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THE ROLE OF THE UBIQUITIN- PROTEASOME SYSTEM IN NEURODEGENERATIVE DISORDERS

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Cover picture: Ub^{G76V}-GFP HeLa cells expressing mycUBB⁺¹.
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To my grandmother

ABSTRACT

Neurodegenerative disorders are a heterogeneous group of clinically and pathologically diverse diseases. The diseases are characterised by selective loss of neurons, in specific regions of the brain. The result is disruption of motor, sensory or cognitive systems, leading to severe disability of the patients. Despite the variability between the diseases, there are some striking similarities. A common feature in many of these diseases is the presence of aggregated proteins that are covalently linked to ubiquitin (Ub). The ubiquitin-proteasome system (UPS) is the main pathway in the cell for the elimination of aberrant or misfolded proteins. Nevertheless, in neurodegenerative diseases these proteins accumulate with disastrous consequences for neurons, eventually leading to cell death. In this thesis, the role of the UPS in neurodegeneration was investigated. These studies focus on the degradation of specific disease related proteins and the general status of the UPS under conditions of an excess of aberrant or misfolded proteins.

To evaluate the capacity of the UPS to degrade disease related proteins, polyglutamine (polyGln) proteins were targeted for proteasomal degradation. These proteins were efficiently degraded independent of the length of the polyGln repeat. However, aggregation of the aggregation-prone polyGln proteins prevented proteasomal degradation. Thus the formation of aggregates renders these toxic proteins resistant to proteasomal degradation and initiates the accumulation of polyGln proteins and polyGln-interacting proteins.

A mutant form of Ub, UBB⁺¹ is another protein that can resist proteasomal degradation. UBB⁺¹ accumulates in neurons of patients with several neurodegenerative diseases. We show that UBB⁺¹ is a substrate of the proteasome but is too short to be efficiently degraded. The lack of UBB⁺¹ degradation causes an inhibitory effect on the UPS.

The accumulation of misfolded proteins inside the endoplasmic reticulum (ER) causes ER stress which is found in many neurodegenerative disorders. Since the UPS is also responsible for the degradation of ER proteins we investigated the effect of ER stress on the functionality of the UPS. We found that ER stress compromises the UPS though not fully blocks its function. This suggests that the load of ER proteins and the ER environment may be important parameters for the gradual progressive accumulation of misfolded proteins in neurodegenerative diseases.

In conclusion, the UPS is involved in the degradation of accumulated misfolded or aberrant proteins occurring in neurodegenerative diseases. However, in these diseases the UPS is compromised and some proteins might resist degradation.

LIST OF PUBLICATIONS

This thesis is based on the following papers that will be referred to in the text by their Roman numerals:

- I** **Lisette G. G. C. Verhoef**, Kristina Lindsten, Maria G. Masucci and Nico P. Dantuma. Aggregate formation inhibits proteasomal degradation of polyglutamine proteins. (2002) *Hum. Mol. Genet.* 11(22):2689-2700.
- II** Kristina Lindsten, Femke M. S. de Vrij, **Lisette G. G. C. Verhoef**, David F. Fischer, Fred W. van Leeuwen, Elly M. Hol, Maria G. Masucci and Nico P. Dantuma. Mutant ubiquitin found in neurodegenerative disorders is a ubiquitin fusion-degradation substrate that blocks proteasomal degradation. (2002) *J. Cell. Biol.* 157(3):417-427.
- III** **Lisette G. G. C. Verhoef** and Nico P. Dantuma. Designed ubiquitin fusion degradation substrates reveal minimal substrate length for efficient proteasomal degradation. *Manuscript*.
- IV** Victoria Menendez-Benito, **Lisette G. G. C. Verhoef**, Maria G. Masucci and Nico P. Dantuma. (2005) Endoplasmic reticulum stress compromises the ubiquitin-proteasome system. *Hum. Mol. Genet.* 14(19):2787-2799.

Other publications

Florian A. Salomons, **Lisette G. G. C. Verhoef** and Nico P. Dantuma. Fluorescent reporters for the ubiquitin-proteasome system. (2005) *Essays Biochem.* 41(1):113-128. Review article.

ABBREVIATIONS

| | |
|-----------------------|---|
| AD | Alzheimer's disease |
| ALS | amyotrophic lateral sclerosis |
| CBP | CREB binding protein |
| CFTR | cystic fibrosis membrane conductance regulator |
| CP | core particle |
| CUE | coupling of Ub conjugation to ER degradation |
| DALIS | dendritic cell aggresome-like induced structure |
| DRPLA | dentatorubal pallydolian atrophy |
| DUB | deubiquitination enzyme |
| E1 | ubiquitin activation enzyme |
| E2 | ubiquitin conjugation enzyme |
| E3 | ubiquitin ligase |
| ER | endoplasmic reticulum |
| ERAD | ER-associated degradation |
| GFP | green fluorescent protein |
| Gly-Ala | glycine-alanine |
| GRR | glycine-rich region |
| HD | Huntington's disease |
| Hsp | heat shock protein |
| Htt | huntingtin |
| I κ B α | inhibitor of NF- κ B |
| IB | inclusion body |
| IKK | I κ B kinase |
| MHC | major histocompatibility complex |
| MTOC | microtubule organization centre |
| NLS | nuclear localisation signal |
| ODC | ornithine decarboxylase |
| OTU | ovarian tumour protease |
| Pael-R | parkin-associated endothelin-receptor-like receptor |
| PAZ | polyubiquitin-associated zinc finger |
| PD | Parkinson's disease |
| PHD | plant homeodomain |
| PIM | proteasome interacting motif |
| polyGln | polyglutamine |
| RP | regulator particle |
| SBMA | spinobulbar muscular atrophy |
| SCA | spinocerebellar ataxia |
| SCF | Skp1/Cul1/F-box protein |
| TPPII | tripeptidyl peptidase II |
| Ub | ubiquitin |
| UBA | ubiquitin associated domain |
| UBB ⁺¹ | product of ubiquitin B transcript with +1 frame shift |
| UBL | ubiquitin-like domain |
| Ubl | ubiquitin-like protein |
| U-box | Ufd2 homology |
| UBP | ubiquitin specific protease |
| UCH | ubiquitin C-terminal hydrolase |
| UEV | ubiquitin conjugation enzyme variants |
| UFD | ubiquitin fusion degradation |
| UIM | ubiquitin interacting motif |
| UPR | unfolded protein response |
| UPS | ubiquitin-proteasome system |
| VCP | valosin-containing protein |
| VHL | Von-Hippel Lindau |
| YFP | yellow fluorescent protein |

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1. AIMS OF THE STUDY

The general aim of the work presented in this thesis was to investigate a possible role of the ubiquitin-proteasome system in neurodegenerative disorders. In particular under conditions where an excess of aberrant proteins accumulate.

The specific aims were to:

- Evaluate the effect of expanded polyglutamine repeats on proteasomal degradation.
- Investigate the effect of the mutant form of ubiquitin UBB⁺¹ on the ubiquitin-proteasome system.
- Study the underlying mechanism for UBB⁺¹ stability and inhibitory effect on the ubiquitin-proteasome system.
- Analyse the effects of ER stress on the ubiquitin-proteasome system.

2. GENERAL INTRODUCTION TO THE UBIQUITIN-PROTEASOME SYSTEM AND NEURODEGENERATIVE DISEASES

All proteins in the cell exist in a dynamic state. Their steady-state levels are maintained by a delicate balance between synthesis and degradation. For a long time, the lysosome was thought to be the organelle in which all protein breakdown took place; extracellular proteins by endocytosis and pinocytosis and intracellular proteins through microautophagy (35). This idea was challenged by Brian Poole in 1978 (36). He carried out experiments that could distinguish between degradation of intra- and extracellular proteins and concluded:

'The exogenous proteins will be broken down in the lysosomes, while the endogenous proteins will be broken down wherever it is that endogenous proteins are broken down during protein turnover'

Further research by amongst others Irwin Rose, Avram Hershko and Aaron Ciechanover, revealed that an extract of rabbit reticulocytes was able to perform ATP-dependent proteolysis (40, 72). A step-by-step identification of crucial components present in reticulocyte lysate fractions led to the discovery of ubiquitin (Ub) as a required factor for proteolysis (39). Ub was found either in a free form or covalently conjugated to other proteins. At that time Ub had already been described in processes unrelated to protein degradation and was called UBIP, for ubiquitous immunopoietic polypeptide (95). After the discovery that Ub conjugation was required for ATP-dependent proteolysis further research revealed three additional factors required for the conjugation of Ub; the Ub activating enzyme (E1), Ub conjugating enzymes (E2) and Ub ligases (E3). Nowadays we know that in eukaryotes only one E1, several E2's, and many E3 enzymes exist, and the list is still growing (91).

The ATP-dependent protease responsible for the degradation of polyubiquitinated proteins was characterized by several laboratories much later (8, 281) and is now known as the 26S proteasome (3). The proteasome is a large, compartmentalized, multisubunit protease

responsible for the proteolytic processing of polypeptides into short peptides. It can be subdivided into a 19S regulatory particle (RP) and a 20S core particle (CP). The catalytic sites are secured inside the barrel shaped core of the proteasome to prevent uncontrolled proteolysis. In order to pass the narrow entrance of the catalytic core, polypeptides have to be unfolded; a function provided by the AAA-ATPases of the 19S RP that covers one or both sides of the 20S CP.

The ubiquitin-proteasome system (UPS) (figure 1) is responsible for protein degradation in the cytosol and nucleus and is also used for the disposal of proteins from the endoplasmic reticulum (ER) through retranslocation of these proteins into the cytosol. This vital proteolytic pathway is involved in many cellular processes, like antigen presentation, transcriptional regulation, apoptosis, cell cycle progression and the turnover of aberrant or misfolded proteins. It is not surprising that many studies suggest that inefficiencies or dysfunction of the UPS are implicated, either as primary cause or as secondary consequence in several diseases, such as cancer, metabolic disorders, inflammation and genetic disorders.

Neurodegenerative disorders represent a clinically and pathologically diverse group of conditions, in which selective loss of neurons in specific areas of the brain underlies the disease symptoms. Most are complex disorders where genetic and environmental factors play a role. However, a common feature seen in many of the neurodegenerative diseases is the accumulation of abnormal protein aggregates in neurons, such as the neurofibrillary tangles in Alzheimer's disease (AD), Lewy bodies in Parkinson's disease (PD), nuclear inclusion bodies (IB) in polyglutamine (polyGln) diseases and Bunina bodies in amyotrophic lateral sclerosis (ALS). The accumulation of misfolded proteins and the presence of components of the UPS in these protein deposits were initial indications for involvement of the UPS in these diseases and indicated an attempt of the cell to degrade the aberrant proteins. Mutations in the UPS can also be a primary cause for neurodegeneration, as genetic evidence clearly demonstrates that disruption of Ub-mediated processes can lead to neurodegeneration. The most common causes of inheritable Parkinsonism are mutations affecting the *parkin* gene. Parkin is a Ub ligase and several substrates of this ligase

have been discovered, such as α -synuclein (253), a key component of Lewy bodies, synphilin-1, an α -synuclein interacting protein (34), and the misfolded parkin-associated endothelin-receptor-like receptor (Pael-R) (121). It is hypothesized that ubiquitination of parkin substrates targets them for proteasomal degradation. Disease-linked mutations impair the ligase function of parkin, causing accumulation of its substrates, and hence the underlying cause of neurodegeneration might be the alterations in protein turnover leading to compromised cell survival. However, parkin knockout mice do not develop neurodegeneration (94, 123, 210) and a null mutation of parkin in *Drosophila* did not impair the nervous system (98, 212). Nevertheless, upon overexpression of the parkin substrates α -synuclein or Pael-R, dopaminergic neurons specifically degenerate (74, 296). Surprisingly, none of the parkin substrates was found accumulated in neurons of parkin knockout mice (94, 202). It is possible that alternative pathways exist in mice brain for the degradation of parkin substrates (133). Other examples are mutations in the deubiquitination enzyme (DUB) ataxin-3 as a cause for spinocerebellar ataxia type 3 (SCA-3) (33), mutations in the Ub C-terminal hydrolase (UCH)-L1 causative to PD and a frame shift mutation in the Ub precursor protein leading to a mutant form of Ub that is associated with several neurodegenerative diseases. Moreover, systemic exposure of proteasome inhibitors leads to a progressive model of PD in adult rats (181).

