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EXPLORING THE ROLE OF P73- ISOFORMS DURING TUMOR DEVELOPMENT

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Exploring the role of p73-isoforms during tumor
development
THESIS FOR DOCTORAL DEGREE (Ph.D.)

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To all those who died of cancer...

ABSTRACT

The p73 gene was first discovered in 1997 as a family member of p53, and since then this gene has been studied extensively in cancer biology. Among its two main classes of isoforms, TAp73 acts as a tumor suppressor, while in contrast Δ Np73 was shown to act in a dominant negative fashion as an oncogene which opposes the functions of TAp73 and p53. This thesis focuses on further deciphering the role of p73 isoforms in tumor angiogenesis, tumor microenvironment and drug resistance which promote tumor development. Tumor initiation heavily relies on the loss of tumor suppressors or gain of function of oncogenes in tumor cells, subsequently resulting in the production of factors that modulate the tumor microenvironment in favor of tumor progression. Solid tumors commonly have a hypoxic core due to extensive growth in size, thus limiting oxygen levels for tumor cell survival. Tumor cells overcome this via producing angiogenic factors to recruit more blood vessels into the tumor for meeting the oxygen and nutrition demands. In **Paper I**, we discovered that absence of the tumor suppressor TAp73 leads to increased production of angiogenic factors and tumor angiogenesis. Moreover, this angiogenic response was driven via HIF1 α . On the other hand, Δ Np73 showed positive regulation of angiogenic gene expression and angiogenic events. In **Paper II**, we further verified the role of TAp73 in regulation of the angiogenic chemokine CCL2, where TAp73 suppresses CCL2 expression via regulation of NF κ B activity. Additionally, TAp73 deficient tumors favored increased recruitment of tumor supporting macrophages. A negative correlation of *TP73* with macrophage markers was also confirmed in several human cancer datasets. In **Paper III**, we further investigated the role of Δ Np73-mediated regulation of HIF1 α stability in normoxia. Δ Np73 was found to positively regulate HIF1 α stability via regulation of ECV genes, which are important components of the proteasome mediated HIF1 α degradation pathway. Finally, in **Paper IV**, we addressed Δ Np73's involvement in drug resistance. Oncogenic Δ Np73 upregulated the expression of multidrug resistance related ABC transporters. Additionally, Δ Np73 deficiency reduced drug efflux capacity of breast cancer cells. The positive correlation of ABC transporters and Δ Np73 was also confirmed in melanoma.

In summary, the findings in this thesis further enlighten the role of p73 isoforms in different aspects of tumor development that support chemoresistance and tumor progression.

LIST OF SCIENTIFIC PAPERS

- I. Marina Stantic, **Habib A. M. Sakil**, Hanna Zirath, Trixy Fang, Gema Sanz, Alejandro Fernandez-Woodbridge, Ana Marin, Evelyn Susanto, Tak W. Mak, Marie Arsenian Henriksson, and Margareta T. Wilhelm
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- II. **Habib A. M. Sakil**, Johanna Wolfsberger, Marina Stantic, Trixy Fang, and Margareta T. Wilhelm
TAp73 deficiency enhances CCL2 expression and increase intra-tumoral infiltration of tumor-associated macrophages.
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- III. Marina Stantic, Johanna Wolfsberger, **Habib A. M. Sakil**, and Margareta T. Wilhelm
 Δ Np73 enhances HIF-1 α protein stability through repression of the ECV complex.
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- IV. **Habib A. M. Sakil**, Marina Stantic, Johanna Wolfsberger, Suzanne Egyhazi Brage, Johan Hansson and Margareta T. Wilhelm
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JNK–NQO1 axis drives TAp73-mediated tumor suppression upon oxidative and proteasomal stress.
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LIST OF ABBREVIATIONS

ABC	ATP-binding cassette
ABCB1	ATP-binding cassette sub-family B member 1
ABCB5	ATP-binding cassette sub-family B member 5
ABCA2	ATP-binding cassette sub-family A member 2
ABCA5	ATP-binding cassette sub-family A member 5
ABCA6	ATP-binding cassette sub-family A member 6
ABCA8	ATP-binding cassette sub-family A member 8
ABCA9	ATP-binding cassette sub-family A member 9
ABCA10	ATP-binding cassette sub-family A member 10
ABCD2	ATP-binding cassette sub-family D member 2
ABCG2	ATP-binding cassette sub-family G member 2
ABL	Abelson murine leukemia viral oncogene homolog 1
AD	Atopic dermatitis
ALL	Acute lymphoblastic leukemia
APCs	Antigen presenting cells
APL	Acute promyelocytic leukemia
ATF3	Activating transcription factor 3
ATM	Ataxia-telangiectasia mutated
Ang-1	Angiopoietin 1
α -SMA	Alpha smooth muscle actin
BAD	Bcl-2-associated death promoter
Bai1	Brain-specific angiogenesis inhibitor 1
BAX	Bcl-2-associated X protein
Bcl-2	B-cell lymphoma 2
BCL3	B-cell lymphoma 3-encoded protein
bHLH	Basic helix–loop–helix
BIK	Bcl-2-interacting killer
BRCA1	BReast Cancer genes 1
Breg	B regulatory cell
Bub1	Budding uninhibited by benzimidazoles 1

Bub3	Budding uninhibited by benzimidazoles
BubR1	Budding uninhibited by benzimidazoles receptor 1
CAD	Caspase activated DNase
CAFs	Cancer associated fibroblasts
CBP	CREB-binding protein
CCL2	Chemokine (C-C motif) ligand 2
CCL3	Chemokine (C-C motif) ligand 3
CCL4	Chemokine (C-C motif) ligand 4
CCL5	Chemokine (C-C motif) ligand 5
CCL20	Chemokine (C-C motif) ligand 20
CCR2	C-C chemokine receptor type 2
CD4	Cluster of differentiation 4
CD8	Cluster of differentiation 8
CD25	Cluster of differentiation 25
CD31	Cluster of differentiation 31
CD94	Cluster of differentiation 94
CD95	Cluster of differentiation 95
CD146	Cluster of differentiation 146
CD206	Cluster of differentiation 206
cDC2s	Classical dendritic cells
CDKs	Cyclin-dependent kinases
Chk1	Checkpoint kinase 1
COX2	Cyclooxygenase 2
Cox4i1	Cytochrome C oxidase subunit IV
CSF1	Colony Stimulating Factor 1
CSF3	Colony Stimulating Factor 3
CSF1R	Colony Stimulating Factor receptor 1
Cxcl1	Chemokine (C-X-C motif) ligand 1
CXCL8	Chemokine (C-X-C motif) ligand 8
CXCL9	Chemokine (C-X-C motif) ligand 9
CXCL10	Chemokine (C-X-C motif) ligand 10

CTLA-4	Cytotoxic T-lymphocyte-associated protein 4
Cul2	Cullin 2
DDR2	Discoidin domain receptor family, member 2
DNAM-1	DNAX Accessory Molecule-1
DRAM	DNA damage regulated autophagy modulator
ECM	Extracellular matrix
EMT	Epithelial to mesenchymal transition
Ereg	Epiregulin
EGFR	Epidermal growth factor receptor
Egr-1	Early growth response protein 1
FBXO45	F-box protein 45
FGF	Fibroblast Growth Factor
FGF2	Fibroblast growth factor 2
FSP1	Fibroblast-specific protein 1
GPCRs	G-protein-coupled receptors
GLUT1	Glucose transporter 1
GLUT3	Glucose transporter 3
GM-CSF	Granulocyte Colony Stimulating Factor
HCK	Hematopoietic cell kinase
HDM2	Human double minute 2 homolog
HIF	Hypoxia-inducible factor
HIF1 α	Hypoxia-inducible factor 1-alpha
HIF2 α	Hypoxia-inducible factor 2-alpha
HIF3 α	Hypoxia-inducible factor 3-alpha
HRE	Hypoxia Response Elements
IBS	Irritable bowel syndrome
ICAD	inhibitor of caspase activated DNase
ICAM-2	Intercellular Adhesion Molecule 2
IFN γ	Interferon gamma
IGF1R	insulin-like growth factor receptor 1
IgG	Immunoglobulin G

IKBkA	Inhibitor of NFκB kinase subunit alpha
IKBkB	Inhibitor of NFκB kinase subunit beta
IKBkG	Inhibitor of NFκB kinase subunit gamma
IKBkE	Inhibitor of NFκB kinase subunit epsilon
IKK2	Inhibitor of nuclear factor kappa-B kinase subunit beta
iMCs	Immature myeloid cells
iNOS	Inducible nitric oxide synthase
IL1β	Interleukin 1 beta
IL-4	Interleukin 4
IL6	Interleukin 6
IL8	Interleukin 8
IL10	Interleukin 10
IL13	Interleukin 13
IL-17	Interleukin 17
IL21	Interleukin 21
IL22	Interleukin 22
JNK	c-Jun N-terminal kinase
KEGG	Kyoto Encyclopedia of Genes and Genomes
KIR	Killer-cell immunoglobulin-like receptors
LDHA	Lactate dehydrogenase A
MDR1	Multidrug resistance gene 1
MDM2	Mouse double minute 2 homolog
MDSC	Myeloid-derived suppressor cells
MET	Mesenchymal to Epithelial Transition
MMP7	Matrix metalloproteinase 7
MMP9	Matrix metalloproteinase 9
MMP12	Matrix metalloproteinase 12
Mrc1	Mannose receptor 1
NFκB	Nuclear factor kappa-light-chain-enhancer of activated B cells
NFκB1	Nuclear factor κB 1

NFκB2	Nuclear factor κB 2
NFκBIA	NFκB inhibitor alpha
NFκBIB	NFκB inhibitor beta
NFκBIE	NFκB inhibitor epsilon
NKG2A	Natural-killer group 2, member A
NKG2D	Natural-killer group 2, member D
NO	Nitric oxide
p53AIP1	p53-regulated apoptosis-inducing protein 1
PARP1	Poly [ADP-Ribose] Polymerase 1
PD-1	Programmed cell death-1
PDGF	Platelet-derived growth factor
PDGF-β	Platelet-derived growth factor beta
PDGFC	platelet-derived growth factor c
PDGFRα	Platelet-derived growth factor receptor alpha
PDGFRβ	Platelet-derived growth factor receptor beta
PDK1	Pyruvate Dehydrogenase Kinase 1
PD-L1	Programmed death-ligand 1
PERP	p53 apoptosis effector related to PMP-22
PHD	Prolyl hydroxylase
PHD1	Prolyl hydroxylase 1
PHD2	Prolyl hydroxylase 2
PHD3	Prolyl hydroxylase 3
PIAS-1	Protein inhibitor of activated STAT
PIR2	p73 induced ring finger protein 2
PIGF	Placental growth factor
PUMA	p53 upregulated modulator of apoptosis
pVHL	Von Hippel-Lindau
PLK3	Polo-like kinase 3
RanBP9	RAN Binding Protein 9
ROS	Reactive oxygen species
RNPC1	RNA-binding region-containing protein 1

SAC	Spindle assembly checkpoint
SAM	Sterile alpha motif
SEMA3A	Semaphorin 3A
Sema4D	Semaphorin 4D
Spl	Squamous promoter binding protein like
STATs	Signal transducer and activator of transcription
SUMO-1	Small ubiquitin-related modifier 1
TAMs	Tumor associated macrophages
TCGA	The Cancer Genome Atlas
TGF β	Human double minute 2 homolog
TID	Transcription inhibitory domain
TNF-R1	Tumor necrosis factor receptor 1
TNF- α	Tumor necrosis factor alpha
TNF	Tumor necrosis factor
Tnfaip2	Tumor necrosis factor alpha induced protein 2
TRAIL-R2	TNF-related apoptosis-inducing ligand receptor 2
TRAIL-R1	TNF-related apoptosis-inducing ligand receptor 1
Treg	T regulatory cells
TRK	Tropomyosin receptor kinase
VE-cadherin	Vascular endothelial cadherin
VEGF	Vascular endothelial growth factor
VEGFR1	Vascular endothelial growth factor receptor 1
VEGFR2	Vascular endothelial growth factor receptor 2
VEGFR3	Vascular endothelial growth factor receptor 3
VEGFA	Vascular endothelial growth factor A
VEGFC	Vascular endothelial growth factor B
VEGFD	Vascular endothelial growth factor D
WHO	World Health Organization
ZEB1	Zinc Finger protein 1

1 INTRODUCTION

1.1 CANCER AND TUMOR

Tumor is a generic term given for a mass or lump of cells. In normal physiology, cell division is a regular and tightly controlled event, however, under unfavorable circumstances when cells undergo abnormal cell division it leads to the formation of tumors or neoplasms. Tumors can be benign or malignant. Benign tumors are usually confined to a specific location and cannot invade neighboring tissue or spread to other parts of the body. Malignant tumors not only invade but also acquire the ability to migrate to distant sites through the circulatory or lymphatic system, resulting in cancer. According to WHO, cancer was the second major cause of global death claiming nearly 8.8 million lives worldwide in 2015. In males, the most common types of cancers include lung, prostate, colorectal, gastric and liver cancer whereas in females it includes breast, colorectal, lung, cervix and gastric cancer¹. The term cancer was first coined from the Greek word ‘karkinos’ for crab because similar to a crab ‘once it gets hold of you it never lets go’². The reason behind the use of this terminology is that even after a cancer patient is treated with currently available therapies, there may still be dormant or resistant cells that later undergo clonal expansion to form new tumors. This still holds cancer as an unresolved mystery.

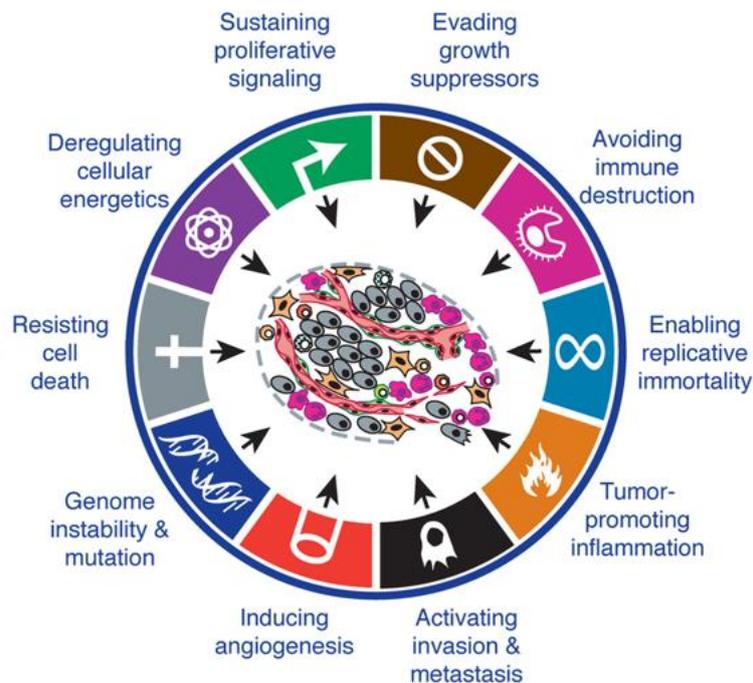


Figure 1. The hallmarks of cancer. Adapted from *Hanahan and Weinberg, Cell 2011* in compliance with the conditions of the Elsevier user license.

1.1.1 The hallmarks of cancer

Hanahan and Weinberg primarily listed six important traits that normal cells need to acquire starting from the initiation of cancer to cancer development, progression and metastatic dissemination³. In an updated version, they included four new features that are referred to as the emerging hallmarks of cancer⁴. Together, these ten prime characteristics are: (1) continuous proliferative signaling causing uncontrolled clonal expansion (2) insensitivity to growth inhibitory signals (3) resistance to cellular apoptosis or cell death (4) limitless clonal expansion (5) tumor angiogenesis (6) invasion and metastasis to secondary site in distal part of the body (7) altered cell metabolism (8) recurrent mutation and genomic instability (9) altered phenotypic characteristics to avoid immune cell mediated clearance and (10) sustained inflammatory microenvironment⁴. All these features have been depicted in Figure 1.

1.1.2 Oncogenes and tumor suppressors

Tumor cells are very distinct from their normal cellular counterparts in many aspects including; growth regulation, morphology, cellular interaction, membrane proteins, and differential gene and protein expression. These unique features are mainly supported by two family of genes, namely proto-oncogenes and tumor suppressor genes, which function to control cell growth, survival and differentiation; nonfunctional mutation or deletion of tumor suppressor genes may result in cancer progression⁵. In most cases, cancer results due to gain of function of proto-oncogenes/oncogenes and/or loss of function of tumor suppressor genes and proteins.

Proto-oncogenes are a class of genes, which play a significant role in different checkpoints of cell division, differentiation, proliferation, cell survival and cell death (apoptosis); over-activation of these genes results in malignancy. Proto-oncogenes mostly remain active during embryonic development and are generally turned off during adulthood. Mutations or genetic alterations in the DNA, like deletions or amplifications, may activate proto-oncogenes. This could ultimately increase expression or alter proto-oncogene function to provide survival advantage to non-tumoral cells. The most common proto-oncogenes are growth factors, receptor/non-receptor tyrosine kinases, serine threonine kinases, G-protein-coupled receptors (GPCRs) and transcription factors.

Oncogenes are the mutated form of proto-oncogenes that become activated by genetic changes including; chromosomal translocations, deletions, insertions, amplifications, point mutations, or enhanced transcription of their genes. All these events can be instrumental in altering the protein structure, function, or regulation of the oncoprotein. Additionally, different viruses and viral proteins can transform cells via conversion of proto-oncogenes to oncogenes that later

fuels cell growth through controlling key cell division factors and inhibiting cellular apoptosis, thus allowing cells to multiply in an uncontrolled manner resulting in tumor development. Oncogenes or oncoproteins can be broadly categorized into several groups including non-receptor TKs (SRC, ABL), serine/threonine kinases (AKT, RAF1), growth factors (PDGF), growth factor receptors (EGFR, MET, RET, TRK), small G proteins (H-RAS, K-RAS), transcription factors (ATF3, MYC), anti-apoptotic proteins (BCL-2, MDM2) and many more⁶.

