

From DEPARTMENT OF LABORATORY MEDICINE, DIVISION
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**GUT DERIVED CHRONIC INFLAMMATION
IN HIV-1 AND CHRONIC KIDNEY
DISEASE: THE ROLE OF THE MICROBIAL
METABOLITE TMAO AND
IMMUNOMODULATORY EFFECTS OF
VITAMIN D**

Catharina Missailidis



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Gut derived chronic inflammation in HIV-1 and chronic kidney disease: the role of the microbial metabolite TMAO and immunomodulatory effects of Vitamin D

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By

Catharina Missailidis

Principal Supervisor:

Associate Professor Peter Bergman, MD/PhD
Karolinska Institutet
Department of Laboratory Medicine
Division of Clinical Microbiology

Co-supervisor(s):

Associate Professor Susanna Brighenti, PhD
Karolinska Institutet
Department of Medicine
Division of Center of Infectious Medicine

Professor Peter Stenvinkel, MD/PhD

Karolinska Institutet
Department of Clinical Science, Intervention and
Technology
Division of Renal Medicine

Professor Anders Sönnernborg, MD/PhD

Karolinska Institutet
Department of Laboratory Medicine
Division of Clinical Microbiology

Opponent:

**Associate Professor Susanne Dam Nielsen,
MD/PhD**
Köpenhamns Universitet
Department of Infectious Diseases, Rigshospitalet
Division of Viro-Immunology Research

Examination Board:

**Adjunct Professor Maria Eriksson Svensson
MD/PhD**
Uppsala Universitet
Department of Medical Sciences
Division of Clinical Diabetology and Metabolism

Associate Professor Guro Gafvelin, PhD

Karolinska Institutet
Department of Clinical Neuroscience
Division of Therapeutic Design

Associate Professor Christer Lidman MD/PhD

Karolinska Institutet
Department of Medicine
Division of Infection and Dermatology

ABSTRACT

Persistent immune activation with premature ageing and increased risk of cardiovascular disease (CVD) are features shared by HIV-1 infected individuals and patients with chronic kidney disease (CKD). Underlying dysbiosis, a failing mucosal barrier function and microbial translocation (MT) are contributing factors in both conditions. Vitamin D supplementation might prove valuable by its modulatory effects on innate and adaptive immunity, including induction of antimicrobial peptides (AMPs), regulation of epithelial barrier integrity and gut microbial composition.

The main objective of this thesis was to assess gut-derived immune activation and investigate the modulatory effects of vitamin D supplementation in HIV-1. Additionally we wanted to explore Trimethylamine-N-Oxid (TMAO), a microbial metabolite with newly ascribed pro-atherosclerotic properties, as a novel link between gut microbiome and inflammaging in CKD and in HIV-1.

In an observational study on HIV-1 infected individuals on antiretroviral treatment (ART) and non-HIV controls, we found that, whereas HIV-1 infected individuals demonstrated increased immune activation in the form of elevated levels of hsCRP and sCD14 with HIV as an independent risk factor, they did not differ from controls in degree of MT measured by LPS.

We next assessed the role of TMAO in cross-sectional and retrospective cohorts of CKD and HIV-1 patients of various disease stages. We found that TMAO levels correlated with increased systemic inflammation measured by hsCRP and was an independent predictor of mortality in CKD. TMAO levels were strongly associated with renal function and normalized after renal transplantation. In HIV-1, we found that TMAO levels were lower in untreated HIV-1 and normalized with treatment, but held no association with markers of immune activation or MT. Higher TMAO-levels in well controlled HIV-1 were not significantly associated with cardiovascular events. Nor was TMAO levels clearly associated with gut microbiome, or degree of dysbiosis.

Finally, in a randomized, double-blind and placebo-controlled trial of daily supplementation with vitamin D and phenylbutyrate in treatment-naïve HIV-1 infected individuals, we found no significant effects on circulating immune activation markers or TMAO and kynurenine/tryptophan ratio. Nor was there a significant treatment effect on the colonic mucosal microbiome.

In conclusion, vitamin D did not associate with biomarkers of immune activation in well controlled HIV-1. Further, we found no support that supplementation with vitamin D and PBA could modulate gut-derived immune activation and dysbiosis in treatment-naïve HIV-1. Our results suggest that TMAO may have a contributory role in immune activation and all-cause mortality in CKD. However, the role of TMAO in cardiovascular pathogenesis in CKD was not specifically addressed. Moreover, elevated TMAO levels were strongly related to kidney functions and the effects should be interpreted with caution. In HIV-1, our data did not support TMAO as a significant link between gut dysbiosis and inflammaging. TMAO levels were disparately confounded by HIV-1, microbial composition and ART, thus limiting its role as a cardiovascular marker in HIV-1.

SAMMANFATTNING PÅ SVENSKA

Kronisk inflammation bidrar till ett för tidigt åldrande med ökad risk för hjärt- och kärl sjukdom, både hos individer med HIV och kronisk njursvikt. En bidragande faktor till denna process är en rubbad (dysbiotisk) tarmflora och sviktande barriärfunktion i tarmväggen, vilket leder till ett inflöde av retande bakteriella produkter från tarmen.

Kosttillskott med vitamin D kan skydda genom att påverka immunförsvaret med ökad frisättningen av antimikrobiella peptider som deltar i tarmväggens försvar mot invasiva patogener. Vitamin D-signalering stärker även tarmväggens barriärfunktion och tycks vidare kunna reglera tarmfloras sammansättning.

Syftet med detta doktorandprojekt var dels att studera om vitamin D-ersättning kan påverka tarmrelaterad inflammation och underliggande dysbios hos HIV-infekterade individer. Det andra målet var att studera om den bakteriella produkten Trimethylamine-N Oxid (TMAO) utgör en ny länk mellan tarmflora och tarmdriven inflammation i HIV-1 och kronisk njursvikt. TMAO är en metabolit som skapas av tarmbakterier. Den har kopplats till bildande av ateroskleros och är starkt associerad med ökad risk för hjärt- och kärl sjukdom i stora befolkningsstudier.

I delstudie I studerade vi prevalens av vitamin D-brist, och kopplingen till biomarkörer för tarm-driven inflammation hos HIV-infekterade individer med pågående behandling, i jämförelse med en frisk kontrollgrupp. Vi fann att majoriteten hade lägre vitamin D-nivåer än vad som rekommenderas, men även att vitamin D nivåerna inte kunde sammankopplas med någon biomarkör för tarmrelaterad inflammation. HIV-infekterade individer skiljde sig inte avseende nivåer av LPS, som är en specifik markör för tarmrelaterad inflammation, men hade ökad grad av immunoaktivering mätbar i form av höjda nivåer av högkänsligt CRP (hsCRP) och sCD14 (en markör för monocyt och makrofag aktivering) jämfört med kontroller.

I delstudie II och III studerade vi om cirkulerande TMAO utgör en länk mellan en dysbiotisk tarmflora, systemisk immunoaktivering och medför en ökad risk för mortalitet och hjärt- och kärl sjukdom i patienter med olika stadier av kronisk njursvikt (delstudie II) och HIV-1 (delstudie III). Vi fann att förhöjda TMAO-nivåer korrelerade med ökad grad av systemisk inflammation mätt med hsCRP och var en oberoende prediktor för mortalitet hos njursjuka patienter. Vidare att TMAO nivåer var starkt kopplade till njurfunktion. I HIV fann vi att TMAO-nivåer var låga i obehandlade HIV-infekterade individer och normaliserades efter behandlingsstart, men även att nivåerna inte påverkades av immunstatus, val av behandling eller grad av systemisk inflammation i någon kohort. Vidare sågs ingen tydlig koppling mellan TMAO-nivåer och tarmflora vare sig vid behandlingsstart eller uppföljning. Slutligen, TMAO var inte signifikant kopplat till högre risk för hjärt- och kärl sjukdom hos HIV-infekterade individer med god viral kontroll.

I delstudie IV, genomförde vi en randomiserad, dubbelblind och placebokontrollerad behandlingsstudie med vitamin D och phenylbutyrate (PBA) bland obehandlade HIV-

infekterade individer i Etiopien. Vi studerade behandlingseffekt på tarmrelaterad inflammation och tarmflora med ett särskilt fokus på TMAO och tryptofan metabolism. Vi fann att behandlingsskombinationen inte gav någon mätbar effekt på grad av inflammatorisk aktivering mätt med sCD14 och antimikrobiell peptid LL-37 trots ökade vitamin D nivåer. Det förelåg ingen skillnad avseende TMAO-nivå eller kynurenine/tryptofan-kvoter. Vidare sågs ingen effekt på tarmfloran vare sig avseende alfadiversitet (skillnad i mångfalden bakterier inom individen) eller betadiversitet (skillnaden i mångfald bakterier mellan individer eller grupper).

Sammanfattningsvis så fann vi låga vitamin D-nivåer i de Svenska och Etiopiska studiegrupperna, men vi fann ingen koppling till tarmdriven inflammation, eller behandlingseffekt avseende tarmflora, inflammatorisk aktivering eller mikrobiella metaboliter, trots normaliserade vitamin D-nivåer. Förhöjda TMAO nivåerna kan utgöra en bidragande risk för utveckling av hjärt- och kärl sjukdom hos patienter med njursvikt, men är samtidigt starkt kopplade till grad av njurfunktion, vilket gör att tolkning måste göras med försiktighet. För HIV-infekterade individer tycks TMAO nivåer påverkas både av HIV, tarmflora, likväl som HIV-behandling och lokal inflammation i tarmen, vilket inte utesluter, men minskar dess användbarhet som en biomarkör för dysbios och risk för hjärt- och kärlsjukdom i HIV.