In conclusion, there are several indications that optimal functioning of the nervous system depends on a functional UPS, however a major challenge is to understand the details of Ub-dependent proteasomal degradation in neurodegenerative disorders.

The work described in this thesis deals with a possible involvement of the UPS in neurodegenerative diseases. It covers several proteins that due to mutation or misfolding are destined for degradation but are inefficiently cleared by the UPS and accumulate in cells. Additionally this thesis describes the aberrant form of Ub UBB⁺¹ found in neurodegeneration, as the first natural Ub fusion degradation (UFD) substrate and describes some minimal requirements for efficient proteasomal degradation of endogenous or engineered UFD substrates. Finally, the effect of a stress situation associated with neurodegenerative

disorders, endoplasmic reticulum (ER) stress, is examined and reveals a novel link between ER stress and the functionality of the UPS.

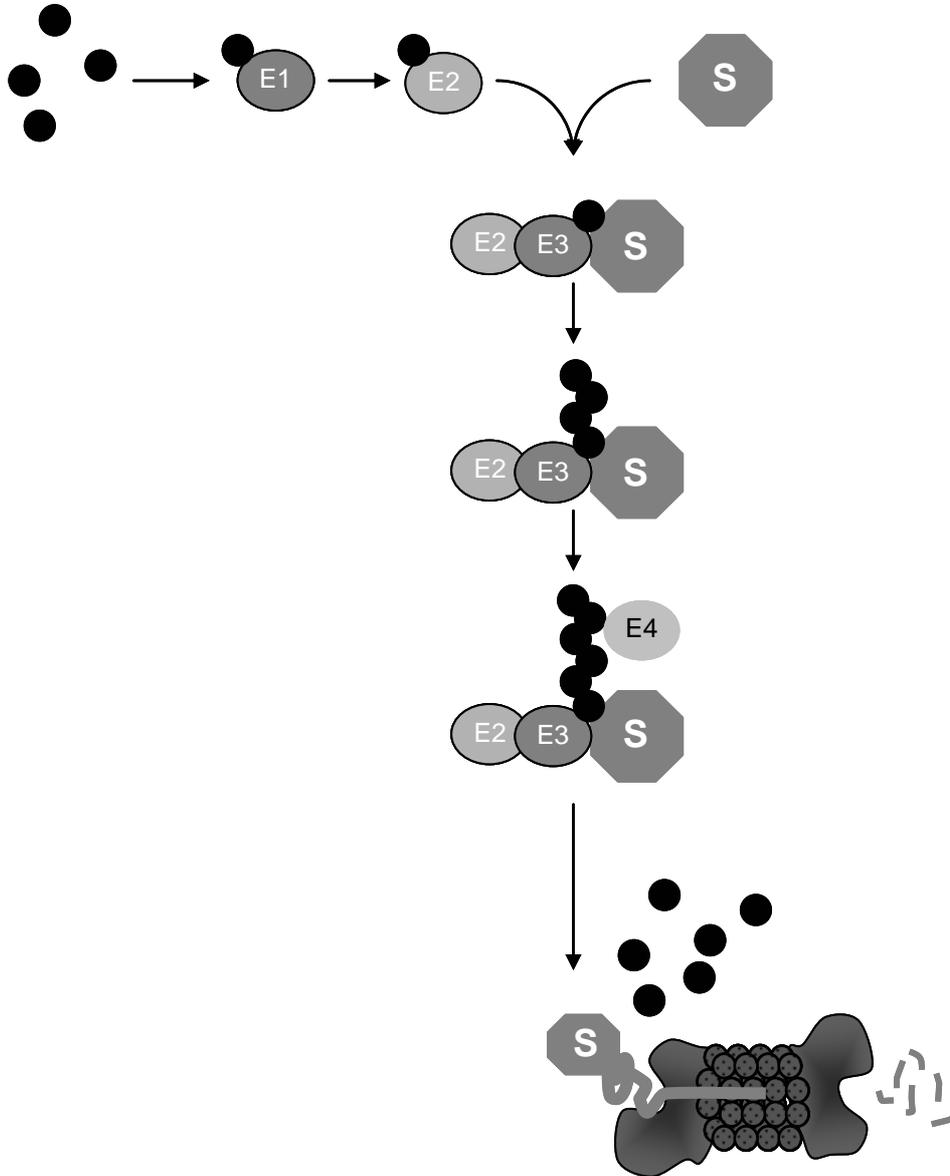


Figure 1. *The ubiquitin-proteasome system.* I) activation of Ub by E1. II) transfer of Ub to E2. III) covalent linkage of Ub to the substrate (S) which is recognized by E3. IV) Multiple rounds of Ub conjugation (I-III) leads to the formation of a polyUb chain. V) In some cases an E4 is involved in the elongation of the polyUb chain. VI) The polyubiquitinated substrate binds to the proteasome where deubiquitination takes place while the substrate is unfolded, translocated into the proteasome and degraded into small peptides.

3. UBIQUITIN, TARGETING PROTEINS FOR DEGRADATION

3.1 Ubiquitin

Ub is an essential 76 amino acid protein. Human Ub is only at three amino acids different from yeast, making it one of the most conserved proteins in eukaryotes (96, 129). Post-translational modification of a protein with Ub can consist of conjugation of one or several Ub molecules. Ub conjugation is involved in cell cycle regulation, endocytosis, viral budding, transcriptional regulation and DNA repair (109, 111). However, the best known function of Ub conjugation is the covalent attachment of multiple Ub molecules to a substrate targeting it for proteasomal degradation (109).

Ub is transcribed as a precursor protein, either as several head-to-tail fusions or as a fusion with the ribosomal proteins L40 or S27 (129). The precursor proteins are post translational cleaved into single Ub moieties by UCHs (7). The availability of several Ub genes, single or multi-copy, plus recycling of Ub after conjugation to a substrate ensures high levels that are required for a functional UPS. Interestingly, most Ub in the cell is not in a free form but conjugated to substrates, though in a dynamic equilibrium adjustable to environmental stimuli (52).

Several Ub-like proteins (Ubl), like Nedd8, SUMO, HUB1 and FAT10, are closely related to Ub. Despite little sequence homology, their tertiary structure is almost identical to Ub; five β -strands wrapped around an α -helix (246). Ubls can be conjugated to proteins in a way that resembles Ub conjugation (283). By tagging proteins with Ub or Ubls, the cell can create a large diversity of modified proteins that can be identified by downstream effector proteins and used to control many regulatory pathways in the cell (246). Interestingly, while in general conjugation of Ubl proteins does not lead to proteasomal degradation but serves other functions, FAT10 is the first Ubl that can also target for proteasomal degradation (112). Moreover, Ub and Ubl can compete for the same lysine residues in acceptor proteins, for example both SUMO and Ub can modify

the same residues in I κ B α (59) and PCNA (113) possible counteracting each others functions.

3.2 Ubiquitination

Covalent linkage of Ub is a multi-step process involving at least three enzymes (109). First, Ub is activated by the Ub activating enzyme (E1), forming a thiolester linkage between the C-terminal carboxyl group of Ub and a specific cysteine (Cys) of the E1. In yeast, only one E1 exists, while in mammals two isoforms are present due to alternative translation initiation sites (43). The Ub moiety of the E1~Ub thiolester is subsequently transferred to one of the Ub conjugating enzymes (E2). The Ub moiety of the E2~Ub thiolester is conjugated via an isopeptide bond to the ϵ -amino group of a lysine (Lys) residue in a substrate or a preceding Ub molecule conjugated to the substrate resulting in a substrate-linked polyUb chain (figure 2). Several E2 enzymes are known; all sharing the same conserved globular domain of approximately 150 residues, with an active site Cys positioned in the highly conserved sequence (216). Interestingly, a family of Ub conjugation enzyme variants (UEV) that have a striking similarity to E2 enzymes but lack the active site have been shown to bind another E2 enzyme, Ubc13, and function as a cofactor to form an active complex (115).

Most E2 enzymes function in complexes with E3s. The functions of E3s include the initial recognition of degradation signals (degrons) in substrate proteins, with different E3 enzymes recognizing different classes of degrons. At present several hundred E3 enzymes are known with the list still growing. Most E3s are classified into two families: HECT (homology to E6-associated protein C-terminus) and RING (really interesting new gene) E3s, based on their catalytic modules and features of sequence and structure (2). Additionally, the RING finger group of E3s can be subdivided in classic RING fingers and UFD2 homology (U-box) proteins. A HECT-domain E3 can accept a Ub moiety from an associated E2~Ub thiolester, forming an E3~Ub thiolester and acting as a proximal Ub donor to the substrate it selects. In contrast, formation of thiolesters between the RING E3s and Ub has not been detected (91) (figure 2). It is thought that a RING E3s act as an adaptor to optimize the orientation of

the ubiquitination site of a substrate to the active site of the E2, which allows the transfer of the Ub molecule from an E2~Ub thiolester to the substrate. Additionally, RING finger proteins are capable of auto-ubiquitination suggesting a mechanism by which E3s, many of which unstable, might regulate their own stability (125). RING-finger E3s come in different flavours; as single subunit or multi-subunit proteins. Among the multimeric RING E3 are the APC/cyclosome complex involved in degradation of cell cycle regulators, the Von-Hippel Lindau (VHL)-Elongins B and C (VBC)-Cul2-RING finger complex, involved in the degradation of HIF1 α , and the Skp1-Cullin/Cdc53-F-box protein (SCF)-RING finger complexes involved in the degradation of signal- and cell cycle-induced phosphorylated proteins. In SCF and VBC, the RING-finger domain component Rbx1/Hrt1/Roc1 is involved in the E2 recruitment and assembly of other components of the complex, but not in substrate recognition. The F-box protein, the variable component of the SCF complex, and most probably the pVHL subunit in VBC are responsible for substrate recognition (2).

Structural analysis of the plant homeodomain (PHD) revealed remarkable similarity with RING-fingers (24). The PHD domain was first recognized in an *Arabidopsis* homeobox protein (243). The discovery of PHD domains in viral proteins revealed the link between PHD domains and RING-finger E3s (45). The murine γ -herpesvirus-68 K3 (MEK3), is a PHD containing protein with Ub ligase activity to target the major histocompatibility complex (MHC) class I for proteasomal degradation (16). Similar activity was found for the PHD containing cytosolic protein MEKK1 to mediate ubiquitination and degradation of ERK1/2 (170).

The Ub chain elongation factor E4, was shown in some cases to be necessary for efficient polyubiquitination (146). E4 defines a novel protein family that shares a modified version of the RING finger, designated as U-box. A number of U-box proteins have been shown to elongate Ub chains dependent on E1 and E2 but independent from E3, suggesting that the E4 represents another E3 ligase activity (106). However, in other cases the U-box E3 ubiquitinates substrates in concert with classic E3s (146). An interesting U-box protein is carboxy terminus of Hsc70 interacting protein (CHIP). CHIP is involved in the degradation of misfolded proteins such as the mutant cystic fibrosis transmembrane conductance regulator

(CFTR) Δ F508 and the unfolded Pael receptor involved in PD. CHIP can interact with Hsc70-Hsp70 and Hsp90 which are involved in refolding of misfolded proteins, while CHIP targets for proteasomal degradation; thus CHIP is probably involved in regulating the cellular balance between folding and degradation (179).

Once a single Ub is attached with its C-terminal glycine (Gly) residue to an internal Lys residue in the substrate, additional rounds of ubiquitination can take place attaching the next Ub molecule with its C-terminal Gly to a Lys residue in the previous Ub molecule, forming a polyUb chain. Interestingly, E1 and E3 binding sites on E2 overlap, and their binding is mutually exclusive (68). Therefore it is not possible for E1 and E3 to bind to E2 at the same time during the formation of polyUb chains. Multiple cycles of E2-E3 binding and release are probably necessary.