Tumor suppressor genes and their encoded proteins are involved in regulating cell growth, cell cycle, and proliferation; additionally, they play an essential role in apoptosis and DNA repair. They mainly function to guard and check the presence of any cellular abnormalities to halt tumor formation. In cancer, tumor suppressors are classified into five different categories as shown in Table 1⁵.

Table 1. Category of Tumor suppressors proteins and their targeted function

Tumor suppressor type	Example
Intracellular proteins controlling or suppressing different cell cycle stages	p16 and Rb
Receptors or signal transducers that control cell proliferation	TGFβ, hedgehog receptor patched
Checkpoint-control proteins to stop cell division in case of any anomalies in DNA or chromosome	p53
Apoptosis inducers	p53
DNA repair enzymes	PARP1, ATM and BRCA1

Some tumor suppressor proteins may fall into several groups because of their different tumor suppressive functions, such as the transcription factor p53. In addition, p53 has been referred to as “the guardian of the genome” as it controls nearly all the steps before a cell can be transformed to a tumor cell⁷.

1.1.3 Tumor microenvironment

A solid tumor resembles an organ in which tumor cells and stromal cells create the tumor microenvironment that plays a key role in tumor formation, progression and metastasis. The stromal compartment may also contribute to drug resistance or unresponsiveness to chemotherapy. In the tumor microenvironment, apart from the stromal ECM, cells in the stromal compartment can be broadly categorized into two groups based on their cell of origin;

these include (a) tissue resident cells found before and after tumor formation and (b) tumor infiltrated cells that travel to the tumor site from other parts or organs of the body (Figure 2).

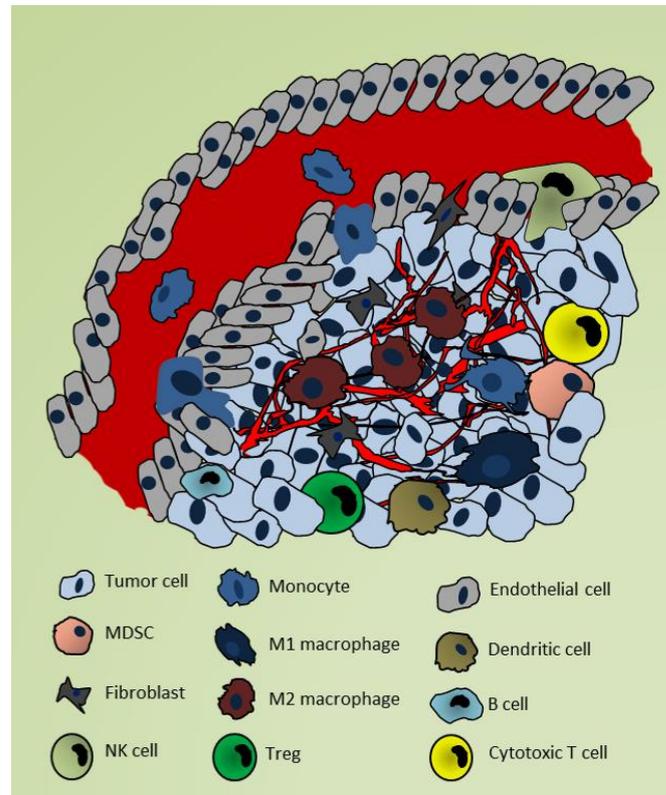


Figure 2. Heterogeneous population of cells in a tumor microenvironment.

Resident cells are mostly endothelial cells, pericytes, fibroblasts and occasionally neighboring tissue macrophages. The infiltrated cells that invade the tumor are mostly immunomodulatory cells that primarily respond to an immune reaction or inflammation elicited by the growing tumor. In most cases, tumor infiltrating immune cells include T cells, B cells, macrophages, Myeloid-derived suppressor cells (MDSC), dendritic cells, and other cells from the hematopoietic lineage^{8,9}. The presence of a multitude of cells in the tumor microenvironment initiates autocrine and paracrine signaling between the different cell types, which ultimately determine the fate of tumor development.

1.1.4 Angiogenesis

When a tumor mass increases and the diameter exceeds 1-2 mm in size, the tumor microenvironment experiences low oxygen pressure or hypoxia. Moreover, tumor cells go through a deprivation of nutrients and also accumulate cellular waste¹⁰. To avoid these unfavorable conditions tumor cells initiate expression of pro-angiogenic factors, which subsequently stimulate endothelial cells to proliferate and extend newly formed blood vessels

towards the tumor. A well-known angiogenic factor VEGF (vascular endothelial growth factor) elicits its effects on endothelial cells through binding to its cognate tyrosine kinase receptors (VEGFR1, VEGFR2 and VEGFR3). Activation of VEGF receptors ultimately results in initiation of multiple downstream signaling pathways that stimulate endothelial cell sprouting; endothelial cells start losing their cell-cell contacts in preparation to form an endothelial tip cell that can direct vascular growth through sensing pro-angiogenic factors. The leading Tip cell is followed by proliferating endothelial stalk cells to form a vascular sprout that ultimately form new blood vessels¹¹. The newly formed vascular network supports tumor survival through providing oxygen and nutrients, as well as transporting waste products away from the tumor. Physiological angiogenesis or vasculogenesis is a phenomenon in which new blood vessels develop from progenitor cells which is a very unique characteristic found in embryonic development, tissue regeneration and the female reproductive system¹². In contrast, in pathological angiogenesis, blood vessels develop from the existing vasculature and is a common feature seen in different human diseases like Irritable bowel syndrome (IBS), endometriosis, atherosclerosis, arthritis, diabetic retinopathy, obesity, asthma, and cancer¹³. Unlike the normal vasculature, tumor blood vessels have distinctive features; they are irregular and very loosely connected, making them very dilated and leaky. The leakiness of the vessels is associated with poor pericyte coverage on the surface of the tumor vessels causing excess drainage of fluid and other proteins¹⁴. Such a feature may serve good for prognosis as it may help better delivery of chemotherapeutic drugs to the tumor site, however, it may also enable tumor cells to escape the primary site and form a secondary tumor in other parts of the body⁹. Moreover, tumor cells can downregulate expression of angiogenic inhibitors (thrombospondins, angiostatin and endostatin) in order to favor tumor angiogenic cascades. Angiogenic inhibitors are known to suppress endothelial cell proliferation, migration, invasion, and cell adhesion molecules, thus limiting the formation of new blood vessels¹⁵. The relationship between angiogenesis and tumor development was first proposed by Judah Folkman; he described that tumor growth depends on the mutual interaction between the tumor and endothelial cells including the pro-angiogenic factors produced by them¹⁶. Based on this theory, many therapies have been developed to inhibit tumor angiogenesis or new vessel formation. Currently, the most common angiogenesis inhibitor found in the clinic is VEGF inhibitors that includes both targeted antibody (Bevacizumab) and small molecule inhibitors (Sunitinib and Sorafenib). However, such therapies have not been successful for cancer treatment due to their adverse side effects. These inhibitors not only cause microvascular regression in tumors but also in other organs such as thyroid, liver, kidney and GI tract resulting in hypothyroidism, internal bleeding, proteinuria and GI perforation¹⁷. Moreover, in a study it

was reported that inhibition of VEGF resulted in a pro-invasive phenotype in glioblastoma¹⁸. Thus, alternative treatment strategies are required to prevent tumor progression.

Endothelial cells

Endothelial cells play an important role in tumor development starting from tumor initiation, progression, invasion, dissemination and resistance to drug therapy. Endothelial cells form blood vessels and thus act as a medium of delivering nutrients and oxygen and eliminating waste products from the tumor¹⁹. In the tumor microenvironment, endothelial cells are activated by diverse cytokines and factors produced by tumor cells and immune cells. These stimulate endothelial cell proliferation and subsequently direct endothelial sprouting towards the tumor favoring angiogenesis²⁰. Tumor endothelial cells are characterized by their expression of CD31, CD146 and ICAM-2²¹. In the tumor, pro-inflammatory cytokines (like TNF α and IL1 β) produced by immune cells can stimulate endothelial cells to increase the expression of adhesion molecules that can, in turn, support continuous inflammation and favor the tumor microenvironment^{21,22}. Adhesion molecules on endothelial cells can increase the ‘stickiness’ of the endothelium to adhere circulating immune cells and can thus promote inflammation²³. Additionally, tumor endothelial cells possess a regulatory role in metastasis and have also been shown to suppress T cell function in lung cancer through the production of an immune suppressive factor, prostaglandin E₂^{24,25}.

Pericytes

Pericytes are mesenchymal originated mural cells commonly found covering the microvascular endothelial walls to stabilize the blood vessels. Additionally, they also promote proliferation of endothelial cells via providing them with trophic factors⁹. Pericytes expresses α -SMA, PDGFR β , CD146 and/or NG2 depending on the type of microenvironment they are located in^{26,27}. Based on marker expression and function, pericytes can be categorized into two types. Both Type 1 and Type 2 express PDGFR β , CD146 and NG2 but only Type 2 pericytes express Nestin. In the tumor microenvironment, Type 2 pericytes are recruited to the tumor blood vessels to support angiogenesis^{28,29}. Apart from vessel coverage, they also have immunosuppressive roles such as inhibition of cytolytic T cell function³⁰. In cancer, increased pericytic coverage is linked to worse prognosis in skin cancer and renal carcinoma; moreover, it is also associated with chemoresistance and poor patient outcome³¹. Activated endothelial cells are known to express PDGF- β which attract the PDGFR β expressing pericytes to stabilize vessel integrity and to produce angiogenic VEGF and Ang-1³². Limiting pericyte recruitment

to the vessel wall via PDGF- β inhibition reduces tumor angiogenesis and metastasis³³⁻³⁵. However, although ablation of pericytes results in disorganized blood vessels leading to suppression of tumor growth, pericyte depletion may also open the door for metastatic spreading of cancer cells as is seen in breast cancer³⁶. Therefore, targeting of pericytes for cancer treatment may pose to be a complicated strategy.

Proangiogenic chemokines and cytokines

Cytokines are small proteins that are secreted by cells to influence the behavior of neighboring cells or the releasing cell itself, whereas chemokines are cytokines that can attract or recruit other cells from distal sites/organs to the site of production. Tumor cells are known to produce both cytokines and chemokines to support continuous tumor cell proliferation, recruit cancer-associated fibroblasts and endothelial cells and also initiate the migration of immune cells to the tumor site; subsequently, these cells produce more growth factors and/or proangiogenic factors to drive tumor progression (Table 2)^{9,37}. To prevent tumor development, recruited immune cells produce inflammatory cytokines and chemokines, of which a majority are also known to have pro-angiogenic properties. Additionally, infiltrated immune cells can be polarized by the tumor cells to produce increased levels of pro-angiogenic factors to fuel the formation of new blood capillaries to the tumor⁹. In breast cancer, it has been shown that CCL2 chemokine produced by the tumor cells can recruit inflammatory macrophages, which supports tumor angiogenesis and metastasis to the lung³⁸. Apart from immune cells, the hypoxic environment in the tumor is another important regulator that instigates the expression of these chemokines and cytokines⁹. The role of hypoxia in tumor angiogenesis will be discussed in section 1.1.8.

Table 2. Angiogenic chemokines and cytokines expressed in the tumor microenvironment

Cell type	Angiogenic factors
Macrophage/TAM	VEGFA, VEGFC, VEGFD, FGF2, TNF- α , IL6, IL-1 β , CXCL8, CXCL12, COX2, PIGF, PDGF, MMP7, MMP9, MMP12, Sema4D
MDSC	VEGFA, FGF2, MMP9, CCL2 and IL-1 β
CAFs	VEGFA, PDGFC, FGF2, CXCL12, osteopontin and CSF3
Dendritic cells	VEGF, TNF- α and CXCL8
B cells	VEGFA, FGF2, MMP9 and IgG
NK cells	VEGFA
Pericytes	VEGFA, ANGPT1 and ECM components
T cells	
T _H 2 cells	IL-4
T _H 17 cells	IL-17
T _{reg} cells	VEGFA

1.1.5 Inflammation and immune cells

Inflammation has recently been included as an emerging hallmark of cancer⁴. In most solid tumors, the lack of oxygen and nutrients, and the recruitment of different immune cells create an inflammatory milieu. The inflammatory signal mainly comes from inflammatory chemokines and cytokines produced by immune cells recruited to the tumor as an immune response. Primarily, the function of the immune cells is to resolve anomalies found in the tumor site, clear out dead cells, and aid tissue remodeling and regeneration; however, tumor cells tend to overtake this process and misuse it for tumor progression. In fact, inflammatory cells including macrophages, dendritic cells, NK cells, T cells and B cells form an integral component of the tumor stromal compartment. Dvorak first reported that a wound and the tumor stromal compartment are similar in the sense that both contain numerous blood vessels, ECM producing fibroblasts, and inflammatory cells. He proposed the idea that a tumor is like a wound that never heals²². The link between inflammation and tumorigenesis was first proposed by Rudolf Virchow in 1863 after the observation that infiltrating leukocytes are a hallmark feature of tumors³⁹. Inflammatory signals induced by infections has also been linked to cancer progression with poor prognosis⁴⁰; infection causing agents include helicobacter pylori in gastric cancer, human papillomavirus in cervical cancer, and Epstein–Barr virus in Burkitt’s lymphoma. Additionally, inflammation induced by oncogene activation (Ras, Myc) has also been linked to different types of cancer⁴¹. Furthermore, inflammatory cells produce reactive oxygen species (ROS) and nitrogen that act as mutagens and cause more mutations in the tumor cells, in contrast to cells in the non-inflamed site⁴². Immune cells recruited to the tumor site are known to produce pro-inflammatory factors, many of which are proangiogenic in nature (see Table 2). The NFκB family of transcription factors significantly regulates the inflammatory response or inflammatory cytokine expression by immune cells, and their central function linked to inflammation is further discussed in section 1.1.7.

Macrophages

During an inflammatory response, innate immune cells are the first to act against foreign pathogens. These consist of different myeloid lineage cells like macrophages, neutrophils and mast cells. Immune cells are also known to facilitate tissue remodeling and regeneration, however, in tumors they support tumor vascularization and metastatic spreading. Tumor recruited myelogenic immune cells are very heterogeneous and they act differently depending on the site where they are localized, i.e. whether they are at the invading front, next to blood vessels, or in the hypoxic area of the tumor microenvironment⁴³⁻⁴⁵. Monocyte derived

macrophages are known to function during different stages of development, including bone and mammary gland formation. They are also reported to control angiogenesis in wound healing and tumor development⁴⁶. Macrophages recruited to the tumor can portray dual function as pro- or anti-tumorigenic effectors. A large number of studies suggest that macrophages assist in tumor development; chemokines produced in the tumor microenvironment such as CSF1, CCL2, CXCL12, VEGFA and SEMA3A, signal macrophage recruitment and polarize tumor infiltrated macrophages towards a tumor promoting type⁴⁷. Once recruited to the tumor or infection site macrophages become activated to their classically activated macrophage (M1) or alternatively activated macrophage (M2) phenotypes (Figure 3). Macrophages are activated to their M1 phenotype mainly by the T_H1 cytokines (IFN γ , TNF α and GM-CSF) produced by the T_H1 cells and products from pathogens. Characteristically, classical M1 macrophages are known to produce ROS and nitrogen metabolites as well as different inflammatory cytokines that portray cytotoxic function on intracellular pathogens and tumor cells⁴⁸. In the chronic skin condition psoriasis, there is an enrichment of M1 macrophages or T_H1 response, which reduces the burden of any chance of tumor development⁴⁹. Alternatively, M2 macrophages are polarized mainly by the T_H2 cytokines (IL4, IL13 and TGF β) expressed by the T_H2 cells.



Figure 3. Common markers expressed by M1 and M2 like macrophages^{50,51}.

M2 macrophages are known for their major function in parasite killing and tissue remodeling⁴⁸. Additionally, M2 macrophages are commonly found in the tumor microenvironment due to the enrichment of T_H2 cytokines in tumors; thus, tumors educate macrophages to polarize into M2-like macrophages. The presence of M2-like macrophages in tumors has been inversely correlated with disease free survival in breast cancer^{46,52}. In addition, high levels of M2-like tumor-associated macrophages (TAMs) have been linked with tumor development, invasion, metastatic spreading and angiogenic sprouting. TAMs are known to promote angiogenesis via increased production of growth factors, proangiogenic cytokines and ECM degrading enzymes

as well as by dampening the T-cell mediated cell death response^{42,46,53,54}. Interestingly, the tumor produced chemokine CCL2 has been shown to recruit CCR2⁺ inflammatory monocytes whereas inhibition of CCL2 in turn reduces TAMs resulting in suppression of tumor angiogenesis and human melanoma xenograft growth^{38,55}. Hence, TAM polarization can actively remodel and reprogram the tumor microenvironment; this reprogramming may involve increased tumor angiogenesis that promotes immune cell recruitment and activation, and in turn their secretome can produce an immune suppressive tumor milieu, allowing tumor cells to evade the adaptive immune system.