LIST OF SCIENTIFIC PAPERS

- I. **Catharina Missailidis**, Jonas Höjjer, Maria Johansson, Lena Ekström, Göran Bratt, Bo Hejdeman, Peter Bergman. *Vitamin D status in well controlled Caucasian HIV patients in relation to inflammatory and metabolic markers – a cross sectional cohort study in Sweden*. Scandinavian Journal of Immunology, 2015, 82, 55-62
- II. **Catharina Missailidis**, Jenny Hällqvist, Abdel Rashid Qureshi, Peter Barany, Olof Heimbürger, Bengt Lindholm, Peter Stenvinkel, Peter Bergman. *Serum Trimethylamine-N-Oxide is strongly related to renal function and predicts outcome in chronic kidney disease*. PloS one, 2016, 11.e.0141738
- III. **Catharina Missailidis**, Ujjwal Neogi, Peter Stenvinkel, Marius Trosheid, Piotr Nowak, Peter Bergman. *The microbial metabolite TMAO in association with inflammation and microbial dysregulation in three HIV cohorts at various disease stage*. AIDS, 2018, 32, 1589-1598
- IV. **Catharina Missailidis**, Nikolaj Sørensen, Senait Ashenafi, Wondwossen Amogne, Endale Kassa, Amsalu Bekele, Meron Getachew, Nebiat Gebreselassie, Abraham Aseffa, Getachew Aderaye, Jan Andersson, Susanna Brighenti, Peter Bergman. *Vitamin D and phenylbutyrate supplementation does not modulate gut derived immune activation in HIV-1*. In manuscript

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LIST OF ABBREVIATIONS

ART	Antiretroviral treatment
CKD	Chronic kidney disease
CVD	Cardiovascular disease
MT	Microbial translocation
AMPs	Antimicrobial peptides
LPS	Lipopolysaccharide
LBP	Lipopolysaccharide binding protein
sCD14	Soluble cluster of differentiation 14
SCFAs	Short-chain fatty acids
VDR	Vitamin D receptor
VDBP	Vitamin D binding protein
IDO1	indoleamine 2.3-dioxygenase
TMAO	Trimethylamine-N-Oxide
hsCRP	High sensitivity CRP
IL	interleukin
PCR	Polymerase chain reaction
NGS	Next generation sequencing
ELISA	Enzyme linked immunosorbent assay
Rtx	Renal transplantation
RCT	Randomized controlled trial
IBD	Inflammatory bowel disease

1 INTRODUCTION

Despite effective viral control through modern antiretroviral treatment (ART) HIV-1 infected individuals still demonstrate a persistent immune activation with premature ageing and elevated risk for cardiovascular disease (CVD) [1]. One contributing factor to chronic immune activation is translocation of microbial products from the gut where HIV-1 related loss of lymphoid tissue creates a dysfunctional mucosal barrier and dysregulated gut microbiome that appears to prevail with treatment [2-6]. The observation that dysbiosis (i.e. abnormal changes in the composition of intestinal microbiota) contributes to pathogenesis of disease through intestinal-derived bacterial endotoxin and metabolites is also demonstrated in chronic kidney disease (CKD) [7-9].

When designing interventional trials to improve gut health it is important to address all the relevant players including, innate and adaptive immunity, the intestinal barrier and the microbiome. Vitamin D supplementation may prove a valuable addition in HIV-1 through its important immunomodulatory effects [10, 11], including induction of antimicrobial peptides (AMPs) active in the mucosal defense against pathogenic microorganisms [12-15]. Moreover, vitamin D plays an active part in regulating epithelial mucosal barrier integrity [16, 17], and there is growing evidence that vitamin D signaling is involved in shaping the gut microbiome. [16, 18-20].

However, designing clinical trials aimed at healing the “leaky gut” face problems finding clinically relevant end-points. Established methods of measuring the degree of microbial translocation (MT) are flawed with methodological problems and more importantly, only indirectly linked to the gut. Evaluation of possible effects on CVD requires large prospective studies. Thus, novel and more specific markers that links microbial products with disease pathogenesis and underlying dysregulation of barrier function and microbiome are warranted

In this thesis we explore the immunomodulatory effects of vitamin D on gut-derived immune activation and microbiome in HIV-1 and the role of Trimethylamine-N-Oxide (TMAO), a metabolite produced by gut bacteria with established pro-atherosclerotic properties and a driver of CVD in HIV-1 infected individuals and CKD patients.

2 BACKGROUND

2.1 INFLAMMAGING IN HIV-1 AND CKD

“Good fences makes good neighbors” Robert Frost

Inflammaging, defined as an age-related increase in blood inflammatory markers, is a strong risk factor for cardiovascular disease, type 2 diabetes mellitus, CKD, osteopenia as well as dementia (21). Potential mechanisms include; changes in the gut permeability and microbiome (22-24); immune cell dysregulation related to metabolic stress and age-related changes in microRNA transcription (25); and chronic infections.

In HIV-1, inflammaging is characterized by monocyte/ macrophage activation and hypercoagulation caused by virally induced damage to lymphoid tissue and liver function (26). A prominent feature of chronic immune activation is translocation of microbial products, such as lipopolysaccharide (LPS), and flagellin, from a dysregulated gut (27).

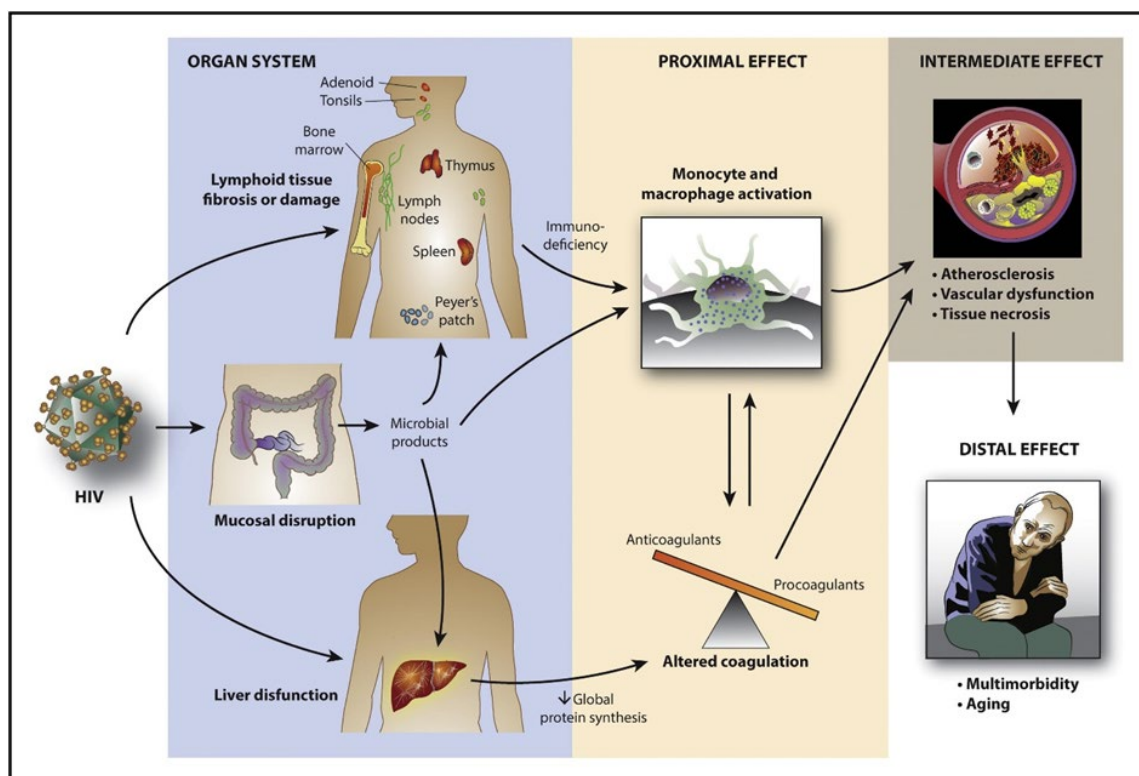


Figure 1. Pathogenesis of inflammation-associated disease in HIV-infected adults. Deeks et al. *Immunity*. 2013 (155). Reprinted with permission.

In CKD, progressive loss of kidney function is largely caused by factors unrelated to the cause of the initial disease (30), and is strongly associated with inflammaging (31, 32). In recent year focus has been put on the role of the gut microbiome where a growing body of evidence support

the association between dysbiosis, gut-derived inflammation and progression of kidney disease (33).

2.2 GUT BARRIER DYSFUNCTION IN HIV-1 AND CKD

“Good fences makes good neighbors” Robert Frost

In a healthy gut, homeostasis is maintained by the interplay between the mucosal intestinal barrier, the innate and adaptive immunity, and the commensal microbiome. The main purpose of the mucosal barrier is to protect the host against infectious microorganisms and to control the exchange of molecules from the intestinal lumen. Its construction involves a layer of tightly bound epithelial cells covered by a mucosal layer that prevents direct contact of microorganism and toxins with the epithelia. A healthy mucosal layer is important for the barrier function and provides the structural framework for epithelial and immune-cells to regulate microbial presence by secreting neutralizing IgA and antimicrobial peptides (AMPs).

Underlying the mucosal barrier is the lamina propria that harbors macrophages and T lymphocytes (T cells) responsible for eliminating microbial pathogens that cross the mucosal barrier, as well as IgA producing B lymphocytes (B cells) and dendritic cells that direct the adaptive immune defense.

The pathology behind gut barrier dysfunction in HIV-1 originates in the high prevalence of activated CD4 T cells expressing HIV-receptors such as CCR5 along the intestinal lining. These cells are highly susceptible to HIV infection and cell death via apoptosis or bystander death through pyroptosis (32, 33). Indeed, HIV infection causes rapid and massive depletion of CD 4 T cells, with a selective reduction of T helper CD4 cells (Th CD4 cells) (26, 34). The mucosal CD 4 cell department may not fully recover despite viral suppression through ART (35), where loss of Th17 and Th22 CD4 cells specifically, has functional consequences for the gut immune barrier. These cells are the main producers of the cytokines interleukin (IL)-17 and IL-22 that are important regulators of epithelial proliferation and AMP production (36, 37). As a consequence, suboptimal CD4 cells reconstitution is associated with loss of functional epithelial barrier integrity and increased degree of MT and systemic immune activation (38, 39)

In CKD, gut barrier dysfunction is believed to be caused by an adoptive mechanism where the colon replaces the failing kidneys as a site for excretion of end-products of purine metabolism, such as urea (40). Elevated levels of urea in plasma leads to overgrowth of colonic bacterial species capable of hydrolyzing urea into ammonia, which changes intestinal pH and promotes structural alterations of the gut mucosal barrier (41).

2.3 MARKERS OF MICROBIAL TRANSLOCATION

Established methods of measuring the degree of microbial translocation (MT) are flawed with methodological problems and many are only indirectly linked to the gut.