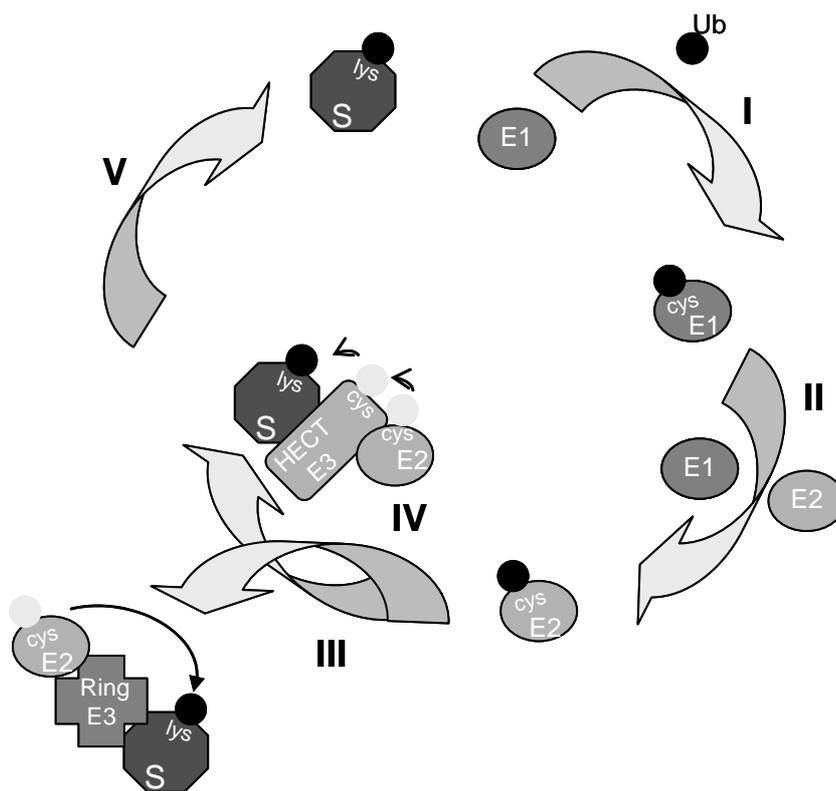


Figure 2. Ubiquitination. I) Ub is activated by E1. II) Activated E1 is then transferred to a Cys residue in E2. III) Ub is conjugated to a Lys residue in the substrate (S) with help of a RING E3 that does not bind to Ub itself. IV) Alternatively, Ub can be transferred from E2 to a Cys residue in a HECT E3 prior to conjugation to a Lys residue in the substrate. V) Both III and IV lead to the formation of an isopeptide bond between the Lys residue of the substrate and Ub.

While in the majority of cases polyubiquitination takes place at a Lys residue, other residues might also function as Ub acceptor sites. Linear fusion of the first Ub in a chain to the α -NH₂ group of the N-terminal residue referred to as N-terminal ubiquitination might occur for a selected group of substrates, like the myogenic transcriptional switch protein MyoD, the human papillomavirus 16 (HPV16) oncoprotein E7, latent membrane proteins of the Epstein-Barr virus LMP1 and LMP2A, and the cell-cycle-dependent kinase p21 (37). A recent study provided the first indication of an isopeptide bond between Ub and a Cys residue in the substrate that can target for degradation (22).

Degradation independent of Ub has been described for several substrates, some of which can be degraded in a Ub-dependent manner as well. One of the model proteins that have been used for a long time is casein, the breakdown of which can occur in the absence of Ub, even though Ub enhances its degradation. Casein however, lacks a defined tertiary structure. In a similar way, denaturation of ovalbumin is sufficient for proteasomal degradation (10). Nevertheless, there are substrates with a defined tertiary structure that can be degraded in a Ub-independent manner: ornithine decarboxylase (ODC) is degraded upon non-covalent association with its cofactor antizyme (42). Interestingly, like Ub, antizyme is recycled after targeting its substrate to the proteasome (42). In the case of ODC, the interaction with antizyme provides a tag for degradation, but how other folded substrates are targeted to the proteasome remains unknown. In the case of calmodulin it is a special tertiary structure that is the recognition signal for the proteasome (10). In the absence of calcium, calmodulin undergoes spontaneous chemical modifications and thereby loses its ability to bind calcium. Consequently, the helices of the calcium binding loops become less tightly bound. This higher flexibility possibly leads to recognition of calmodulin by the RP of the proteasome and subsequent degradation.

3.3 Ubiquitin modifications

Ub contains seven Lys residues at position 6, 11, 27, 29, 33, 48 and 63. In theory each of the Lys residues can be a target for attachment of another Ub molecule to form a polyUb chain (209). Most of the chains

have indeed been found *in vitro* (209). Predominantly the Lys⁴⁸ linked chains target proteins for proteasomal degradation, and overexpression of a Ub mutated at Lys⁴⁸ (Ub^{K48R}) in yeast is lethal (77). However, Lys⁴⁸ linked Ub chains are not always a signal for degradation as shown for the hepatocyte growth factor/scatter factor Met. Polyubiquitinated Met through Lys⁴⁸ linkage leads to Met endosomal trafficking (26, 79). For proteasomal degradation, a chain of at least four Ub molecules is required, suggesting that the surface provided by the four-monomer structure of a polyUb chain is recognized by the proteasome, rather than a single Ub molecule (269).

Polyubiquitination through Lys²⁹ has also been shown *in vivo*, though it is not common. The only known substrates which are tagged with a Lys²⁹ chain are non-cleavable Ub fusion degradation (UFD) substrates. In **paper II**, we show that UBB⁺¹ is a UFD substrate that is targeted for proteasomal degradation through the linkage of Ub to both Lys²⁹ and Lys⁴⁸ (167). On the other hand, *in vitro* studies propose that polyubiquitination of UFD substrates by the E4 is only through Lys⁴⁸ linked Ub chains (233). It remains possible that the first Ubs are conjugated to Lys²⁹ but that chain elongation proceeds through Lys⁴⁸ ubiquitination (146).

Conjugation of other Ub chains has not been reported to lead to proteasomal degradation. Yeast cells expressing the K63R Ub mutant (Ub^{K63R}) are defective in DNA repair but proteolytically competent, indicating a role for Lys⁶³ linked chains in DNA repair (259). Lys⁶³ linked chains also signal activation of the I κ B α kinase (IKK) in inflammatory signalling pathways (263). Another target for Lys⁶³ chains is the Ub ligase TRAF6, which leads to the activation of IKK (136).

The breast and ovarian cancer specific tumour suppressor BRCA1, when in complex with BARD1, functions as a Ub ligase and has the capacity to form Lys⁶-linked chains (199). Moreover, Ub mutated at Lys⁶ is shown to inhibit Ub-dependent degradation (249).

Lys¹¹ Ub chains have only been shown *in vitro* to target for proteasomal degradation however, their biological function remains unknown (5).

Based on the localization of the different Lys residues in the tertiary structure of Ub, it is possible that the different linkages form a different

structure of the polyUb chain, representing distinct functions (217). Furthermore, different charges on the surfaces of Ub might play a role in interactions with other proteins. Ub contains for example a hydrophobic patch formed by leucine at position 8, isoleucine at 44 and valine at position 70. These hydrophobic residues together with the electrostatic potential caused by the positive charges around the hydrophobic patch play a possible role in interaction with other proteins that might influence the function of the polyubiquitinated protein.

Besides polyubiquitination leading to proteasomal degradation, covalent attachment of only a single Ub to an internal Lys in a protein, i.e. monoubiquitination regulates several other processes, for example histone regulation, endocytosis and the budding of retroviruses from the plasma membrane (111). Monoubiquitination is involved in membrane trafficking and sorting of internalized proteins that are degraded in the lysosome, linking the Ub not only to proteasomal but also lysosomal degradation.

3.4 Deubiquitination

While an array of enzymes is involved in the conjugation of Ub to substrates, there are also several deubiquitination enzymes (DUBs) known. DUBs can be subdivided into five different classes based on their sequence similarity and mechanisms of action; Ub specific proteases (UBP), UCH, ovarian tumour-related proteases (OTU), ataxin-3/Josephin domain and Jab1/Pad1/MPN domain metallo-enzyme (JAMM or MNP+) (1, 290). Four of the subclasses are cys proteases while the fifth class (JAMM) is a novel type of metalloprotease (15). UBPs and UCHs comprise the two largest families. UCH are generally small enzymes (20-30kDa) that remove short peptides from the C-terminus of Ub. UBPs are larger enzymes (~100kDa) that can cleave isopeptide bond linkage between Ub-Ub and Ub-protein. In addition they can also cleave linear fusions of Ub.

DUBs play an important role in maintaining the steady state levels of free Ub and in affecting the stability of Ub conjugated proteins (1). This includes the generation of Ub, recycling of Ub, editing polyUb chains and assisting in proteasomal degradation. Ub is expressed as a precursor protein either as head-to-tail fusions or as fusions to ribosomal subunits

that can be cleaved into single Ub molecules through the action of UCHs. Ub is also recycled by DUBs that remove the whole Ub chain from a substrate or that disassemble chains. DUBs that disassemble polyUb chains such as IsoT, may act as negative regulators of Ub-dependent degradation since deubiquitination of these chains counteracts Ub-dependent degradation (218).

Deubiquitination activity has also been reported to be connected to the 19S RP (102). Rpn11, a subunit of the lid of the 19S RP was found responsible for a fraction of proteasome-associated deubiquitination activity (287, 297). Interestingly, Rpn11 is also the most conserved non-ATPase subunit of the proteasome (102). The lid and the base have been found independently to contribute to deubiquitination at the proteasome, suggesting that additional DUBs associate with the proteasome. Indeed, several proteasome-associated DUBs were identified. Ubp6 is a ~60kDa DUB that in addition to the UBP domain at its C-terminus also contains a Ub-like domain (UBL) at its N-terminus which interacts with Rpn1, a subunit of the 19S base (18). Another proteasome associated DUB is UCH37, involved in trimming of polyUb chains from their distal end (153, 156). UCH37 is located near the polyUb binding subunit Rpn10 (116). In budding yeast, Doa4, a DUB of the UBP family, interacts weakly with the proteasome and is involved in the release of Ub by trimming short residual polyUb chains from proteasome bound substrates (266).

3.5 Degradation signals

Degradation of a protein by the proteasome is initiated by the recognition of a degradation signal, also known as degron, in the substrate by a Ub ligase. Among the degradation signals, the N-end rule is probably the best characterized. The N-end rule relates the half-life of a protein to its N-terminal residue. The discovery came from a study on Ub genes, which encodes fusions of Ub itself or other proteins (6). When an engineered Ub- β -galactosidase fusion was expressed in *Saccharomyces cerevisiae*, it was efficiently deubiquitinated by Ub specific proteases. Moreover, the deubiquitination of Ub-X- β -galactosidase occurred irrespectively of the identity of the X amino acid, with the exception of proline. Surprisingly, depending on the N-terminal amino acid of β -galactosidase, it was either

rapidly degraded or a long-lived protein. In addition to a destabilizing N-terminal amino acid, the protein needs a lysine residue in close proximity to the N-terminus that can serve as a Ub acceptor (6, 265). Several substrates have been discovered since then linking the N-end rule pathway to i.e. chromosome stability (197), regulation of peptide import (21), apoptosis (63) and muscle wasting (23).

Ub fused to the N-terminus of a protein can serve as a 'primary' degradation signal itself (130). Ub fusions are normally efficiently removed in the cell by DUBs which require the di-glycine motif at the C-terminus of Ub. Mutating this glycine to an alanine or a valine leaves a 'non-removable' Ub moiety. The N-terminal Ub moiety functions as the anchor for polyUb chains. UFD substrates are rapidly degraded by the proteasome in a Ub-dependent fashion. Interestingly, in contrast to most proteasomal substrates that are targeted for degradation through the attachment of a Lys⁴⁸ Ub chain, UFD substrates are polyubiquitinated at Lys²⁹, Lys⁴⁸ or both lysines of the Ub moiety (131). The reason for ubiquitination at both Lys²⁹ and Lys⁴⁸ remains unknown, but the double Ub tree might have an effect on the strength of the binding to the proteasome. Even though the UFD pathway is very well characterized, the only known natural occurring UFD substrate is UBB⁺¹ (identified in **paper II**).

Degradation of many cyclins and other cell cycle related proteins is mediated by the destruction box. It consists of a conserved, nine amino acids sequence motif usually located at 40-50 amino acids from the N-termini of the cyclins (201). Another polypeptide stretch targeting proteins for proteasomal degradation has been described for the 67 residue-long Deg1 region of Mat α 2, a yeast transcriptional regulator (31).