T cells are the major effector cells that act in frontline defense against any anomalies, infection, microbes, viral pathogens or transformed malignant cells. The infiltration of T cells has been associated with either good or poor prognosis in cancer. T cells can be categorized into different subtypes that can play different and opposing functions in tumor development. Amongst these subtypes include CD4⁺ and CD8⁺ T cells. CD8⁺ T cells show tumoricidal function; after migrating and invading the tumor they become activated and produce granzyme B and perforin, which together mediate cytotoxicity towards the tumors cells and induces apoptosis^{56,57}. CD4⁺ T cells can be further classified according to their different cytokine expression profiles; these include T_H (T helper)1, T_H2, T_H17 and Treg (T regulatory) cells. T_H1 cytokines (IFN- γ , TNF- α , and GM-CSF) produced by T_H1 cells can reprogram macrophages to their anti-tumorigenic M1 phenotype. In contrast, T_H2 cytokines (IL4 and IL13) produced by T_H2 cells can polarize macrophages towards the M2 phenotype, which is known to support tumorigenesis, invasion and metastasis in breast cancer^{48,58}. T_H17 cells secrete a wide range of pro-inflammatory cytokines including IL17, IL21, IL22 and CCL20. Their role in tumor immunology is quite controversial and their function in tumor microenvironment may rely on the surrounding milieu in which they reside⁵⁹. However, they have been reported to enhance tumor development via producing immunosuppressant IL17⁶⁰. In contrary, T_H17 cells can be polarized into different subsets, which help regression of melanoma⁶¹. Treg cells are CD4⁺ CD25⁺ T cells that can inhibit the anti-tumorigenic immune response of CD8⁺ T cells via production of TGF β and IL10, thus favoring tumor development^{59,62-64}. Taken together, the function of T cells and their polarization depend on exposure to different cytokines and the microenvironment into which they are recruited. Tumor cells tend to reprogram the T cells towards the more tumor-supporting Treg cells to promote tumor progression, angiogenesis and dissemination⁶³. Increased Treg cell signatures have been found to be associated with different types of cancers and with worse prognosis in patients⁶⁵. Adoptive transfer of tumor infiltrated lymphocytes/CD8⁺ T cells (TILs) is a very promising therapeutic approach where after

isolation and immunomodulation TILs are infused back into cancer patients. TIL therapy has recently been adopted in many clinical settings for cancer treatment⁶⁶. Antibody targeted therapy against immune-checkpoint molecules such as PD-1, PD-L1, and CTLA-4 is another approach currently used in the clinic to block immune-escape of cancer cells together with enhanced cancer cell killing via cytotoxic T cell activation⁶⁷.

Natural killer (NK) cells arise from lymphoid progenitors and are active effector cells of the innate immune system. As killer cells they exert cytotoxicity via factors like perforin and granzyme B and the production of cytokines that in turn impede tumor progression. Increased NK cell recruitment and activation is associated with a good prognosis in different cancers^{68,69}. In a tumor setting, malignant cells tend to maintain a balance between NK cell inhibition or activation. NK cells express multiple receptors including NKG2D, DNAM-1 and cytotoxicity receptors (NKp30, NKp44 and NKp46), which aid in NK cell activation via binding to specific ligands expressed by malignant cells to mediate cytolytic function⁷⁰. DNA damage, viral infections, or oncogene activation induces expression of NKG2D and DNAM-1 ligands that result in increased NK cell recognition of the affected cell. Inhibition of these ligands leads to impaired NK cell mediated cytotoxicity^{71,72}. In the tumor microenvironment, signals or markers that activate NK cells get masked and thus tumors can bypass NK cell mediated killing. Immune cells such as Tregs and dendritic cells are known to produce TGF β that inhibits NK cell proliferation and impairs their cytotoxic function⁷³⁻⁷⁶. Cancer associated fibroblasts (CAFs) are known to similarly inhibit NK cells via TGF β which can also reduce the expression of perforin and granzyme B⁷⁷. NK cells can express HLA-I, KIR, CD94/NKG2A and PD-1 receptors that can block NK cell mediated killing of normal autologous cells⁷⁰. They are also known to secrete several chemokines and cytokines such as IFN γ , TNF α , GM-CSF, CCL3, CCL4 and CCL5 to maintain interaction with the heterogeneous population of immune cells found in the tumor microenvironment⁷⁸⁻⁸⁰. Interestingly, tumor cells tend to reprogram the tumor infiltrated NK cells to produce several pro-angiogenic factors (like VEGF and PDGF) to support tumor development⁷¹. Melanoma cells suppress expression of NK cell activation receptors NKp30, NKp44 and NKG2D that impair the cytotoxic efficiency of NK cells⁸¹. Multiple myeloma has higher expression of PD-1, which is known to inhibit NK cells and block its instigated tumoricidal events⁸². Inhibitors of CTLA4/CD28 and PD-1/PD-L1 pathway can promote T cell and NK cell mediated anti-tumor activity⁷⁷.

Myeloid-derived suppressor cells (MDSCs) are a diverse group of cells that arise from the myeloid lineage. They are mainly immature myeloid precursor cells that include granulocytes, macrophages and dendritic cells. Interestingly, they become activated, recruited and expanded

to high numbers in response to any sustained inflammation or tumor mediated signaling, however, unlike other immune cells, MDSCs show immunosuppression rather than immunostimulation. In mice, phenotypically they are known to express CD11b and Gr1 (Ly6C and Ly6G). MDSC monocytic lineage have high Ly6C expression whereas MDSC granulocytic lineage have both Ly6C and Ly6G expression⁸³. Solid tumors commonly produce GM-CSF, VEGF and TGF β , which are known to influence polarization of myeloid cells towards an MDSC type and concurrently support expansion of MDSCs^{84,85}. CCL2, CXCL8 and CXCL12 are produced by the tumor microenvironment to increase MDSC signature or accumulation; this has been linked with poor survival and worse prognosis in a variety of cancers⁸³. Tumor supportive MDSCs suppress the tumoricidal function of NK cells, B cells and cytotoxic T cells. Additionally, they produce nitrate intermediate NO and ROS, which results in nitration of receptors necessary for infiltration and function of T cells and NK cells⁸³. Furthermore, MDSCs also favor the generation of Treg cells, promote endothelial cell sprouting to form new blood vessels towards the tumor and additionally produce MMPs to assist in invading surrounding tissue for metastatic spreading^{42,86-88}. They can also secrete IL10 and TGF β proteins that can impair tumor-inhibiting immune response. At the same time, they overexpress PD-L1 ligand that bind to PD-1 receptor of T cells and thus mask T cell mediated cytotoxic response⁸³. Depletion of MDSC via CSF1R inhibition have been shown to enhance the radiotherapy response in prostate cancer patients⁸⁹.

B cells are a category of lymphoid cells that primarily function by secreting cytokines, producing antibodies, and presenting antigen-antibody complex in response to immune signals⁹⁰. Because of its antigen recognizing, processing, presenting, and antibody generating capabilities, it forms an integral part of the immune system. It can affect other major immune cells including NK cells, T cells and macrophages as well as the function of other cells which can determine the fate of tumor development⁹¹. Similar to other immune cells, B cells are also found in different subtypes, which allow interactions with multiple cell types. Increased B cell presence along with CD8⁺ T cells has been linked with good prognosis in ovarian cancer⁹². However, the role of B cells in cancer is quite contradictory as different subtypes can show both tumor promoting and tumor suppressing activities^{93,94}. It is now clear that similar to immunosuppressive T cell subtypes (Treg), B cells also have a Breg cell subtype that mainly exerts pro-tumorigenic effect⁹¹. Increased infiltration of Breg cells in tumors is associated with poor prognosis in lung, gastric and other cancers⁹⁵⁻⁹⁷. Breg cells are also known to produce TGF β and IL10 that creates an immunosuppressive milieu ultimately favoring the conversion of CD4⁺ T cells into Treg cells thus supporting metastasis⁹⁸. B cells recruited to prostate tumors

have been shown to express lymphotoxin that promotes tumor progression⁹⁹. Additionally, Breg cells also interact with tumor-infiltrated macrophages and polarize them towards tumor supporting macrophages¹⁰⁰.

Dendritic cells are the antigen presenting cells (APCs) commonly found in different cancers and inflammatory sites. The role of dendritic cells in cancer is controversial as it is proposed that they may change their functional abilities over the course of tumoral disease progression. In the early stages of cancer, they may portray immunostimulatory functions including antigen presentation, T cell activation and differentiation. But in the late stages of cancer they may be reprogramed to exert tumor supporting immunosuppressive functions¹⁰¹. As dendritic cells are APCs, they communicate with other immune cells such as NK cells and B cells¹⁰². In the tumor microenvironment, tumor cells can polarize classical dendritic cells into tumor supporting subtypes (regulatory dendritic cell), which express TGF β to fuel immunosuppressive Treg cell proliferation^{103,104}. In different cancers, distinct subsets of dendritic cells have been linked with disease outcome; these mostly include immature dendritic cells which lack immunostimulatory function¹⁰⁵. Vaccination with distinct dendritic subtypes (cDC2s) results in tumor regression¹⁰⁶ and inhibition of PD-1 ligation on dendritic cells restores T cell killing capacities, opening up new promising paths for developing new therapeutics against cancer¹⁰².

1.1.6 Fibroblasts in cancer

Fibroblasts were first identified in the tumor stroma and wounds by Dvorak²². From that time onwards, the importance of fibroblasts in the tumor stroma and tumor microenvironment came into light. Fibroblasts are multipotent cells; resident quiescent fibroblasts easily get recruited and activated by wound healing signals, as well as by tumor produced TGF β and CXCL12^{107,108}. Fibroblasts commonly found in tumors are referred to as cancer associated fibroblasts (CAFs), and they constitute a major component of the tumor stromal compartment¹⁰⁸. CAFs can be identified by their marked expression of α SMA, vimentin, FSP1, PDGFR α , PDGFR β and DDR2¹⁰⁹. They are known to produce important glycoproteins (laminin and fibronectin) and collagen I-IV that make up the ECM architecture of the tumor stroma¹⁰⁸. On the other hand, they also produce ECM degrading MMPs that may allow epithelial to mesenchymal transition (EMT) events and enhance tumor invasion properties¹¹⁰. EMT is a process by which cancer cells can undergo multiple biochemical changes to acquire a mesenchymal cell phenotype to allow them to gain enhanced migratory and invasive characteristics¹¹¹. Altered activation and pro-tumorigenic function of CAFs has been described in stomach cancer and breast cancer^{109,112}. Moreover, proangiogenic VEGFA and VEGFC production by fibroblasts has

been associated to enhanced breast cancer cell dissemination to the lungs¹¹³. CAFs are known to suppress immune responses via expression of PDL1 and PDL2 to limit T cell function¹¹⁴. Moreover, the induction of several proangiogenic chemokines and cytokines such as CCL2, CCL5, CXCL9, CXCL10, TGF β , TNF, IL4, IL6 and IL10 by CAFs also show its immunomodulatory capacities in the tumor microenvironment¹⁰⁸. Exosomes derived from CAFs have been shown to promote tumor growth and drug resistance in colon cancer¹¹⁵. Furthermore, CAFs actively enhance chemoresistance in both breast cancer and prostate cancer^{116,117}.

1.1.7 Nuclear factor-kappa B

NF κ B (Nuclear factor-kappa B) is a family of transcription factors that play a significant role in inflammation-facilitated immune response and tumor development¹¹⁸. In presence of parasites, microbes, pathogenic particles, tissue injury, or hypoxic environment NF κ B gets activated via pro-inflammatory cytokines TNF α and IL1 β . The NF κ B family is composed of five group members (NF κ B1, NF κ B2, RelA (p65), c-Rel, and RelB) that can form homo- and heterodimers. Unlike other members, NF κ B1 and NF κ B2 are proteolytically modified and matured into p50 and p52 respectively. These two proteins form dimers and translocate to the nucleus, however, since they lack a transactivation (TA) domain they cannot contribute to NF κ B target gene transcription, but rather act as transcriptional repressors of NF κ B target genes^{119,120}.

NF κ B activation is regulated by a family of inhibitors known as I κ B (NF κ BIA, NF κ BIB, NF κ BIE and BCL3). I κ B can sequester NF κ B dimers in the cytoplasm and prevent NF κ B activation and translocation into the nucleus. A group of kinases referred to as IKK or I κ B kinase (IKBkA/Chuck, IKBkB, IKBkG and IKBkE) indirectly regulate NF κ B activation through phosphorylation of I κ B, thus preventing it to bind NF κ B¹²⁰. In quiescent cells, NF κ B proteins remain bound to inhibitory molecule I κ B and reside in the cytoplasm. However, any signal leading to ubiquitination of I κ B releases NF κ B, which allows NF κ B complex translocation into the nucleus to initiate transcription of target genes (IL6 and IL8)¹²¹. The binding of ligands like TNF α and CD40L to their cognate cell surface receptors leads to the activation of NF κ B that is mainly mediated via two signaling pathways, known as the canonical (Classical) and non-canonical (Alternative) pathway (Figure 4). In the canonical pathway, NF κ B activation involves phosphorylation of I κ B by IKK complex, which results in ubiquitination followed by proteasomal degradation of I κ B and allows p50/p65 nuclear translocation. Non-canonical NF κ B activation signaling involves phosphorylation and

proteolytic modification of the larger precursor p100, which ultimately matures into the smaller precursor p52 and leads to nuclear translocation of the RelB/p52 heterodimer¹²².

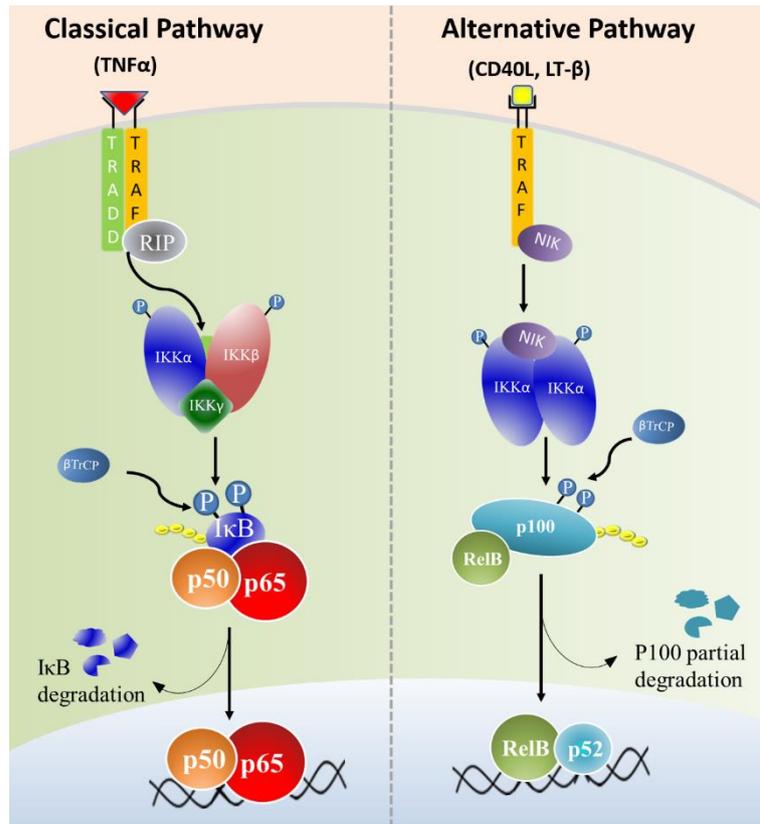


Figure 4. Classical and alternative NFκB signaling pathway.

NFκB inhibition confers reduced number of mammary stem cells, vascularization, macrophage recruitment and thus decreased progression of HER2-neu-induced breast cancer¹²³. In the tumor microenvironment, NFκB inhibition not only impedes anti-apoptosis activities but also shifts the macrophage ratio towards M1 phenotype via suppressing M2 macrophage polarization and thus aids tumor regression^{124,125}. Constitutive activation of NFκB is commonly found in many solid cancers where it supports tumorigenesis via transcription of anti-apoptotic genes, regulation of EMT, upregulation of MMPs, degradation of ECM, immune evasion, and metastatic spreading. Activation of NFκB as a result of mutations in the upstream IKK-NFκB pathway has also been reported to support growth of myeloma, skin cancer, lung cancer and additionally can reverse the macrophage polarization towards M2 type which later supports cancer progression^{124,126-128}. NFκB activation may support cell proliferation and inhibit apoptosis of cancer stem cells through supporting a pro-inflammatory milieu^{129,130}. Furthermore, NFκB has also been reported to control tumor angiogenesis via enhanced expression of VEGF and its relevant receptor¹³¹⁻¹³³. Although NFκB activation has been

associated with tumorigenesis, in response to stress signals, NFκB activation can also inhibit tumor development through cancer cell killing via cytotoxic immune cell response¹³⁴.

1.1.8 Hypoxia and HIF1α

Hypoxia can be defined as a state in which the tissue is deprived of sufficient oxygen. In cancer, it is known to play an important role in tumor development and tumor angiogenesis. In most tumors, the oxygen diffusion limit is approximately 1-2 mm¹³⁵; when the tumor grows beyond this limit, the central region of the tumor usually experiences a drop in oxygen levels. The tumor cells in this hypoxic core then adapt to express proangiogenic factors that promote angiogenesis by stimulating the proliferation and migration of endothelial cells to this region. Moreover, it has been shown to affect the infiltration of immune cells to the tumor, the hypoxic environment prevents NK cell recruitment to the tumor site and allows tumor cells to escape a cytolytic fate, whereas, TAMs are recruited to support tumor progression¹³⁵⁻¹³⁷. The hypoxic microenvironment also leads to the stabilization and activation of hypoxia inducible factor (HIF). HIF is a family of transcription factors that includes two basic helix–loop–helix-PAS (bHLH) proteins, HIFα and HIFβ. HIFα contains an alpha subunit (HIF1α, HIF2α and HIF3α) and HIFβ contains a beta subunit (HIF1β). In hypoxic conditions, members of these two subunits can form heterodimeric complexes that can translocate into the nucleus and transcribe genes containing a hypoxia response element in their promoter. Oxygen level is a determining factor for stability of HIFα subunits but not for HIF1β, which is constitutively expressed and more stable¹³⁸. In general, HIFs are well known to regulate cell cycle, apoptosis, proliferation and expression of proangiogenic genes¹³⁹⁻¹⁴¹. Under low oxygen condition, HIF1α can switch the metabolic pathway of cells from oxidative to glycolytic. Notably, HIF1α supports glycolysis via upregulating glycolytic enzymes and increasing the expression of glucose transporters (GLUT1 and GLUT3) to increase glucose uptake, and thus can support cancer cell survival¹³⁸. HIF1α is the most well studied HIF family member, and has been shown to promote EMT whereas inhibition of HIF1α reduces migratory and invasive properties of cancer cells^{135,142}. In breast cancer, HIF1α activation has been reported to be associated with increased metastasis and poor patient survival¹⁴³. Additionally, loss of HIF1α in CD4⁺/CD8⁺ cells favors increased clonal expansion and expression of anti-tumorigenic IFNγ production¹⁴⁴.

