCH23390.\) Automated ROI delineation methods have the advantage of being less time-consuming to apply, and theoretically unbiased, while manual ROI delineation should theoretically be more accurate, but has the possibility of being biased. Frame-by-frame realignment has the advantage of reducing the influence of small movements during the PET measurement, however it does involve reslicing each frame of the PET measurement, which induces a small degree of smoothing. For this reason, with young, healthy individuals who do not move greatly, it is possible that the process of performing the realignment of frames could introduce more noise than the small movements themselves do.

We found that frame-by-frame realignment resulted in higher \(BP_{ND}\) values as well as improvements in repeatability and reliability. Delineation of target ROIs using automated delineation methods resulted in lower \(BP_{ND}\) due to the ROIs being larger in size, however automated delineation of target regions also resulted in slightly higher reliability in all
regions, as well as lower COV. Furthermore, ROI sizes showed lower COV, indicating greater consistency. Automated delineation of the reference region resulted in improvements in repeatability and reliability, as well as slightly larger ROI volumes. Importantly, the delineation of the reference region was performed not according to anatomical guidelines, but rather with the best properties for quantification (see Definition of regions of interest within the Materials and Methods chapter). All comparisons of reliability showed inter-regional consistency of effects, but none were significantly different due to the large confidence intervals around ICC estimates.

Similarity in ROI delineations was examined using the Jaccard index, defined as follows:

\[ J(A, B) = \frac{|A \cap B|}{|A \cup B|} \]

In other words, the Jaccard index is equal to the volume where A and B intersect (i.e. the number of voxels which belong to both A and B), divided by their union (i.e. the number of voxels which belong to either A or B or both). Jaccard indices were high in the striatum, however they were low in the cortical regions as well as in the cerebellum. The differences within the cortex are explained by the fact that there is less agreement between exact definitions of what constitutes the dorsolateral prefrontal cortex for example. The differences in the cerebellum came about as a result of the automated method selecting more voxels within the lateral extent of the cerebellum, and the manual delineation coming close to the occipital cortex.

In conclusion, frame-by-frame realignment is important even in healthy control subjects, and we recommend its routine application to all measurements in the absence of movement correction prior to reconstruction. We further show that automated delineation shows greater consistency of its delineation, does not decrease the reliability or repeatability, and may indeed even improve them, and can be reasonably be relied upon to be less biased compared to manual ROI delineation. In combination with the fact that automated delineation requires substantially less researcher time, this method can be routinely applied instead of manual delineation. However, for studies in which there are expected to be gross morphological changes, the accuracy of automated delineation compared to manual delineation should be evaluated prior to its application. Choice of delineation of the reference region improved test-retest performance, presumably because of its caution by design: that it avoided regions of the brain which might affect reliable quantification by wide margins. This represents a promising approach for delineation of reference regions.
Themes

Reliability

We used reliability and repeatability to assess the performance of each method.

Replicability

In this study, we examined the test-retest reliability of $^{[11C]}$SCH23390, which has been examined before\textsuperscript{237,238} in other studies, with slightly different image processing procedures, as well as different PET systems. We were able to obtain outcomes in a similar range to those of Hirvonen et al. (2001)\textsuperscript{237}. Kaller et al. (2017)\textsuperscript{238} observed much higher reliability in all regions, however the reason for this discrepancy appears to be the wide age range of individuals included in this study. This increases the between-subject variation, and thereby inflates the ICC values. Age can be incorporated as a regressor into ICC calculations, and in the case of having a standard deviation of age of over 10 years, probably should have been. In conclusion, however, in this study, I would consider our result to successfully replicate previous observations.

Reproducibility

This study, and the reprocessing of this data, resulted in the creation of tools for reproducible extraction and test-retest analysis which are currently publicly available in the KI PET Tools (https://github.com/mathesong/kipettools) and the relfeas (https://github.com/mathesong/relfeas) R packages respectively. This meant that all processing of data after image analysis could be performed reproducibly through subsequent iterations, although the raw data was not shared.

4.3 Study III: Reliability and validity of simplified ratio-based methods of quantification for $^{[11C]}$PBR28

Due to the fact that there is no reference region of the brain which is devoid of the translocator protein (TSPO), kinetic modelling using the metabolite-corrected plasma as the input function is considered to be the gold standard for $^{[11C]}$PBR28. $V_T$ is the most commonly reported outcome measure from this approach, however this outcome exhibits a large degree of inter-
well as intra-subject variability. This limits its sensitivity for the detection of effects in applied studies. Furthermore, collection of arterial plasma data is expensive, difficult or can even not be possible in some centres, or for some patient groups. For this reason, there is a need for methods of quantification of \[^{11}\text{C}]\text{PBR28}\) which do not require arterial sampling. These two considerations have led some groups to propose, as well as to use, ratio-based methods for quantification, i.e. the distribution volume ratio (DVR, \(\frac{V_{\text{target}}}{V_{\text{ref}}}\)) or the standardised uptake value ratio (SUVR, \(\frac{\int_{\text{SUV}_{\text{target}}} \text{SUV}_{\text{ref}}}{\int_{\text{SUV}_{\text{ref}}}}\))\(^{169,239,240}\). These methods result in large reductions in both inter- as well as intra-subject variability. In this study, we aimed to assess the extent to which these ratio-based methods were reliable and associated with \(V_T\).

We focused on the frontal cortex as a representative target region (and exhibited correlations greater than \(r=0.9\) with all other regions for both \(V_T\) and SUV). We showed high reliability for \(V_T\) and moderate to high reliability for SUVs in both high- and medium-affinity binders (HABs and MABs). For ratio-based methods, we used two denominator regions: the whole brain and the cerebellum. The reliability of SUVR was found to be moderate (\(\approx 0.75\) on average), while the reliability of DVR was poor (\(\approx 0.5\) on average). SUVs were found to be fairly highly correlated with \(V_T\) (HAB \(R^2=0.64\); MAB \(R^2=0.86\)). However, DVRs and SUVRs showed little to no association with \(V_T\) (all \(R^2 \leq 0.34\) divided by genotype), with half of the associations showing negative correlation coefficients.

We examined this association further by comparing \(V_T\) and SUV values between ROIs to examine the correlational structure of the data. All regions were highly correlated (all \(V_T\) R\(>0.95\), all SUV R\(>0.92\)). This suggests that ROIs are highly associated with one another on a pairwise level, but does not answer whether there is extra remaining information in the data after taking the main component of variation out. We therefore performed principal component analysis (PCA), a multivariate dimension reduction technique, to assess how much of the variance was remaining in the data after removing the first major dimension of variance. Using all six ROIs, the first component of the PCAs explained 98.7 and 99.4% of the total variability for PET1 and PET2 respectively. This suggests that almost all variation in all of the ROIs is explained by a single dimension of variance, and that almost nothing is left afterwards. Furthermore, from the test-retest analysis, this amount of variance is within the margin of test-retest error. This suggests that little to no biologically relevant signal likely remains after dividing the signal originating in one region with another.