Several post-translational modifications have been described to target proteins for degradation. Fbx2, the F-box protein of the SCF E3 was found to recognize N-linked high-mannose oligosaccharides (301). Another post-translational modification that functions as a degradation signal for several proteins is phosphorylation. Phosphorylation at two specific lysine residues of the inhibitor of the transcriptional activator NF- κ B, I κ B α , results in recognition by a specific Ub ligase and degradation of I κ B α . Similarly, phosphorylation of β -catenin, a protein playing an

essential role in embryogenesis and oncogenesis leads to ubiquitination and degradation.

I κ B α has, in addition to its inducible degradation signal (phosphorylation) also a PEST sequence that can target I κ B α for degradation. PEST sequences are characterized by enrichment in proline (P), glutamic acid (E), serine (S) and threonine (T). They range in length from 12 to 60 residues, and are often flanked by positive charged amino acids. Interestingly, it was pointed out that several, though not all PEST sequences contain phosphorylation sites (109). All known PEST containing proteins appear to be important regulatory molecules, the degradation of which is coupled to environmental changes or cell cycle stage (223).

Protein misfolding is another signal for destruction by the proteasome. While normally located inside globular protein molecules or buried in membranes, exposure of a hydrophobic stretch can be recognized by the UPS. Moreover, it has been suggested that non-native states of proteins caused by mutations or denaturation lead to their accelerated degradation (204). Mutant polyGln proteins, responsible for several neurodegenerative polyGln diseases (see chapter 6.1) contain an expanded glutamine repeat that possible causes protein misfolding and renders the protein aggregation prone. In **paper I**, an expanded polyGln repeat was insufficient to target green fluorescent protein (GFP) for degradation, indicating that just the presence of this aggregation-prone domain was not sufficient for recognition by the UPS.

3.6 Stabilization signals

Besides degradation signals, several proteins resist proteasomal degradation possible due to an intrinsic stabilizing domain (55). Stabilization signals include repetitive sequences and small protein domains. There are additional factors that can contribute to the stability of a protein; for example deubiquitination activity might counteract proteasomal degradation and thereby extend the half-life of a protein.

The glycine-alanine (Gly-Ala) repeat of the Epstein-Barr virus nuclear antigen 1 (EBNA1) can protect against proteasomal degradation (162), which possible aids the virus to escape immune recognition in latent infections (161, 162). How the Gly-Ala repeat resists proteasomal

degradation remains unclear, however it has been shown to be sequence and length dependent (53, 250). The Gly-Ala repeat has furthermore been shown to be a transferable element with an *cis* acting inhibitory effect (161). It has been suggested that the ATPases of the 19S RP slip over the Gly-Ala repeat thereby hindering translocation of the protein into the 20S CP (305). Interestingly, introduction of a strong degradation signal can partially overcome the stabilizing effect of the Gly-Ala repeat (53).

Another example is the partial processing of the NF- κ B precursor p105 (73, 203). Ubiquitination of the C-terminus of p105 signals degradation, however, degradation stops when a Gly rich region (GRR) is reached leaving the N-terminal p50 intact (164). Interestingly, the GRR contains a Gly-Ala-Gly-Ala-Gly amino acid sequence, a motif similar to the Gly-Ala repeat of EBNA1 though much shorter. The homologous yeast transcription factors Spt23 and Mga2 are related to NF- κ B and control unsaturated fatty acid levels. Like p105, Spt23 and Mga2 are partially processed by the UPS, releasing an active transcription factor (117).

Another repeat containing protein, the polyGln protein, can also resist proteasomal degradation (**paper I**). Expansion of the polyGln repeat over a certain threshold is causing neurodegeneration (311). PolyGln repeats can be found in several unrelated proteins but their function remains unknown. Several transcription factors contain polyGln repeats suggesting the repeat might play a role in protein-protein interactions. We show that expanded polyGln proteins resist proteasomal degradation through the formation of inclusion bodies (IBs) (286).

The Ub associated (UBA) domain has recently been shown to act as another stabilization signal (107). Ub like domain (UBL)-UBA containing proteins are thought to function as shuttle factors for polyubiquitinated proteins sorting them to the proteasome (30). Interaction with polyubiquitinated substrates is facilitated by the UBA domain while the UBL domain interacts with the proteasome forming a physical bridge between substrate and proteasome. How exactly these repeats or the UBA domain prevent proteins from degradation remains unknown.

Several proteins have been described that resist proteasomal degradation by different mechanisms. Despite the presence of intrinsic degradation signals, they all carry an additional 'signal' that stabilizes the

protein. The question remains why proteins would need a stabilization signal. It might provide the opportunity for ubiquitination without degradation. Alternatively a stabilization signal might provide a new level of regulating proteasomal degradation. If the different classes of stabilization signals exist remains to be seen.

4. THE PROTEASOME, A LARGE PROTEASE

4.1 General introduction

Proteins in the cell have very different half-lives, from less than a minute to several days. For many years, cellular protein degradation was thought to take place in lysosomes only. However, it slowly became clear that there was another site for intracellular protein degradation that was depending on ATP. The 26S protease was discovered by its ability to degrade Ub-lysozyme conjugates (118). Nowadays we know that the 26S proteasome is part of the main pathway for non-lysosomal degradation. It is for example responsible for the elimination of aberrant or misfolded proteins (**paper I & II**), the maintenance of a free amino acid pool (102, 273), generation of fragments that act like hormones, antigens (145) or other effectors (61) and for the regulation of the half-life of proteins that have to vary in concentration over time in the cell (109). However, some proteolytic independent processes of the proteasome have more recently also been revealed (75, 194, 231, 282). The 26S proteasome is an approximately 2.5 MDa multisubunit, ATP-dependent complex. It consists of two subcomplexes (figure 3); the 20S core particle (CP), containing the three distinct proteolytic activities and the 19S regulatory particle (RP) involved in binding, unfolding and translocation of the substrate and opening of the 20S CP.

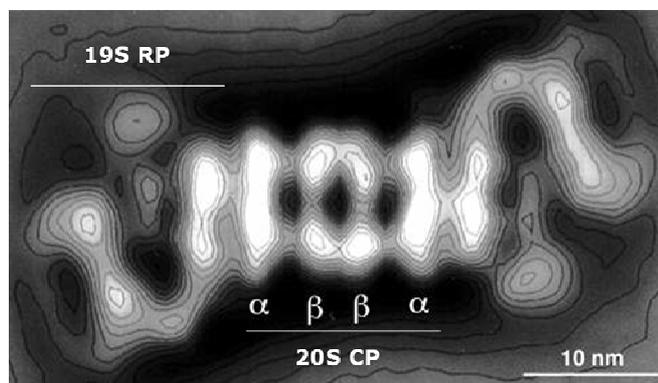


Figure 3. *The 26S proteasome.* The 26S proteasome consists of a 19S RP and a 20S CP. The 20S CP consists of two outer α rings and two inner β rings that contain the catalytic active subunits.

4.2 The 20S core particle

The structure of the 20S CP was first determined by X-ray crystallography (100). It revealed a cylindrical structure composed of four stacked heptagonal rings. Each of the two outer rings is composed of seven structurally similar α subunits, and each of the two inner rings is composed of similarly conserved β subunits. In eukaryotes, three of the β subunits have proteolytic activity. Thus each proteasome has six (three different) proteolytic sites. The proteolytic sites are faced to the inner cavity of the proteasome to ensure controlled proteolysis in a 'closed' environment. The combined action of the three catalytic sites allow proteasomes to cleave virtually after any amino acid but the three catalytic activities have each their own specificity. The β 1 subunit has post-glutamyl peptide hydrolysing (PGPH) activity, cleaving preferably after acidic amino acids. The β 2 subunit has trypsin-like activity, cleaving preferably after basic amino acids and the β 5 subunit displays chymotrypsin-like activity, responsible for cleavage after hydrophobic amino acids (62).

The three catalytic subunits of the 20S CP can be exchanged upon stimulation with interferon γ (IFN γ). The β 1, β 2 and β 5 are replaced by LMP2, MECL1 and LMP7, respectively, upon IFN γ induction (82). Cells with an antigen presenting function constitutively express LMP2, MECL1 and LMP7 (145). Change of the catalytic subunits leads to an increased generation of peptides with a hydrophobic C-terminus, which is preferred by MHC class I molecules (227). The N-terminus of the peptide is thought to be generated by an ER aminopeptidase associated with antigen processing (ERAAP1) (237, 247).

In order to be hydrolysed, a protein has to enter the 20S CP. However, the N-termini of several α -subunits cover the entrance of the CP obstructing the entry of unfolded polypeptides (99). The importance of the N-termini is revealed by the fact that they are highly conserved across eukaryotes even though the tail sequences of each of the α -subunits are different from each other (99). Opening of the catalytic core can be performed by deleting the N-terminal tail of the α -3 subunit or by mild chemical treatments such as addition of sodium dodecylsulfate. Naturally, binding of the 19S RP to the 20S CP results in a rearrangement of the N-

terminal tails of the α -subunits and opening of the narrow gate (100). Interestingly, a recent paper by Liu et al suggested that some unfolded substrates can open the entrance of the 20S CP in the absence of the 19S RP (169). Their study revealed endoproteolytic activity of the proteasome suggesting that substrates can also be degraded starting from the middle of the protein. Endoproteolytic cleavage of the proteasome provided a model for the cleavage of the NF- κ B precursor p105 that is rapidly processed by the proteasome to release the N-terminal p50 protein (164). Entry of substrates into the 20S CP is thought to be the rate limiting step in protein degradation as an 'open' 20S yeast mutant, in which the α 3 N-terminal tail has been deleted, provides much faster peptide hydrolysis (100). Although free purified 20S CP can hydrolyse small peptides and some unfolded polypeptides, it cannot degrade polyUb proteins.

The average length of the peptides generated is 7 to 9 amino acids, even though it varies between 3 and 23 amino acids (141, 142). A small portion of the generated peptides is used for MHC class I antigen presentation while the majority are further processed into amino acids by cytosolic peptidases such as amino peptidases (12) or tripeptidyl peptidase II (TPPII) (241). Interestingly, TPPII can compensate for low proteasome levels (88, 90). Proteasomes inactivated by treatment with covalently binding inhibitors allow outgrowth of inhibitor-resistant cells. Similar to the inhibitor-resistant cells, Burkitt's lymphoma cells are less sensitive to proteasome inhibitor and TPPII is upregulated in these cells (87).

In addition to polypeptide hydrolysis, the 20S proteasome might function as a storage place for substrates. A recent study by Sharon and co-workers suggested that several substrates can be stored in the antechambers of the proteasome which might be of particular importance if degradation is slower than translocation into the 20S CP (251). The storage capacity might be to enhance degradation by providing a constant flow of substrates and thereby prevent accumulation.

Several small peptides that can reversibly or irreversibly inhibit the proteolytic activity of the proteasome have been identified (195). Some of these inhibitors are specific to one of the catalytic sites while others are more general proteasome inhibitors. In either case, the small molecules are 'suicide substrates', binding irreversibly to the catalytic sites. Since

cells die upon addition of chemical proteasome inhibitors, it was surprising that the proteasome inhibitor bortezomib (also called PS-341 and Velcade) is successfully used in the treatment against cancer (188). This drug has mainly been successful in treatment against multiple myeloma. Multiple myeloma is a secretory lymphoma; it secretes or generates large amounts of immunoglobulines. Secretory cells have a continuous unfolded protein response (chapter 7), and proteasome inhibition in such cells would lead to ER-induced apoptosis which would explain the success of proteasome inhibition in these cancers.

4.3 The 19S regulatory particle

The 19S RP, also called the 19S cap serves many functions in regulating proteasomal activity. It activates the 20S proteasome, serves as a docking site for polyubiquitinated proteins and unfolds and translocates polypeptides into the 20S catalytic core. The RP consists of two subcomplexes, the lid and the base (figure 4). The base is composed of two large non-ATPase subunits, Rpn1/S2 and Rpn2/S1 (the yeast/human nomenclature is used) that contain multiple leucine rich repeats (LRR), a domain for protein-protein interactions, possibly functioning as a scaffold for interacting proteins (172). The base further contains six smaller ATPase subunits, Rpt1/S7, Rpt2/S4, Rpt3/S6, Rpt4/S10b, Rpt5/S6', Rpt6/S8, that are members of the AAA-ATPases family (208). The six AAA-ATPases form a ring at the base of the 20S proteasome. Interestingly, this has also been found in several other compartmentalized proteases (103).

The ATPases are also thought to be able to interact with the proteasome ATPase-associated factor-1 that prevents binding of the ATPases to the 20S core and thereby negatively regulate proteasome activity (206). Binding of the 19S RP to the 20S CP opens a narrow hole into the catalytic core of the 20S proteasome.