The stability of HIF1α (Figure 5) mainly depends on its hydroxylation mediated by PHD (prolyl hydroxylase), which is a family of enzymes consisting of PHD1, PHD2 and PHD3. In normoxia, PHDs hydroxylates the proline residues P402 and P564 on HIF1α¹⁴⁵. Following hydroxylation, a tumor suppressor adaptor protein pVHL (von Hippel-Lindau), that acts as a

substrate recognition component of an E3 ubiquitin ligase complex, binds to HIF1 α . The E3 ubiquitin ligase complex includes other binding partners Elongin B and C, RING-box protein 1 (Rbx1) and Cullin 2 (Cul2) and together form the ECV complex, which catalyzes the polyubiquitination of HIF1 α to allow for proteasomal degradation.

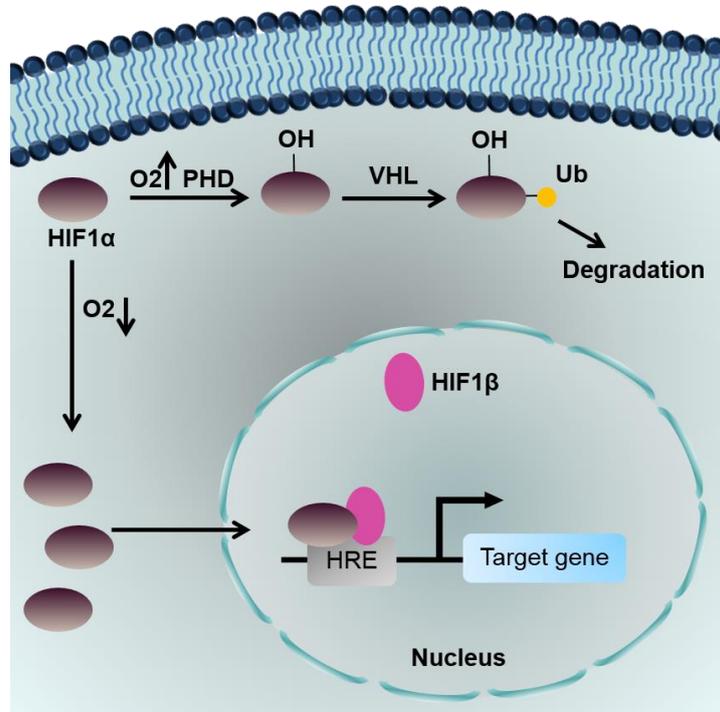


Figure 5. Schematic pathway of HIF1 α activation and transcription of target gene.

Ubiquitination involves the collective action of three enzymes E1, E2 and E3. E1 activates ubiquitin and transfers it to E2. E3 conjugates with the ubiquitin bound E2 and finally transfers ubiquitin to target proteins^{146,147}. Since pVHL plays a pivotal role in mediating HIF1 α degradation in normoxic conditions, the loss or mutation of pVHL has been shown to lead to HIF1 α activation and associated tumor development, most often in renal carcinoma¹⁴⁵.

PHD enzymes use molecular oxygen to hydroxylate the proline residue on HIF1 α ; low oxygen conditions prevent PHD's catalyzing activity, resulting in HIF1 α stabilization, accumulation and translocation to the nucleus (Figure 5). After nuclear translocation, HIF1 α forms a heterodimer with HIF1 β that binds to the hypoxia response elements (HRE) and induces subsequent transcription of target genes related to apoptosis, metabolism, survival and angiogenic factors such as VEGF, FGF2, TNF α and CXCL8^{137,148} (see Table 3 for additional target genes). Similar to HIF1 α , HIF2 α is also regulated in an oxygen dependent manner whereas HIF3 α is known to be a repressor of both HIF1 α and HIF2 α ⁷⁷. Interestingly, HIF1 α

may even be activated in absence of hypoxia through inflammatory NFκB signaling; moreover, NFκB has also been reported to be activated by HIF 1α^{149,150}.

Table 3. HIF1α target genes regulate different biological pathways

HIF1α target genes	Biological pathway	HIF1α target genes	Biological pathway
VEGF	Angiogenesis	KRT 14, 18, 19	Invasion and metastasis
VEGFR		VIM	
ENG		MIC2	
LEP		MMP2	
ADM		PAI1	
LRP1		CATHD	
NOS2		FN1	
TGF-β3		Collagen type V (α1)	
Cyclin G2		Growth and survival	
TGF-α	GLUT1, 3		
EPO	HK1		
IGF2	HK2		
NIP3	ENO1		
NIX	LDHA		
ET1	PKM		
ADM	PGK1		
WAF-1	LEP		

1.2 P53 FAMILY OF TRANSCRIPTION FACTORS

The p53 family of transcription factors consists of the tumor suppressor p53 and its paralogs p63 and p73. All family members share structural homology in the transactivation domain (TAD), oligomerization domain (OD), and highest degree of homology in the DNA binding domain (DBD), suggesting that all p53 paralogs can bind to the same target gene promoters.

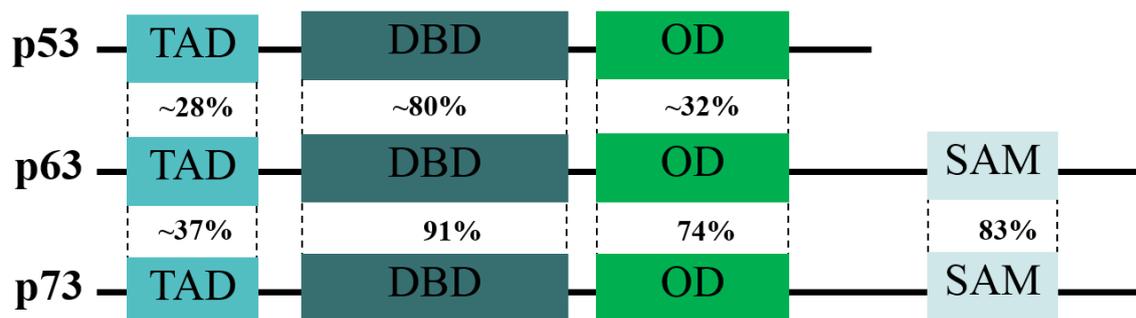


Figure 6. Structural similarities among p53 family members (percentage refers to amino acid sequence identity).

Additionally, p63 and p73 also have a sterile alpha motif (SAM) domain, which is absent in p53 (Figure 6). Nine different mRNA isoforms are known to encode p53, as a result of alternative splicing, alternative promoter usage and additionally alternate translation initiation sites which lead to the translation of around 12 distinct protein isoforms¹⁵¹. Unlike p53, p63

and p73 are mainly expressed in different isoforms using two different promoters and alternative splicing at the c-terminal domain. Considering p53's tumor suppressive role and structural homology, other family members have been speculated to have similar function.

In a vast majority of cancers, p53 is frequently found to be mutated whereas mutation in p63 and p73 is quite rare. Notably, p53 has been referred to as 'the guardian of the genome' due to its ability to prevent cancer development by protecting the genome from genotoxic stress¹⁵². As a tumor suppressor, wild type p53 regulates genomic integrity, cell cycle checkpoints, proliferation, differentiation, senescence and cell death mechanisms including apoptosis, whereas mutant p53 exerts a tumor promoting function¹⁵³. Intriguingly, p53 knockout (KO) mice predispose to spontaneous tumor development whereas mice deleted for the p63 gene show abnormalities in limb and skin development. Mice that lack all p73 isoforms, mainly show developmental problems related to the brain¹⁵⁴⁻¹⁵⁶. The phenotypes of p73 isoform specific knockout mice are discussed in section 1.3.3 'Role of p73 in development and other disease'.

1.3 THE P73 GENE

1.3.1 Discovery of p73

Mourad Kaghad et al. first discovered TP73 gene in 1997 and reported it to be located on chromosome 1p36, a region commonly deleted or silenced in pancreatic cancer, neuroblastoma, melanoma, breast carcinoma and hepatocellular carcinoma, suggesting this gene's potential role as a tumor suppressor¹⁵⁷. The TP73 gene spans 65 kb composed of 14 exons; being a p53 homolog, p73 can transcribe similar genes including those related to cell cycle checkpoints and apoptosis, thus demonstrating tumor suppressive function when p53 is not functional or absent in cells^{158,159}. Unlike p53, p73 is rarely mutated in cancer suggesting an 'alternative backup' by the cell to avoid malignancy¹⁵⁹. Despite having tumor suppressive function, p73 also possesses other functions in metabolism, fertility and neuronal biology¹⁶⁰⁻¹⁶².

1.3.2 P73 and its isoforms

The role of p73 as a tumor suppressor is more complicated than originally thought due to the opposing functions of its isoforms. The p73 gene expresses two different categories of proteins; full-length isoforms (TAp73) that act as transcription factors and N-terminal truncated variants (Δ Np73) that lack the TAD resulting in transcriptionally inactive isoforms which instead function in a dominant negative manner like oncogenes. These isoforms mainly arise due to

the usage of two alternate promoters; the upstream P1 promoter generates TAp73 isoforms, whereas the downstream P2 promoter yields the Δ Np73 isoforms. To add more complexity, mRNA splicing at exon 2 and/or 3 gives rise to more isoforms including Δ Ex2p73 and Δ Ex2/3p73, which exert functions similar to the Δ Np73 isoforms, whereas, alternative splicing at the C terminal end results in p73 isoforms denoted as α , β , γ , δ , ϵ , ζ and η (Figure 7). The full length α isoform is the longest with a SAM domain while the δ isoform is the shortest¹⁶³. In-silico analysis predicted the presence of even more isoforms, however whether they are transcribed as proteins is still unclear¹⁶⁴.

TAp73 is often silenced due to promoter hyper-methylation in many hematological cancers, whereas oncogenic Δ Np73 is dominantly overexpressed in many types of solid cancers and is significantly associated with poor patient prognosis. In line with this, full-length TAp73 isoforms are reported to portray tumor suppressive function whereas Δ Np73 exerts tumor promoting function^{165,166}. Therefore, a balance of these isoforms is very crucial in tumorigenesis as is portrayed in acute promyelocytic leukemia (APL), where a high Δ Np73/TAp73 ratio in favor of Δ Np73 correlates with shorter overall survival¹⁶⁷.

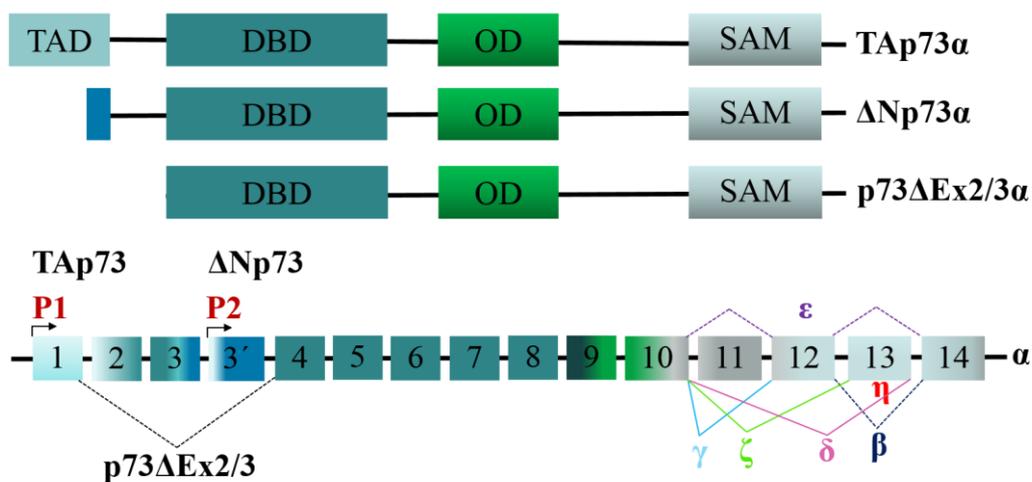


Figure 7. Schematic map to denote different p73 isoforms and distinct promoters.

1.3.3 Functional role of p73 isoforms

In cell cycle and checkpoints

Cell cycle regulatory proteins are actively involved in monitoring different checkpoints during cell division. In cancer or cell transforming events, these regulatory proteins, or in other words tumor suppressor proteins, are lost, silenced, or mutated, resulting in uncontrolled cell division and proliferation. Under normal conditions, cell cycle progression is strictly controlled and advanced through four different (G1, S, G2 and M) phases under the regulation of a group of

serine/threonine kinases known as cyclin-dependent kinases (CDKs) and their activation modulatory subunit cyclins¹⁶⁸. In this regard, CDKs and cyclins form complexes that phosphorylate target proteins in order to allow cell cycle to proceed; in case of TAp73, cyclin A/CDK1/2, cyclin B/CDK1/2 and cyclin E/CDK2 complexes phosphorylate TAp73 and in turn represses p73's target gene expression¹⁶⁹. Upon genotoxic stress signals, TAp73 actively facilitates cell cycle arrest in G1 and G2/M checkpoints via transactivation of the p53 target gene p21 and GADD45^{170,171}. Moreover, TAp73 has also been shown to accumulate in genomic stress or DNA damage and induce upregulation of its pro-apoptotic target gene Bim, which in turn induces mitotic cell death to prevent any anomalies during mitosis^{172,173}. In addition, TAp73 also regulates the mitotic phase of cell division via controlling spindle assembly checkpoint (SAC) complex proteins Bub1, Bub3 and BubR1. Conversely, loss of TAp73 leads to genomic instability resulting in aneuploid cells and lung carcinoma in mice¹⁷⁴.

Transformation / oncogenesis

N-terminal truncated p73 isoform Δ Np73 and Δ Np73-like Δ Ex2/3p73, favor oncogenic transformation in mice. Tumorigenicity is promoted via upregulation of Δ N isoforms or via coordinating together with other oncogenes (E1A, Ras and Myc)^{175,176}. On the contrary, TAp73 prevents anchorage independent growth whereas oncogenic Ras downregulates TAp73 to favor cellular transformation¹⁷⁵. In support of proliferative advantage, Δ Np73 may also facilitate phosphorylation-mediated Retinoblastoma (Rb) protein deactivation, which in turn results in increased E2F signals and proliferation of fibroblasts¹⁷⁷.

Apoptosis and cell death

Apoptosis or programmed cell death is a tightly regulated process and crucial for embryonic development and to maintain cellular homeostasis. Apoptotic cells acquire distinctive features including cell shrinkage, blebbing, chromatin condensation, mitochondrial swelling and fragmentation of nuclear DNA. Apoptosis can be mediated through two pathways, the extrinsic and intrinsic pathways. The extrinsic or death receptor-mediated pathway gets activated upon ligation of extracellular proteins to the death receptor, which subsequently leads to the activation of initiator caspase 8. The intrinsic or mitochondrial mediated death pathway is accompanied by defective mitochondrial membrane potential which instigates cytochrome c release and subsequently initiator caspase 9 activation. Activation of the initiator caspases leads to activation of a cascade of effector caspases (caspase 3, 6 and 7). Effector caspases can cause cleavage of a wide variety of cellular targets including cytoskeletal scaffold proteins, DNA repair proteins and housekeeping enzymes. They can also activate the DNase activity of CAD

(caspase activated DNase) by cleaving ICAD (inhibitor of caspase activated DNase) that can fragment DNA to cause cell death^{178,179}.

The p73 isoform, TAp73 is known to regulate apoptosis via transcription of several pro-apoptotic genes similar to p53. In contrast, Δ Np73 competes with TAp73 and/or p53 for binding to the same target genes resulting in impaired TAp73 and p53-mediated transcription and ensuing anti-apoptotic function. TAp73 can facilitate cell death through both the extrinsic and intrinsic apoptotic pathways. Similar to p53, p73 activation upregulates death receptors CD95, TNF-R1, TRAIL-R1 and TRAIL-R2 along with caspases-3, -6 and -8 activation, which demonstrates TAp73's role in the extrinsic apoptosis pathway. In contrast, Δ Np73 can block the transcriptional activity of TAp73 and p53, thus inhibiting their pro-apoptotic function and attenuating cell death¹⁸⁰⁻¹⁸⁵. TAp73 can also actively drive mitochondrial-mediated apoptosis via transactivating pro-apoptotic BH3-only proteins (BAX, NOXA, PUMA, BAD and BIK) and other apoptotic cofactors (p53AIP1, PERP and RanBP9)^{158,186}.

Autophagy and senescence

Autophagy is a stress-induced mechanism of non-apoptotic cell death via lysosomal degradation of the intracellular organelles and other cellular contents. However, it is known to be engaged in both tumor promotion and suppression depending on the environment of resident cells¹⁸⁷. TAp73 regulates expression of DNA damage regulated autophagy modulator 1 (DRAM), but surprisingly, TAp73-mediated formation of autophagosome resulting in autophagy was found to be independent of DRAM activation. In the same study, there was no effect of Δ Np73 on starvation-induced autophagy¹⁸⁸. In another study, TAp73 was shown to transactivate autophagy related gene and protein ATG5 expression independent of p53¹⁸⁹. In addition, knockdown of p73 β significantly reduces expression of autophagy markers in breast cancer cells¹⁹⁰.