We conclude that even if \(V_T\) is not a perfect outcome measure, if it is even at least moderately associated with TSPO levels in the brains of healthy control subjects, then the validity of ratio-based methods must be called into question. The poor reliability of the DVR provides further evidence that most of this signal is attributable to noise. In fact, even if the DVR were
to be shown to be a valid measure of TSPO binding, the poor reliability of this measure implies that its utility would be questionable for comparing individuals. Lastly, we show why its reliability is so poor: almost all signal across all six ROIs, including the denominator regions, is attributable to a single dimension of variance with almost no residual signal remaining which might be explained by anything else. This provides a reasonable explanation for why the outcomes of ratio-based quantification methods can primarily be attributable to noise. For data for which blood data is not available, we recommend that the SUV (and not the SUVR) might constitute a reasonably valid compromise outcome measure due to its high association with $V_T$ as well as its reliability. However, the use of SUVs relies on the assumption of no differences in radioligand delivery between groups, which cannot be safely assumed in patient samples.

This study was limited by the fact that it was conducted in a sample of young, healthy individuals. For this reason, no regionally-specific alterations in TSPO availability are expected. The use of SUVRs and DVRs can certainly describe large, localised effects such as the incidental

Figure 4.3: Associations between frontal cortex $V_T$ and ratio-based outcomes, using the whole brain and cerebellum as denominator regions. Dotted lines indicate repeated measurements.
Figure 4.4: Interregional correlations of PBR28 $V_T$ and SUV. Values represent Pearson’s correlation coefficients. Ellipses designate the magnitude and the direction of the correlation values.
finding observed in Kreisl et al. (2009)\textsuperscript{241}. For other conditions for which the effects cannot
be assumed to be so large, or be assumed to be so local, significant equivalence should be
demonstrated for the denominator regions which has not yet, to our knowledge, been shown
in any study utilising these measures. Another concern is that of the reliability of the DVR:
even if equivalence can be shown for the reference region, the results of this analysis suggest
that the reliability of the DVR is insufficient for its application to answer clinical questions
with small effects. However, the utility of DVRs increases with increased effect sizes. This was
one of the primary motivations which led to Study V, where this question will be covered in
greater detail.

Themes

Reliability

We used reliability and repeatability to assess the performance of each outcome measure.

Replicability

In this study, we examined the test-retest reliability of $^{11}\text{C}\text{PBR28}$ SUVs and SUVRs, which
have been previously examined\textsuperscript{240}. We obtained generally similar reliability despite our using
a different, but more appropriate, variant of the ICC\textsuperscript{94}. We also obtained generally similar
repeatability (measured using the absolute percentage difference) to those presented in the
erratum to this paper\textsuperscript{242}. However, while we concluded that SUVR was likely not a particularly
useful outcome measure, Nair et al. (2016)\textsuperscript{240} performed power calculations suggesting that as
few as 3 individuals would be needed in a longitudinal (i.e. pre-post) study to detect a 5%
change in SUVR, with 90% power. While this was reported as being indicative of SUVR being
a useful measure, what was not reported was that this 5% change represents a Cohen’s D
effect size of over 6 due to the extremely low degree of inter-subject variance after division by
the denominator region. For comparison, an effect size of 0.8 is estimated for the increases
in presynaptic dopamine function in schizophrenia which have been replicated many times\textsuperscript{21}. We therefore do not consider an effect of this size reasonable, and do not consider this power
analysis to be indicative of great utility for SUVRs.

Although not the main outcome of this study, another test-retest study of $^{11}\text{C}\text{PBR28}$ has
also been reported\textsuperscript{157}. While the outcomes of the present study were very similar to Collste et
al. (2016)\textsuperscript{158}, which is to be expected due to both studies using the same data, Park et al.
(2015)\textsuperscript{157} observed substantially better repeatability (i.e. lower APD, by approximately 50%).
One potential reason for this is that their mean Vₜ values are approximately 30% higher on average, likely due to their use of motion correction prior to reconstruction.

Reproducibility

This study was completed in a completely reproducible fashion, with all figures and tables generated programatically from the original time activity curve data. Linked and executable code and data in the form of a reproducible analysis report are shared online so that others may download the materials, run it for themselves to assess the robustness of our conclusions (https://github.com/mathesong/PBR28_RatioMethods).

4.4 Study IV: Diurnal and seasonal variation of the brain serotonin system

Circadian and seasonal changes in physiology are vital for the survival of animals and plants as they allow for physiological anticipation to the regular 24 hour day-night cycles of the earth. These changes take place in every cell, and are orchestrated in mammals by the master pacemaker, the suprachiasmatic nucleus (SCN). These rhythms are entrained to the environment primarily through natural rhythms of light exposure\(^\text{243}\). Circannual (seasonal) changes in physiology appear to have a circadian basis as a response to changes in day length\(^\text{244}\). Disturbances in chronobiology are thought to be involved not only in seasonal affective disorder\(^\text{245,246}\), but also in non-seasonal major depressive depression\(^\text{247,248}\). Furthermore, both of these disorders have been shown to respond to chronotherapeutic treatments\(^\text{249–251}\). The serotonin (5-HT) system has attracted significant interest both in circadian regulation, but also in understanding mood disorders, and 5-HT concentrations have been shown to exhibit circadian and seasonal variation in both animals\(^\text{252,253}\) and humans\(^\text{254,255}\). Even in plants, serotonin and melatonin exhibit both diurnal and seasonal rhythms as a function of the availability of solar energy\(^\text{256}\). Circadian and seasonal changes have also been observed in 5-HT\(_1\) receptor (5-HT\(_1\)R) and transporter (5-HTT) concentrations in animals\(^\text{257,258}\). Using PET imaging, seasonal changes in 5-HT\(_1\)R\(^\text{259}\) and 5-HTT\(^\text{260–262}\) have been shown in humans, but circadian changes have not been reported. In this study, we aimed to attempt to replicate previous findings of seasonal changes in these proteins, as well as extend these findings to examine diurnal changes.

We defined a multiple regression model incorporating age, season (i.e. daylength) and day course (i.e. the fraction of the photoperiod which had elapsed at the time of PET) and applied
this to data from three regions for each radioligand. For each radioligand, the ROIs included the dorsal raphe nucleus (DRN) as well as two other sets of regions which were based on results from previous papers ([11C]WAY100635: cortical and subcortical, and [11C]MADAM: striatal and extrastriatal). For [11C]WAY100635, we successfully replicated previous findings of increases in BP\(_{ND}\) in the summer months for both cortical and subcortical regions. Furthermore, we observed a positive correlation between BP\(_{ND}\) and elapsed day course. For [11C]MADAM, we could not replicate previous findings of lower binding in the summer months\(^{260,261}\) in any region, however we did observe a significant decrease with day course in the DRN.

This study was limited by the fact that it was cross-sectional, and based on old data, and we thereby did not have control over the distribution of times of measurement. The ideal experiment would involve performing PET imaging at various times across the day and night within the same individuals. However, this would also presumably require large samples as these effects do not appear to be large.

In conclusion, it is important to consider the potential influence of physiological processes such as circadian and circannual alterations on underlying biochemistry. These changes are important both from the perspective of examining differences between individuals in the 5-HT system as an experimental factor which should be considered, as well as for understanding the biological role of 5-HT itself in both healthy ciradian and seasonal physiology, as well in depressive disorders\(^{245–248,262}\).

