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**Parental antibiotics and childhood asthma : a population-based study.**

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1 **Parental antibiotics and childhood asthma – a population-based**  
2 **study**

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33

34 ***Clinical Implications box***

35 In this population-based study on antibiotic treatment before, during and after pregnancy,  
36 using paternal exposure as negative control, we confirm that associations between maternal  
37 antibiotic exposure and childhood asthma is partly explained by familial confounding such as  
38 genes and environment.

39

40

41 ***Key words:*** antibiotics, asthma, maternal, paternal, public health, register

42 ***Word count:*** 1175

43

44 **To the editor:**

45 Previous studies have found positive associations between maternal antibiotic exposure in  
46 fetal life and childhood asthma.<sup>1-4</sup> It has been hypothesised that maternal antibiotic treatment  
47 may trigger the development of the immune system of young children, and thus be an  
48 important factor in asthma development.<sup>5</sup> Yet, systematic reviews have highlighted that the  
49 associations between antibiotic exposure and asthma could be due to bias such as confounding  
50 by indication, reverse causation or factors shared within families.<sup>6</sup> We recently provided  
51 evidence that the association between maternal antibiotics during pregnancy and childhood  
52 asthma is due to familial confounding such as genes and environmental factors e.g. socio-  
53 economic status, parental smoking and health seeking behaviour.<sup>7</sup> Assessment of paternal  
54 antibiotic treatment during pregnancy, as a negative control, could help to disentangle the  
55 relationships further, as the intrauterine environment cannot be directly influenced by the  
56 father.<sup>3</sup> If similar estimates are seen for paternal antibiotics as for maternal antibiotics, as well  
57 as for exposure to antibiotics before, during and after pregnancy, then this supports our  
58 previous findings that the association is at least partly explained by familial factors.

59 We aimed to address the association between parental (father's and mother's)  
60 exposure to antibiotics from 6 months before, during and up to 6 months after pregnancy, and  
61 subsequent childhood asthma by prospectively investigating a nationwide cohort of children.

62

63 The Swedish Medical Birth Registry (MBR) and the Multi-Generation Registry were linked  
64 through the personal identity number to identify a nationwide population-based cohort of  
65 children (N=492 700) born in Sweden to women who were pregnant between July 2005 and  
66 December 2010, along with their biological fathers. Details regarding the Swedish registers  
67 and the methodology are provided in the Online Repository.

68                   We collected information on dispensed systemic parental antibiotics from the  
69 Swedish Prescribed Drug Register (SPDR). Exposure windows were defined as *during*  
70 *pregnancy*: between estimated date of conception (from gestational age in days) to date of  
71 birth; *before pregnancy*: up to 6 months before estimated date of conception; and *after*  
72 *pregnancy*: up to 6 months after date of birth. Childhood asthma was defined as having both  
73 a diagnosis of asthma registered in the National Patient Register (NPR) and fulfilling criteria  
74 for asthma medication from the SPDR. This proxy for asthma at 0-17 years of age has  
75 previously been validated against criteria of asthma, set by the Swedish Paediatric  
76 Association's section for Allergy.<sup>8</sup>

77                   Potential confounders were identified based on previous knowledge and  
78 through directed acyclic graphs.<sup>9</sup> Information on parents' highest education, country of birth  
79 and history of asthma (asthma diagnosis or asthma medication), parental cohabitation during  
80 pregnancy, parity and maternal smoking during pregnancy, were obtained from the  
81 Longitudinal integration database for health insurance and labour market studies, MBR, NPR  
82 and SPDR.

83                   The association between maternal and paternal antibiotic exposure and  
84 childhood asthma was analysed using Cox proportional hazard regression with attained age as  
85 analysis time scale and sandwich estimator of standard errors to account for clustering within  
86 sibling groups. End of follow up was defined as the first of; positive outcome, emigration,  
87 death or end of study period (December 31<sup>st</sup>, 2011). Non-proportional hazards were found for  
88 exposure to antibiotics at all exposure periods. Consequently, we allowed for time-varying  
89 effects by splitting data at the age of 2.5 years. The study was approved by the regional ethical  
90 review board in Stockholm, Sweden.