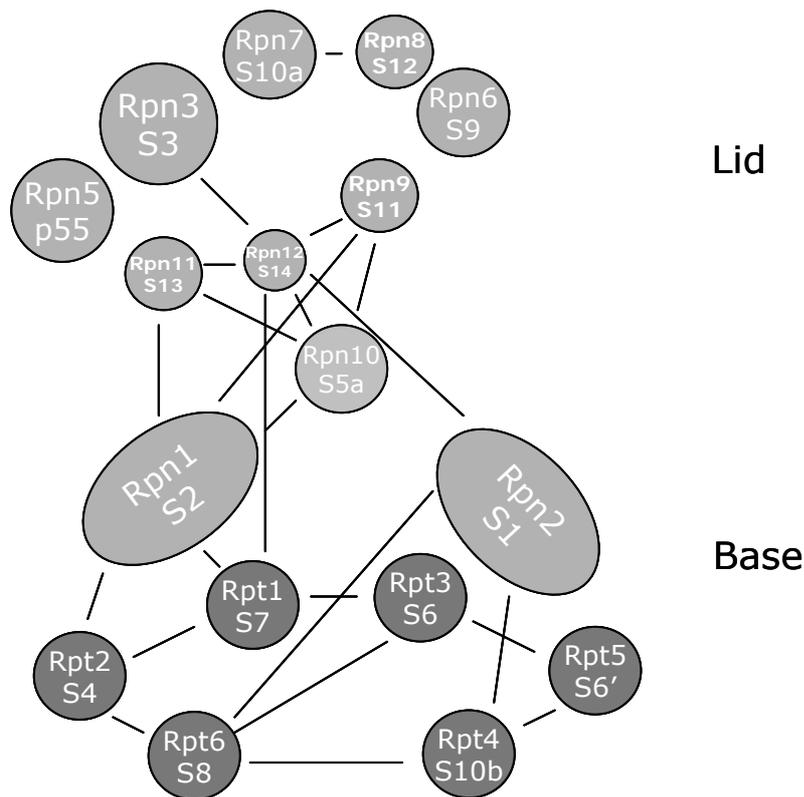


Figure 4. Subunits of the 19S RP and their interactions with each other. The lid and the base are indicated. The yeast/human nomenclature is used. Adjusted from biomol (www.proteasome.com).

Protein unfolding has to take place prior to translocation through the narrow opening (80), another function provided by the ATPases. The ATPase subunit Rpt5/S6' has polyUb binding capacity (154), providing an additional docking site for proteasome substrates. ATP hydrolysis is required for binding of the polyUb substrate to the Rpt5/S6' subunit. Remarkably, proteins that are degraded in a Ub-independent manner seem to be recognized by the same elements in the 19S RP that recognize Ub conjugates (306). ATP hydrolysis is not only required for binding of the 19S to the 20S but also for protein degradation (91). The conformational change that the ATPase subunits undergo with the ATPase cycle might function in unfolding and translocation. It remains unknown if binding of a polyubiquitinated substrate to the ATPase subunit Rpt5/S6' initiates unfolding and subsequent activation of translocation into the 20S CP. It has been suggested that upon ATP hydrolysis and substrate degradation the proteasome disassembles into the 20S CP and the 19S RP, the so called 'chew and spew' model (4). Even though the exact mechanism of how the assembly and disassembly takes place and what triggers it

remains unknown, it suggests that proteasomes are not stable particles but take part in a tightly controlled cycle of assembly and disassembly of the 20S, the 19S and the interacting proteins during protein degradation. With the disassembly of the proteasome peptides may be released.

The 19S lid is added on top of the 19S base and is composed of eight non-ATPase subunits, Rpn3/S3, Rpn5, Rpn6/S9, Rpn7/S10a, Rpn8/S12, Rpn9/S11, Rpn11/S13 and Rpn12/S14, most of which functions are not very well known (figure 4). The lid is believed to be anchored to the base by Rpn10/S5a (92). Rpn10/S5a is found associated to the base, where it binds the lid. In yeast Rpn10 is also found free in the cell and was suggested to bind and transport polyubiquitinated proteins to the proteasome (70) (see also chapter 4.5). In addition, Rpn10/S5a was the first proteasomal subunit that has been found to bind polyUb conjugates through its ubiquitin interacting motif (UIM) domains (See also chapter 4.5).

Another function of the 19S cap is deubiquitination of substrates bound to the 19S. In addition to tightly associated DUBs to the proteasome, the Rpn11/S13 subunit of the lid has been associated with metalloprotease activity, responsible for deubiquitination of polyUb chains (287, 297) (See also chapter 3.4).

The 19S RP can also function independent of the 20S CP or at least independent of the proteolytic activity of the 26S proteasome. The 19S RP is involved in elongation processes in transcription (75, 194) and plays a role in DNA repair (231, 282). How exactly the proteasome regulate these processes independent of its proteolytic activity remains to be determined. Possibly, the chaperone activity of the ATPases functions in remodelling of protein conformations or interactions. In line with this idea, the 19S ATPases have been shown to have chaperone activity and can fold a substrate without degrading it, even in the presence of the 20S CP (20).

4.4 Alternative 20S activators

Two alternative complexes have been found associated with the 20S CP in mammalian cells; 11S REG or PA28 α/β and REG γ /PA28 γ . The complex formation between the 20S and PA28 α/β can be stimulated with the

immune-regulatory cytokine IFN γ (221). In contrast to the 19S regulator, the PA28 α/β complex can stimulate the hydrolysis of small peptides but can not unfold or deubiquitinate proteins (66, 173). PA28 α/β consists of two subunits, α and β which form a heteroheptamer, that can attach to either one or both sites of the 20S CP in an ATP-independent way. Even though these two subunits are expressed in many tissues, they are particularly abundant in immune tissues but virtually absent from the brain (222). Proteasomes generate the vast majority of 8-11 peptide residues presented on MHC class I molecules and PA28 α/β contributes to MHC class I presentation (222). Mice lacking PA28 α/β have impaired MHC class I-restricted antigen presentation (193, 219). It has been suggested that PA28 α/β stimulates MHC class I presentation by opening a wide channel through the α ring of the proteasome, leading to an increased release of large peptides with a proper size for antigen presentation (288). Alternatively, PA28 α/β might alter proteasomal cleavage sites within a polypeptide, thereby generating unique epitopes (193). Another option might be that the PA28 α/β complex binds directly to the peptide loading complex, thereby making a channel from the peptide to empty MHC class I molecules (222).

In contrast to the predominant cytoplasmic localization of the PA28 α/β complex, PA28 γ has highest expression in the nucleus. Furthermore, PA28 γ does not respond to induction of IFN γ and forms a homoheptameric ring that can also attach to one or either site of the 20S CP. Unlike PA28 α/β , PA28 γ is also present in organisms lacking an adaptive immune system, and is thought to be an evolutionary precursor of the PA28 α and β subunits (313). Mice lacking PA28 γ show a subtle growth retardation, suggesting a role in cell proliferation and body growth, but have no obvious defects in their immune system consistent with a different function for PA28 γ compared to PA28 α/β (191).

4.5 Proteasome associated proteins

S5a/Rpn10 was the first Ub binding protein to be discovered (60). However, deleting the gene in yeast did not reduce viability and only affected degradation of a small group of substrates, indicating there must be additional pathways for substrate recognition. In yeast, most of the

Rpn10 is free and not part of the proteasome (276), suggesting that substrate recognition might involve transient interactions with the proteasome rather than intrinsic proteasome subunits.

Efficient delivery of proteins to the proteasome involves in many cases specific Ub binding proteins (187). These proteins include co-chaperones, multimeric ATPases and UBL-UBA shuttle proteins (187) (figure 5). None of these shuttling factors is part of the proteasome but facilitate a transient interaction between substrate and degradation machinery providing more efficient proteolysis. Additionally, shuttle factors might shield the polyubiquitinated substrate from DUBs on their way to the proteasome to ensure degradation (215). Considering the large amount of different substrate proteins that are degraded by the proteasome, multiple carriers might work in parallel, and selection of substrates might possibly depend on the length of the Ub chain (226).

UBL-UBA proteins are a group of shuttle factors including Rad23, Ddi1 and Dsk2. The UBA domain facilitates binding to polyUb chains and the UBL domain can interact with the proteasome (70). Rad23 is protected from degradation through one of its UBA domains (107). One of the mammalian Rad23 homologues, hHR23B, can bind Rpn10/S5a through its UBL domain, but is also able to bind the proteasome independently of Rpn10/S5a (242), indicating that there might be at least one additional site for binding of Ub or UBL domains. There is no indication in the literature that monoUb can target proteins for proteasomal degradation. Therefore, binding of proteins such as Rad23, with a UBL domain, might be different from polyUb binding. The binding might also be prolonged since DUBs are not able to cleave the UBL domain, as would be possible for monoubiquitinated proteins, which would lead to rapid release of the substrate.

Interestingly, UBB⁺¹ contains a UFD signal which resembles the uncleavable UBL domain. The UBL domain can bind to the proteasome in a Ub-independent manner and we show in **paper III** that the bulk of UBB⁺¹ associated with the proteasome lacks polyUb chains. Possibly, binding of UBB⁺¹ to the proteasome might resemble more the binding of shuttle proteins than polyubiquitinated substrates.

Recently, a five amino acids conserved domain has been discovered in several UBL domains of different proteins such as Parkin, Dsk2 and

Ubp6, which is important for proteasome binding (272). This so called proteasome-interacting motif (PIM) is however not found in all UBL domains and it remains to be determined if PIM domains are present in other proteins as a general conserved motif for proteasomal interaction. Interestingly, one of the missense mutations in parkin linked to PD is located within the codon encoding one of the conserved amino acids of the PIM motif suggesting that the PIM domain may have biological significance. In addition, PIM motifs have been found in some transcription factors. Since the 19S RP is involved in transcription, PIMs in transcription factors might help to recruit to 19S cap to the transcription machinery.

Chaperones and proteasomes represent the two main pathways to prevent the accumulation of misfolded proteins. Degradation and (re)folding have in general been studied separately, however, there seems to be a tight regulation between the pathways. One example is the Bag1 protein that contains an UBL domain at its N-terminus to provide interaction with the proteasome (171). With its C-terminus Bag1 can interact with the Hsp70 chaperone that is involved in the refolding of misfolded proteins (262). Another component of this complex is CHIP. CHIP can interact directly with both Hsp70 and Bag1 and is thought to act as a Ub ligase that ubiquitinates unfolded proteins, thereby targeting them to the proteasome (58, 192).

Similar to the ATPases of the 19S base, the AAA-ATPase valosin-containing protein (VCP) (also known as CDC48 in yeast, p97 in metazoans, or VAT in archea), has been shown to contain unfoldase activity (93, 312). Additionally, VCP can physically interact with the proteasome as well as polyubiquitinated substrates and polyUb chains (51). VCP has indeed been shown to function as a shuttle factor by binding to polyubiquitinated I κ B and recruiting it to the proteasome (50). Moreover, yeast cells lacking Cdc48 accumulated large quantities of polyubiquitinated proteins, indicating that VCP may serve as a general escort protein (51, 289).