**Themes**

**Reliability**

As discussed more in Study V, one of the assumptions made in assessing the reliability of an outcome is that the underlying levels will remain the same from test to retest. Diurnal variability in protein availability measured using PET has been observed for metabotropic glutamate receptor subtype 5\(^{263}\), and preliminary diurnal fluctuations have been reported for TSPO\(^{158}\). This poses an interesting edge case for the assessment of reliability in PET studies, for which another ICC formula might be appropriate which additionally takes systematic differences between the two measurements into account\(^{94}\).
**Replicability**

In this study, we successfully replicated the results of Spindelegger et al. (2011)\(^\text{259}\), however we were not able to replicate the seasonal changes in 5-HTT which had previously been shown\(^\text{260,261}\). McMahon et al. (2016)\(^\text{262}\) suggested that this may have been a result of our not having included the polymorphism in the serotonin-transporter-linked polymorphic region (5-HTTLPR) in the model. We also suggested that this may be a result of low power, as we only had access to a sample of 40 individuals for the \([^{11}\text{C}]\text{MADAM}\) analysis. It should be noted that another longitudinal study examining 17 seasonal affective disorder patients and 23 healthy controls with low seasonality in both the summer and winter, showed that patients had higher 5-HTT binding in the winter months compared to controls\(^\text{262}\). However this study also observed *lower* 5-HTT binding in the healthy control group in the winter months compared to the summer months, which is the opposite direction to previous findings. Future studies will be needed to shed light on the nature, size and direction of any such changes.

**Transparency Statement**

This study was conducted at an early stage of the PhD learning process. There are therefore several parts of the analysis which could have been conducted in a better manner now.

The plots in this paper make evident a significant issue of heteroskedasticity in the assessment of the effects of day course on \([^{11}\text{C}]\text{WAY100635}\) binding. The two individuals who appear to be influential outliers were in fact twins, suggesting that their low values are likely to be due to some other factor.

This analysis is only one from the garden of forking paths, and the other was not reported. We had initially included all ROIs separately, and had reported associations of \([^{11}\text{C}]\text{WAY100635}\) \(\text{BP}_{\text{ND}}\) with the number of hours elapsed since sunrise (i.e. not the fraction), and of \([^{11}\text{C}]\text{MADAM}\) with elapsed day course fraction. Based on reviewer comments about a problem of multiple comparisons, we combined ROIs into larger regions. Because we believed that the elapsed day course fraction was the more valid metric, we tested this first, and observed significant associations for both tracers, and we therefore did not proceed to test the hours elapsed since sunrise. However, as Gelman & Loken (2013)\(^\text{264}\) argues, the garden of forking paths can be a problem even when there is no p-value fishing expedition, since if there were to have been no result, then the expedition might have continued. It was likely only because the value was significant that we did not continue to probe the data.

Three \([^{11}\text{C}]\text{WAY100635}\) and one \([^{11}\text{C}]\text{MADAM}\) measurement were excluded from the analysis.
Two of the $^{[11]}\text{C}\text{WAY100635}$ measurements were excluded \textit{a priori}, however the remaining two measurements were excluded based on image artefacts which were only discovered due to the values for the DRN lying far from the regression line. This does not mean that the measurements were correct - they did contain image artefacts after all - but our having given greater scrutiny to those measurements which did not conform to our hypothesis can be considered to be a QRP.

A further issue is that of partial HARKing (Figure 1.7): in this study, we initially set out to examine seasonality and the relationship of 5-HT proteins with measures of light assessed using data from the Swedish Meteorological and Hydrological Institute. Examining circadian changes was initially a secondary aim. Over the course of the investigation, this aspect of the investigation grew more important, presumably as a result of its being more compelling based on the data, but also perhaps as I learnt more about the biological basis of seasonality. To separate these two after the fact is difficult due to hindsight bias.

4.5 Study V: We need to talk about reliability

Measuring and understanding the reliability of outcome measures is extremely important, as it allows us to gauge whether the accuracy of our data is capable of meaningfully distinguishing between individuals. Not accounting for the reliability of outcome measures increases the risk of type II errors, or can be considered to increase the risk of type M (magnitude) and type S (sign) errors\textsuperscript{265}. Reliability of outcome measures is associated with degree of attenuation of the expected effect size. For a technique such as PET, which is so expensive, it is vital to have an estimate of the expected effect size in order to make an assessment of the feasibility of a study to answer the specified research question with the given resources: failure to account for these factors can result in large wasted costs as well as the exposure of research participants to radioactivity unnecessarily. For PET imaging, reliability is typically assessed using test-retest studies with young, healthy control subjects. However, as described before, reliability is sensitive to the amount of variation in a sample, and this must be taken into consideration when assessing whether a specified outcome measure is likely to be useful for comparing individuals in a new sample. For example, this issue limited our interpretation of the results of Study III, where we were unable to exclude the possibility that ratio-based outcome measures which showed poor reliability in our sample of young healthy controls, may indeed show good reliability in certain clinical samples. In this study, I describe a method for how to extrapolate an approximation of reliability for new, different samples based on the summary statistics of published papers, as well as step through five case studies to demonstrate
how these calculations can be performed, as well as how reliability can, and should, be used in the planning of new studies.

Because reliability is a function of the relative variance attributable to measurement error and true inter-individual variance (equation 1.8), the reliability can improved either by reducing the measurement error, or by increasing the amount of true inter-individual variation in the sample. As a result, using an ICC value calculated for a small, uniform, test-retest sample, a new ICC can be estimated for a new sample of individuals whose inter-individual variation is higher, by substituting the variation of the new sample into the equation, and assuming that the measurement error is constant between the studies. In fact, even if the measurement error is expected to increase or decrease in the new sample, the extent to which this is expected to occur can be approximated and incorporated into the expression (equation 4.1).

\[ ICC_{NewStudy} = 1 - \frac{(\rho \times \sigma_{TRT})^2}{\sigma_{NewStudy}^2} = 1 + \frac{\rho^2 \sigma_{TRT}^2 (1 - ICC_{TRT})}{\sigma_{NewStudy}^2} \]  

(4.1)

where \( \rho \) represents the error inflation factor, which is the multiplicative increase in expected measurement error in the new study (i.e. \( \rho = 1 \) assumes equal error between studies). Using this equation, we can thereby calculate the required standard deviation in a new sample which would be required to reach a suitable level of reliability. If we know the required standard deviation for this to be the case, we can calculated the size of the difference between the groups which would be required between two groups (in the case of a t-test) for such a standard deviation to be reached.

The relationship of effect sizes with the reliability of measurement can be described by the equation 4.2 (which is often called Nunnally’s equation\(^{266}\), although it has a much longer history\(^{267,268}\).

\[ r_{ObsA,ObsB} = r_{A,B} \times \sqrt{\text{reliability}_A \times \text{reliability}_B} \]  

(4.2)

This is demonstrated in Figure 4.5, which shows the attenuation of underlying effect sizes as a result of stochastic variability in the measured outcome described by the reliability. In the figure, I refer to the population effect size, which is the true effect size of the distributions from which the individuals are sampled from, and the sample effect size, which is the effect size obtained based on the sample obtained from the population.

Based on the instability of effect size estimates, as well as the degree to which individual measurements are not representative of underlying reality with low reliability values, Nunnally
Figure 4.5: Left: Measured values and their 95% confidence intervals are represented by the small points and error bars. Underlying true values are represented by the larger points. Low reliability within groups is increased for the total sample in a comparison, given a sufficiently large effect size, due to the larger variance of the combined sample. Right: True underlying effect sizes (Cohen’s D, left) are attenuated by measurement error (right). The population (Popn.) effect size (ES) and sample ES are of the underlying distributions from which the data are sampled and of the obtained sample respectively.