Direct markers of MT include pro-inflammatory components of Gram-negative bacteria such as; LPS, a major component of the outer cell membrane; flagellin, a structural part of the flagellum used to propel the bacteria; and bacterial ribosomal (r)RNA/DNA. LPS is the most established marker, and is routinely analyzed by the limulus amoebocyte lysate assay. The assay is troubled by many technical difficulties involving sampling-factors, methodological problems and variation in interpretation (42). Levels of flagellin and flagellin-specific antibodies measured by ELISA may provide a stable assessment of MT, but its use has been relatively limited. Bacterial RNA/DNA is analyzed through PCR of the conserved 16S ribosomal DNA (16SrDNA) region. In recent years numerous studies of the gut microbiome have been published, many with conflicting results that may be related to differences in sampling, storage, nucleic acid isolation, sequencing and analyses. Traditionally Sanger sequencing have been used with restricted specificity and sensitivity, thus limiting its usefulness in the context of MT. However, next generation sequencing (NGS) may improve the diagnostic accuracy.

Several indirect markers are used, such as LPS-binding protein (LBP) and sCD14. LBP is an acute phase protein mainly produced by the liver upon LPS stimuli (43). However, LBP induction can also be triggered by other microbiological structures such as peptidoglycans, which are also found on Gram-positive bacteria (43). CD14 is a recognition receptor found primarily on monocytes and macrophages. Upon activation CD14 is shed, forming soluble (s) CD14, which is considered a marker of monocyte activation. It was initially described as a specific receptor for LPS (44), but the receptor also recognizes other pathogen-associated molecular patterns, such as peptidoglycans (45), and lipoteichoic acid from gram-positive bacteria and lipoproteins (46).

2.4 GUT MICROBIOME

“A good digestion turneth all to health” George Herbert.

The human intestinal microbiome is composed of 100 trillion (10^{14}) colony forming units (CFU) of commensal bacteria. The majority belong to four dominating phyla; Firmicutes, Bacteroidetes, Proteobacteria and Actinobacteria, of which Bacteroidetes and Firmicutes together comprise 90% (47, 48). These resident commensals are differentially distributed throughout the intestinal tract depending on bile and pancreatic secretion, peristaltic activity as well as oxygen and nutrient availability (49). Aerobic and facultative anaerobic bacteria from the Firmicutes phylum, predominantly of the Lactobacillales order (Enterococcus, Streptococcus) are prominent in the small intestine as well as Proteobacteria, whereas obligate anaerobic bacterial genus from the Bacteroidetes phylum (Bacteroides, Prevotella), Firmicutes

phylum (Clostridium and Lactobacillus) and Actinobacteria (Bifidobacterium) dominate in the colon.

Composition of the gut microbiome varies with age, diet, geography and socioeconomic factors but may also be influenced by inherited factors. Family members sharing the same household appear to share a similar microbial composition, or enterotype (50).

An important function of commensal bacteria is to protect the host against exogenous pathogens through direct and immune-mediated (indirect) mechanisms of action (51). Direct mechanisms include competition for nutrients but also production of inhibitory substances such as AMPs and bacterial toxins (bacteriocins) by commensal bacteria. Indirect mechanisms involves targeted activation of innate immune defenses and enhanced epithelial production of AMPs. Bacterial activation also regulates IgA production by B cells.

Another important function of the intestinal microbiome is production of short-chain fatty acids (SCFAs) by microbial fermentation of non-digestible carbohydrates in the colon. SCFAs, such as butyrate, function as a direct source of nutrients for colonocytes and are essential for maintaining epithelial barrier functions (52). Moreover, butyrate increases intestinal vitamin D receptor (VDR) expression (53), induces production of AMPs (54) and modulates inflammation in the gut through expansion of regulatory T cells (55, 56).

2.5 DYSBIOSIS IN HIV-1 AND CKD

“Death sits in the bowels and bad digestion is the root of all evil“ Hippocrates

Several studies have demonstrated reproducible differences in the gut microbiome between HIV-1 infected and non-HIV infected controls. The studies are heterogeneous in terms of sampling method (stool sample, mucosal biopsies or rectal sponge), age, gender, ethnicity and geographic location. Nevertheless, there is consistent report of enrichment of the phylum Proteobacteria and diminished levels of the phylum Bacteroidetes (3, 5, 59, 60) in HIV-1. Proteobacteria and several subtaxa, of the family Enterobacteriaceae (which contains established pathogens such as *Escherichia coli*, *Salmonella* and *Shigella*) have been associated with activation and depletion of CD4 cells, and elevated levels of circulating LPS and immune activation markers (IL-6, INF- γ and sCD14) in HIV-1 infected individuals (2, 5, 61). Microbial translocation of Proteobacteria has also been implicated in the rhesus macaque SIV-model (62).

In CKD the uremic environment affects the intestinal barrier leading to bacterial dysbiosis and immune activation (7, 33). Although CKD related dysbiosis is less characterized than in HIV-1, similar aspects with an increased in relative abundance of Proteobacteria of the family Enterobacteriaceae has been described (9).

However, linking specific members of the microbiome to MT and immune activation is challenging. An alternative approach is to identify disease-specific metabolic pathways and microbial metabolites that contribute to pathogenesis.

In HIV-1, impaired mucosal immunity and MT has been associated with activation of the kynurenine pathway of tryptophan metabolism through the enzyme indoleamine 2,3-dioxygenase 1 (IDO1) in antigen-presenting cells (61, 62). Data show that IDO1 activity alters the balance between Th 17/ Th 22 and regulatory CD4 subsets in the gut and inhibits the hosts ability to maintain protective IL-17-signaling important in gut epithelial health (63). Excessive tryptophan degradation results from IDO1 induction by interferon gamma (INF- γ), and other pro-inflammatory molecules such as LPS, but may also be related to gut dysbiosis. Interestingly Vujcovic-Cvijin et al. found that bacteria with the capacity to metabolize tryptophan through the kynurenine pathway were enriched in virally controlled HIV-infected individuals and correlated to kynurenine levels and plasma levels of the inflammatory cytokine IL-6 (5).

In CKD, the microbial metabolites indoxyl sulfate and *p*-cresyl sulfate correlate with progressive kidney failure and have been considered an essential factors in the development of systemic inflammation and CVD. Indoxyl sulfate and *p*-cresyl sulfate are the respective end-products of bacterial fermentation of tryptophan and tyrosine (64).

2.6 TRIMETHYLAMINE-N-OXIDE

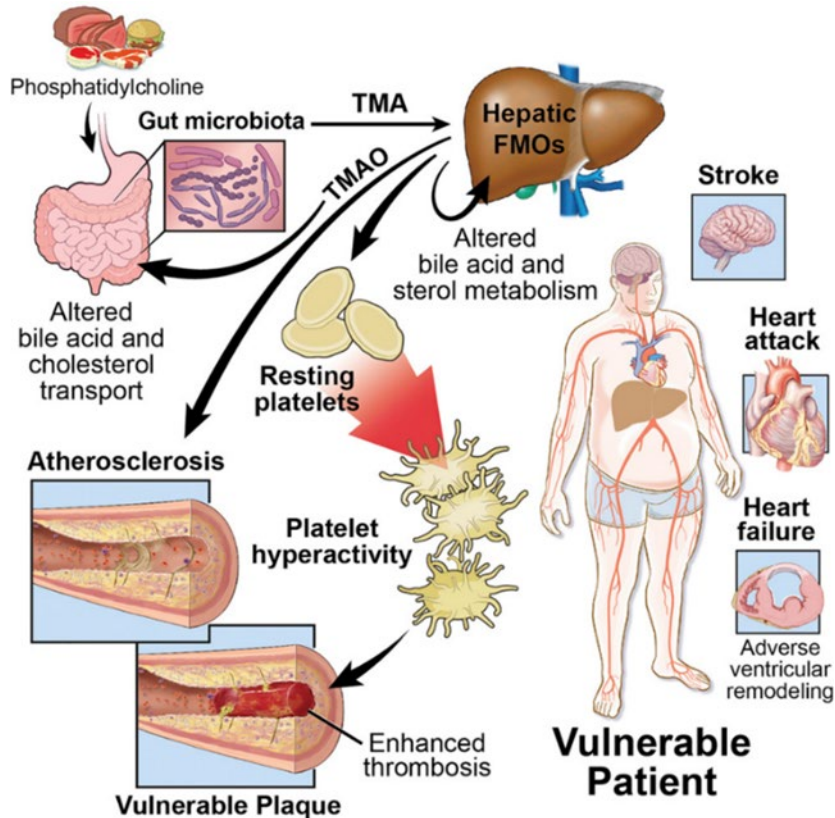


Figure 2. TMAO pathways. Zhu et al. Cell. 2016 (156). Reprinted with permission.

In recent year focus has been on the microbial metabolite Trimethylamine-N-Oxide (TMAO) as a biomarker of CVD development (65-70) and promoter of atherosclerosis (65, 66). The mechanism by which TMAO promotes atherosclerosis is not completely understood but mechanistic studies in murine models have demonstrated an effect on macrophage activation, foam cell formation and altered cholesterol metabolism. More recently, murine and in-vitro studies have linked TMAO to vascular inflammation through activation of nuclear factor- κ B signalling and TXNIP-NLRP3 inflammasome activity in endothelial cells (71, 72) and to platelet hyperreactivity (73). Furthermore, TMAO has been described as a uremic toxin contributing to renal failure in CKD (74, 75).

TMAO is the primary metabolite of Trimethylamine (TMA) that is produced by gut bacteria and further converted to TMAO by flavin-containing monooxygenase (FMO3) in the liver (66, 69, 76, 77). Main substrates for TMAO are the essential nutrients choline and carnitine, found in diets rich in fat (dairy products, eggs and red meat), but it can also be converted from betaine. Although plasma levels of TMAO are governed both by diet, FMO3 enzyme activity and renal clearance (70, 78, 79), studies suggest that the gut microbial composition is directly linked to circulating TMAO levels in a healthy population (66, 76, 80, 81). There are two main bacterial pathways generating TMA in man: the CutC/D pathway (82, 83) and the Cnt A/B pathway (84, 85). Notably, genetic components for TMA conversion are detected in the three dominating

phyla; Firmicutes, Proteobacteria and Actinobacteria, but appear to be absent in Bacteroidetes (83, 86).

Studies in HIV-1 and CKD have yielded various results on the relationship between TMAO, immune activation and CVD (67, 70, 87-91).

2.7 VITAMIN D

“Keep your fact always toward the sunshine and shadows will fall behind you” Walt Whitman.