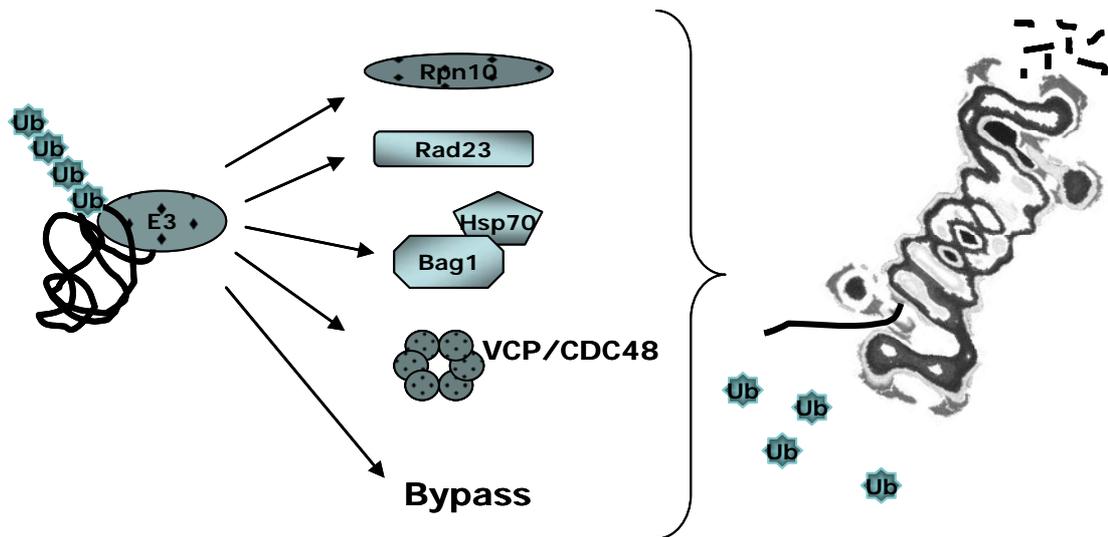


Figure 5. *Proteins involved in shuttling polyubiquitinated proteins to the proteasome.*

Polyubiquitinated proteins can bind to the proteasome and be degraded without interference of additional enzymes. Alternatively, several proteins such as Rpn10, Rad23, Bag1/Hsp70 and VCP can bind both polyUb chains and the proteasome, thereby shuttling proteins that are destined for degradation to the proteasome. Once bound to the proteasome deubiquitination takes place and the substrate is unfolded and hydrolysed into small peptides independent from the shuttle factors. Adjusted from Hartmann-Petersen and coworkers (105).

4.6 Proteasome localization and regulation

The proteasome is localized in the cytosol and the nucleus (291). Besides cytosolic and nuclear proteins, the proteasome can also degrade proteins from the ER lumen and membrane (110) and cell surface proteins (17). Cellular localization studies demonstrated that proteasomes are approximately equally divided over the cytoplasm and nucleus (213, 225). Within these two compartments, proteasomes can diffuse rapidly. Proteasome subunits are assembled in the cytoplasm and only a few of the α subunits contain a nuclear localisation signal (278). When the nuclear envelope disintegrates during mitosis, proteasomes can rapidly diffuse in the dividing cell allowing the cytoplasmic and nuclear pool of proteasomes to mix. When cell division is complete, the restored nuclear envelope forms a barrier preventing transport of proteasomes from the nucleus into the cytoplasm. Additionally, slow transport of intact proteasomes from the cytoplasm into the nucleus is possible (225). The degradation of ER proteins takes place through retranslocation of ER membrane and lumen proteins into the cytosol, a pathway referred to as ER-associated degradation (ERAD). The ubiquitination and degradation

machinery is thus excluded from the ER lumen. The degradation machinery is furthermore thought to be excluded from the nucleoli, even though it has been suggested that proteasome localization and degradation might take place in nucleoli as well (177, 258). Within the nucleus there seem to be proteolytic centres for degradation such as promyelocytic leukemia (PML) bodies (291) or other focal subdomains (228).

Besides the interaction of the proteasomal subunits that regulates proteasome assembly, proteasome expression is also under regulatory control. In yeast, Rpn4 is a major player in regulating proteasome levels (293). Rpn4 was originally described as a subunit of the proteasome but functions as a transcriptional activator that binds to a proteasome associated control element found upstream of most proteasome genes in yeast. In addition, proteasome associated control elements have been found in a number of promoters of genes related to the UPS. Once Rpn4 induces proteasome formation, it is destroyed by mature proteasomes in an autoregulatory feedback mechanism (175). However, no homologue of Rpn4 or its DNA binding element has been found so far in mammalian cells. Regulation of proteasomes is important under cellular stress conditions such as heat shock or the accumulation of misfolded proteins. However the molecular mechanisms controlling constitutive and stress-induced regulation of protein gene expression are less well understood. Cells treated with proteasome inhibitor upregulate proteasomal subunits (183) or proteasome activity (157). Interestingly, antioxidants, negatively affecting the cell integrity, can indirectly activate transcription through NF-E2-related factor 2 (Nrf2) related signalling (198). Activation of Nrf2 by antioxidants, activates numerous genes, including subunits of the proteasome (150). Like Rpn4, Nrf2 levels are regulated by hydrolysis by the proteasome (198), so Nrf2 seems to function in manner that slightly resembles Rpn4.

5. GFP-BASED REPORTER SUBSTRATES TO MONITOR FUNCTIONALITY OF THE UPS

5.1 Fluorescent UPS substrates

The work described in this thesis is based on engineered GFP-based substrates for the UPS that enables monitoring the functionality of the UPS in living cells. Most studies on the UPS focus on one aspect such as substrate recognition, ubiquitination, degradation, substrate binding, deubiquitination, but do not give a complete picture of the UPS as an integral system. To be able to do so, several reporter substrates have been developed, based on GFP or the yellow fluorescent protein (YFP) (235). These stable fluorescent proteins were converted to proteasomal substrates by insertion of different constitutively active degradation signals which converted the fluorescent proteins into short-lived proteins due to rapid proteasomal degradation (see also chapter 3.5). Cells expressing these reporter proteins emit low fluorescence due to rapid turnover of the fluorescent protein. However, any obstruction of the UPS, for example by the administration of proteasome inhibitors, induces a dramatic increase in fluorescence (54). Most of the work in this thesis is based on the N-end rule substrate Ub-R-GFP or the UFD substrate Ub^{G76V}-GFP (see chapter 3.5). Two additional reporters, YFP-CL1 and CD3 δ -YFP, were used in **paper IV** (184) (figure 6). The CL1 peptide has been found in a yeast screen for sequences that destabilize β -galactosidase in a Ubc6- and Ubc7-dependent manner (89). CL1 is a 16 amino acid degron that resembles a hydrophobic peptide. While normally located inside globular protein molecules or buried in membranes, exposure of a hydrophobic stretch might be recognized by the UPS. Fusion of CL1 to the C-terminus of YFP leads to rapid proteasomal turnover of this reporter substrate (184). CD3 δ is part of the T-cell receptor and a well-established ERAD substrate (294). When expressed in the absence of other T-cell receptor subunits, CD3 δ is retranslocated into the cytosol and degraded by the proteasome. These four different reporter substrates cover a wide spectrum of substrates: cytosolic, nuclear, ER and misfolded proteins.

Accumulation of these reporter substrates, measured by the fluorescence emitted by the reporter correlates with an induction of G2/M cell cycle arrest and apoptosis (54). Detectable levels of fluorescence preceded induction of apoptosis indicating the usefulness of these fluorescent reporters for an inhibited UPS. Several other fluorescent protein-based reporters with different degradation signals have been developed (235). Recently, a transgenic mouse model has been developed based on the UFD reporter protein (168). This model shows functionality of the reporter even in an *in vivo* model, and provides the opportunity to study the status of the UPS in neurodegeneration when crossed with mouse models for neurodegenerative disorders (19).

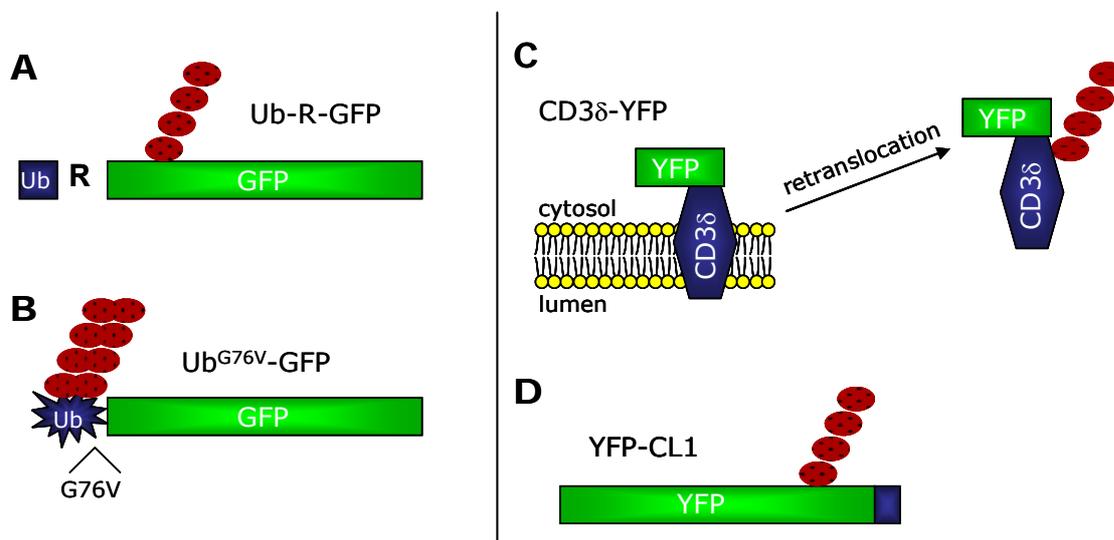


Figure 6. Schematic representation of the GFP-based reporters. A) N-end rule substrate. Ub is cleaved off by DUBs, and the exposed Arg (R) at the N-terminus of GFP leads to rapid ubiquitination of GFP. B) UFD substrate. Due to the G76V mutation Ub can not be cleaved by DUBs and remains fused to GFP. Ub itself is then acceptor for ubiquitination at two different Lys residues. C) ERAD substrate. In absence of its binding partners CD3 δ is dislocated from the ER-membrane to the cytosol and ubiquitinated. D) CL1 is a hydrophobic peptide that resembles a misfolded domain.

5.2 Fluorescent reporters to monitor the UPS in neurodegeneration

There are several indications for a possible role of the UPS in neurodegenerative diseases (38), but convincing evidence is still lacking (see also chapter 6.4). This is largely due to the fact that functional

studies on the UPS have proven to be a major challenge owing to the complexity of the system. The usage of fluorescent UPS reporters might be a useful tool in this respect since it can evaluate the functionality of the UPS as an integrated system. Moreover, the availability of reporters that represent different types of UPS substrates; nuclear, cytosolic, ER associated and misfolded proteins provide the opportunity to evaluate different ubiquitination pathways.

Based on the accumulation of the UPS substrate GFP-CL1 in cell lines expressing the aggregation-prone mutant huntingtin (Htt) or mutant CFTR Δ F508 it was suggested that the UPS might be disturbed (11). Accumulation of GFP-CL1 was also found in a number of similar investigations studying the mutant rhodopsin (120), a protein linked to the inherited form of retinitis pigmentosa, mutant α -synuclein, which is associated with PD (214), and mutant androgen receptor responsible for spinobulbar muscular atrophy (SBMA) (174). Accumulation of PEST-GFP, a Ub independent reporter also accumulated upon expression of mutant ataxin-1 (205), responsible for spinocerebellar ataxia (SCA) type 1, suggesting that it is not just one specific degradation pathway that is affected. In contrast, neither the UFD nor the N-end rule reporter substrates accumulated in cell lines expressing mutant ataxin-1 (**paper I** & unpublished results L.G.G.C. Verhoef, K. Lindsten and N.P. Dantuma).

In a recent study by Bennett and co-workers the GFP-CL1 reporter was specifically targeted to either the nucleus or the cytoplasm (13) and the effect of the aggregation-prone mutant Htt or ataxin-1 on the reporter in either the same or the opposite compartment was examined. The reporter accumulated in both the cytosol and the nucleus independent of the presence or the location of the aggregated proteins. No correlation was found between accumulation of the reporter and the presence of aggregated proteins suggesting that cellular inclusions are not the direct cause of an impaired UPS. Thus the molecular mechanism behind the disturbance of the UPS, as well as the nature of the inhibitory proteins remains to be resolved.

The aberrant Ub UBB⁺¹ is encoded by an abnormal transcript of the Ub B gene that has a dinucleotide deletion due to a process known as molecular misreading (275). Although the transcript can be found in both normal and affected cells, the protein product exclusively accumulates in

affected cells in a number of neurodegenerative diseases, such as Alzheimer's disease (78). *In vitro* studies showed that UBB⁺¹ can be polyubiquitinated and that the presence of ubiquitinated UBB⁺¹ inhibits *in vitro* degradation of proteasome substrates (155). Also in reporter cell lines expressing Ub-R-GFP or Ub^{G76V}-GFP, UBB⁺¹ causes a general UPS dysfunction that correlates with cell cycle arrest (**paper II**). Interestingly, UBB⁺¹ itself is a UFD substrate of the UPS that is cleared in many cells by proteasomal degradation, while in some cells it accumulates and causes UPS dysfunction. The molecular mechanism of the inhibitory activity of UBB⁺¹ is not well understood. However, resistance against proteasomal degradation seems to be a prerequisite to cause UPS dysfunction (**paper III**).