(1987) recommended a reliability of 0.7 as a default lowest acceptable standard of reliability for scales used in basic research, and that 0.8 should be seen as adequate. For applied settings in which important clinical decisions are made based on measured individual outcomes, he suggests a reliability of 0.9 as a minimum and 0.95 as adequate, since even with a reliability of 0.9, the standard error is almost a third the size of the standard deviation. These recommendations were made referring to internal consistency in psychometric measurements though, and not test-retest reliability. Traditional standards for test-retest reliability, on the other hand, have been more liberal, with Fleiss (1986) suggesting that ICC values between 0.4 and 0.75 could be considered good. These recommendations were made based on psychometric questionnaires, for which individuals can be expected to change their opinions over time. For PET imaging, this source of variation can, in most cases (although Study IV is a good example where this might not strictly be true), be considered to be negligible. For this reason, I argue that the recommendations of Portney & Watkins (2015) are more applicable to PET research due to their closer correspondence with those of Nunnally et al. (1978) defined for internal
I will briefly cover the results of the case study examples. In example 1, I demonstrate how a measure which showed unacceptable (0.32) test-retest reliability can be successfully applied in a sample with higher inter-individual variation, for which it exhibits an estimated reliability of 0.93. In example 2, I demonstrate how accounting for the (acceptable) reliability of two measures during power analysis can nearly double the number of participants needed in a study to test a specified relationship. This can be expected to render many studies incapable of answering their research question with the available resources. Furthermore, this can help researchers to avoid wasting resources, as these conclusions can be reached before even beginning to conduct the study. In example 3, I show how large, robust within-individual effects do not necessarily allow for the comparisons between individuals using these measures, and how this can be approximated using measures of reliability and standard deviations from summary statistics. In example 4, I show that high reliability does not imply sensitivity for small proportional effects, and how it is helpful to consider effect sizes rather than percentage changes. Finally, in example 5, I return to the question from Study III regarding how large the differences would be required to be to render the DVR an effective comparison measure. I show that, according to our data, for the DVR to reach the lowest acceptable reliability for basic research according to Nunnally (1978)\(^{95}\) (i.e. 0.7), effect sizes would be required to be between very large and huge\(^{269}\), and to reach acceptable reliability, would be required to be 25% larger than the huge guideline. For such large effects, the more easily interpretable, and much less controversial, outcome measure of \(V_T\) will be more than capable of distinguishing between groups, thereby rendering the DVR practically obsolete for between-groups comparisons.

An important divide between reliability and repeatability should be emphasised here: reliability is a measure of the ability of an outcome measure to distinguish between individuals, while repeatability is a measure of the ability of the consistency of an outcome measure across repeated examinations within the same individual. Hedge et al. (2017)\(^{270}\) recently showed that some of the most well-validated cognitive tasks, such as the Stroop or Go-NoGo tasks, despite exhibiting extremely robust within-individual effects, showed extremely poor reliability for inter-individual comparisons, primarily attributable to low variation. They note that these characteristics can be traced to a common underlying characteristic of low inter-individual variation. Historically there has been a separation of within- and between-individual research, both of which have prioritised different aspects of the distribution of the outcome measures. They recommend caution in the assumption that robust outcomes from one paradigm should apply to the other, since, as a heuristic, the opposite is more often likely to be true (although this can be the case with extreme differences both between and within individuals).
In conclusion, this paper motivates the use of reliability for better prospectively gauging the feasibility of performing new between-individual studies with the resources available. The paper also describes a method for estimating reliability for new, different samples, based on the reported summary statistics from previously conducted test-retest studies.

**Themes**

**Reliability**

This paper is centred around reliability, application of reliability for power analysis, as well as estimation of reliability for new samples.

**Reproducibility**

This paper was written in an entirely reproducible manner, where any change in parameters or in functions would be directly incorporated into the figures and into the text. The `releas` R package which can be used to implement all of the functions described is available online (https://github.com/mathesong/releas), and is accompanied by code to implement all of the calculations made in all of the case studies.

### 4.6 Study VI: Serotonin 5-HT$_{1A}$ receptor binding and self-transcendence

As discussed in the previous study, low between- and within-individual variability tend to be beneficial for within- and between-individual study designs respectively\cite{270}. The high degree of inter-individual variability in neuroreceptor densities between healthy controls, both *post mortem*, and later in vivo using PET, was initially seen as problematic for comparisons of patient and control groups, since this diminished the power of PET for examining small proportional changes in neuroreceptor concentrations between groups\cite{8}. However, this also presented an opportunity to examine the sources of this inter-individual variation. The study of personality traits is, by definition, related to inter-individual differences in stable patterns of behaviour, cognition and emotion. Coupled with the fact that these traits have shown a high degree of heritability\cite{271}, and are thought to be important predictors of psychiatric disorders at their extremes\cite{272,273}, the study of correlations between brain neuroreceptor densities and personality traits has flourished\cite{8}. Sample sizes, however, have tended to be rather small, and
as such it is especially important that they are validated by replication. One highly influential study within the field of brain neuroreceptors and their association with personality traits is that of Borg et al. (2003)\textsuperscript{274}, which found strong negative associations between 5-HT\textsubscript{1A}R BP\textsubscript{ND} measured with \[^{11}\text{C}]\text{WAY100635} and the Self-Transcendence scale and its Spiritual Acceptance subscale from the Temperament and Character Inventory (TCI)\textsuperscript{183}. This study was only conducted in fifteen men, however, and a previous replication attempt in a sample of 20 healthy controls (as well as 19 patients with major depressive disorder) did not find evidence of this relationship. This previous study, used frequentist statistical methods to analyse this relationship, which is not optimal for such an analysis due to the possibility of type M errors in the original study\textsuperscript{265} which could lead to type II errors in the replication study.

We studied the relationship between 5-HT\textsubscript{1A}R BP\textsubscript{ND} measured with \[^{11}\text{C}]\text{WAY100635} using a larger sample (50 healthy men) of similar age to the original study, collected using the same PET camera as the original study, and using the same Swedish-language version of the TCI\textsuperscript{223}. We used replication Bayes Factors (BFs) to assess replication success, as well as default BFs to assess the evidentiary weight of the new data as if the original study had never been published. We used these methods to analyse our data, as well as to re-analyse the results of Karlsson et al. (2011)\textsuperscript{275}. Collectively, we showed moderate to strong evidence of a failed replication in both data sets, as well as moderate evidence for no association between the scales and \[^{11}\text{C}]\text{WAY100635} BP\textsubscript{ND}. Even where evidence did not meet the level defined as ‘moderate’\textsuperscript{69,276}, it still favoured the null hypothesis in all cases.

We also re-examined the original study in more detail, taking into account the realisation in recent years that statistical procedures which may previously have been considered acceptable, are able to generate false-positives at a rate higher than most appreciated\textsuperscript{79}. Using the positive predictive value, a measure of the likelihood that a given research result is true given several assumptions\textsuperscript{76,78}, we arrived at a probability that the original finding was true of only 9%. This assumes a large effect size (i.e. r=0.5)\textsuperscript{277}, a pre-study odds of 10% which we believe to be reasonable for studies of neuroreceptors in personality, and a type I error rate of approximately 0.5 (due to the 21 comparisons made, which we considered to be equal to approximately 10 independent comparisons).