Vitamin D is a fat-soluble steroid that is predominantly produced in the skin by exposure to ultraviolet (UVB) sunlight (VD3), with a smaller proportion coming from dietary intake (VD2). VD2 and VD3 are hydroxylated in the liver forming 25-hydroxyvitamin D (25(OH)D3), which is routinely measured as a marker of vitamin D status in plasma. This molecule is further hydroxylated through CYP27B1(1 α -hydroxylase) in the kidneys forming active vitamin D (1, 25(OH)D3) that exerts its biological effects through binding to the vitamin D receptor (VDR). VDR is a nuclear hormone receptor and transcription factor found in a variety of tissues. Activation of VDR through vitamin D, or other VDR binding substrates leads to the expression of specific gene products and post-transcriptional mechanisms. Active vitamin D produced in the kidney exerts its effects in an endocrine fashion. In contrast, extrarenal activation of vitamin D occurs “as-needed”, in an intracrine, autocrine or paracrine fashion through local CYP27B1 activity. Similar to VDR, CYP27B1 is expressed in a variety of cell-types, including immune- and gut epithelial cells (92).

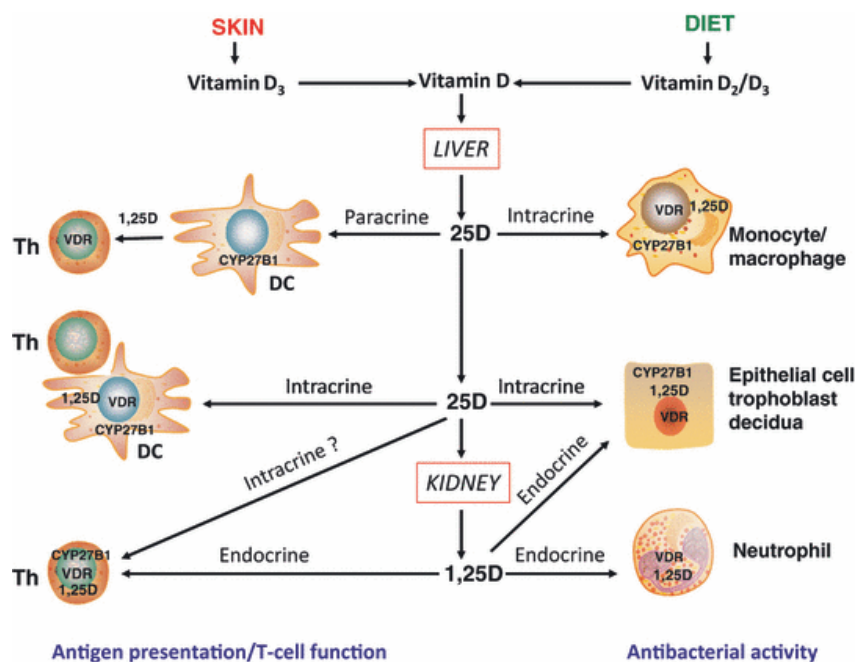


Figure 3. Vitamin D pathways. Hewison et al. Clin Endocrinol (Oxf). 2012 (157). Reprinted with permission.

Although there is no formal definition of what constitutes normal vitamin D levels the consensus at present is to consider vitamin D levels >75 nmol/L as sufficient, whereas vitamin D levels between 50-75 nmol/L are considered sub-optimal and levels <50 nmol/L as deficient (93). Vitamin D insufficiency is associated with increased risk of cardiovascular disease (94-98) and type-1 diabetes (99, 100) in general population and with immune activation and all-cause mortality in HIV-1 (65, 66). In HIV-1, vitamin D insufficiency is highly prevalent (101-108) whereas little is known on the viral effects on VDR expression. Of note, studies have shown reduced mRNA expression of VDR on immune and podocyte cells exposed to HIV *in vitro* (109, 110). Established risk factors for vitamin D deficiency/insufficiency are; old age (103), dark skin (111), elevated BMI (111) and certain ART in HIV-1 (111-113).

At present little data has been presented on the effects of vitamin D treatment and gut barrier function. In mice, targeted expression of human VDR in intestinal epithelial cells protected against experimental colitis (114). Conversely, reduced expression of VDR correlated with intestinal inflammation and disease activity in patients with inflammatory bowel disease (IBD) (114, 115). Although small in numbers, interventional vitamin D studies in IBD suggest that supplementing Crohn's patients with vitamin D might be beneficial in decreasing local and systemic inflammation (116-118).

2.8 VITAMIN D AND ANTIMICROBIAL PEPTIDE LL-37

One important innate defense mechanism against microbial pathogens in the gut is the synthesis and secretion of AMPs from epithelia and immune cells. There are two major gene families of AMPs expressed in the gut; defensins and cathelicidins. Most AMPs are small cationic molecules that bind to and interact with the negatively charged membranes of microbes, thus killing a broad range of Gram-positive and Gram-negative bacteria, as well as fungi and virus (119). The human cathelicidin, LL-37, may also regulate inflammation, angiogenesis and re-epithelialization essential for wound healing (120). LL-37 expression is regulated by several mechanisms and is either constitutive, or induced by inflammatory signals, ER stress and microbial exposure.

Vitamin D signaling is a strong inducer of the expression of LL-37 and defensins in immune cells and keratinocytes. (121, 122). Another molecule reported to increase LL-37 abundance in the gut is butyrate (54). Importantly butyrate induces expression of LL-37 from gut epithelial cells with VDR as the main transcription factor. Since butyrate is a foul smelling gas and as such unsuitable for interventional studies, we previously investigated the effects of phenylbutyrate (PBA), a synthetic analogue to butyrate used for treatment of urea cycle disorders. Similar to butyrate, PBA induces expression of LL-37 with VDR as the main transcription factor (123). Together, vitamin D and PBA have a synergistic effect on LL-37 expression (124).

2.9 VITAMIN D AND GUT EPITHELIAL BARRIER

The VDR is highly expressed in all cells in the intestine and experimental data have shown that vitamin D signaling is imperative in maintaining epithelial mucosal barrier integrity by promoting epithelial cell differentiation and tight junction formation (17, 125-127). Additionally vitamin D protects against inflammation-induced injury to the intestinal barrier through inhibition of nuclear factor κ B (NF- κ B) (114, 128).

2.10 VITAMIN D AND THE GUT MICROBIOME

In recent years a growing bulk of evidence points to a significant role of vitamin D in regulating the gut microbiome. The effect is most likely host-mediated via vitamin D activation of innate defense mechanisms.

In murine models, vitamin D deficiency, or genetic deletion of the VDR, results in dysbiosis and aggravated gut inflammation (16, 129, 130). In human the VDR gene has been identified as a host factor influencing the gut microbiome in a genome-wide association analysis (131), further strengthening the connection between vitamin D and the microbiota.

Interventional vitamin D studies support a regulatory effect on microbiota composition where low vitamin D levels associates with a higher abundance of Proteobacteria that is generally considered pro-inflammatory, whereas successful vitamin D supplementation correlates with expansion of members of the Bacteroidetes phylum and decreased abundance of members of the Proteobacteria phylum (19, 20, 132).

3 AIMS

The general aim was to assess gut derived immune activation in HIV-1 and investigate the modulatory effects of vitamin D supplementation on dysbiosis, mucosal barrier function and MT. Additionally we wanted to explore TMAO as a novel link between gut microbiome and inflammaging in CKD and in HIV-1.

Aim I

To investigate the prevalence of vitamin D insufficiency and its associations with markers of MT and immune activation in HIV-1 infected patients on ART to obtain the necessary information to design an interventional study.

Aim II and III

To assess the contribution of TMAO to mortality and cardiovascular risk in CKD and HIV-1 in different disease stages and its association with glomerular filtration rate (GFR), effect of dialysis and renal transplantation (Rtx), as well as associations with degree of MT, immune activation and microbial composition.

Aim IV

To investigate the effects of vitamin D and PBA supplementation on gut-derived immune activation, and microbiome with a special focus on TMAO and tryptophan metabolism in treatment-naïve HIV-1 infected individuals. The laboratory analytical methods and statistical approaches applied in study I-IV have been described in detail in the articles. I will here focus on some of the methodological limitations.

4 METHODOLOGICAL CONSIDERATIONS

For an overview of the study populations see table 1.

4.1 SELECTION OF STUDY POPULATIONS

Paper I: We performed a cross-sectional study of HIV-1 patients recruited at the HIV clinic at Södersjukhuset, Stockholm, Sweden during their regular 6 months HIV-1 control between January-August 2012. Non-HIV controls were recruited from staff at the Karolinska University Hospital, Stockholm, Sweden during September-December 2012.

The cross-sectional approach is ideal to measure prevalence in a group and allows for the study of multiple outcomes. A cross-sectional design can demonstrate simple associations but cannot prove causality. Furthermore, the selection process of subjects may bias the measured effects. In this case recruitment of controls from staff at Karolinska University Hospital was not optimal as they were a) less likely to be smokers, b) more likely to have a lower MSM prevalence, compared with patients at the HIV clinic at Södersjukhuset that specifically caters for the inner-city MSM community. Furthermore, in an effort to match sex and age, the controls were recruited following recruitment of HIV-1 infected individuals, which may have effected comparison of vitamin D levels between the groups.

Paper II: In a cross-sectional study and a retrospective cohort study (ad hoc and based on previously collected material) we assessed CKD patients at different disease stages, recruited at the Karolinska University Hospital, Stockholm, Sweden.

A cohort study is the best method to determine incidence and natural prognosis of a condition in a selected cohort followed over time. The cohort design allows for calculation of relative risk (i.e. what effect a chosen variable may have on the outcome of interest). In contrast to the cross-sectional design, cohort studies can distinguish cause and effect. However, if the selected outcome is rare, cohort design is a less suitable method. Moreover, subject selection and loss of subjects to follow-up might significantly affect the outcome. In retrospective cohort studies, the analyses are performed ad hoc, on already collected material, which prevents control of confounding variables and relevant information might be missing. On the other hand retrospective analysis has the advantage of being less susceptible to selection bias.

In this study sex and age-matched controls to the CKD population, were randomly selected from the population registry in Stockholm as a cross-sectional control group. Although the study populations were well characterized, the retrospective design prevented assessment of variables, such as diet and gut microbiome. Restricted sample availability also limited the number of new laboratory analysis.

Paper III: Here we performed a retrospective cohort study on primary infected and chronic HIV-1 infected individuals recruited at the Karolinska University Hospital in comparison to the cross-sectional HIV cohort collected and described in paper I. Furthermore, HIV populations were compared with cross-sectional non-HIV cohorts consisting of household

members and partners of subjects in the retrospective chronic HIV cohort and the controls from paper I.