TAp73 has been shown to have contradictory roles in senescence. As a tumor suppressor, TAp73 and its target gene p21 cooperates with RNPC1 to regulate premature cellular senescence in absence of p53; however, Du et al. showed that TAp73 depletion confers cellular senescence^{162,191}. In agreement with the latter study, another report showed that TAp73 negatively regulates cellular senescence through Cytochrome C oxidase subunit IV (Cox4i1) activity and ROS generation¹⁹².

In metabolism

p73 and its isoforms play a vital role in regulating cellular metabolism. In a study investigating mouse primary cortical neurons, it was shown that p73 isoforms differentially affect the glycolysis pathway, which is essential for the survival of neuronal cells; loss of TAp73 enhanced glycolysis with increases in intracellular glucose uptake, whereas Δ Np73 deficiency decreased glucose metabolism¹⁹³. Loss of TAp73 can also affect cellular bioenergetics through mitochondrial dysfunction. A study has shown that TAp73 knockout cells have lower basal ATP levels as a result of impaired oxidative phosphorylation; in support of the previous study, decreased oxidative phosphorylation is thought to be linked to glucose addicted cells with increased glycolysis activity. The mechanism by which TAp73 is thought to control mitochondrial function is through the transcription and expression of Cox4i1, and loss of TAp73 leads to reduced expression of Cox4i1 which in turn compromises the activity of complex IV of the electron transport chain leading to oxidative imbalance, ROS generation and consequential mitochondrial dysfunction¹⁹².

In angiogenesis

Angiogenesis is one of the most preliminary steps tumor cells adopt to feed the tumor niche with oxygen and nutrients and to aid evasion from the primary tumor site. To promote angiogenesis, tumor cells produce chemokines and cytokines that induce blood vessel sprouting towards the tumor site. Studies in mouse models have shown that TAp73 suppresses carcinogen-induced tumors and tumor vascularization¹⁹⁴ whereas hypoxia induced Δ Np73 inversely promotes tumor angiogenesis via regulation of VEGF-A¹⁹⁵. Additionally, Δ Np73 was shown to control the migration and vascular network forming capacities of endothelial cells through the regulation of VEGF and TGF β signaling¹⁹⁶.

In metastasis

Metastasis can be defined as the dissemination of cancer cells to distant organs. Prior to metastasis, tumor cells acquire invading or migratory phenotypes through reprogramming from epithelial to mesenchymal state that aid cancer cells to propagate more easily to distant sites. The p73 isoform TAp73 can inhibit the migratory property of tumor cells through the regulation of a number of factors. TAp73 can transactivate the microRNAs miR-34a and miR-3158, which in turn downregulate the EMT associated targets vimentin, β -catenin and lef1¹⁹⁷. In a study conducted by Rodhe et. al., it was shown that TAp73 β can induce p57 (Kip2) expression which in turn inhibits the actin cytoskeleton dynamics of tumor cells thereby reducing their motility¹⁹⁸. The inhibitory role of TAp73 in cell migration and invasion has also

been portrayed via its induction of forkhead transcription factor (FOXF1), which in turn regulates the transcriptional activity of E-cadherin. E-cadherin is known to maintain cell polarity and epithelial structure, and its decrease may promote tumor cell motility and invasion¹⁹⁹. In pancreatic carcinoma, TAp73 was shown to control TGF- β signaling to block epithelial to mesenchymal transition whereas its loss led to increase in EMT events²⁰⁰. Selectively TAp73 loss, but not Δ Np73, exhibits increased migratory capacities and EMT phenotypes with increased expression of Snail-1, Slug and Twist coupled with downregulation of E-cadherin by MCF10A cells^{201,202}. In contrary to TAp73 function, Δ Np73 has been shown to support tumor invasion and metastasis cascades through EPLIN-mediated IGF1R regulation²⁰³. In addition, Δ Np73 like splice variants Δ Ex2/3p73 was also shown to correlate with lymph node metastasis in colon tumors²⁰⁴.

Role of p73 in drug resistance

Among the p73 isoforms oncogenic Δ Np73 is frequently involved in cancer drug resistance. High expression of Δ Np73 has been linked to anti-apoptotic function, which drives daunorubicin resistance in childhood acute lymphoblastic leukemia (ALL)²⁰⁵. Δ Np73 can selectively block miR-205 activity and limit its inhibitory function on anti-apoptotic Bcl-2 and ABC transporters including ABCA2 and ABCA5, which together lead to multi-drug resistance by malignant melanoma cells²⁰⁶. ABC (ATP-binding cassette) transporters are a group of 49 ABC genes categorized into seven subfamilies designated as A to G based on structure and sequence homology. They are transmembrane proteins that regulate influx and efflux of different molecules, including drugs and other small molecules, through the cell's cytoplasmic membrane²⁰⁷. Interestingly, cancer cells take advantage of overexpressing these transporters to facilitate increased efflux of drug molecules. Among the ABC transporters, ABCB1/MDR1 and ABCB5 have been well studied for exerting multidrug resistance in different types of cancer^{208,209}. Δ Np73 α can upregulate ABCB1/MDR1 expression by inhibiting p53 function to potentiate the efflux capacity of gastric cancer cells^{210,211}.

Role of p73 in development and other disease

Apart from its role in cancer, the function of p73 has also been explored in developmental processes as well as in other pathologies. p73 plays a major part in neurobiology in which case it maintains neuronal stemness and CNS neurogenesis²¹². Impairment of p73 function has been linked with neurodegeneration in association to Alzheimer's disease²¹³. Apart from this, p73 can also actively regulate keratinocyte differentiation factor as well as osteoblast and myoblast differentiation²¹⁴⁻²¹⁶. It is also known to regulate liver metabolism and proliferation of cardio-

myocytes^{217,218}. Loss of p73 has been reported to abrogate self-renewal and differentiation capacity of mouse neuro-progenitor cells²¹⁶. In disease, p73 is involved in inflammatory disorders such as atopic dermatitis (AD) and gastritis²¹⁹. In the study of p73 knockout mouse models, it has been shown that lack of expression of all p73 isoforms display severe developmental abnormalities including immunological, pheromone sensing, hippocampal dysgenesis, enlarged ventricles, and sympathetic neuronal loss. On the other hand, TAp73 and Δ Np73 knockout mice exhibit fewer developmental anomalies; similar to p73 KO mice, Δ Np73 KO mice display enlarged ventricles and loss of neurons, whereas TAp73 KO mice display hippocampal dysgenesis. Interestingly, TAp73 KO mice also develop spontaneous lung cancer suggesting TAp73's role as a bona fide tumor suppressor^{166,220}. Additionally, TAp73 distinctly controls both male and female reproduction capacity^{166,221}, and its deficiency may accelerate aging and irregularities in respiratory airway multiciliogenesis^{192,222}.

1.3.4 P73 regulation

Transcriptional regulators of p73 that influences its activity

Epigenetic regulation has an important contribution in the expression of different p73 isoforms and their respective functions. The P1 promoter contains three CpG methylation islands whereas the P2 promoter only has one; this suggests that methylation greatly influences the transcription and expression of TAp73²²³. Methylation patterns may shift the balance of p73 isoforms leading to the repression or accumulation of particular isoforms. P1 promoter hypermethylation results in silencing of TAp73 expression in chondrosarcoma²²⁴. Notably, such events are frequently observed in hematological cancers²²⁵⁻²²⁷. However, the causal role or interlinked relationship of methylation pattern of P1 promoter and hematological cancers is yet to be understood. Interestingly, hypermethylation of the promoter can prevent binding of transcriptional repressors allowing constitutive expression of a particular gene. As such, hypermethylation of P1 promoter blocks binding of transcriptional repressor ZEB1 to the P1 promoter allowing enhanced TAp73-mediated target gene expression in ovarian cancer²²⁸. In contrast, P2 promoter hypomethylation leads to increased Δ Np73 expression, which primarily exhibits oncogenic function, and such events are commonly seen in solid cancers^{229,230}.

Important functions and expression of TP73 gene depends on upstream pathways or effectors that can induce or repress distinct promoters that subsequently result in the differential expression of certain isoforms. The upstream region of the human p73 gene promoter is known to have regulatory binding sites for Egr-1,2,3, Spl, and AP-2²³¹. Additionally, it also contains three putative binding sites for apoptosis inducer E2F1 transcription factor²³². Egr-1 has been

reported to bind to five different binding sites in the upstream P1 promoter of the p73 gene. Binding to the P1 promoter leads to the transcription of TAp73 isoform, and this in turn can enhance apoptosis and repress tumor cell growth. However, such effects have not been observed for the P2 promoter or P2 promoter derived Δ Np73^{232,233}. Similarly, E1A also selectively transactivates P1 promoter driven TAp73 expression but not Δ Np73²³⁴. Sp1 binding sites have been shown to be present in both the P1 and P2 promoters; although Sp1 binding to P1 promoter positively regulates TAp73 expression, no such direct interaction was found for P2²³⁵.

The Zinc Finger protein (ZEB) can directly bind to p73 genes and transcriptionally repress p73 expression²¹⁴. Δ Np73 promoter contains a putative binding site for the tumor suppressor HIC1 (Hypermethylated In Cancer) and binding of HIC1 to the P2 promoter portrays transcriptional repression of Δ Np73 isoform in gastric cancer²³⁶. To add more, oncogenic c-MYC physically interacts with TAp73, which indirectly prevents TAp73-mediated target gene BAX activation²³⁷. Interestingly, both TAp73 and p53 are found to transactivate transcription of P2 derived Δ Np73 isoform which in turn inhibits their activity, suggesting a negative feedback loop or dominant negative property of oncogenic Δ Np73²³⁸⁻²⁴¹.

Regulators that increase p73 stability

Post-translational modification plays a very crucial part in determining p73 stability, degradation and biological function. In DNA damage stress responses, physical interaction of p73 α with Pin1 and acetylation of p73 α by p300 increases p73 α 's stability followed by increased apoptotic functions^{242,243}. c-Jun N-terminal kinase (JNK) and checkpoint kinase 1 (Chk1) driven phosphorylation enhances p73 α target gene transcription to mediate cell cycle arrest or cell death in response to any genotoxicity^{244,245}. In parallel, c-Abl, a non-receptor tyrosine kinase, also phosphorylates p73 proteins to increase stability of both TAp73 and Δ Np73 isoforms²⁴⁶. Moreover, PIAS-1 sumoylates p73 α to potentiate p73 stability, but surprisingly blocks its transcriptional activity²⁴⁷. p73 has also been shown to induce the Mdm2 promoter to increase Mdm2 expression which later interacts with p73 α . However, Mdm2 does not cause p73 degradation, but rather blocks its transcriptional activity^{170,248,249}. Inhibition of MDM2 and its human homolog HDM2 via Nutlin-3 can release TAp73 from Mdm2 and induce TAp73 mediated transcription^{250,251}. Phosphorylation of TAp73 on threonine residue 86 by Cyclin/CDK complex and HCK (Src family kinase) leads to repression of p73 transcriptional activity^{169,252}.

Regulators that influence p73 degradation

The degradation of p73 is usually regulated by ubiquitin proteasome dependent and independent mechanisms²⁵³. Δ Np73 selectively undergoes proteolytic degradation induced by PIR2 (p73 induced ring finger protein 2), a ubiquitin protein ligase and target gene of p73²⁵⁴. In line with this, PML (promyelocytic leukemia protein) catalyzes p300-associated p73 acetylation, which decreases p73 ubiquitination and proteasomal degradation²⁵⁵. In cancer, the FBXO45 ubiquitin ligase is upregulated in cancer cells to facilitate proteasomal mediated TAp73 degradation leading to impaired cell death response²⁵⁶, while SUMO-1, a ubiquitin modifier, can accelerate p73-targeted proteasomal degradation processes²⁵⁷. In this regard, chemotherapy induced stress increases pro-survival kinase PLK3 expression to abrogate apoptosis events via facilitating phosphorylation of TAp73 resulting in reduced TAp73 protein stability²⁵⁸. Apart from ubiquitin ligases, both TAp73 and Δ Np73 can also be cleaved and degraded by calpains²⁵³.

1.3.5 Clinical importance of p73 as a target for cancer therapy

Isoform specific knockout studies show differential roles of p73 isoforms in tumor development, where TAp73 loss promotes tumor development and Δ Np73 depletion shows impaired tumor development^{166,174,220}. In a dominant negative fashion, Δ Np73 can form heteromeric complexes with TAp73 and also compete with p53 for binding to the target gene promoter, thus suppressing their transcriptional activity. On the other hand, ablation of Δ Np73 releases both p53 and TAp73 to exert their subsequent apoptotic function²⁵⁹. Thus, maintaining a balance between these two p73 isoforms predicts tumor development and response to chemotherapy. In this regard, increasing the ratio of TAp73/ Δ Np73 favors apoptotic signals, chemosensitivity and good prognosis¹⁸³. In contrast, increasing the ratio of Δ Np73/TAp73 correlates with reduced survival, increased chemoresistance and increased events of disease relapse^{167,183}. Interestingly, TAp73 loss is shown to correlate with EBV-mediated gastric cancer; and in pancreatic cancer TAp73 loss activates TGF- β signaling to promote EMT functions^{200,260}. Δ Np73 has been shown to favor cancer stemness via IGF1R signaling in melanoma and lung cancer²⁶¹. Additionally, Δ Np73 upregulation is associated with poor prognosis in neuroblastoma, breast cancer, cervical cancer and lung cancer patients^{230,262-264}. Moreover, higher expression of Δ Np73 together with mutant p53, is linked to poor survival and decreased clinical responsiveness to platinum-based therapy in ovarian cancer²⁶⁵. Overexpression of Δ Np73-like p73 Δ Ex2/3 variants are also aberrantly expressed in cancer and portray drug resistance and poor prognosis in different malignancies including breast cancer, colon cancer and in metastatic melanoma^{204,266-268}.

As mentioned above, $\Delta Np73$ is shown to be associated with therapeutic failure in different cancers where it mainly contributes to prevent apoptosis. Inhibition of overexpressed $\Delta Np73$ indirectly favors the balance towards TAp73 that in turn induces expression of apoptotic genes followed by apoptosis events. In line with this, inhibition of p73 $\Delta Ex2/3$ isoform results in regression of melanoma growth, suggesting its tumor-promoting function²⁶⁹. Additionally, $\Delta Np73$ and $\Delta Np73$ like p73 $\Delta Ex2/3$ variant upregulation benefits cancer cells with multi-drug resistance characteristics via inducing expression of multi-drug resistance genes (ABCA2 and ABCA5), thus protecting cancer cells from drug induced cell death²⁰⁶. Importantly, chemotherapeutic treatment frequently results in activation of TAp73 to induce apoptosis, however, cancer cells tend to harbor increased anti-apoptotic p73 counterparts to block TAp73 and p53 function to support ongoing oncogenesis²⁷⁰.

2 AIMS

The overall purpose of this thesis was to decipher novel roles of p73 isoforms in different processes and/or components of tumor development involving tumor angiogenesis, multi-drug resistance and regulation of tumor microenvironment.

Specific aims of the thesis:

Paper I - To investigate the role of p73 isoforms in regulation of pro-angiogenic factors and tumor angiogenesis.

Paper II - To study the role of TAp73 in regulating the chemokine CCL2 and its impact on tumor microenvironment.

Paper III - To uncover the molecular mechanisms by which $\Delta Np73$ affects the protein stability of HIF1 α .

Paper IV - To elucidate the involvement of $\Delta Np73$ in conferring multi-drug resistance in cancer.

3 RESULTS AND DISCUSSION

3.1 TAP73 SUPPRESSES TUMOR ANGIOGENESIS THROUGH REPRESSION OF PROANGIOGENIC CYTOKINES AND HIF-1A ACTIVITY (PAPER I)

P73 encodes two main class of proteins of which TAp73 is the full-length isoform that contains transactivation (TA) domain and the NH₂-terminal truncated shorter isoform ΔNp73 that lacks the TA domain. ΔNp73 possesses oncogenic properties whereas TAp73 functions similar to archetypical p53 by showing cell cycle arrest, apoptosis, tumor suppression and maintaining genomic stability. Among the p53 family members, tumor suppressor p53 is reported to regulate tumor angiogenesis via transcriptional repression of proangiogenic factors¹⁵². However, the role of p73 and its isoforms in tumor angiogenesis was not well studied at the time we conducted this investigation.

To address the role of p73 isoforms in tumor development, we took advantage of using TAp73^{+/+} (WT), ΔNp73^{+/+} (WT), TAp73^{-/-} (KO) and ΔNp73^{-/-} (KO) mouse models from which we isolated primary mouse embryonic fibroblast (MEFs). We transformed the MEFs with E1A/H-Ras^{V12} and used these cell lines in the current study as well as in **Paper II** and **III**. We found no difference in cell proliferation between TAp73 WT and KO MEFs, but upon TAp73 loss cells acquired increased anchorage-independent growing capacity, suggesting their enhanced potential to grow as tumors. The knockdown of TAp73 in BJ-TE fibroblasts was also reported to show similar accelerated anchorage-independent growth capacity¹⁷⁵. We next injected TAp73 WT and KO MEFs in immunocompromised nude mice and found that TAp73 KO MEFs grow bigger tumors with shorter latency compared to TAp73 WT tumors. This is in agreement with the findings shown by Tomasini et al. where TAp73 deficient mice experience spontaneous lung cancer¹⁶⁶. Interestingly, TAp73 KO MEFs tumors were very reddish in appearance, suggesting increased blood vessels or vascularization; this was confirmed by an endothelial cell marker, endomucin, staining. In contrast, N-terminal truncated ΔNp73 KO tumors showed decreased endomucin staining indicating ΔNp73 as a positive modulator of tumor angiogenesis. Moreover, studying B-cell lymphoma tumors arising from transgenic TAp73^{-/-}/EμMyc and ΔNp73^{-/-}/EμMyc mice again confirmed similar increases in endomucin positive blood vessels upon TAp73 loss whereas ΔNp73 deficiency reduced tumor vasculature. Similar to our study, Amelio et al. showed that TAp73 deficiency enhances carcinogen-induced tumor progression and tumor angiogenesis¹⁹⁴, whereas Dullo et al. reported that ΔNp73 enhances tumor angiogenesis¹⁹⁵.