In conclusion, we could not successfully replicate the findings of Borg et al. (2003)\textsuperscript{274}, and showed consistent evidence for there being no association between \[^{11}\text{C}]\text{WAY100635} BP\textsubscript{ND} and these scales. We thereby consider that we successfully replicated the results of Karlsson et al. (2011)\textsuperscript{275}. While we cannot exclude the possibility that there is a true underlying effect and that both latter studies did not detect it, this result is considered to be unlikely based on the positive predictive value of this result. A more likely conclusion is either that the original
study made a type I error, or that the original study made a very large type M error.

Themes

Replicability

This paper represents a direct replication of a previous published, and highly influential result\textsuperscript{99}. We show evidence for the original finding either being a false positive, or a substantial overestimation of the true underlying effect size.

Reproducibility

The code used to calculate replication BF\textsubscript{s} as well as to reproduce the plots is provided openly online (https://osf.io/x9gjj/).

\textbf{4.7 Study VII: Translocator Protein in Patients With Psychosis: A Meta-analysis}

The results of studies of TSPO in schizophrenia and psychosis have been mixed. These studies are limited, however, by their heterogeneity. Studies differed not only by the different tracers used and the medication status of patients, but also by outcome parameters, and accounting for genotype. As described earlier, since there is no region of the brain devoid of TSPO, kinetic modelling using the metabolite-corrected plasma as the input function is considered to be the gold standard. While \( V_T \) was reported in some studies\textsuperscript{166–168,170}, other reported outcome parameters have included \( V_S \textsuperscript{160} \), \( BP_{ND} \) (calculated using rate constants)\textsuperscript{161,165}, pseudo-\( BP_{ND} \) (using reference-tissue models and a pseudo-reference tissue)\textsuperscript{162–164}, and the distribution volume ratio (DVR)\textsuperscript{169}. TSPO genotype is also critically important, as it induces large differences between groups for second-generation tracers. The influence of genotype on the binding of first-generation tracers is controversial, since \textit{in vitro} studies have suggested no differences between genotypes\textsuperscript{278}, while \textit{in vivo} studies have shown genotype effects in peripheral organs of the body\textsuperscript{279}.

A further limitation of these studies is their size: all of these studies making use of arterial sampling, have been small (\( n<20 \) patients). None of these studies individually has more than
40% power to detect a medium-sized effect (i.e. Cohen’s D=0.5). This severely limits the range of effects which could be detected.

Meta-analytic models allow researchers to compare the results of several studies and to derive a pooled estimate, which should hopefully be the best possible estimate of the underlying truth. The assumption of this model, then, is that the separate effect sizes are approximations of a single underlying ‘true’ effect size. However, when different studies have used outcome measures whose reliability differs drastically, then the effect sizes using the low reliability outcome measure can be expected to be underestimated\(^{267}\). The signal-to-noise ratio of the first-generation tracer \([^{11}\text{C}]\)PK11195 is thought to be very low\(^{152,280}\), and all of the outcome measures used in the above studies of schizophrenia patients have been shown to exhibit poor reliability\(^{154}\). For this reason, studies using \([^{11}\text{C}]\)PK11195 should yield much lower estimates of the effect size compared to second-generation tracers. We therefore aimed to restrict our meta-analysis to studies employing second-generation TSPO radiotracers, making use of \(V_T\) as an outcome measure using metabolite-corrected arterial plasma as input function, and taking TSPO genotype into account. While meta-analyses are usually conducted using aggregate data (i.e. published effect sizes), we instead performed an individual participant data (IPD) meta-analysis. This means that data was obtained from previous studies at an individual participant level, which is considered to be the gold standard of evidence synthesis methods\(^{281}\). This further meant that the study employing DVR\(^{169}\) could be used, since we could request the \(V_T\) values which were used to calculate the DVR. This resulted in five studies which could be pooled. All authors of these studies agreed to be a part of the study, and sent raw \(V_T\) values for the frontal cortex, temporal cortex and hippocampus, as well as age, Positive and Negative Syndrome Scale (PANSS) scores, duration of illness and drug status (medicated, drug-free, drug-naive) at the time of measurement.

Before receiving the data, we pre-registered an analysis plan. This means that we decided on the precise statistical models which would be employed, how the model would be selected for performing inference, as well as how the comparison would be made. We also made this pre-registration plan publicly available in a version-controlled online repository, such that all modifications can be associated with their date of implementation. We performed standardisation (centring and scaling to have mean 0 and standard deviation 1) of \(V_T\) values within each genotype, within each study. This thereby adjusted \(V_T\) values from each radioligand and genotype into the same scale units (i.e. units of standard deviations, or in other words, into units of Cohen’s D effect size) (Figure 4.6)). We performed the meta-analysis using Bayesian linear mixed effects modelling. We compared four models of increasing complexity using information criteria to select the model with the best fit to the data with which to perform inference. Inference was performed using Bayes Factors to compare the relative likelihood of
Figure 4.6: Left: $V_T$ values from each of the constituent studies, shown separately for high- and medium-affinity binders, for the frontal cortex (FC), temporal cortex (TC) and hippocampus (HIP). Right: Standardised $V_T$ values after pooling.

The data under each of three hypotheses: 1) no effect, 2) higher TSPO binding in schizophrenia, and 3) decreased TSPO binding in schizophrenia. We defined the hypothesis using half-normal priors over the difference between patients and controls with a medium expected effect size (Cohen’s $D=0.5$) defined as the standard deviation.

We found that the simplest model was preferred, i.e. the model which assumed that all effect sizes from all studies and from all genotypes came from the same distribution. Performing Bayesian hypothesis testing using this model, we showed extremely strong evidence for decreases in TSPO levels compared to increases (decreases over 400 times more likely for all three regions), and strong evidence for decreases compared to the null hypothesis (decreases over 30 times more likely for all three regions). Performing subsequent parameter estimation, we showed that the posterior distribution was centred around a moderate effect size ($\approx 0.5$), although its credible intervals ranged between small and large. We also examined the effect of medication, the association between binding and symptom scores as well as duration of illness. The
Figure 4.7: Standardised differences in TSPO $V_T$ between patients with psychosis and healthy control subjects. For each study, the posterior probability density is shown in blue, the thick line depicts the 95% credible interval, with the black circle depicting the posterior mean (also written to the right of each plot). The cross and the thin line represent the raw patient-control mean difference and its 95% confidence interval for each study.

Posterior probability densities showed that these factors had little to no effect on measured TSPO binding.