Recently, the first transgenic reporter mouse model for the UPS was generated based on the UFD reporter substrate (168). Crosses of these mice with a knock-in mouse model for mutant ataxin-7, which is responsible for SCA7, provided the first opportunity to study the functionality of the UPS in neurodegeneration *in vivo* (19). Analysis of these crosses did not reveal accumulation of the reporter substrate early in the disease, suggesting that a full block of the UPS is not directly responsible for the disease. Later in disease progression an accumulation of the reporter substrate was found, however, detailed analysis revealed that this increase was not due to increased protein levels but to increased transcription of the reporter transgene probably as a consequence of transcriptional dysregulation. Many of the neurodegenerative diseases, also SCA7, have been reported to display transcriptional dysregulation (151). Moreover, ataxin-7 is thought to be a subunit of the GCN5 histone acetyltransferase-containing coactivator complexes TFTC/STAGA (108). Mutant ataxin-7 caused histone H3 hyperacetylation as a result from an increased recruitment of the TFTC/STAGA complex to specific promoters. Additionally, this SCA7 mouse model showed severe chromatin decondensation.

The reporter substrates to measure functionality of the UPS will provide a more complete picture of the behaviour of different classes of UPS substrates, however, the exact role of the UPS in neurodegeneration needs further investigation.

6. THE UPS AND NEURODEGENERATIVE DISORDERS

6.1 Neurodegeneration and polyglutamine diseases

Human neurodegenerative disorders are a group of clinically and pathologically diverse disorders. These diseases include AD, PD, Huntington's disease (HD), ALS and prion encephalopathies. The diseases are characterized by selective loss of neurons, in specific but different regions of the brain. The result is disruption of motor, sensory or cognitive systems, resulting in severe disability of the patients. Many of these diseases have an age of onset around midlife, even in the case of the inherited diseases. The slow disease progression is probably not due to a single hit-and-run event, but rather a multiple-step process involving environmental, epigenetic and genetic events. Strikingly, many of these disorders have protein aggregation and IB formation in common (230). Aggregation reflects protein misfolding, which is a central theme of these diseases. Therefore the term 'conformational diseases' was introduced to describe all those diseases that arise 'when a protein undergoes a change in size or fluctuation in shape, with resultant self-association and tissue deposition' (25, 148) (figure 7).

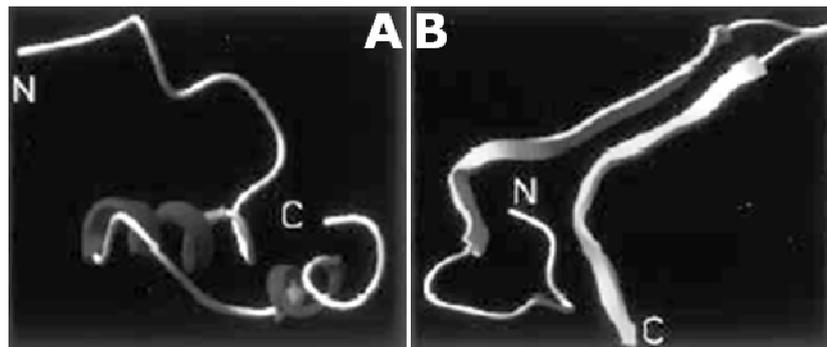


Figure 7. *Conformational change of a model protein.* A) represents a protein in its normal folded conformation. In B) the proteins underwent a conformational change which led to the formation of β -sheets instead of the α helices in A).

One specific group of neurodegenerative diseases are the so called polyGln diseases. These consist of a heterogeneous group of nine inheritable diseases caused by expansion of a the nucleotide CAG repeat (48). It includes HD, six different types of spinocerebellar ataxia (SCA 1,

2, 3, 6, 7 & 17), SBMA and dentatorubal pallidolusian atrophy (DRPLA). So far, the exact function of most of the proteins is unknown. The aberrant protein is in each case different as well as the specific region of the brain that is affected. Nevertheless, these diseases have several characteristics in common. First, they are all characterized by progressive neurodegeneration, striking in midlife and causing increasing neuronal dysfunction and eventually neuronal loss 10-20 years after the onset of symptoms (311). Second, expanded polyGln repeats confer a gain-of-function (83). For a few polyGln diseases homozygosity has been reported (139, 238) which correlates with advanced age of onset and increased diseases severity. Because expanded polyGln tracts have deleterious properties, higher levels of the mutant protein would intensify the toxic effects. The disease develops when the number of repeats exceeds approximately 35 glutamines. An exception is ataxin-6 with repeats of 21 glutamines or more causing SCA6 (309, 310). Third, despite a general expression pattern of the aberrant gene throughout the brain and other tissues, only a certain subset of neurons is affected. The reason of this neuronal selectivity is unknown (48). In a very late stage of the disease progression overlap occurs when massive and less specific neuronal loss occurs. In juvenile forms, caused by very long glutamine repeats, the disease is much more severe and shows an overlap in the phenotypes (49). Fourth, there is an inverse correlation between the length of the repeat and the age of onset: longer repeats give rise to an earlier age of onset and a more severe disease pathology (85). Fifth, as mentioned earlier for other neurodegenerative disorders, polyGln diseases are characterized by protein misfolding and aggregation. Despite the fact that the polyGln containing proteins have nothing in common except for the expanded polyGln tract, polyGln disorders are strikingly similar in many aspects of the pathophysiology, indicating a common mechanism underlying these diseases.

Interestingly, the polyGln tract on its own was thought to be the direct cause responsible for the diseases, which was supported by the fact that polyGln peptides can cause a similar pathological phenotype (295). However, more recent studies have shown that the protein context of the polyGln repeat is involved in the pathology of the disease as well (29, 71, 152).

6.2 Protein aggregation

Protein aggregation can occur i.e. due to a mutation in the sequence of a protein, or a genetic alteration that causes an elevation in the amounts of a normal protein. It can occur in the absence of genetic alterations possible triggered by environmental stress or aging. Alternatively, aggregation might occur as a biological function (160, 254, 255). The mutant proteins form fibrillar IBs called amyloid (230) although also amorphous IBs exist (147). The processes leading to protein misfolding are not fully understood. Since the clinical manifestations take decades to develop, neurons possibly die from cumulative damage. This implies that the probability that neurons die increases with age. However, Clarke and co-workers showed that the kinetics of neuronal death appear to be exponential. They proposed a 'one-hit model' for neurodegeneration that refers to the catastrophic event that leads to apoptosis (41). Based on this 'one-hit model' Perutz and Windle suggested that aggregate formation might require an early nucleation event. It is however becoming more clear that protein aggregation might be a complex process involving several kinds of intermediates, also referred to as protofibrils or microaggregates (104). A protofibril is smaller than a mature fibril and probably can polymerize into mature fibrils or IBs (143). The formation of large visible inclusions might involve the microtubule organization centre (MTOC) which transports the misfolded proteins to a perinuclear localization (114, 274) (see figure 8).

The term aggresome was given to deposits of misfolded proteins with a perinuclear localization (132). It was suggested that the formation of aggresomes is a general response of the cell when the amount of misfolded proteins exceed the capacity of the proteasome. This idea was strengthened by the fact that proteasome inhibitors provoke the formation of aggresomes (132). Inhibition of aggresome formation enhanced the toxicity of the mutant androgen receptor. It has been suggested that aggresomes might protect the cells through enhancing degradation of the mutant protein (268).

Lysosomes can degrade more complex structures than the UPS, including protein complexes and organelles. Lysosomal degradation can be mediated by autophagy, a process that involves the formation of double membrane structures called autophagic vacuoles which fuse with

lysosomes to form autolysosomes where their contents are then degraded by acidic lysosomal hydrolases (300). Several studies have reported structures that are positive for both lysosomal proteins and mutant misfolded proteins suggesting a role for autophagy in the clearance of misfolded proteins in neurodegeneration (56, 140, 196, 260). Indeed, recent evidence provided a role for autophagy in the degradation of aggregation-prone proteins (220). A study by Iwata and colleagues showed that autophagy is limited to cytosolic proteins (124) and provides a possible explanation why accumulation of mutant misfolded proteins in the nucleus might be more toxic for the cell (144, 240).

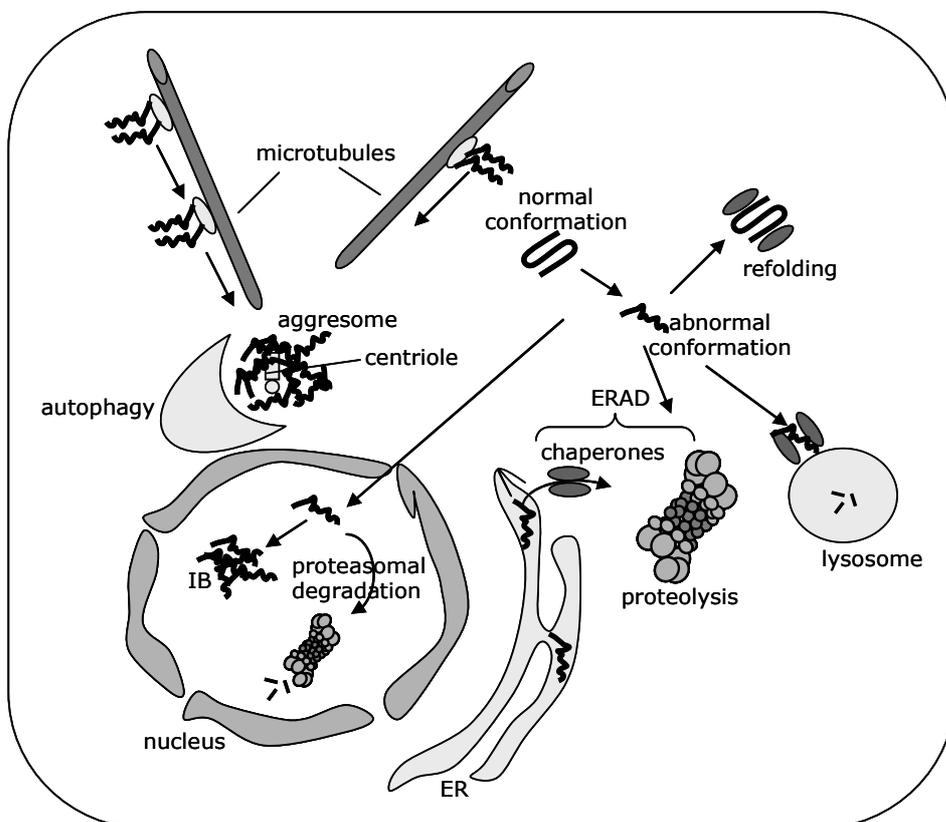


Figure 8. Cellular defences against misfolded proteins in the cell. Misfolded proteins in the cell can be refolded by chaperones. If the protein cannot be refolded it can be targeted to the lysosome or proteasome for degradation. Alternatively the (mis)folded protein can be translocated into the nucleus where it can form IBs or be degraded by the proteasome. Misfolded proteins inside the ER can be retranslocated to the cytosol where degradation takes place (ERAD). Misfolded proteins in the cytosol can be transported along microtubules to the MTOC to form an aggresome. Adjusted from Ross & Poirier (229).

Protein aggregation can also serve a biological function. Dendritic cells use the formation of aggresome-like structures for temporal storage of peptides for MHC class I presentation to regulate their immune functions (160), and proteins with prion-like properties involve their stable conformational state of the protein in long-term memory (254, 255).

Recruitment of chaperones in IBs and the upregulation of chaperones under conditions of an excess of aggregation-prone and misfolded proteins implicates an important role for chaperones in neurodegeneration. Chaperones provide a first line of defence against misfolded proteins and have been proven to be potent suppressors of neurodegeneration (190). *In vitro* and *in vivo* studies have shown that overexpression of the chaperones Hsp40 or Hsp70 increased solubility of polyGln proteins, suppressed the formation of IBs and reduced toxicity (47, 189, 279).