Analysis of study populations collected for other purposes has inherent problems, which have been touched upon in the previous paragraph. Comparing different historic study populations adds an extra dimension due to differences in selection, sampling and methodology of previous analyses. In an attempt to overcome unequal representation of HIV versus non-HIV controls we added external controls from paper II, specifically selected to be age and sex-matched with the HIV population from paper I. Similarly as paper II, restricted sample availability also limited the numbers of possible laboratory analyses.

Paper IV: Here we performed a randomized, double-blind and placebo-controlled clinical trial (RCT) in ART-naïve HIV-1 infected individuals recruited at the pre-ART clinic, Department of Internal Medicine, Black Lion University Hospital in Addis Ababa, between 2013 and 2015.

A well-performed RCT provides the ultimate tool to evaluate the effect of a selected exposure. A prospective RCT eliminates confounding variables by randomizing exposure and thus ensuring that confounding variables are present in equal numbers in both groups. However, selection bias may still affect outcome. In this case the study was dominated by women, possibly reflecting gender differences in willingness to screen for HIV-1 and interest in medical controls. Often sicker individuals are less inclined to participate in trials and fail to comply to the treatment protocol with ensuing loss to follow-up. In this study the inclusion criteria excluded individuals with severe HIV-1 related immunosuppression and co-infection with tuberculosis. There was a substantial loss to follow-up from the randomized “intention to treat” (ITT)-population (from 278 to 197 subjects in the modified ITT), primarily related to delayed laboratory reports for viral load and CD4 cell counts that failed to meet inclusion criteria. From the modified ITT cohort, 14 subjects became ineligible for continued participation because of ART start and 16 subjects was lost to follow-up. The final per-protocol cohort consisted of 187 individuals.

4.2 LL-37 ELISA

In paper IV a previously established in-house enzyme-linked immunosorbent assay (ELISA) was used for assessment of plasma-levels of LL-37 (124). In brief, microtiter plates were coated with a monoclonal anti-LL-37 antibody (5 µg/ml) and incubated overnight. Synthetic LL-37 (Innovagen, Lund Sweden) standards and samples were then added and incubated overnight. After washing, biotinylated rabbit anti-LL-37 (1 µg/mL) (Innovagen) was added. After incubation and washing, the samples were incubated with Streptavidin-alkaline phosphatase conjugate (Chemicon, Melbourne, Australia) with four-methylumbelliferyl phosphate as substrate (Molecular Probes, Europe BV, Leiden, the Netherlands). The plates contained subject samples for both time-points with an equal distribution of treatment and placebo plated in duplicates, as well as negative and positive controls.

Despite adequate standards and subject replicates the read-outs had considerable variations between subjects and trial runs, making any analyses other than comparison of intra-subject effect difficult. Possible explanations to this variability could have been reagents, antibodies or human errors.

Methodological and analytical difficulties regarding LPS and sCD14 have been commented upon in section 2.3.

4.3 MICROBIOME ANALYSIS

In recent years numerous studies of the gut microbiome have been published, many with conflicting results that may be related to differences in sampling, storage, nucleic acid isolation, sequencing and analyses. An excellent review of possible pit-falls and considerations has been given by Kim et al. (133). I will here summarize some of the problems with microbiome-analysis.

Some of the confounding variables that needs to be taken into consideration when planning a microbiome study are age, gender, diet and current antibiotics or other medications, of which all may effect gut microbial composition. Furthermore, sampling techniques (fecal stool sample, rectal swab or mucosal biopsies) may produce different results as they essentially represent different microbial niches.

Differences in the storage and handling of samples may result in changes in the microbiome. To avoid changes in the original sample prompt freezing after sampling, or the use of alternative preservative methods is recommended. Moreover, samples may be contaminated by reagents and procedures in the laboratory setting. This may severely impact results, especially if the extracted microbial biomass is low, which allows contaminants to dominate in the sequence read-out. To evaluate the degree of contamination every analysis should include a negative and a positive control.

Analyses of extracted samples through high-throughput sequencing of 16srDNA genes faces other problems, primarily lack of species-specificity and overestimation of the number of taxa. Most sequencing involves universal primers binding to the conserved regions of the 16srDNA gene present in all bacteria. The amplified gene products allow for identification of bacterial taxa through comparison of hypervariable regions of the 16S rDNA gene.

Taxonomic identification is limited by the size of the reference database used and the length of the fragment sequenced. Most next-generation sequencers do not generate full-length sequences but focuses on specific variable regions, which limits the classification process since there simply might not be enough genetic information to assign bacterial species names.

Following gene sequencing the data has to undergo extensive bioinformatic processes to generate interpretable data. To determine which taxa that might associate with a difference in

phenotype multiple corrections are performed and the choice of method in controlling for multiple comparisons might also effect the final interpretation.

In the microbiome analyses in paper III and IV there was no information on diet which might affect the interpretation of data in the HIV-1 infected individuals. In paper III the recruitment of household members and partners as non-HIV controls partly eliminated diet as a variable in comparative analyses, assuming that household members shared their foods. Furthermore, in both paper III and IV inclusion criteria prevented from use of antibiotics. There was, however, no follow-up on the use of antibiotics during the study period in either population.

In paper IV sequence analysis of 16S rDNA on mucosal samples revealed a disproportionate amount of operational taxonomic units (OTUs) belonging to *Pseudomonas* and *Halomonas* genera. These bacteria are normally not highly present in the gut and were interpreted as contaminants from the sampling procedure (endoscopic biopsies), as the negative controls showed no contamination. After removal of contamination, there was a substantial loss of data specifically in the treatment group limiting conclusive results.

4.4 STATISTICAL ANALYSES

Choice of statistical method is based on the underlying question one wishes to study but it is also determined by sample size, distribution and variables. A small sample size limits the strengths of statistical analyses and the number of variables that can be addressed in multiple regression analysis. Furthermore, a small sample size is more likely to not meet the assumptions of normal distribution, which is a requirement for parametric tests, whereby choice of analyses is limited to non-parametric tests that inherently have less statistical power. A variable with a high degree of variability and extreme outliers is also subjected to non-parametric testing. The challenges when analyzing the results in study I-IV, were mainly related to small data sets with different populations with non-normal distribution and missing data.

5 RESULTS AND DISCUSSION

Table 1. An overview of study populations

Study design	Cross-sectional	Cross-sectional	Cross-sectional	Cross-sectional	Retrospective cohort	Retrospective cohort	Retrospective cohort	Cross-sectional	RCT
	Chronic HIV	CTRL	CKD 3-4	CRTL	CKD 5	Primary HIV	ART-naïve HIV	CRTL	HIV
Included in paper	I, III	I, III	II	II, III	II	III	III	III	IV
Subjects (Number)	101	30	58	80	116	17	22	9	167
In/exclusion criteria	Subjects (age 30-60) with well controlled HIV-1 on ART with undetectable VL (< 20 copies/mL) for > 6 months.	Sex-matched HIV-1-negative subjects (age 25-67)	Subjects (age 23-79) with CKD stage 3-4	Age and sex-matched subjects (age 22-80) randomly selected by the Statistics Bureau of Sweden	Subjects (age 20-78) with end-stage renal failure (CKD 5) were recruited close to start of dialysis treatment.	Subjects (age 27-53) ART-naïve with primary HIV-1 infection.	Subjects (age 19-61) ART-naïve with chronic HIV-1 infection.	Age and sex-matched HIV-1-negative subjects (age 28-62) consisting of household members and partners of the patients	Subjects (age 19-62), ART-naïve with confirmed HIV-1 infection
Co-variables	Age, sex, Caucasian origin. MSM HIV-years, ART years, pre-ART CD4-count, pre-ART VL, years with undetectable VL, Use of protease inhibitor/NNRTI	Age, sex, Caucasian origin.	Age, sex, BMI, smoking, diabetes, CVD, SGA-score	Age, sex, BMI, smoking, diabetes, CVD, SGA-score	Age, sex, BMI, smoking, diabetes, CVD, SGA-score	Age, sex, Caucasian origin. MSM, CD4-count, VL, Use of protease inhibitor/NNRTI	Age, sex, Caucasian origin. MSM, CD4-count, VL, Use of protease inhibitor/NNRTI	Age, sex, Caucasian origin.	Age, sex, BMI, CD4-count, VL,
Patients samples analyzed	Plasma Fecal stool-samples	Plasma	Heparin	Heparin	Heparin	Plasma	Plasma Fecal stool-samples	Plasma Fecal stool-samples	Plasma Colon-biopsies

5.1 PAPER I

Based on the hypothesis that vitamin D supplementation may modulate gut immune barrier dysfunction and gut related immune activation, we performed a cross-sectional study of the prevalence of vitamin D insufficiency and its associations with markers of MT and systemic inflammation in HIV-1 infected patients on ART and non-HIV controls, to have the necessary information to design an interventional study. For detailed demographics see table I.

We found that vitamin D levels were similarly distributed and equally low in both HIV-1 patients and non-HIV controls. The majority had insufficient levels (vitamin D ≤ 75 nmol/L) (HIV 84%, controls 83%) (**Fig 4a**). HIV-1 infected individuals did not differ in LPS levels but demonstrated increased immune activation in the form of elevated levels of hsCRP and sCD14 (**Fig 4b, c, d**) with HIV as an independent risk factor (**Table 2**). There were no associations between vitamin D and markers for MT and immune activation or dyslipidaemia present in the HIV population.

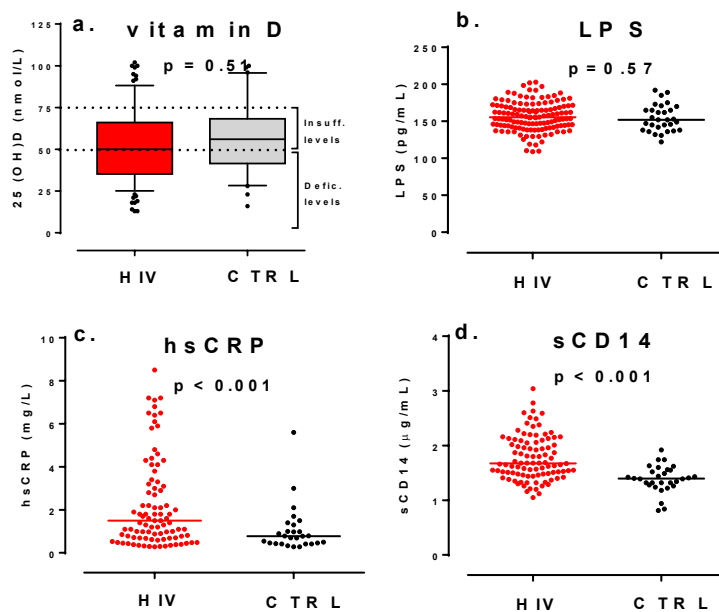


Figure 4.