In summary, we showed strong evidence for decreases in TSPO binding in schizophrenia. This is contrary to the original hypothesis of higher TSPO binding in patients compared to controls. There has been extensive evidence for increases in pro-inflammatory markers in schizophrenia patients\textsuperscript{142,282}, and these results appear to contradict these findings. However, a recent translational study showed that increases in pro-inflammatory cytokines were associated with lower TSPO expression in the same regions of the brain\textsuperscript{283}. Microglia and astrocytes, both of which contain TSPO, can exist in both pro- and anti-inflammatory states, and in vitro studies suggest that the pro-inflammatory macrophages and microglia may in fact be associated with lower TSPO expression in humans\textsuperscript{284,285}. TSPO may, therefore, not be an exclusively pro-inflammatory marker in the human brain, and the lower levels reported may indicate a compensatory mechanism for a pro-inflammatory state, altered function of glial cells, or reduced density of immune and glial cells. In any case, regardless of the direction of change, TSPO binding appears to systematically differ between schizophrenia patients and controls, providing further evidence for the immune hypothesis of schizophrenia.
Themes

Replicability

This study set out to aggregate data over all of the previous studies corresponding to the inclusion criteria to arrive at the conclusion most supported by the sum total of the data. In so doing, we replicated previous results showing decreases\textsuperscript{170}, failed to replicate previous results showing increases\textsuperscript{169}, and observed an effect size which none of the previous studies were adequately powered to detect. This suggests that the studies which did not find significant differences can be considered to be indicative of insufficient evidence, rather than evidence of absence of an effect. Furthermore, we pre-registered our analysis plan in order to minimise the potential influence of biases, which have been associated with poor replicability\textsuperscript{77,84,85}. A recent paper explains that meta-analyses are particularly susceptible to these biases, as subtle changes to inclusion criteria can have large consequences, and that for this reason, preregistration of meta-analytic protocols is arguably even more important than it is for clinical studies\textsuperscript{286}.

Reproducibility

Although it was not possible to share the V\textsubscript{T} values which were used for the study, all code used to perform the analysis is uploaded to a public repository to ensure transparency. This includes the code used to query PubMed for the relevant articles and the specific search terms, to perform the analysis, as well as to generate all the figures in the paper is openly provided online (https://github.com/pontusps/TSPO_psychosis).

4.8 Study VIII: Delusional ideation and D1 receptor availability

PET studies comparing dopamine D1R levels between schizophrenia patients and controls have been inconclusive, showing higher levels\textsuperscript{127,130,131}, lower levels\textsuperscript{126,129,132}, and no difference\textsuperscript{128} in levels of D1R in the frontal cortex. As discussed in the introduction, these studies have been limited by small sample sizes, and hence low statistical power, but interpretation of these studies have also been complicated by several important differences between studies. These include different tracers, different populations, duration of illness, but also, most importantly, medication status. In vitro studies suggest that exposure to antipsychotic medication may
result in decreases in D1R availability\textsuperscript{133,134}. For this reason, it is important to study the differences in D1R availability at early stages of the illness, before antipsychotic treatment, or preceding its onset in order to understand its relationship to the disorder. In this study, we investigated the latter question.

We examined delusional ideation as a measure of psychosis proneness as described in the introduction. In order to make use of already collected PET and psychometric data, we developed a new scale for delusional ideation using items from the self-transcendence subscale of the TCI\textsuperscript{183}. This scale was constructed using previously collected data from participants who did not undergo PET. In order to make use of already collected PET data, we contacted previous participants who had undergone PET with $[^{11}\text{C}]\text{SCH23390}$ in previous studies by letter and asked them to complete the 21 item version of the Peters Delusion Inventory (PDI) scale\textsuperscript{171,172} online. And we also acquired new PET measurements with $[^{11}\text{C}]\text{SCH23390}$, and asked participants to complete both scales. As a result, this study made use of data collected from three separate cohorts of individuals, from four separate data collections. We divided this study into an exploratory, i.e. hypothesis-generating, component and a confirmatory, i.e. hypothesis-testing, component\textsuperscript{84,85}.

In the exploratory analysis, we successfully created a new scale for delusional ideation from items of the TCI self-transcendence subscale with adequate reliability (Cronbach’s $\alpha=0.76$). We called this scale the TCI-DI (delusional ideation) scale. We then assessed the correlation of this scale with PDI scores in individuals who completed both scales. The two scales were highly correlated (Pearson’s $R=0.64$), thereby demonstrating convergent validity. Next, we aimed to assess the relationship between this scale and $[^{11}\text{C}]\text{SCH23390 BP}_{\text{ND}}$ in the previously collected data. This data contained individuals with a bimodal age distribution, males and females, and there were originally two versions of the TCI-DI scale. Choosing one optimal analysis strategy was not possible, and would be essentially random (e.g. inclusion of old individuals versus inclusion of age in the regression model). For this reason, we used a multiverse analysis\textsuperscript{224}, by which all outcomes from all potential reasonable analysis decisions were presented in order to increase transparency as well as minimise the influence of the garden of forking paths\textsuperscript{264}.

From this analysis, we showed that all analytical approaches resulted in a negative association between TCI-DI scores and $[^{11}\text{C}]\text{SCH23390 BP}_{\text{ND}}$ in both the DLPFC and the striatum.

In the confirmatory analyses, we first performed a replication study of our exploratory findings. For this, we used the replication BF (as employed in Study VI), with which we obtained moderate and strong evidence in favour of the null hypothesis in the DLPFC and striatum respectively. Next, we applied Bayesian hypothesis testing to compare the likelihood of hypotheses of a positive and negative association of $[^{11}\text{C}]\text{SCH23390 BP}_{\text{ND}}$ with PDI scores...
(i.e. increases or decreases in D1R availability with increasing delusional ideation). We made use of regularising, zero-centred prior for the main effect of interest, and defined informative priors derived from the literature to account for the effects of age on both $[^{11}\text{C}]\text{SCH23390 BP}_{\text{ND}}$ and PDI scores. This analysis showed that the data were 5 to 10 times more likely to have occurred under the null hypothesis than either the increase or decrease hypotheses in the DLPFC. Similar results were observed in the striatum. In the final substudy, we fit the same model in a new sample, using the posterior probability distributions from the previous comparison as priors to obtain updated estimates of the size of the effect. This data brought parameter estimates even closer to zero for the association between $[^{11}\text{C}]\text{SCH23390 BP}_{\text{ND}}$ and PDI scores. A 5 point difference in PDI scores has previously been found to be the difference between delusional patients and controls$^{172}$. We showed that a change of this magnitude was associated with a change in BP$_{\text{ND}}$ of only 1.5% of the mean, whose 95% credible interval did not exceed 8%. According to these estimates, a difference even of all 21 points of the PDI scale would only be associated with a difference in BP$_{\text{ND}}$ of 6.5%.

In conclusion, despite the promising results obtained from the exploratory analysis, we conclude that there does not appear to be a linear association between D1R measured with $[^{11}\text{C}]\text{SCH23390 BP}_{\text{ND}}$ and measures of delusional ideation. There are four primary potential explanations for these findings, namely that i) there is no association between D1R and psychosis, ii) the changes in D1R may only occur at the onset of the disorder, iii) that changes in D1R availability may be associated with some other aspect of psychosis proneness, such as genetic risk factors or other behavioural traits, or iv) that the association between D1R levels and psychosis proneness is of greater magnitude for higher levels of delusional ideation (i.e. the association is not linear).

**Themes**

**Reliability**

In this study, we created a new psychometric scale for assessment of delusional ideation from items belonging to the TCI scale, and selected items to obtain a good balance of reliability and face validity. We also used the PDI scale, which has previously been shown to be reliable$^{172}$. In each separate investigation using either of these scales, we assessed the reliability of the measure in the specific sample, to make sure that it was capable of differentiating between individuals.
Replicability

In this study, we made use of both exploratory and confirmatory testing. Because exploratory investigations are prone to both false positives and overestimation of effect sizes, we made use of a confirmatory replication analysis to assess the veracity of these findings. The replication analysis, along with the remainder of the confirmatory analyses performed, suggests that the original exploratory findings were likely to have been a false positive.