91

92 In total, 14% of the children had mothers who were exposed to antibiotics pre-pregnancy,  
93 19% during pregnancy and 16% post-pregnancy (*Table 1*). The proportion of fathers with pre-  
94 pregnancy exposure was 8%, during pregnancy 11%, and post-pregnancy 8%. The overall  
95 proportion of asthma in children was 6% and approximately 7-8% in children who had been  
96 exposed to antibiotics.

97 Children whose mothers had been exposed to antibiotics were at increased risk  
98 of asthma at all ages. The estimates for pre-pregnancy exposure was (adjusted Hazard Ratio  
99 ( $HR_{adj}$ ) 1.31, 95% CI 1.27-1.35); during pregnancy ( $HR_{adj}$  1.27, 95% CI 1.23-1.30) and post-  
100 pregnancy ( $HR_{adj}$  1.34, 95% CI 1.30-1.38) among children up to 2.5 years. Point estimates for  
101 children  $\geq 2.5$  years were somewhat lower, but still significant, *Figure 1 and Table E1*.

102 Children whose fathers had been exposed to antibiotics were also at increased  
103 risk for asthma up to 2.5 years; pre-pregnancy ( $HR_{adj}$  1.17, 95% CI 1.12-1.21); during  
104 pregnancy ( $HR_{adj}$  1.13, 95% CI 1.09-1.17) and post-pregnancy ( $HR_{adj}$  1.19, 95% CI 1.14-  
105 1.25), however the association disappeared in children  $\geq 2.5$  years, *Figure 1 and Table E1*.

106 To further understand if the differences in results between children  $<$  or  $\geq 2.5$   
107 years, could be explained by the fact that young children with older siblings may be more  
108 prone to both infections and thus antibiotics, an interaction term between having older  
109 siblings and antibiotic exposure was included, where estimates were similar to the main  
110 findings (*Table E2*).

111  
112 In this nationwide population-based register study of parental antibiotics  
113 treatment, we found an association between both maternal and paternal exposure to antibiotics  
114 before, during and after pregnancy and childhood asthma in children  $< 2.5$  years of age. The  
115 associations between exposure to maternal, but not paternal, antibiotics and asthma remained

116 in children  $\geq 2.5$  years. While this could not be explained by having older siblings, the fact that  
117 there is an association between father's antibiotic exposure and the child's asthma suggests  
118 that the association may be due to confounding from shared environmental factors (U1 in  
119 Figure E1) or paternal environmental factors (U3 in Figure E1), such as sharing of infections,  
120 caring of children or health-seeking behaviour that differs between mothers and fathers. While  
121 the effect of maternal antibiotics seem to be stronger, the similar pattern of estimates,  
122 independent of exposure period, indicate that the association is, although not causal, explained  
123 by additional maternal confounders (U2 in Figure E1), such as genes or environmental  
124 factors that are related to the intrauterine environment and the mother's risk of antibiotic  
125 treatment. This is in line with, and confirms findings from our previous sibling design study,<sup>7</sup>  
126 and illustrates the beauty of using paternal exposure as negative control. On the contrary,  
127 Mulder et al did not find a significant association between exposure to paternal antibiotics in  
128 the third trimester and childhood asthma<sup>3</sup> which may be explained by the limited exposure  
129 period or power issues. However, we cannot exclude that the antibiotic exposure to any of the  
130 parents alters the child's neonatal exposure to a healthy microbiome, and that this could in  
131 turn lead to increased risk of asthma.

132           The population-based registers allowed us to estimate the association between  
133 parental antibiotics and childhood asthma prospectively with objective measures of exposure  
134 and validated outcomes<sup>8</sup>, precluding recall bias. While we were able to adjust for maternal  
135 smoking during pregnancy and parental country of birth, information on paternal smoking,  
136 which may be a potential confounder, was not available in the registers. We were also unable  
137 to control for antibiotics prescribed abroad, however, sensitivity analyses restricted to children  
138 of Swedish-born parents produced similar.