A common trait of all tumor cells is the ability to produce proangiogenic factors that in turn increase blood vessel formation and promote tumor angiogenesis. To investigate whether the effect of p73 isoforms in tumor angiogenesis is indeed due to factors produced by the tumor cells and not merely tumor size, we used a short term Tg(fli:EGFP) transgenic zebrafish xenograft model where we injected TAp73 WT and KO MEFs in zebrafish embryo. Due to the transparency of the model and ease of visualization of the developing vasculature that expresses GFP (green fluorescent protein), the interactions between tumor cells and the endogenous endothelium can be easily followed *in vivo*. TAp73^{-/-} cells induced increased endothelial sprouting towards the injected cells, whereas ΔNp73^{-/-} cells caused significant reduction in endothelial sprouting compared to WT. This data highlighted the differential role of these two isoforms in regulation of tumor angiogenesis.

Morphologically, tumor induced blood vessels are very irregularly branched and markedly lack pericytic coverage that allows high vascular permeability or leaky tumor vessels²⁷¹. To check whether the MEF generated tumors also portrayed vascular permeability we injected high molecular dextran prior to tumor isolation. We observed extravascular dextran leakage, suggesting increased vascular permeability, in TAp73 KO tumors compared to WT. Abnormal tumor blood vessels are also characterized by reduced cell-cell contacts and junction molecules like VE-cadherin²⁷². Tumor produced angiogenic factors like VEGF, FGF and angiopoietin have been reported to portray similar reduction of VE-cadherin junctions and leaky vessels which are known to support tumor cell extravasation and metastasis^{272,273}. Therefore, we checked whether TAp73 KO MEFs produced factors that could impair VE-cadherin junctions in endothelial cells by adding conditioned medium (CM) from MEFs exposed to hypoxia on primary human dermal endothelial cells (HuDMEC). Interestingly, we found prominent disruption of cell-cell contact together with reduction of VE-cadherin at endothelial cell junctions when the HuDMECs were exposed to TAp73 KO CM. Taken together, this indicated to us that TAp73 deficiency stimulates tumor cells to produce proangiogenic secretomes that induce endothelial cell sprouting and vessel permeability.

To determine which angiogenic factors are differentially expressed to support enhanced tumor angiogenesis in TAp73 KO tumors, we performed a PCR based angiogenic gene expression array using TAp73 WT and KO tumors. Notably, we found that 12 proangiogenic genes (Col18a1, Fgfr3, Cxcl1, Cxcl2, Ccl2, IL1b, IL6, Vegfc, Ereg, Tnfaip2, MMP19, and Thbs2) were significantly upregulated upon TAp73 loss and one gene, brain specific angiogenesis inhibitor (Bai1), was significantly downregulated. Some angiogenic genes (Cxcl1, Cxcl2,

Ccl2) that we found upregulated in TAp73 KO tumors are also known to have pro-inflammatory function via recruiting immune cells. The effect of Ccl2 in promoting immune cell infiltration in TAp73 deficient tumors has been investigated in detail in **Paper II**. We also found upregulation of Vegfc in TAp73 KO tumors, which may explain how TAp73 deficiency results in increased vascular permeability and reduces VE-cadherin junction molecules. VEGF-C is a classical lymph-angiogenic factor that drives endothelial sprouting or angiogenesis via binding to the angiogenic receptor VEGFR-2 expressed by endothelial cells and is known to mediate increased permeability by reducing the junction protein VE-cadherin^{274,275}. Interestingly, we also show that TAp73 β and p53 directly upregulates Bai1, whereas Δ Np73 inhibits its expression. Bai1 is a transmembrane protein that is cleaved into vacuolostatin, a well-known angiogenic inhibitor²⁷⁶.

The regulation of 9 genes (Cxcl1, Cxcl2, Ccl2, IL1 β , IL6, Vegfc, Ereg, Tnfaip2 and Bai1) was further validated via qRT-PCR in TAp73 WT and KO tumors, which confirmed similar regulation; moreover, these genes were oppositely expressed in Δ Np73^{-/-} tumors. This once again suggested that TAp73 and Δ Np73 might differentially regulate expression of angiogenic genes. Isoform specific regulation of angiogenic genes was also confirmed *in vitro* using TAp73 KO MEFs and Δ Np73 KO MEFs compared to their WT counterpart, and interestingly the regulation of the genes was further potentiated when cells were exposed to hypoxia. This result suggested that expression of angiogenic genes is indeed from the tumor cells and not merely from the stroma and that p73 is involved in regulation of hypoxia-induced angiogenic gene expression.

Next, we validated our findings in human breast cancer and lung cancer cell lines in which knockdown of TAp73 led to increased expression of angiogenic genes, which again was further elevated in hypoxia. Considering the involvement of hypoxia in regulation of proangiogenic genes that we observed in our results, we looked into the TCGA breast cancer patient sample dataset to identify whether we could recapitulate similar gene signatures linked to the p73 isoform. However, we focused our dataset analyses only for Δ Np73 and not TAp73, considering that Δ Np73 is the most abundantly expressed isoform in cancer¹⁶⁴. Moreover, higher expression of Δ Np73 has also been correlated with worse prognosis in breast cancer²⁰⁴. Therefore, we separated the patient groups based on high expression or no expression of Δ Np73 and performed GSEA. Interestingly, we found that hypoxia and angiogenesis genes were highly enriched in patients who had high expression of Δ Np73.

Most solid tumors experience hypoxic environments after the tumor size reaches a certain limit, and this hypoxic event induces the expression of HIF1 α , a central regulator that controls distinct biological pathways including angiogenic gene signature. Upon hypoxia, HIF1 α is stabilized and translocated into the nucleus where it binds to Hypoxia Response Elements (HRE) and confers transcription of target genes. Therefore, we looked in depth to see whether the upregulated proangiogenic genes in TAp73^{-/-} cells have a HRE in their gene promoter; indeed, we found that all the genes had HRE's in their promoters. By performing ChIP for HIF1 α with TAp73^{-/-} MEFs grown in hypoxia, we discovered that HIF1 α actively binds to these promoters, except for Cx12, to activate transcription of the proangiogenic genes. This was further confirmed upon siRNA-mediated HIF1 α knockdown in TAp73^{-/-} MEFs grown in hypoxia, which resulted in decreased expression of proangiogenic genes. Together, our data demonstrated that TAp73 represses HIF1 α dependent expression of proangiogenic genes. Additionally, we investigated whether TAp73 knockdown or overexpression could influence HIF1 α levels. We found that TAp73^{-/-} MEFs had increased HIF1 α protein accumulation, whereas the opposite was observed in Δ Np73^{-/-} MEFs. Knockdown of TAp73 in MCF7 breast cancer cells showed similar upregulation of HIF1 α , whereas overexpression of TAp73 β downregulated HIF1 α protein levels. Similar to our findings, Amelio et al. reported that TAp73 suppresses tumor angiogenesis via promoting HIF1 α degradation. Herein, TAp73 functions as a scaffold protein for HIF1 α and facilitates mouse-double-minute-2 (MDM2)-mediated polyubiquitination and subsequent proteasomal degradation of HIF1 α , similar to the mechanism of HIF1 α degradation shown by p53^{194,277}. However, in contradiction to our findings, Dullo et al. reported that hypoxia induced HIF1 α blocks Siah1 which in turn leads to TAp73 stabilization and subsequent increased angiogenic target gene activation and angiogenesis, thus concluding that TAp73 promotes tumor development and angiogenesis²⁷⁸. A possible explanation for the differing results may be due to the use of different mouse models that are characterized by different immune components. In their study, they used a SCID mouse model that lacks T cells, B cells and NK cells whereas in our studies we used Nude mice which lack T cells but still have functional B cells and NK cells. Additionally, we verified our results in an immunocompetent spontaneous B cell lymphoma mouse model, where we again observed increased vascularization in support of our TAp73^{-/-} MEFs tumor studies in Nude mice.

Taken together, we demonstrated that TAp73 loss promotes tumor cells to produce increased pro-angiogenic factors that lead to tumor angiogenesis. This enhancement of angiogenesis signature is mainly conferred via increased HIF1 α activity. In contrast, Δ Np73 loss leads to reduced tumor angiogenesis and downregulation of pro-angiogenic factors in concomitance

with decreased HIF1 α activity. To conclude, we have discovered that TAp73 and Δ Np73 isoforms play opposite roles in tumor angiogenesis through regulation of HIF1 α activity.

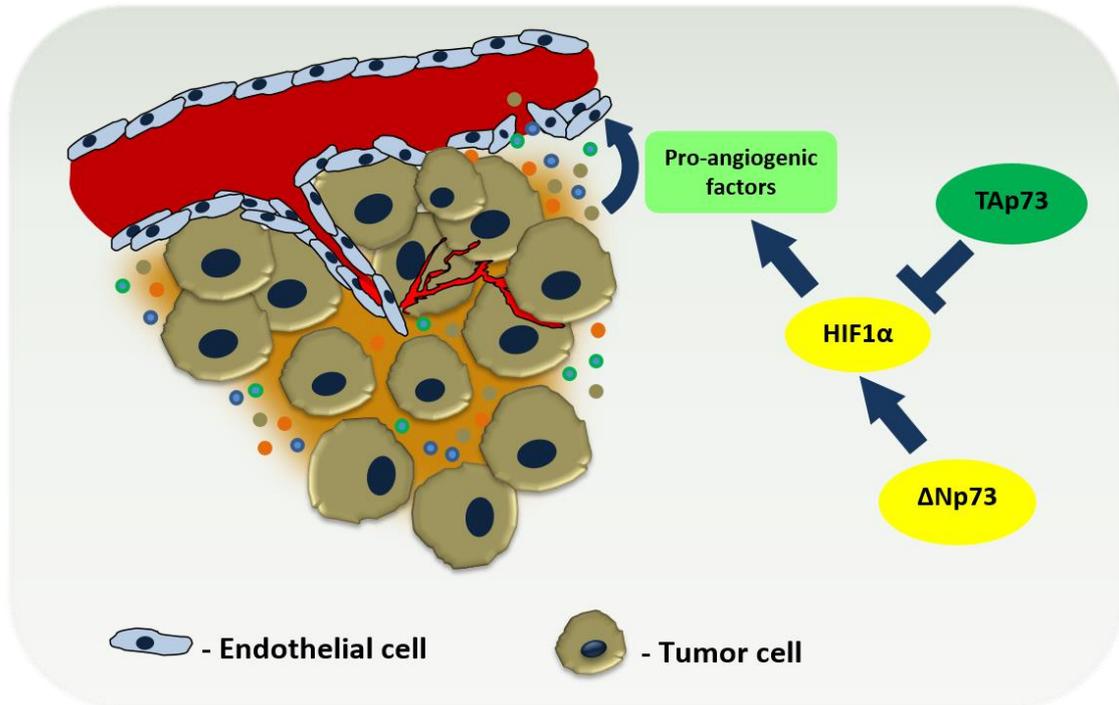


Figure 8. Graphical abstract showing how TAp73 and Δ Np73 are involved with HIF1 α to regulate the expression of proangiogenic genes and subsequent tumor angiogenesis.

3.2 TAP73 DEFICIENCY ENHANCES CCL2 EXPRESSION AND INCREASES INTRA-TUMORAL INFILTRATION OF TUMOR-ASSOCIATED MACROPHAGES (PAPER II)

In **Paper I**, we reported that TAp73 deficient tumors, MEFs, and breast cancer cells have increased expression of proangiogenic chemokines and cytokines. Among these factors, the chemokine CCL2 was found to be highly upregulated. CCL2 belongs to a group of low molecular weight C-C chemokines that is commonly expressed by different cell types including myeloid, endothelial, epithelial, fibroblasts and most importantly different cancer cells. Apart from its proangiogenic characteristic, CCL2 is well known for its function as a macrophage chemoattractant and has the ability to recruit a variety of immune cells including T cells, NK cells and monocytes to the site of production. Tumors commonly express CCL2 to attract macrophages, which in turn mediate production of proangiogenic factors. These can then stimulate endothelial cell proliferation and migration leading to tumor angiogenesis, dampen the immune response and support tumor development²⁷⁹⁻²⁸¹. Considering the fact that tumors favor recruitment of immune cells to the tumor microenvironment to support tumor progression and that CCL2 was upregulated in our results in **Paper I**, in this study we tried to explore how

TAp73 loss may induce CCL2 expression to promote immune cell infiltration which in turn drives tumor development. However, unlike **Paper I**, here we investigated TAp73 driven regulation of CCL2 independent of hypoxia.

We used two different pairs of TAp73^{-/-} and TAp73^{+/+} E1A/Ras transformed MEFs and found that TAp73 knockout cells harbor increased expression of Ccl2 mRNA, which was complemented with increased Ccl2 protein expression as shown by Western blot and ELISA.

To assess TAp73-driven Ccl2 regulation, we reintroduced TAp73 isoforms in TAp73 knockout MEFs and found a downregulation of Ccl2 mRNA expression. To see if similar regulation could be recapitulated in cancer cell lines, we overexpressed TAp73 isoforms in two different murine breast cancer cells (E0771 and 4T1) and showed similar downregulation of Ccl2 mRNA. Interestingly, for both TAp73^{-/-} MEFs and breast cancer cell lines, TAp73 dependent repression of Ccl2 was mainly observed by TAp73 β but not the TAp73 α isoform. This may be explained by the structural difference between TAp73 α and TAp73 β . Unlike TAp73 β , TAp73 α contains an extra sterile alpha motif (SAM) and transcription inhibitory domain (TID) which limits the accessibility of binding partner p300/CBP to the p73 activation motif, for which TAp73 α acquires poor transcriptional capacity compared to TAp73 β ²⁸². This means that TAp73 α and TAp73 β can have differential transcriptional activities and function on the same target genes^{283,284}. In agreement, a study showed that TAp73 α upregulates c-Jun expression whereas TAp73 β downregulates its expression²⁸³.

To recapitulate similar regulation in human cell lines, we knocked down TAp73 in two breast cancer cell lines using two different siRNA oligos and found increased expression of CCL2 mRNA. On the other hand, overexpression of TAp73 isoforms, particularly TAp73 β , shows significant downregulation of CCL2. CCL2 expression negatively correlates with patient prognosis, and supports metastatic cascade which interferes with disease free survival in a variety of cancers^{281,285-288}. High expression of CCL2 is commonly found in breast cancer, lung cancer, colon cancer, liver cancer and prostate cancer^{281,286,287}. Additionally, precancerous senescent hepatocytes have been shown to produce CCL2 to recruit CCR2⁺ immature myeloid cells (iMCs), which upon differentiation into macrophages are responsible for the clearance of senescent cells; tumor cells prevent differentiation of iMCs into macrophages which in turn inhibits NK cell function and promotes hepatocellular cancer growth²⁸⁹. To verify if the correlation between TP73 and CCL2 holds significance in human cancers, we evaluated our results in clinical samples by analyzing publicly available human cancer datasets (TCGA). We found a significant negative correlation between TP73 and CCL2 expression in a panel of 50

breast cancer cell lines and three different breast cancer patient datasets. In agreement, datasets from liver cancer, lung cancer and colon cancer also showed similar negative correlation between *TP73* and *CCL2*. Together, these findings consolidate the idea that TAp73 negatively regulates *CCL2* expression.

To explore the precise mechanism of *Ccl2* regulation in more depth, we used a Luc-reporter tagged *Ccl2* promoter construct and performed a reporter assay along with overexpression of TAp73 and Δ Np73 isoforms. Notably, we again found that TAp73 β but not TAp73 α or Δ Np73 isoforms, represses *Ccl2* promoter activity. To decipher whether TAp73 driven regulation of *Ccl2*, is conferred via binding to the *Ccl2* promoter, we analyzed the *Ccl2* promoter region using MatInspector transcription factor binding tool and found no binding sites for TAp73. This led us to think that TAp73 may indirectly regulate expression of *Ccl2*. Interestingly, the murine *Ccl2* promoter is reported to contain four putative NF κ B binding sites²⁹⁰. It has been reported that *Ccl2* is a transcriptional target gene of NF κ B and that the aberrant expression of both has been commonly observed in cancer^{291,292}. To verify whether the NF κ B-binding motifs found in the *Ccl2* promoter have any role in TAp73 mediated *Ccl2* promoter activity, we mutated each NF κ B binding site and performed reporter assays with overexpression of TAp73 α or TAp73 β . Intriguingly, we discovered that deletion of NF κ B binding site 1 or 2 results in enhanced *Ccl2* promoter activity, implicating that both binding sites are important for NF κ B mediated *Ccl2* suppression. However, the reduced activity of the *Ccl2* promoter by TAp73 β was still evident even after loss of the binding sites. Additionally, deletion of either NF κ B binding site 3 (NKB-3) or 4 (NKB-4) significantly reduced *Ccl2* promoter activity, which was still reduced upon overexpression of TAp73 β . Furthermore, a very strong reduction of *Ccl2* promoter activity was seen when both binding site NKB-3 and NKB-4 were collectively removed, and no further suppression was observed upon co-expression with TAp73 β . Taken together, we showed that NKB-3 and NKB-4 sites are important for *Ccl2* promoter activation and TAp73 β can impede NF κ B mediated transcriptional activation of the *Ccl2* promoter.