Reproducibility

Although it was not possible to share the TACs or BP
ND
 values which were used for the study, all code used to perform the analysis is uploaded to a public repository to ensure transparency.

Transparency Statement

It is noteworthy that the exploratory finding of a negative association between TCI-DI scores and $[^{11}\text{C}]\text{SCH23390 BP}_{\text{ND}}$, despite our transparently reporting the full extent of the multiverse analysis, did not cover the entirety of the true multiverse. As mentioned in the manuscript, it was already known that the whole Self-Transcendence scale was associated with $[^{11}\text{C}]\text{SCH23390 BP}_{\text{ND}}$ in this sample based on the results of a previous masters thesis which examined the relationship of BP
ND
 with all scales of the TCI. This study was performed independently of the original study: we only examined the TCI-DI scale in this particular investigation, with selection of items from this scale performed blind to the results of the previous study, and the image analysis and quantification were performed using more advanced tools and different regions of interest. However, it is nonetheless highly probable that both the questionnaire and the binding potential values tested in this study are fairly highly correlated to the values tested before.

This is an important consideration, and especially for expensive methodologies such as PET: exploratory investigations can diminish the inductive “value” or “potential” of a data set, even for subsequent studies. As described in the introduction, a p value is essentially a measure of surprise given an assumption of the null hypothesis being true. Surprising outcomes become less surprising with more opportunities to exhibit unusual behaviour (i.e. error rates increase), and correction for multiple comparisons is an important safeguard against this. Similarly, multiple analyses of the data as it is collected to decide whether to halt or continue data collection must be corrected for using sequential analysis methods as this may also increase the error rate$^{287}$. However, when different investigators examine the same data from different
perspectives, it is not entirely clear how these multiple comparisons should be corrected for. Previous comparisons of similar, but not identical, data do allow investigators some insight into which comparisons will yield the most “surprising” outcomes in subsequent studies. Caution is therefore warranted in embracing exploratory research practices, since it decreases the subsequent inductive value of a data set for later hypothesis-driven research.

4.9 Study IX: Dopamine D1 Receptor Availability in First-Episode Neuroleptic-Naive Psychosis Patients

As discussed in Study VIII, the inconsistency in the results of PET studies investigating the D1R in schizophrenia may be due to various confounders, among which the most important is thought to be medication. This is due to in vitro findings showing that antipsychotic medication may decrease levels of D1R in the prefrontal cortex\textsuperscript{133,134}. For this reason, we suggest that it is important to study differences in D1R availability either at early stages of the illness, before antipsychotic treatment, or prior to disease onset. While we studied the latter question in Study VIII, in Study IX, we aimed to investigate the former.

In this study, we compared $[^{11}\text{C}]\text{SCH23390 BP}_{\text{ND}}$ measured in the DLPFC and striatum between 18 drug-naive first-episode psychosis patients and 17 healthy controls. This constitutes the largest sample size of drug-naive patients examined for D1R availability using PET. Based on the previous results in the literature, we hypothesised that the patients should exhibit higher D1R availability in the DLPFC compared to controls. We used both classical frequentist testing as well as Bayesian hypothesis testing to compare the groups. For the latter, we made use of informative priors for the effects of age on $[^{11}\text{C}]\text{SCH23390 BP}_{\text{ND}}$ based on previous studies, and used zero-centred regularising priors over the effects of patient status. Specifically, for the regularising priors, we used the expected effect size as the standard deviation of half-normal distributions for the hypotheses of higher, and lower levels of D1R availability in patients compared to controls. In this way, we could compare both of these two hypotheses with the null hypothesis as well as with one another.

The collection of this data was performed over 14 years, and hence there was some degree of heterogeneity in the measured data itself. A central part of this analysis was therefore testing to make sure that these differences were not acting as confounders, and accounting for the factors which might. Differences included aspects of PET acquisition (e.g. 2D and 3D acquisition, different measurement lengths, or some participants leaving the camera prematurely), PET reconstruction (filter settings), structural MR (T1- or T2-weighted sequences), the potential for
unconscious bias in manual ROI delineation, data storage (different file formats representing data in 2 or 3 dimensions and may impact quantification), as well as biological factors (age and sex of participants). For testing these factors, we made use of equivalence testing\textsuperscript{68}. Almost all factors were confirmed not to have large effects on BP\textsubscript{ND} values. PET acquisition in 2D or 3D could not be shown not to impact the results, and so we resolved to test the association both with and without the two measurements acquired in 3D mode. While we observed a significant age $\times$ sex interaction in this data, we were able to show in two other $[^{11}\text{C}]$SCH23390 datasets\textsuperscript{288,289} that this association was not significant. This suggests that the observed association was more likely to be a false positive result. Following this preliminary analysis, we defined i) calibration factors for measurements shorter than 51 minutes and removed frames from longer measurements, ii) a calibration factor for one measurement with low molar activity and high injected mass, iii) the statistical model as a multiple regression with $[^{11}\text{C}]$SCH23390 BP\textsubscript{ND} and age and patient status as independent variables.

In contrast to our hypothesis, we observed a statistically significantly lower $[^{11}\text{C}]$SCH23390 BP\textsubscript{ND} in the DLPFC of psychosis patients compared to healthy controls (Figure 4.8). The null hypothesis could not be rejected in the striatum. In the Bayesian analysis, we found that the data was 3.7 times more likely under the decrease hypothesis compared to the null hypothesis, indicating moderate evidence for decreases in the DLPFC. However, the data was substantially more consistent with the decrease hypothesis than they were with the increase hypothesis (over 50 times). For the striatum, the null hypothesis was favoured over both of the other models (by 3 and 8 times for the decrease and increase hypotheses respectively). We can therefore conclude that we observe a regional effect since we show moderate evidence of an effect in the DLPFC, and moderate evidence of no effect in the striatum. We also showed that exclusion of the measurements collected in 3D did not alter the outcomes.

A significant caveat of this study, and indeed of any PET studies investigating D1R availability in the cortex, is that a non-negligible proportion of the binding is attributable to 5-HT\textsubscript{2A} receptor binding; approximately 25% of cortical $[^{11}\text{C}]$SCH23390 or $[^{11}\text{C}]$NNC112 binding. While some studies have suggested that 5-HT\textsubscript{2A} receptors may be decreased in schizophrenia patients compared to controls\textsuperscript{290,291}, the literature has not been consistent\textsuperscript{292–295}. However, if the observed decreases were to be entirely explained by decreased 5-HT\textsubscript{2A} receptor binding, their magnitude would imply that the availability of 5-HT\textsubscript{2A} would need to be decreased by 50%, which is much larger than the reported decreases. We therefore conclude that this is unlikely to fully explain the observed lower BP\textsubscript{ND} in patients.