139

140

141                    In conclusion, we have shown an association between parental exposure to  
142 antibiotics and subsequent childhood asthma in children (<2.5 years for maternal and paternal  
143 exposure and  $\geq 2.5$  years for maternal exposure), with a pattern that confirms shared familial  
144 (genetic and environmental) factors.

145

146

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148

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- 178
- 179

180 **Figure legends**

181  
182 **Figure 1.** Adjusted\* Hazard ratio (HR) and 95% Confidence Intervals (CI) for childhood  
183 asthma in relation to age, after exposure to antibiotics before, during and after pregnancy in  
184 mothers and fathers respectively.

185  
186 \*Maternal exposure: Adjusted for parents' highest education, mother's country of birth and  
187 history of asthma, parental cohabitation during pregnancy, parity, age as analysis time scale  
188 (pre-pregnancy, pregnancy, post-pregnancy) and maternal smoking (pregnancy).

189  
190 \*Paternal exposure: Adjusted for parents' highest education, father's country of birth and  
191 history of asthma, age as analysis time scale (pre-pregnancy, pregnancy, post-pregnancy) and  
192 parental cohabitation during pregnancy (pregnancy).

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## Tables

**Table 1.** Descriptive table of study population and variables included in analyses.

	All	Children without asthma		Children with asthma	
	N	n	%	n	%
<b>Total</b>	492 700	463 446	94.1	29 254	5.9
<b>Variables</b>					
Maternal antibiotics					
<i>Pre-pregnancy</i>	66 882	61 888	13.3	5 071	17.3
<i>During pregnancy</i>	95 558	88 429	19.1	7 129	24.4
<i>Post-pregnancy</i>	76 665	70 787	15.3	5 878	20.1
Paternal antibiotics					
<i>Pre-pregnancy</i>	39 196	36 445	7.9	2 751	9.4
<i>During pregnancy</i>	56 243	52 424	11.3	3 819	13.1
<i>Post-pregnancy</i>	37 139	34 472	7.44	2 667	9.1
Highest paternal education					
$\leq 9$ yrs	23 038	21 574	4.7	1 464	5.0
10-12 yrs	179 358	167 312	36.1	12 046	41.2
$> 12$ yrs	287 852	272 143	58.7	15 709	53.7
Missing	2 452	2417	0.5	35	0.1
Parity					
No siblings	217 449	205 816	44.4	11 633	39.8
$\geq 1$ sibling	275 251	257 630	55.6	17 621	60.2
Parental cohabitation during pregnancy					
Yes	446 034	419 869	90.6	26 165	89.4

<i>No</i>	24 172	22 596	4.9	1 576	5.4
<i>Missing</i>	22 494	20 981	4.5	1 513	5.2
<hr/>					
Mother's country of birth					
<i>Sweden</i>	389 180	364 472	78.6	24 708	84.5
<i>Other</i>	103 520	98 974	21.4	4 546	15.5
<hr/>					
Father's country of birth					
<i>Sweden</i>	387 926	363 557	78.5	24 369	83.3
<i>Other</i>	104 774	99 889	21.6	4 885	16.70
<hr/>					
Mother with asthma					
<i>No</i>	452 685	428 369	92.4	24 316	83.1
<i>Yes</i>	40 015	35 077	7.6	4 938	16.9
<hr/>					
Father with asthma					
<i>No</i>	457 841	432 227	93.3	25 614	87.6
<i>Yes</i>	34 859	31 219	6.7	3 640	12.4
<hr/>					
Maternal smoking during pregnancy					
<i>No</i>	439 418	414 309	89.4	25 109	85.8
<i>Yes</i>	32 255	29 560	6.4	2 695	9.2
<i>Missing</i>	21 027	19 577	5.0	1 450	4.2

198 Test of independence between asthma status and background characteristics by Fisher's exact  
199 test provided  $p$ -values  $<0.005$  for all variables.  
200