Comparison of vitamin D (a); LPS (b); hsCRP (c); and sCD14 (d) in HIV-1 and non-HIV controls.

Values represented by median (10th -90th percentile). P-values calculated by Mann-whitney u-test.

Table 2. Regression analyses of predictors for CRP, or sCD14 in HIV patients.

Inflammation marker	Independent variable	Estimate	95 % CI	P-value
hsCRP (model 1)	HIV	1.50	(0.59, 2.40)	0.001
hsCRP (model 2)	HIV	1.46	(0.42, 2.50)	0.006
	Vitamin D	-0.00	(-0.02, 0.01)	0.704
	Age	0.00	(-0.05, 0.05)	0.915
	BMI	0.17	(0.03, 0.30)	0.017
	Smoker	0.47	(-0.46, 1.41)	0.321
sCD14 (model 1)	HIV	0.368	(0.242, 0.493)	<0.001
sCD14 (model 2)	HIV	0.394	(0.247, 0.540)	<0.001
	Vitamin D	0.001	(-0.003, 0.005)	0.573
	Age	-0.004	(-0.012, 0.004)	0.375
	BMI	-0.009	(-0.031, 0.013)	0.431
	Smoker	0.008	(-0.196, 0.212)	0.940

Due to a skewed distribution hsCRP was analyzed by logistic regression. Linear regression was used for sCD14.

Vitamin D insufficiency was highly prevalent in both HIV-1 and non-HIV controls in our material, which is comparable to the results from several vitamin D studies in HIV-1 populations, as well as a population-based Swedish study from the Gothenburg region (116, 134). However, in contrast to many of the previous cross-sectional studies in HIV-1 we found no significant links between vitamin D and degree of measured immune activation. There are many possible explanations for this difference.

First, in the HIV-1 population studies of Legeai et al and Ansemant et al, vitamin D deficiency (here defined as < 25 nmol/L) associated with hsCRP and IL-6. But this association was weakened in multiple regression analyses where vitamin D deficiency mainly associated with smoking, severe immunosuppression and co-infections with hepatitis B and C (102, 108). Since these conditions are known to be associated with a high degree of MT and systemic inflammation the relation between vitamin D, hsCRP and IL-6 remains less clear. In contrast, our HIV-1 study population had well-controlled viremia, a normalized CD4 cell count and patients with hepatitis and other inflammatory or infectious conditions were excluded.

Second, the difference may also be related to race and genetic polymorphisms where the population of Legeai et al. and Ansemant et al., were of mixed ethnicity (102, 108), whereas our study population was strictly Caucasian. The majority of circulating 25(OH)D vitamin is bound to vitamin D binding protein (VDBP) and albumin, and only the unbound fraction of vitamin D is functionally active. A study of Afro-Americans found that genetic polymorphism, not only explained the lower levels of 25(OH)D vitamin frequently observed in populations of African descent, but that it also affected expression of VDBP. Importantly, bioavailable vitamin D levels in the Afro-American population were similar to whites when DBP levels were taken into account, pointing to the inherent difficulties in estimating vitamin D related effects based on 25(OH)D levels alone (135).

Finally and similar to previous studies, we found increased levels of hsCRP and sCD14 in the HIV-1 population despite successful viral suppression through ART (136-138). In contrast we did not find elevated LPS-levels as a marker for MT, suggesting that MT may not play a dominant part as a driver of immune activation in this population. Also, although there is good evidence that MT is a contributory factor to immune activation, few of the common biomarkers are entirely specific for MT, or epithelial disruption in the gut. LPS is believed to be a major trigger of monocyte activation and sCD14 release, but other components such as gram-positive bacteria and inflammatory cytokines can also induce release of sCD14. Of note, few studies have found an association between LPS and sCD14 other than in immunosuppressed HIV-1 populations (139). Taken together evidence suggest that these two markers may reflect different pathologic pathways and sCD14 should not necessarily be regarded as an MT marker. Furthermore, although we did not find any correlation, smoking has been independently associated with immune activation measured by hsCRP and sCD14 in general as well as HIV-1 populations (140-142).

Considering the interest of therapeutic approaches aimed at reversing intestinal damage in HIV-1, it is important to find additional biomarkers that are better at evaluating the restoration

of gut immune barrier function and underlying pathology. Answering to this knowledge-gap, a recently published study addressed whether soluble Dipeptidylpeptidase 4 (sDPP4) levels could be used as a systemic marker of intestinal Th17 levels and gut immune damage during HIV-1 infection. DPP4 (also known as CD26), is a membrane-associated enzyme on CD4 cells with a specifically high expression on Th17 cells. Notably, levels of the soluble form, sDPP4, were decreased in primary and suppressed HIV-1 infection. Further, IL-21 treatment in a non-human primate model restored circulating sDPP4 levels and this increase was associated with restoration of the Th17 cell compartment and reduced inflammation in the gut mucosa (143), thus suggesting that circulating sDPP4 could be used as a surrogate marker for HIV-induced intestinal damage.

5.2 PAPER II, & III

With the hypothesis that TMAO may constitute a novel link between gut microbiome and inflammaging in CKD and in HIV-1- patients, we assessed contribution of TMAO to mortality and risk of cardiovascular events, in cross-sectional and retrospective cohorts of CKD (paper II) and HIV-1 (paper III)-patients at various disease stages. In addition we studied its association with glomerular filtration rate (GFR), effect of dialysis and renal transplantation (Rtx), as well as associations with degree of MT, immune activation and microbial composition. For demographic data see table I

We found that elevated TMAO associated with increased systemic inflammation measured by hsCRP and was an independent predictor of mortality in CKD 3-5 patients (**Fig 5 a, b and Table 3**). TMAO levels were strongly associated with renal function in CKD-patients and normalized after renal transplantation (**Fig 6**).

In HIV-1, TMAO levels increased significantly after ART-initiation, but never exceeded non-HIV controls (Fig 7). Subjects without an increase in TMAO levels after ART had; lower CD4/CD8 ratio at baseline (BL); a more pronounced gut dysbiosis at follow-up (FU) characterized by loss of Bacteroidetes; and significantly elevated LPS levels at FU (**Fig 8 a-d**). TMAO levels correlated inversely with Bacteroidetes (Rho: -0.62, P=0.002), and positively with Firmicutes (Rho: 0.65, P=0.001), but held no correlation to established TMA-producing genera. TMAO levels were not predicted by ART, immune status or degree of systemic inflammation in any cohort. Elevated TMAO-levels did not predict cardiovascular events in well-controlled HIV-1 (HR 2.76: 95% CI 0.29-26.70, p=0.38)

a.

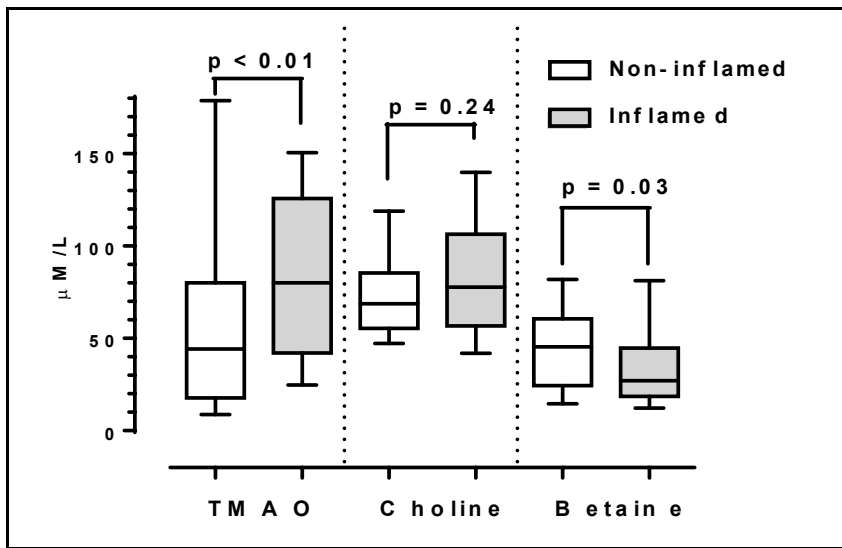


Figure 5.

Elevated TMAO levels in CKD 3-5 patients; associated with an inflamed status (hsCRP ≥ 10 mg/L) (a); and predicted risk for all-cause mortality in Kaplan-Meier analysis (b).

Boxplots represented by median (10th-90th percentile). P-values calculated by Mann-whitney u-test.

b.

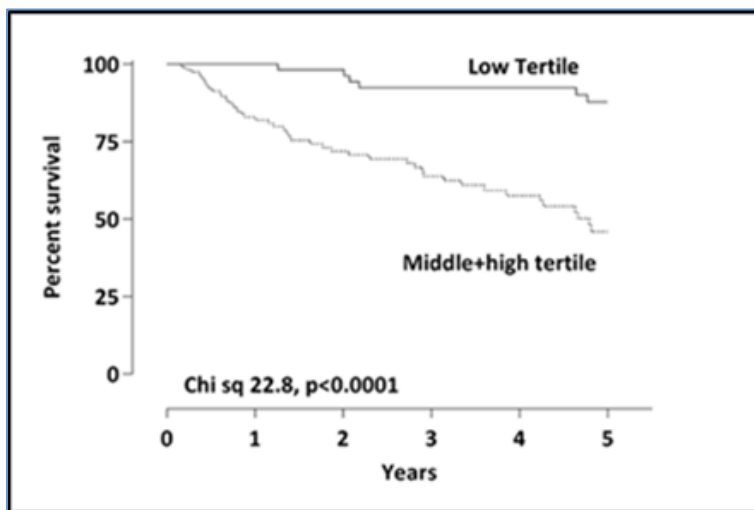


Table 3. Cox proportional hazards analysis of plasma TMAO levels stratified by tertiles in predicting risk of all-cause mortality at 5 years in CKD 3–5 patients.