To understand the molecular interaction between TAp73 and NF κ B, we transfected NF κ B consensus binding site reporter constructs into TAp73 WT and KO MEFs. We found that TAp73 KO MEFs showed increased NF κ B transcriptional activity; this finding was further complemented with qRT-PCR data showing increased expression of NF κ B target genes (*Cxcl1*, *Cxcl5*, and *Cxcl10*) in TAp73 KO MEFs compared to WT. To further assess whether the increased NF κ B activity observed in the TAp73^{-/-} MEFs could result in increased *Ccl2* expression, we inhibited NF κ B activity in MEFs using SC514 and performed qRT-PCR and ELISA for *Ccl2*. Mechanistically, SC514 mainly inhibits IKK2 to stabilize I κ B α /NF κ B binding

which ultimately blocks translocation of NFκB subunits into the nucleus and thus prevents NFκB-mediated transcription²⁹³. We observed higher levels of Ccl2 mRNA as well as secreted Ccl2 protein from TAp73 deficient cells, whereas inhibition of NFκB impeded this upregulation and normalized Ccl2 levels closer to wild-type MEFs. This suggested that TAp73 loss favors increased NFκB activity resulting in higher expression of Ccl2.

The NFκB family is composed of five members including NFκB1 (p105), NFκB2 (p100), RelA (p65), c-Rel, and RelB. Among them, NFκB1 (p105) and NFκB2 (p100) undergo proteasomal modification and are cleaved into p50 and p52 respectively. The cleaved subunits and other family members commonly form homodimers or heterodimers prior to nuclear translocation and transactivation of targeted genes. Notably, NFκB-mediated Ccl2 promoter activation has been reported to be induced by the p65/p65 or p65/p50 dimers²⁹⁰. Therefore, we investigated the expression of these NFκB subunits in TAp73^{+/+} and TAp73^{-/-} MEFs via western blot. However, we found no differences in p65 or p50 expression. Upon NFκB activation, p65 together with its dimeric partner is known to translocate into the nucleus to activate target genes. Therefore, we checked p65 expression via immunohistochemistry (IHC), but found no difference in nuclear translocation of p65 between TAp73 WT and KO MEFs. Generally, NFκB activity is determined via several positive (IKBkA/Chuck, IKBkB and IKBkE) and negative (NFκBIA, NFκBIB, NFκBIE and BCL3) regulators including transcriptional repressor (p50/p52). Therefore, we checked these regulators in the TAp73^{+/+} and TAp73^{-/-} MEFs via qRT-PCR and revealed no significant difference in gene expression except for NFκB2. NFκB2 is a precursor protein that is proteolytically modified and matured into p52 subunits which lack the TAD, and acts as a transcriptional repressor against NFκB activity^{294,295}. In line with our qRT-PCR results for NFκB2, we observed distinct downregulation of p52 protein in TAp73 KO MEFs compared to WT. Thus, our results indicate that TAp73 deficiency reduces transcriptional repressor p52 that in turn favors increased NFκB-mediated transcriptional activity and subsequent target gene expression of Ccl2.

As mentioned previously, the tumor microenvironment can induce the expression of CCL2 to potentiate infiltration of immune cells that can in turn promote tumor progression. In breast cancer, CCL2 has been shown to recruit CD11b⁺/Ly6C⁺/Gr⁺ inflammatory monocytes to promote metastasis events via supporting enhanced angiogenesis³⁸. CCL2 also instigates CCL3 expression by metastasis-associated macrophages that in turn support increased events of lung metastasis²⁸⁵. Since TAp73 deficiency results in enhanced expression of Ccl2, we hypothesized that TAp73 knockout tumors may have increased recruitment of macrophages into the tumors.

To verify this, we used TAp73^{-/-} and TAp73^{+/+} MEFs generated tumors raised in Nude mice. Tumor sections stained for F4-80 pan macrophage marker showed that TAp73^{-/-} tumors harbored increased macrophage recruitment compared to WT tumors. Additionally, we also performed FACS analysis for F4-80 and CD11c macrophage markers and once again observed increased F4-80⁺/CD11c⁺ double positive macrophages recruited to the TAp73 KO tumors compared to WT tumors. Our data demonstrated that TAp73 loss favors increased macrophage recruitment to tumors.

Different factors produced by the tumor microenvironment can reprogram macrophages towards tumor supporting TAMs rather than tumor inhibiting type. The recruited TAMs can dampen the immune response and also produce more proangiogenic factors to support tumor development⁴⁷. In line with this, CCL2 has been shown to polarize CD11b⁺ macrophages to M2 like macrophages (CD206⁺ TAMs)²⁹⁶. Therefore, we checked tumor sections for TAM marker CD206 to determine whether the increased macrophage population observed in the TAp73 KO tumors were indeed TAMs. CD206 staining confirmed that macrophages in the TAp73^{-/-} tumors were in fact mainly tumor-associated macrophages. FACS analysis for double positive CD206⁺/F4-80⁺ populations again portrayed that TAp73^{-/-} tumors were enriched with a higher proportion of TAMs compared to WT. By performing qRT-PCR for macrophage markers, we again showed that TAp73 KO tumors have increased expression of TAMs marker *Mrc1* (CD206) and *Arg1*, whereas anti-tumorigenic macrophage marker iNOS (*NOS2*) remained unchanged between both tumor types. To further validate our *in vivo* results in human clinical samples, we analyzed publicly available cancer patient datasets and confirmed a negative correlation between *TP73* and *MRC1* (CD206) expression in breast cancer and lung cancer.

In summary, our study proposed a novel mechanism by which TAp73 represses transcriptional activation of *Ccl2* via interference of NFκB activity. Moreover, TAp73 loss in tumors favors upregulation of *Ccl2* that in turn supports increased recruitment of tumor-associated macrophages to support tumor development.

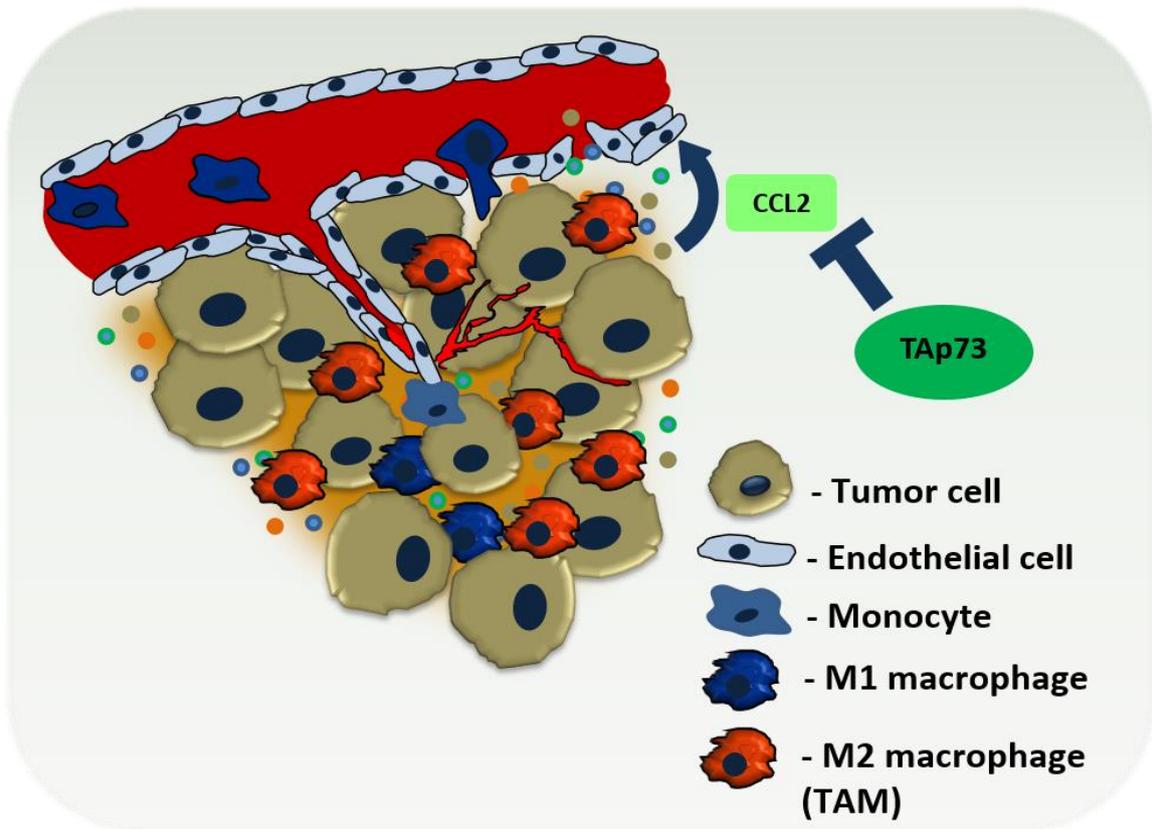


Figure 9. Graphical abstract showing CCL2 repression by TAp73 and its impact on tumor microenvironment.

3.3 Δ NP73 ENHANCES HIF-1A PROTEIN STABILITY THROUGH REPRESSION OF THE ECV COMPLEX (PAPER III)

Most solid tumors often experience low oxygen tension. The hypoxia exposed tumor cells then start to express hypoxia inducible factor (HIF), a master regulator that regulates genes essential for tumor cell survival, including those related to angiogenesis and metabolism which help to adapt to an alternate environment. In **Paper I**, we demonstrated that Δ Np73 absence conferred reduced HIF1 α stability; in this paper, we continued our study to unravel the underlying molecular mechanism of how Δ Np73 is involved in regulation of HIF1 α stability.

To investigate, we knocked down Δ Np73 using siRNA and shRNA targeting Δ Np73 in human breast cancer cell lines MCF7 and MDA-MB-231. Upon Δ Np73 knockdown, we observed significant downregulation of HIF1 α protein compared to control cells. Intriguingly, Δ Np73 deficiency associated downregulation of HIF1 α was observed in both normoxic and hypoxic conditions, suggesting that Δ Np73 ablation can affect HIF1 α protein stability independent of a hypoxic environment. Additionally, in **Paper I**, we showed that Δ Np73 loss results in decreased HIF1 α protein but not mRNA, and in this study, we again found no effect of Δ Np73

on HIF1 α mRNA expression which suggests that Δ Np73 influences the protein stability of HIF1 α but not the transcription of HIF1 α . In line with this, our qRT-PCR data indicated downregulation of HIF1 α target genes (*VEGFA*, *LDHA* and *PDK1*) concomitant to HIF1 α protein downregulation. This suggested that Δ Np73 deficiency mediates reduction of HIF1 α , which subsequently reduces HIF1 α 's transcriptional activity. To reconfirm this regulation, we overexpressed Δ Np73 α to determine whether higher expression of Δ Np73 could upregulate HIF1 α protein. Indeed, we found upregulation of HIF1 α protein upon overexpression of Δ Np73 α , suggesting that Δ Np73 can positively regulate HIF1 α stability. Interestingly, during hypoxia HIF1 α can stabilize Δ Np73 via suppression of E3 ligase Siah1¹⁹⁵. Together with our results, this could reflect a possible feedback mechanism between Δ Np73 and HIF1 α at varying oxygen conditions.

To translate our findings *in vivo*, we established tumor from Δ Np73 WT and KO E1A/Ras transformed MEFs. In line with our previous reports, we observed that Δ Np73 deficiency led to impaired tumor formation²²⁰. We analyzed the tumors for HIF1 α and discovered similar downregulation of HIF1 α protein in Δ Np73 KO tumors compared to Δ Np73 WT tumors. Considering the size difference between Δ Np73^{-/-} and Δ Np73^{+/+} tumors, we examined the expression of HIF1 α within the hypoxic region by injecting HydroxyProbe-1 in the mice prior to tumor isolation. Using immunofluorescence staining for HIF1 α and HydroxyProbe-1, we again observed significant reduction of HIF1 α staining within the hypoxic regions in Δ Np73 KO tumors compared to WT. Together; our data demonstrated that Δ Np73 deficiency attenuates tumor induced HIF1 α expression.

The stability, accumulation and activity of HIF1 α protein is regulated via post-translational modification cascades²⁹⁷. In normoxia, HIF1 α levels are negatively regulated via proteasomal degradation and ubiquitination pathway mediated by Von Hippel-Lindau protein (pVHL). Since Δ Np73 deficiency results in decreased HIF1 α stability, we hypothesized a possible role for Δ Np73 in interfering with ubiquitination of HIF1 α , which in turn affects its stability. To study this, we inhibited the proteasomal degradation pathway using MG132 in shCtrl and sh Δ Np73 breast cancer cells. Interestingly, we observed normalization of HIF1 α protein levels in Δ Np73 knockdown cells similar to the levels seen for control cells. Additionally, performing Co-IP we showed that Δ Np73 deficient cells have increased ubiquitin bound to HIF1 α , suggesting that Δ Np73 loss leads to increased ubiquitin binding to HIF1 α protein, leading to its ubiquitination and proteasomal degradation. This mechanism was observed for both Δ Np73 KO MEFs and human breast cancer Δ Np73 KD MCF7 and MDA-MB-231 cells. However, in normoxic condition prior to ubiquitin-mediated degradation, HIF1 α is first hydroxylated by a

group of prolyl-4-hydroxylase (PHD 1-3) enzymes which helps in recognition of HIF1 α by pVHL, which in turn ubiquitinates HIF1 α and targets it for proteasomal degradation. Among the PHD enzymes, PHD2 is a target gene of p53 and is well known for maintaining steady-state levels of HIF1 α . Δ Np73 is known to impede p53-mediated transcription²³⁸, suggesting that Δ Np73 may interfere with p53-mediated PHD2 transcription in order to reduce HIF1 α degradation and thus increase HIF1 α stability. To test this theory, we checked hydroxylated HIF1 α after blocking the proteasomal degradation machinery by MG132 treatment, and surprisingly found no differences in hydroxylated HIF1 α protein levels between Δ Np73 control and knockdown cells. This indicated that Δ Np73 does not interfere with hydroxylation of HIF1 α .

The tumor suppressor pVHL is a multipurpose adaptor protein encoded from von Hippel-Lindau gene (VHL) which functions as a substrate recognition subunit of an E3 ligase complex termed as ECV. The ECV complex comprises of pVHL, Cullin 2 (Cul2), Elongin B (Tceb1), Elongin C (Tceb2) and Rbx1; the complex primarily targets HIF1 α for ubiquitin mediated proteasomal degradation in normoxic condition. During hypoxia, HIF1 α does not undergo hydroxylation, which prevents pVHL mediated proteasomal degradation resulting in HIF1 α accumulation, followed by increased proliferation and angiogenesis as portrayed in VHL disease²⁹⁸. Based on the fact that HIF1 α stability is controlled by the adaptor protein pVHL, we sought to investigate whether pVHL drives Δ Np73 deficiency mediated downregulation of HIF1 α . To confirm this concept, we performed siRNA-mediated knockdown of Δ Np73 in two different renal carcinoma cell lines, RCC4^{+VHL}(with functional VHL) and RCC4 (deficient for VHL). Interestingly, we found no differences in HIF1 α levels when pVHL remained mutated or nonfunctional, but in presence of functional pVHL, Δ Np73 deficiency instigated similar downregulation of HIF1 α protein as demonstrated earlier. This implied that Δ Np73 loss mediated reduction of HIF1 α is mainly conferred via a pVHL dependent mechanism.

Both Δ Np73 and HIF1 α are considered to be bad prognostic factors in a variety of cancers; high expression of these isoforms lead to poor response to drug therapy, disease aggressiveness and poor patient outcome in liver cancer, gastric cancer, colon cancer and breast cancer^{180,299,300}. Considering their major involvement in cancer, we evaluated our results in patient samples to establish a link between Δ Np73 and HIF1 α . By analyzing TCGA breast cancer dataset in paper I, we have shown that higher expression of Δ Np73 is positively associated with angiogenesis and hypoxia gene signatures in breast cancer patients. For the current study, we reanalyzed the same data and performed gene set enrichment analysis

(GSEA) on samples that had high levels of Δ Np73, in order to search for pathways most affected. We found that genes associated with ubiquitin-mediated proteolysis were downregulated in patients who had high expression of Δ Np73. When we looked in more depth for genes involved with ubiquitin-mediated proteolysis, we found that the ECV genes (RBX1, CUL2, TCEB1 and TCEB2) were significantly downregulated.

Next, to validate our patient data results we ectopically overexpressed Δ Np73 α in two human breast cancer cell lines and found similar downregulation of ECV genes as observed for patients who had high Δ Np73 levels. To confirm this regulation, we also knocked down Δ Np73 in the same breast cancer cell lines and notably found upregulation of the same ECV genes. Concomitantly, we also reconfirmed similar regulation in MEFs where Δ Np73 KO MEFs exhibited higher expression of ECV genes at both mRNA and protein levels. Additionally, reintroduction of Δ Np73 α in the Δ Np73^{-/-} MEFs conversely downregulated the expression of ECV genes. Based on these findings, we demonstrated that Δ Np73 negatively regulates the expression of ECV complex members (RBX1, CUL2, TCEB1 and TCEB2) in order to interfere with ubiquitination of HIF1 α , as confirmed in both breast cancer cells and breast cancer patient samples.

Rbx1 is a RING-finger protein recruited by Cul2 that is able to recognize important E2 ubiquitin-conjugating enzyme, which is necessary for mediating E3 ligase function of ECV complex²⁹⁸. To explore whether Δ Np73 mediated downregulation of Rbx1 results in accumulation of HIF1 α we performed IP for pVHL in Δ Np73 KO and WT MEFs followed by western blot. Interestingly, we observed increased Rbx1 bound to pVHL in Δ Np73 KO MEFs whereas no differences were seen in pVHL binding to HIF1 α . This suggested that Δ Np73 deficient cells may have an active ECV complex pathway that aids HIF1 α degradation. In line with these results, siRNA mediated Rbx1 knockdown showed significant upregulation of HIF1 α protein, which remained unchanged when both Δ Np73 and Rbx1 were downregulated in RCC4^{+VHL} cells that have functional VHL. Our data shows that Δ Np73 inhibits HIF1 α ubiquitination by downregulating the ECV genes, thus impeding VHL-dependent proteasomal degradation of HIF1 α , resulting in increased HIF1 α stability and accumulation.