Importantly, despite the result being significant in the frequentist analysis and the hypothesis supported by the Bayesian analyses, the magnitude of the result is not so compelling. An
Figure 4.8: Standardised residuals representing the difference between healthy controls and psychosis patients after correction for the effects of age. Significant differences were observed in the dorsolateral prefrontal cortex (DLPFC).

analysis of the effect size in the frequentist analysis reveals that the effect size is centred over a medium effect size\textsuperscript{277}, but that the 95\% confidence interval surrounding this effect size ranges from very small to very large\textsuperscript{269}. Similarly, the effect in the striatum, despite not being significant, has a confidence interval which encompasses both a large negative effect as well as moderate positive effect. From the perspective of the Bayesian analysis, an interpretation of the BF of 3.7 as posterior model odds means that the study provides insufficient evidence to persuade someone who believed \textit{a priori} (i.e. prior model odds) that the null hypothesis was 4 or more times more likely, to qualitatively change their beliefs.
Themes

Replicability

This study set out to replicate previous findings of differences in D1R binding between psychosis patients and controls. Based on our interpretation of literature, we considered the results of Abi-Dargham et al. (2012) to be most compelling due to their comparatively large sample size, and to their sample most closely resembling our own sample, with a group of drug-naive patients. In this sense, we failed to replicate these findings, observing an effect in the opposite direction, and obtaining strong evidence that the decrease hypothesis is more likely given our data.

Reproducibility

All modelling and analysis was performed in a reproducible report. When the article is submitted, all analysis code will be made available online to accompany the article for transparency.

Transparency Statement

It is worth noting that a previous exploratory analysis of this data was conducted following collection of the data, but without the calibration factors or the confounder analyses, as well as without motion correction performed in this study. The previous analysis yielded no significant results, and a parametric analysis revealed potential decreases in the anterior cingulate cortex at an inappropriately high alpha level. The present analysis was performed completely blind to the results of the previous analysis, and we do not consider the previous results to have influenced this analysis.
PET is a powerful methodology capable of deriving insights not possible with other in vivo imaging techniques. It is also, however, a very expensive method which additionally requires exposure of participants to radioactivity and sometimes also to arterial cannulation. It is therefore of both scientific and ethical importance that PET measurements are utilised to their full potential. This is, unfortunately, not currently the case. Most studies using PET are small: while some research questions only require very small sample sizes, many important questions for understanding the neurochemical basis of especially psychiatric disorders will require ever larger samples for deriving meaningful conclusions to advance our understanding. Another issue is that of clinical studies making use of tools, methods or outcome measures which have not been sufficiently evaluated. Both of these issues, in combination with poor application of statistical testing procedures, give rise to mixed results in many fields of clinical PET research, resulting in poor replicability and generalisability of findings. Of course, this is not unique to PET research: failure to replicate is a substantial problem in biomedical science as a whole, and questionable research practices and underpowered studies are common across different fields of study. However, in recent years, a number of potential solutions have been gaining in popularity, primarily centred around an increasing the openness and transparency of research.

While assessment of the replicability of findings is the gold standard by which the veracity of conclusions can be evaluated, computational reproducibility serves much of the same purpose, by allowing others to see all steps taken and to determine their degree of reasonableness and correctness, but at a fraction of the cost. Additionally, when the data is provided alongside the code, other researchers are even able to assess the sensitivity of reported findings to differences in how the data may have been processed. This serves as a safeguard against potentially
harmful errors\textsuperscript{107,109}.

Transparency at the level of the final analysis, however, may not capture the full degree of analytical flexibility, whether or not it may have been conscious. An important safeguard is that of pre-registration, namely recording how the data will be processed as well as the hypothesis being tested and how this will be achieved\textsuperscript{84}. In this way, researchers can both stand by the hypotheses made prior to data collection, as well as be conscious of which hypotheses arose from observation of the data, what Nosek et al. (2018)\textsuperscript{84} refers to as postdiction (as opposed to prediction). Of course, studies may still be conducted in a sub-optimal manner, but it still increases the statistical trustworthiness of claims made by knowing what was originally planned.

An extension of this idea is that of Registered Reports: that scientific manuscripts are submitted to journals consisting only of the introduction and methods, before any data has been collected\textsuperscript{296}. This allows reviewers to critique the methods of a study prior to its inception, and thereby both provide helpful feedback to authors thereby limiting the potential for mistakes to be made during study design, but also to assess the scientific importance of the research question rather than the results. If the article is accepted, the journal makes a commitment to publish the final paper provided that the study is conducted as planned and that the conclusions are reasonable. This is assessed during a second round of peer review during which the results and discussion sections, containing the results of the pre-registered analyses as well as any additional unregistered analysis, are evaluated. This thereby reduces the positive bias observed in the literature since the incentives for authors change from producing the most compelling story to producing the most accurate one\textsuperscript{296–298}. This further protects both authors and reviewers from bias on the part of the other. At the time of writing, there are currently 141 journals offering Registered Reports as a publishing format (https://cos.io/rr/). While of course there is also a need for exploratory research, shifting the balance in favour of confirmatory research is an important part of improving the replicability of research and thereby the generalisability of findings\textsuperscript{85}.

Reducing the degree of bias in the literature and improving the replicability of individual research findings is an excellent start, but there remains the issue that PET research is so expensive that sufficiently large samples to answer research questions in a robust manner are rare. Psychiatric PET research is therefore likely to be particularly affected by low statistical power as described in the Introduction section. For example, in Study IX, despite our examining the largest single sample of drug-naive schizophrenia patients, the precision of the effect size estimates were insufficient to determine whether the effect was very large or very small (i.e. 58% compared to 98% group overlap). The only solution to this widespread
issue is that of collaboration between research groups and data sharing. An example is Study VII, for which all of the individual studies did not even have 50% power to detect the final meta-analytic effect size, but when the data was combined, the conclusions were clear. The field of genetics openly embraced this strategy, where open data sharing and consortia have become central tenets, and this has yielded great dividends. The neuroimaging community more broadly has begun to move in this direction with OpenfMRI, now renamed to OpenNeuro, functioning as platforms for sharing data.

Data sharing and collaboration through these platforms have also given rise to a new standard structure for sharing neuroimaging data, called the Brain Imaging Data Structure (BIDS). With a unified structure for storage of neuroimaging data in place, this has led to the development of BIDS Apps. These are standardised neuroimaging pipelines which can digest and ‘understand’ the content of a data set, and thereby process this data without requiring substantial setup or adjustment. They do not even require installation, and run across all operating systems, due to their operating within Docker containers. This also dramatically simplifies the issue of data pooling, since generalisability is diminished by the wide variety of different processing pipelines used to analyse data between research groups. Re-processing multiple datasets in a homogeneous manner is rendered a substantially less demanding task when all data is stored and processed in the same manner. This is also a good real-life example of the theoretical benefits which are often proposed to result from an increased focus on reproducible research practices. While PET may be lagging behind other neuroimaging methods in its adoption of these principles, the first PET BIDS datasets have been made available on OpenNeuro, and the first PET BIDS app, APPIAN, was recently released. The BIDS and BIDS app framework should also pave the way for more advanced methods for image analysis and quantification of PET data to be more accessible for more research groups which lack software engineering expertise, and will simplify reproducible reporting of research findings.

In summary, I believe these initiatives to increase the transparency and openness of biomedical science can be of tremendous benefit for the research community. Greater adoption of these practices will hopefully improve the replicability of clinical PET research, but also accelerate the development, application and transmission of new and improved research methods.
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