Variable	HR (95% CI)	P-value
Middle (32.2-75.2 $\mu\text{M/L}$)+ high tertile (>72.2 $\mu\text{M/L}$)	6.29 (2.67-14.8)	<0.0001
+gender+age	6.16 (2.59-14.7)	<0.0001
+gender+age+dm	8.23 (2.90-23.4)	<0.0001
+gender+age+dm+hsCRP	6.68 (2.33-19.1)	0.0004
+gender+age+dm+hsCRP+GFR	4.32 (1.32-14.2)	0.016

Abbreviations: DM, diabetes mellitus; hsCRP, high sensitivity CRP; GFR, glomerular filtration rate

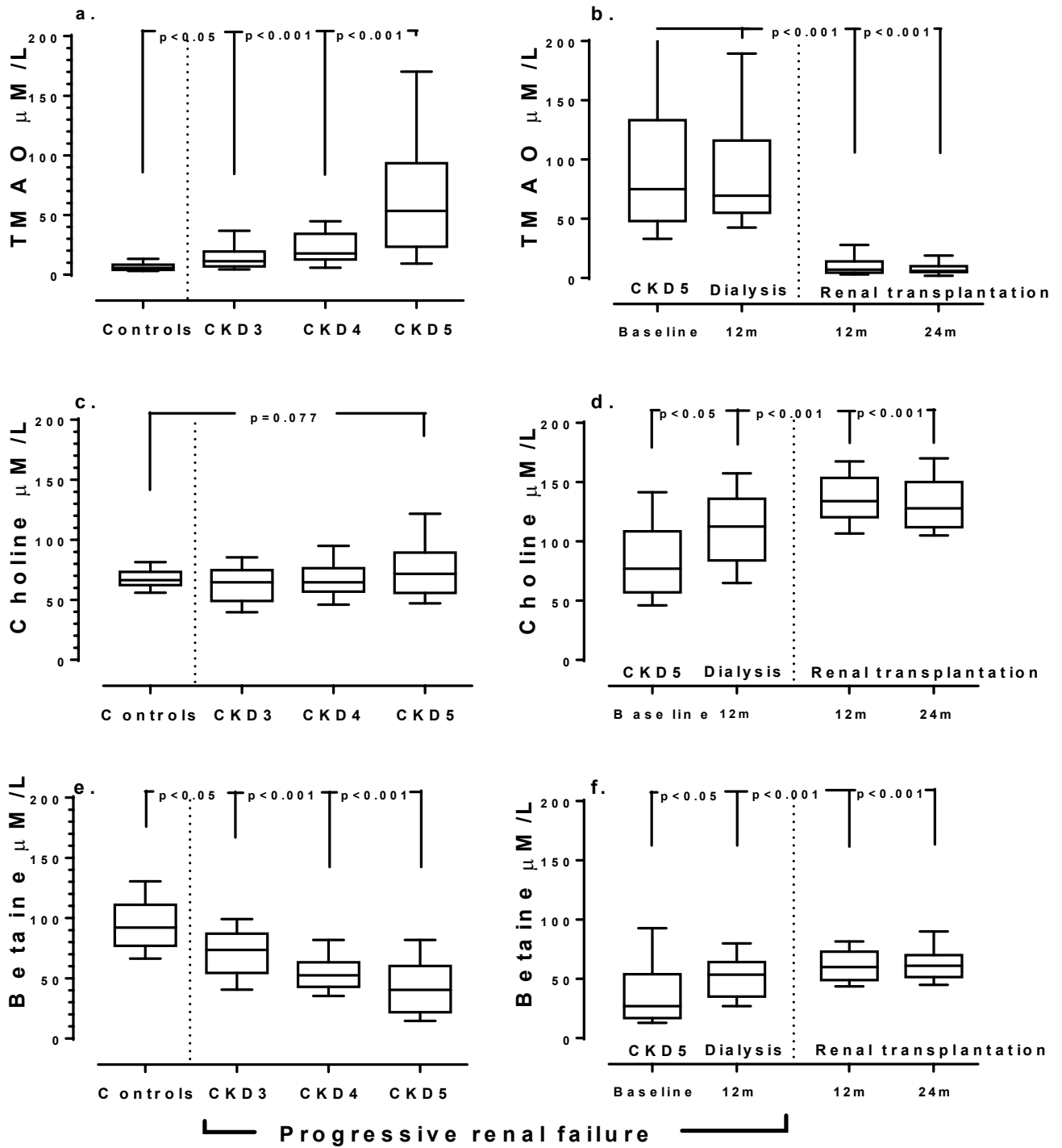


Figure 6.

TMAO levels in CKD stage 3-5, compared to healthy controls (a, c, and e), and end-stage renal disease patients recruited before start of dialysis (baseline), and reassessed 12 months after start of dialysis and/or 12 and 24 months after renal transplantation (b, d and f).

Values expressed as median (10-90th percentile). P-values analyzed by Kruskal-Wallis' on-way ANOVA, followed by Dunn's multiple comparison test.

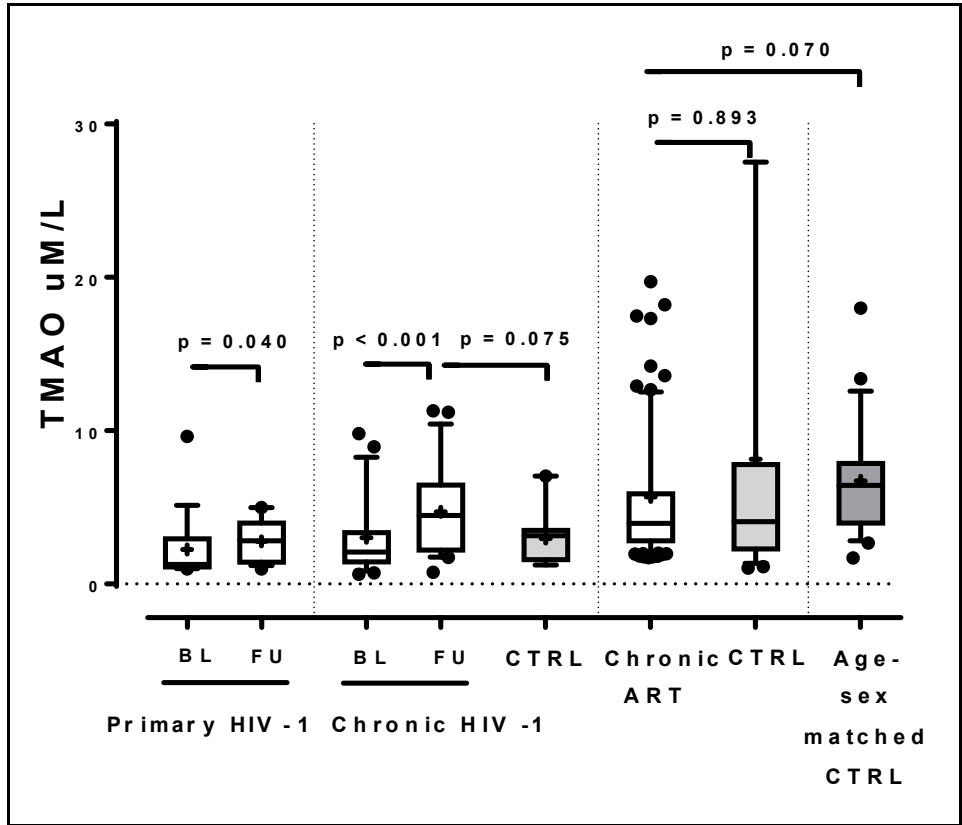


Figure 7.

TMAO levels in; primary infected and chronic HIV-1 infected individuals followed from baseline (BL) to follow-up (FU) after 4-10 months ART treatment; and chronic HIV-1-infected individuals on long-term ART (chronic ART) and healthy controls (CTRL).

P values are generated by Wilcoxon signed-rank (BL-FU) and Mann-Whitney *U* test.

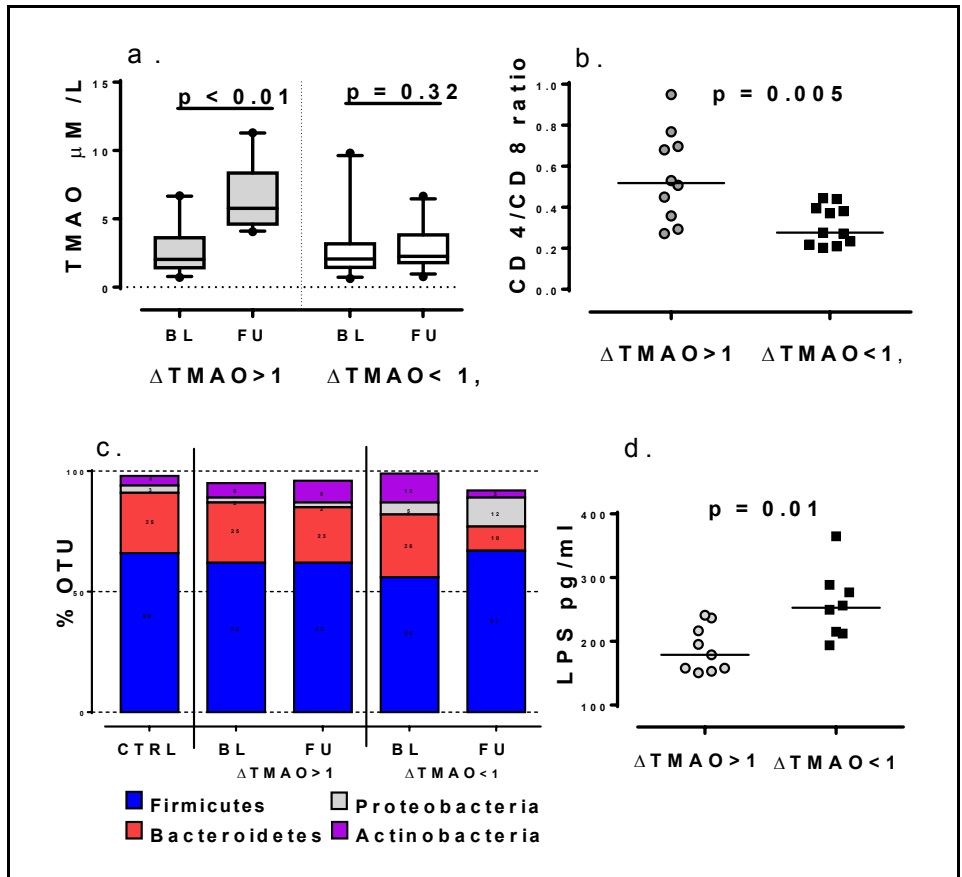


Figure 8.

Differential change in plasma TMAO levels after ART initiation (Δ TMAO: follow-up (FU) – baseline (BL)) (a) in relation to CD4/CD8 ratio at baseline (b), microbial composition (c), and LPS levels at follow-up (d).

P values are generated by Wilcoxon signed-rank test (a) and Mann-Whitney *U* test (b, d).