In **Paper I**, we showed that Δ Np73 loss results in decreased HIF1 α protein. Herein, we further unraveled this molecular mechanism, where we demonstrated that Δ Np73 controls HIF1 α stability via regulation of ECV genes.

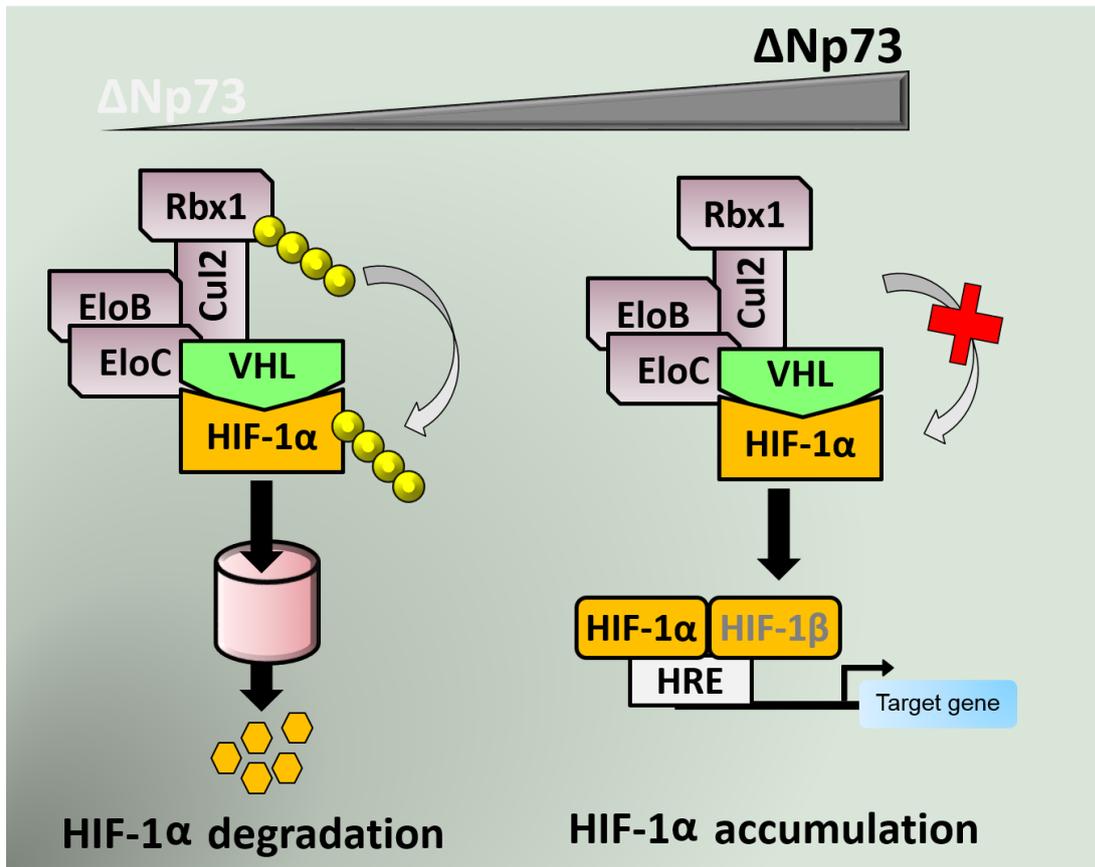


Figure 10. Graphical abstract showing $\Delta Np73$ promoting HIF1 α protein stability, resulting in transactivation of its target genes.

3.4 $\Delta Np73$ REGULATES THE EXPRESSION OF THE MULTIDRUG-RESISTANCE GENES ABCB1 AND ABCB5 IN BREAST CANCER AND MELANOMA CELLS (PAPER IV)

In **Paper I**, we demonstrated that breast cancer samples expressing high $\Delta Np73$ were enriched for angiogenesis and hypoxia signatures. For this study, we went back to the same dataset and scanned for pathways that were upregulated in breast cancer patient samples that had high expression of $\Delta Np73$. We filtered the dataset based on genes that were significantly upregulated more than two-fold in samples with high $\Delta Np73$ expression, and performed a Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway analysis.

KEGG pathway analysis revealed that ABC pathways were most enriched; apart from higher expression of ABC genes, we also found upregulation of other pathways including tyrosine metabolism, ECM receptor interactions, cell adhesion and focal adhesion pathways. ATP binding cassettes (ABCs) are transporter proteins that primarily function by importing and exporting different molecules within the cells. Cancer cells are known to overexpress these

genes in order to facilitate increased efflux of drug molecules from the cells to avoid cytotoxicity. Moreover, Δ Np73 has been associated with impaired drug response, impeded apoptotic signals and with poor patient prognosis in a variety of cancers. Therefore, considering the involvement of both Δ Np73 and ABC transporters in drug resistance and cancer patient disease outcome, we focused our study on the ABC transporters to decipher how Δ Np73 interplays with the ABC family to exert drug resistance.

We looked into more depth to determine which ABC genes were upregulated in the high Δ Np73-expressing samples. We observed significant upregulation of several ABC members including ABC subfamily A (ABCA5, ABCA6, ABCA8, ABCA9, ABCA10), ABC subfamily B (ABCB1 and ABCB5), ABC subfamily D (ABCD2) and ABC subfamily G (ABCG2). The association of p73 isoforms with ABC transporters has been previously reported in different malignancies; ABCA5 transporter is shown to be positively regulated by splice variant Δ Np73 in malignant melanoma, whereas association of p73-isoforms with ABCB1 has been reported in colon cancer and neuroblastoma^{206,211,268}. However, to the best of our knowledge, Δ Np73 driven regulation of ABC genes in breast cancer was not previously investigated. Considering the high incidence of breast cancer treatment failure as a result of drug resistance, the role of Δ Np73 in ABC transporter regulation may shed new light into the mechanisms behind chemotherapeutic failure.

To investigate the Δ Np73-driven regulation of ABC genes, we transiently overexpressed Δ Np73 isoform into two human breast cancer cell lines MCF7 (p53 WT) and MDA-MB-231 (mutant p53). Next, we performed qRT-PCR to check the expression of the top five upregulated ABC genes that were found to be enriched in the high Δ Np73-expressing breast cancer patient samples. Interestingly, similar to the dataset results, we observed upregulation of ABCB1 and ABCB5 genes upon overexpression of Δ Np73 α whereas expression of ABCA8, ABCA9 and ABCB10 were undetected in both cell lines; a possible explanation could be that these transporters might be expressed by other cells present in the tumor stromal compartment. Hence, we focused our study on ABCB1 and ABCB5 genes. In a study by Vilgelm et al., it was reported that Δ Np73 could upregulate ABCB1 expression via limiting p53-driven transrepression of the ABCB1 gene in gastric cancer²¹¹. However, here we demonstrated upregulation of both ABCB1 and ABCB5 genes irrespectively of p53 status. This suggests that Δ Np73 may mediate regulation of these transporters through interaction with other proteins or pathways exclusive of p53. In a study by Bao et al., it has been shown that miR-298 confers doxorubicin drug resistance in metastatic breast cancer through upregulation of ABCB1³⁰¹;

whether $\Delta Np73$ regulates miR-298 or other miRNAs to inhibit ABCB1 and ABCB5 in breast cancer would be interesting to investigate.

To further verify the regulation of ABC genes, we performed shRNA-mediated knockdown of $\Delta Np73$ in both breast cancer cell lines to detect whether downregulation of $\Delta Np73$ can result in downregulation of ABC genes. As expected, qRT-PCR results indicated downregulation of ABC genes upon knockdown of $\Delta Np73$. Furthermore, siRNA-targeted knockdown of $\Delta Np73$ in both cell lines confirmed significant downregulation of both ABCB1 and ABCB5 genes. Together, these results highlighted that $\Delta Np73$ positively regulates *ABCB1* and *ABCB5* expression in breast cancer cells.

The high expression $\Delta Np73$ has been reported to be associated with poor patient outcome following chemoresistance in a myriad of cancers including breast cancer¹⁸⁰. Moreover, ABCB1 and ABCB5 have been associated to drug resistance in breast cancer through mediating doxorubicin efflux^{301,302}. Taken together, these reports suggested a possible role that interlinked $\Delta Np73$ and ABC transporters in developing drug resistance in breast cancer. Considering this, we checked whether $\Delta Np73$ deficiency could influence the proliferation and efflux capacity of MCF7 and MDA-MB-231 cells upon doxorubicin treatment. We performed an efflux assay and found that $\Delta Np73$ ablation leads to increased intracellular accumulation of doxorubicin in $\Delta Np73$ deficient cells compared to control cells. We further confirmed the results with a WST-1 assay, where doxorubicin treated $\Delta Np73$ deficient cells showed reduced proliferation in line with decreased efflux capacity. Collectively, our results highlighted that $\Delta Np73$ deficiency impairs drug efflux capacity of breast cancer cells and sensitize them towards chemotherapy-induced cell death.

Finally, to evaluate our results in another cancer type and to verify the clinical relevance of our findings, we investigated the correlation of $\Delta Np73$, ABCB1 and ABCB5 in melanoma patient samples. We did not detect the expression of $\Delta Np73$ in the samples, but instead found the expression of the $\Delta Np73$ -like $p73\Delta Ex2/3$ isoform. $p73\Delta Ex2/3$ is the predominant isoform expressed in melanoma, and the absence of a TA domain gives it similar function as $\Delta Np73$ ²⁶⁷. Hence, we hypothesized that in melanoma $p73\Delta Ex2/3$, similar $\Delta Np73$, may be involved with the regulation of ABCB1 and ABCB5 expression. Using qRT-PCR, we confirmed that $p73\Delta Ex2/3$ -like $\Delta Np73$ is positively correlated with the expression of ABCB1 and ABCB5. Next, we overexpressed $p73\Delta Ex2/3\alpha$ and $p73\Delta Ex2/3\beta$ isoforms in SK-MEL-28 melanoma cells to verify our previous result. Likewise, overexpression of $p73\Delta Ex2/3$ isoforms again showed upregulation of ABCB1 and ABCB5, similar to our results seen for $\Delta Np73$ in breast

cancer cell lines. Our data implies that in melanoma the predominant $\Delta Np73$ -like $p73\Delta Ex2/3$ isoform mainly drives the expression of multidrug resistance genes ABCB1 and ABCB5.

In line with $\Delta Np73$'s positive role in tumor angiogenesis shown in Paper I, here we again demonstrate $\Delta Np73$'s active role in development of drug resistance via controlling expression of multidrug resistance genes.

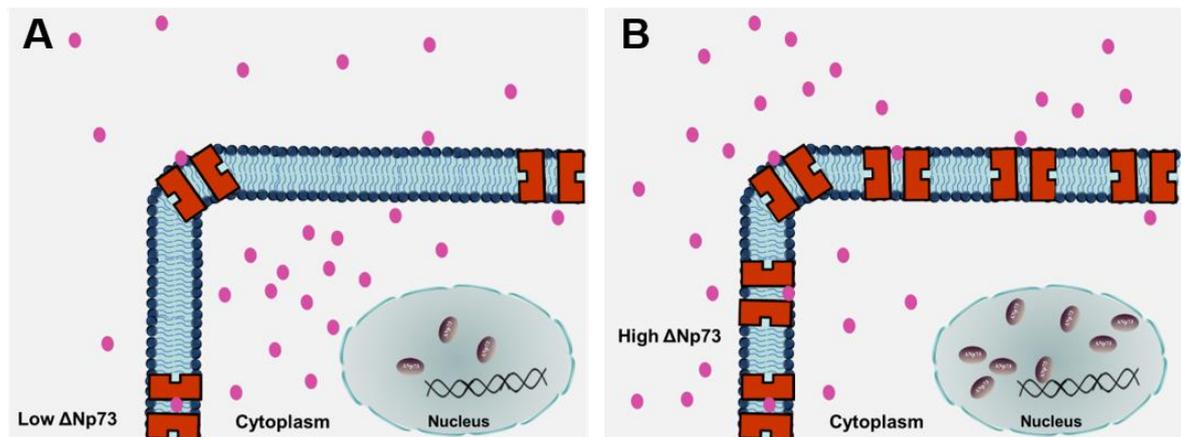


Figure 11. Graphical abstract to show how $\Delta Np73$ mediates drug resistance. **A.** Low $\Delta Np73$ expression scenario **B.** High $\Delta Np73$ expression scenario. Orange color denotes ABC transporter, pink color for doxorubicin and brown color for $\Delta Np73$.

4 CONCLUSION AND FUTURE PERSPECTIVES

Tumor development occurs through different stages including avoidance of cell cycle arrest and apoptosis, adaptation to harsh hypoxic environment, bypassing immune surveillance, forming a niche and reinforcing new blood vessels. Additionally, tumor cells can take advantage of cellular efflux machinery and enhance the expression of multi-drug resistance proteins to avoid drug mediated cytotoxicity. In this thesis, some of these points were addressed to decipher the role of p73 isoforms in tumor development.

In **Paper I**, we have shown that TAp73 suppresses expression of several pro-angiogenic factors whereas TAp73 loss favors tumor cells with increased expression of these factors, which in turn results in increased tumor vascularization. On the other hand, oncogenic Δ Np73 loss results in decreased tumor vascularization and decreased expression of pro-angiogenic genes. This demonstrates a novel mechanism by which different p73 isoforms show opposite functions in regulating tumor angiogenesis. Additionally, we showed that TAp73 regulates proangiogenic factors via inhibition of HIF1 α activity and that in absence of TAp73, HIF1 α is upregulated. In contrast, Δ Np73 enhances HIF1 α activity. In **Paper III**, we studied the regulation of HIF1 α by Δ Np73 in more detail.

In **Paper II**, we continued to explore how TAp73 regulates one of the pro-angiogenic chemokines found in **Paper I**. Here we reported that TAp73 represses tumor-induced production of macrophage chemotactic protein 1(MCP1/CCL2). We also confirmed similar negative correlation between *TP73* and *CCL2* in six different cancer datasets. Importantly, we showed that TAp73-driven *CCL2* repression is mainly conferred by inhibiting NF κ B-mediated activation of the *CCL2* promoter. We also found that TAp73 KO tumors that had higher expression of *CCL2*, also harbored increased tumor infiltrated macrophages. In addition, these macrophages were found to be mostly M2-like tumor associated macrophages (TAMs). We also reported similar negative correlation of *TP73* and M2-like TAMs marker CD206 expression in human breast cancer and lung cancer.

In **Paper III**, we continued the study following a lead from **Paper I**. Here, we demonstrated that Δ Np73 positively regulates HIF1 α stability. In absence of Δ Np73, HIF1 α is rapidly ubiquitinated and degraded via proteasomal machinery and this degradation is dependent on pVHL. Similar downregulation of HIF1 α in absence of Δ Np73 was further confirmed in *in vivo* tumors. Additionally, we revealed that Δ Np73 downregulates members of the ECV complex, which results in increased HIF1 α stability and accumulation. Here we showed a novel mechanism through which Δ Np73 can control HIF1 α stability by regulating the ECV genes.

In **Paper IV**, we focused our study on deciphering the role of p73 in cancer drug resistance, which is a very important factor in deciding patient drug therapy. Since, Δ Np73 is known to be aberrantly expressed in variety of cancers and is involved in chemoresistance, we focused our study on this isoform. We reported that oncogenic Δ Np73 supports drug resistance via promoting expression of ABC transporters. Additionally, we showed that Δ Np73 overexpression promotes expression of ABCB1 and ABCB5 gene. On the other hand, downregulation of Δ Np73 results in decreased expression of the same ABC transporters that leads to decreased drug efflux capacity by breast cancer cells. In line with this, similar regulation was also confirmed in melanoma patient samples. Together our data demonstrated Δ Np73's positive role in drug resistance that could hold significance in cancer patient therapy.

In **Paper I** and **II**, we found that p73 regulates several pro-angiogenic factors. Some of these factors are known to have function in metastasis. Hence, it would be interesting to study p73 isoforms involvement in tumor metastasis. In contrary to our **Paper I**, there are existing contradictory reports about TAp73 promoting tumor angiogenesis, this may imply that c-terminal splice variants i.e. α , β , γ , δ , ϵ , ζ and η are also needed to be considered for drawing conclusions about different p73 isoforms's function. Since CCL2 is known to promote tumor angiogenesis and recruitment of TAMs in TAp73 KO tumors, it would be interesting to see if these events could be reversed upon blocking of CCL2 expression *in-vivo*. Additionally, macrophages are also known to produce CCL2, as such, it would be interesting to study whether the absence or presence of TAp73 within macrophages may have any effect on macrophage function in tumor development. In **Paper I** and **III**, we showed the regulation of HIF1 α by p73 isoforms. Therefore, regulation of other HIF family members by p73 isoforms would be an interesting area for further investigation. Moreover, p73 Δ Ex2/3 isoform that acts like Δ Np73 should also be investigated for its effect in regulation of HIF1 α stability and tumor angiogenesis. In **Paper IV** we deciphered the role of Δ Np73 in drug resistance and regulation of ABC transporters, similarly, the involvement of TAp73 in the regulation of ABC transporters could be an exciting area to consider for future direction.

In conclusion, this thesis delineates a novel mechanism by which p73 isoforms can influence the tumor microenvironment through differential regulation of angiogenic factors and subsequent angiogenic events. Furthermore, we confirm the oncogenic role of Δ Np73 in supporting multi-drug resistance through regulation of ABC transporters. By providing further knowledge on how p73 can affect tumor development and resistance, we highlight the significance of p73 as an important target for the design of new cancer therapies.

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