In line with our hypothesis we found that TMAO levels did indeed correlate with hsCRP in CKD, but did not observe any association between TMAO and inflammatory biomarkers in HIV-1 regardless of disease stage. In fact, few other studies have found a clear correlation between TMAO and inflammatory markers known to predict cardiovascular risk. In contrast, TMAO was inversely correlated with inflammatory markers in CKD patients on haemodialysis (91). However, a recent study on HIV-1 infected individuals found that TMAO was positively associated with serum sCD14, sCD136 (both markers of monocyte activation and macrophage inflammation) and kynurenine/tryptophan ratio, in multiple regression analyses (144).

Similar to our results, Tang et al. found that TMAO levels increased with CKD and predicted poorer long-term survival (74). Stubbs et al. and Kim et al. found that elevated TMAO was an independent predictor for cardiovascular events and predicted long-term mortality in CKD independent of traditional cardiac risk factors (70, 145). However, other studies suggest a confounding role of TMAO and that the association between TMAO and adverse cardiovascular events may in part be driven by impaired kidney function and poor metabolic control (146). TMAO has since many years been identified as a uremic toxin that accumulate with renal insufficiency. A causal link between TMAO and renal fibrosis, related to its effects on the transforming growth factor- β (TGF β)-SMAD3 signaling axis, has recently been demonstrated in murine models (73), thus adding another layer of difficulty in interpreting the cause and effect of TMAO in CKD.

In HIV-1, the support for TMAO as a major driver of adverse cardiovascular events is relatively weak (87-90), with the exception of the study of Shan et al. that not only observed a correlation with TMAO and inflammation, but also found that elevated TMAO associated with progression of carotid artery atherosclerosis in HIV-1 (144). In contrast to our study and previous studies of more limited sample size, the results of this study is based on 520 HIV-1 infected individuals followed over 7 years. However, the study did not address renal function as a possible confounder. Nor did they have information regarding clinical CVD events although carotid artery atherosclerosis is a predictor of CVD events. Of note, no study has demonstrated higher TMAO levels in HIV-1 populations compared to non-HIV controls. On the contrary, we found that TMAO levels were decreased in untreated HIV and only normalized with treatment.

Furthermore, contrary to our hypothesis we did not find evidence that gut dysbiosis in CKD and TMAO would lead to elevated TMAO. Previous studies have demonstrated that gut microbiome regulates TMAO levels (73) and that TMA converting enzymes are found in Firmicutes, and Proteobacteria but appear to be missing in Bacteroidetes (82, 83, 86). Studies of the microbiome in CKD have demonstrated increased prevalence of Proteobacteria with TMA converting capacity (9, 147) suggesting that gut microbiota may contribute to the net-impact of circulating TMAO in CKD. There are, however, no studies assessing gut microbiome and TMAO in CKD available at present. Similar to the study by Stubbs et al. (70) we found that TMAO levels normalize with transplantation, which does not support a dominating role of the microbiome in regulating TMAO levels in CKD.

In HIV-1, we failed to find a clear correlation between circulating TMAO and composition of gut microbiota, despite increased prevalence of bacteria from the Proteobacteria and decreased bacteria from the Bacteroidetes phylum after ART treatment that theoretically would suggest an increased prevalence of TMA converting bacteria in the gut. In fact, the lack of TMAO gain after treatment was associated with signs of a more disturbed microbiota and increased MT, suggesting that TMAO levels in HIV-1 might be affected both by HIV-1 infection, ART, as well as microbiome and local gut inflammation

5.3 PAPER IV

To investigate the effects of vitamin D and PBA supplementation on gut-derived immune activation, and microbiome with a special focus on TMAO and tryptophan metabolism in HIV-1, we performed a double-blind, randomized and placebo-controlled trial of daily supplementation with 5000 IU vitamin D and 500 mg PBA in treatment-naïve HIV-1-infected individual in Addis Abeba, Ethiopia,. For demographics see table I.

At inclusion the majority of the subjects were vitamin D insufficient (92%). Vitamin D levels increased significantly after 16 weeks of supplementation, proving high treatment efficacy. However, and in contrast with our hypothesis, treatment failed to produce significant effects on circulating immune activation markers sCD14, LL-37, or levels of TMAO and kynurenine/tryptophan ratio. Nor was there a significant treatment effect on the colonic mucosal microbiome with regard to alpha diversity measured by number of operational taxonomic units and Shannon microbial diversity index, or in beta diversity measured by principal component analyses.

The choice of combining vitamin D and PBA was to increase LL-37 production from epithelial cells and macrophages, as previously described (148), thus strengthening the mucosal defences. However, the combination also infers interpretational difficulties. The role of circulating LL-37 remains to be defined, but has been used by others as a surrogate marker for vitamin D effect on innate immunity. Surprisingly we did not find that supplementation increased LL-37 levels in plasma as previously described (149, 150). It is possible that the lack of effect on LL-37 in our study in part can be explained by methodological problems with an LL-37 assay that showed great inter-individual variations. In addition, it is possible that the combination with PBA, by virtue of its role as an HDAC-inhibitor (151), may have reactivated latent HIV (152), thus confounding the desired outcomes. One may also speculate if un-controlled viremia opposed the modulatory effects of vitamin D and PBA through downregulating of the VDR receptor, as described in studies of human podocytes and T and NK cells exposed to HIV in-vitro (109, 110). In addition, a recent study demonstrated that in-vitro stimulation with butyrate increased IDO1 activity in macrophages (153). Although this has not been studied with PBA, which is a synthetic butyrate analogue, the lack of reduction of the kynurenine/tryptophan-ratio might be related to a similar IDO1-stimulating effect.

Finally, the cohort selection represent HIV-1 infected individuals of relatively good immune status where dysbiosis, and gut derived inflammation may be less advanced, thus making possible treatment-effects too small to detect.

6 OVERALL CONCLUSIONS

Well-controlled Swedish HIV-1 infected individuals were subjected to increased immune activation measured by elevated hsCRP and sCD14 despite many years of effective treatment. They did not, however, display increased levels of MT measured by LPS. The results emphasize the need for new plasma biomarkers that more specifically represent a dysregulated immune gut barrier and/or underlying dysbiosis for evaluation in future treatment strategies.

Assessment of the microbial metabolite TMAO as a novel link between gut microbiome and inflammaging in CKD, supports a contributory role for TMAO levels in immune activation and all-cause mortality. However, the role of TMAO in the cardiovascular pathogenesis in CKD was not specifically addressed. Moreover, elevated TMAO levels were strongly related to kidney functions suggesting that the effects should be interpreted with caution.

In HIV-1, TMAO levels were lower in untreated individuals and normalized with treatment, but held no association with immune status, markers of immune activation or MT. Nor did TMAO levels clearly associate with gut microbiome, or degree of dysbiosis. Although the cardiovascular risks associated with elevated TMAO have been demonstrated in general population, our data does not support TMAO as significant link between gut dysbiosis inflammaging and CVD in HIV.

Assessment of vitamin D in Swedish and Ethiopian HIV-1 cohorts found ample room for vitamin D supplementation, based on present recommendations regarding vitamin D levels. Vitamin D did not, however, correlate to degree of immune activation or MT in any cohort. Nor did supplementation with vitamin D and PBA effect the degree of immune activation, levels of TMAO and kynurenine/tryptophan-ratio or gut microbiome, despite normalized vitamin D levels.

7 FUTURE PERSPECTIVES

Although suppressed HIV-1 infected individuals face a far less risk of severe cardiovascular events and other co-morbidity compared to CKD patients, the two conditions share similar pathognomonic features characterized by inflammaging through a dysregulated gut and underlying dysbiosis. However, although there certainly is mounting evidence linking the gut with systemic immune activation there is a paucity of knowledge regarding underlying microbial network. In fact, the intestine is an entire eco-system inside the human host that possess many qualities of an endocrine organ and our knowledge of what constitutes a healthy gut is still in its infancy.

In order to better address the impact on gut derived inflammaging as a driver of multi-morbidity in HIV and CKD, well-controlled studies on the exact nature of gut dysbiosis, and underlying mechanisms, in relation to diet, lifestyle, and comorbidities, should be performed in diverse ethnic populations. More importantly, prospective cohort studies assessing the microbiome before and during disease development would bring valuable information on disease relevant changes.

Another, and possibly more effective way, is to study the functional pathways of microbial metabolites associated with disease with the intent to tailor treatment that can “drug-the bug” and prevent disease development. In this regard TMAO is one of the best investigated substrates. Importantly, this concept has been proven efficient in a recent murine study demonstrating that inhibition of TMA converting enzymes in the gut reduced TMA and TMAO levels and protected mice from diet-induced atherosclerosis. (154). In CKD there is now a clear indication that TMAO may indeed contribute to CVD, outside of common risk factors, and that directed efforts to limit, or block TMAO mediated effects may prove a valuable treatment option. In contrast TMAO mediated effects does not appear to play a dominating role in HIV-1. In addition, at present there is little data on how dysbiosis may effect TMAO production in humans.

Many other microbial metabolites have been identified as contributors to disease, such as p-cresyl, indoxyl sulfate to name but a few, but there are many candidate substrates that remain to be identified. Methods such as metabolomics can help identify and quantify disease-relevant metabolites to be tested mechanistically in-vitro and in animal models to prove causal effects. In HIV, immune activation and disease progression has been linked with excessive activity of the kynurenine pathway of tryptophan metabolism, driven by inflammation-induced IDO1. Interestingly, evidence now point to a contributory role of gut bacteria with capacity to metabolize tryptophan through the kynurenine pathway, thus presenting a potential interventional target.

Finally, identifying microbial- host receptor signaling system may also prove a valuable way of targeting the dysregulated gut. It is clear that VDR signaling plays a profound role in gut homeostasis and is under both bacterial and host control. VDR is a target for SCFAs, vitamin D, as well as hormonal regulation. In IBD, VDR polymorphisms and down regulation of the

VDR element are linked with disease activity. If VDR downregulation plays an integral part in HIV-1 related effects on gut mucosal barrier function remains to be further investigated. The lack of result observed in our RCT might in part have been the result of conflicting effects of the combination, as well as uncontrolled viremia. Of note, results are underway from a recent RCT on the effect of vitamin D supplementation alone, on immune activation, Th17 cell frequency, gut barrier integrity and the gut microbiome (ClinicalTrials.gov Identifier: NCT03426592). In contrast to our study on ART-naïve individuals, the researcher have only included subjects on suppressive ART, which will make an interesting comparison.

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