A key question that remains disputed is whether the soluble or aggregated mutant proteins in IBs are the toxic forms in these neurodegenerative disorders. Even though IBs are a characteristic of these neurodegenerative diseases, a correlation between IBs and clinical features is not always present (101, 149, 270). Crossing a SCA1 mouse into a mouse that lacks the Ub ligase E6-AP expression resulted in a reduced number of neurons with IBs but pathology got worse indicating that IBs are protective for the cell (46). Additionally, another mouse model for SCA1 was generated in which the self-association region of ataxin-1 was deleted. These mice showed a similar pattern of pathology as with the self-association domain but without the formation of IBs (144). Controversially, the formation of IBs has been shown to precede the neurological phenotype and to be the underlying effect of disease (56). In addition, IBs appear to be 'sticky' structures, interacting with many other proteins, thereby deplete proteins from their cellular environment which might contribute to disease progression (64).

6.3 Mechanisms of pathogenesis

Given that the pathogenesis of the polyGln diseases is due to a gain of function mechanism of the expanded polyGln repeat, what features do these repeats have that renders them toxic to neuronal cells?

It has been suggested that polyGln repeats are substrates of transglutaminases, which are calcium-dependent enzymes that catalyze protein cross-linking (97). The ability of transglutaminase to catalyze cross-linking increases with the length of the glutamine tract and stimulate formation of insoluble aggregates (134). It is however not known if transglutamination of polyGln occurs *in vivo*. Nevertheless, transglutaminase inhibitors in cell culture could partially rescue the apoptotic effect of atrophin-1 with expanded glutamine stretches (119) and elevated expression levels of transglutaminases are found in HD brain (128, 137). In addition, transglutaminases dramatically increased inhibition of the UPS caused by mutant androgen receptor (174).

Perutz and colleagues proposed an alternative model in which two antiparallel β -strands of polyGln repeats can be linked together by hydrogen bonds and undergo polymerization and subsequent aggregation by polar zipper formation (211). However, so far there is no proof for the existence of polar zippers in IBs.

Regardless if IBs are toxic or protective for the cell (discussed in chapter 6.2) changes in protein conformation could allow the mutant protein to recruit normal cellular proteins through aberrant interactions. Some interactions might be inappropriately enhanced, others lost.

Nuclear localization appears to be crucial for the toxicity of some of the polyGln diseases (144). In addition, transcriptional changes often precede pathogenesis (165, 299), suggesting that transcriptional dysregulation might be an important factor in the pathogenesis of polyGln disorders (303). This can be regulated by several mechanisms. Expanded polyGln proteins have been shown to interact with several transcription factors which might thereby end up in the IBs (163, 178, 252, 261). Mutant polyGln proteins might alternatively interfere with transcription through direct interaction of transcription factors, dissociating them from their target promoters (32). Additionally, ataxin-7 is part of the SAGA-complex and expansion of the polyGln repeat disturbs histone acetyl transferase (HAT) function of the complex (180, 200).

Mutant polyGln proteins can interfere with other mechanisms such as neuronal trafficking (158, 267) or synaptic transmission (264), thereby contributing to neurotoxicity. In addition to a gain-of-function, loss-of-function also plays a role in pathogenesis. The function of several polyGln

proteins is known; ataxin-3 functions as a DUB, ataxin-7 is part of the SAGA complex, ataxin-6 is part of a calcium channel (81), Htt is thought to play a role in brain development and neuronal survival (86, 224) and the affected protein in SBMA is the androgen receptor. The specific loss-of-function of each of these proteins contributes to pathogenesis.

Nuclear localization might be an important factor for pathogenesis in at least some of the polyGln diseases (144, 240). Htt has been shown to shuttle between the nucleus and cytoplasm. Nuclear export is provided through interaction with the nuclear pore protein translocated promoter region (Tpr). Presumably, the expanded polyGln tract of mutant Htt interferes with interaction with Tpr and Htt accumulates in the nucleus (44).

Nuclear localization of some of the polyGln proteins is dependent on cleavage of the full length protein. This suggest that processing of the full length protein to liberate a shorter peptide that can enter the nucleus is required for toxicity (49). At least for Htt, ataxin-3 and the androgen receptor, cleavage is thought to be carried out by caspases (284). The IBs possibly recruit procaspases which become activated and trigger apoptosis (236). PolyGln proteins are furthermore capable of activating apoptotic pathways indirectly through mitochondrial and ER stress (see also chapter 7) (166, 277).

6.4 Involvement of the UPS in neurodegeneration

Alterations in protein degradation by the UPS are believed to be involved in neurodegenerative diseases (245). The presence of components of the UPS and the refolding machinery in IBs suggest an attempt of the cell to refold or degrade the misfolded proteins. Another indication for a possible role of the UPS in neurodegeneration came from genetic studies showing a direct link between aberrations in proteins involved in the UPS resulting in neurodegeneration. Familiar PD for example can be caused by mutation in the Ub ligase parkin or in the DUB UCH-L1 (256). However, for the sporadic late onset diseases, which represent the majority of the cases, such a link is absent.

It has been reported that polyGln proteins interact with components of the UPS. Htt and a mutant form of Ub, UBB⁺¹, were found

ubiquitinated and interacted with E2-25K (135, 257). Similar, ataxin-7 was found associated with a subunit of the 19S RP, S4 (176). Expansion of the glutamine repeat of ataxin-7 resulted in a decreased interaction with S4, suggesting that stabilization of ataxin-7 contributes to pathogenesis. Similar to ataxin-7, stabilization of expanded ataxin-1 has been reported (29). Alternatively, the interaction between ataxin-7 and S4 resulted in relocalization and altered expression levels of S4 and some other proteasome subunits which may affect assembly and functionality of the proteasome. Thus it is possible that interactions of the mutant polyGln proteins with the proteasome interfere with the function of the UPS.

Ataxin-3 is an interesting protein in the context of the UPS. First, it has been shown to function as a DUB through its Josephin domain (33). Second, ataxin-3 has a UIM domain (27) through which it interacts with polyubiquitinated proteins. It furthermore interacts with Rad23 and VCP and plays a possible role as a shuttle factor (65). Third, normal and expanded ataxin-3 are substrates of the proteasome (14). Fourth, ataxin-3 has been shown to be an efficient suppressor of polyGln induced neurotoxicity *in vivo* (280), which depends on its Ub-associated activities and proteasome function.

The question remains why misfolded proteins in neurodegeneration accumulate and are not rapidly destroyed by the UPS. In case of polyGln diseases, it has been suggested that the glutamine repeat is indigestible for the proteasome (285). The proteasome will hydrolyse the protein except for the polyGln tract which will be released into the cytoplasm or nucleus. In this way the proteasome might even contribute to pathogenesis by releasing aggregation-prone (possible even more toxic) peptides. Nevertheless, we (**paper I**) and others have shown that soluble polyGln proteins (provided with a strong degradation signal) can be efficiently degraded by the proteasome in a Ub-dependent manner, independent of the length of the repeat suggesting that the proteasome is capable of digesting proteins with an expanded polyGln tract (14, 186, 286). It is however possible that also in these studies the polyGln protein is degraded but the polyGln tract itself is not, even though short glutamine peptides have not been detected (**paper I**, data not shown). Targeting polyGln proteins with a strong degradation signal resulted in

addition in a decrease of IBs and a reduced toxicity, possibly due to the accelerated turnover of the soluble polyGln proteins (**paper I**).

Expanded polyGln proteins might adopt energetically stable structures, which resist unfolding and therefore impede proteasomal degradation. In **paper I** we show that engineered or natural mutant polyGln proteins resist proteasomal degradation once aggregated. Additionally, proteins that co-aggregate with the expanded polyGln proteins are thereby also stabilized (**paper I**). If the amount of misfolded proteins exceeds the degradation capacity of the proteasome, IBs may develop which in turn causes redistribution of proteasomes (**paper I**, (126, 176)). Proteasome redistribution might contribute to disease progression by disturbing proteolysis and subsequent vital cellular functions.

Overexpression of a dominant negative Ub ligase in cell culture resulted in less IBs formed by mutant Htt but acceleration in pathogenesis (240). In line with this notion, mice expressing mutant ataxin-1 crossed with mice that lacked a Ub ligase developed fewer IBs but had an accelerated pathology (46) suggesting that proteasomal degradation might play a role in the turnover of these misfolded proteins.

Inhibition of the UPS has also been suggested to play a role in the disease progression of neurodegenerative disorders. Proteasome activity was decreased in an inducible cell line expressing mutant Htt, measured both in the soluble and insoluble fractions, suggesting that soluble and aggregates Htt can inhibit proteolytic activity of the proteasome (126). The decrease in proteasome activity was correlated to cell death. In contrast, Michalik and van Broeckhoven showed that proteasome activity was unaltered in cells expressing soluble polyGln proteins (186). However, the proteolytic capacity of the proteasome exceeds the level required for its household activities, since as much as 80% of the rate limiting chymotrypsin-like activity of the proteasome can be blocked without affecting cell viability (11, 54). Using a stable cell line expressing the reporter protein GFP-CL1, Bence and colleagues showed that IBs can cause a general impairment of the UPS (11). GFP-CL1 cell lines expressing the aggregation-prone proteins mutant Htt or mutant CFTR Δ F508, accumulated the reporter in cells containing IBs. Accumulation of the reporter was also found in similar studies on the

mutant rhodopsin, a protein linked to the inherited form of retinitis pigmentosa (120), mutant α -synuclein, which is associated to PD (214) and mutant androgen receptor responsible for SBMA (174). Degradation of another reporter, PEST-GFP, was inhibited by mutant ataxin-1, suggesting that aggregation-prone proteins have a general inhibitory effect on the UPS (205). The mechanism behind this inhibition remains however unknown (see also chapter 6.3), but a recent report by Bennett and colleagues suggested that it is not caused by direct inhibition of the proteasome nor by sequestration into IBs (13). GFP-CL1 reporters were specifically targeted to either the nucleus or the cytosol and the effect of cytosolic or nuclear inclusions on the degradation of the reporter was examined. GFP fluorescence was found in both cellular compartments independent of the presence of IBs, indicating that the IBs are not a direct cause of UPS impairment. We showed in **paper I** however that IB formation of ataxin-1 did not cause accumulation of the transiently cotransfected reporters Ub-R-GFP and Ub^{G76V}-GFP. In addition, studies performed with human cervix carcinoma HeLa cells stably expressing the Ub^{G76V}-GFP substrate did not reveal any accumulation of the reporter in the presence of mutant ataxin-1 (unpublished observations L.G.G.C. Verhoef, K. Lindsten & N.P. Dantuma). While a cell specific effect can not be excluded, it is tempting to speculate that polyGln proteins might not cause an overall block of the UPS but instead impair degradation of a specific subset of proteasome substrates.

6.5 UBB⁺¹, a mutant form of ubiquitin and neurodegeneration

Not only mutations at DNA level but also transcriptional misreading of correct genetic information can lead to the formation of aberrant proteins. Molecular misreading leads to a dinucleotide deletion in mRNA transcripts (275). GAGAG motifs turned out to be hotspots for Δ GA deletions, especially when the transcriptional rate is very high. The exact mechanism causing this misreading is not known although an attractive explanation would be that the RNA polymerase slips over two nucleotides due to problems with the repetitive sequence. The dinucleotide deletion

results in a protein which is normal up to the site of the deletion followed by translation of the +1 reading frame. Often these +1 reading frames result in a premature stop codon giving rise to a truncated protein. Molecular misreading resulting in +1 proteins has been found in the β amyloid precursor protein (β APP⁺¹) and the Ub B gene product (UBB⁺¹).

Both UBB⁺¹ and β APP⁺¹ were found accumulated in protein deposits in brains of patients with AD while not observed in control brains (275). The accumulation of UBB⁺¹ was later found to be a more widespread phenomena in conformational diseases; accumulating in brains of patients with different polyGln diseases as HD and SCA-3 (57), progressive supranuclear palsy (76), Pick's disease, frontotemporal dementia and argyrophilic grain disease (78), but not in α -synucleopathies such as PD and multiple system atrophy (78). UBB⁺¹ accumulation is also found in livers of patients with α 1-antitrypsin deficiency (292) and accumulated in IBs, i.e. Mallory bodies in the liver of patients with steatohepatitis and hepatocellular carcinoma (182).

The inability to detect UBB⁺¹ or β APP⁺¹ in control brains is not due to the lack of transcription since the aberrant transcripts are present, but indicates clearance of the mutant proteins in healthy brains, although a decrease in translation cannot be excluded. However UBB⁺¹ can be detected in control brains of elderly patients suggesting a role of aging in either molecular misreading or the efficiency of clearing misfolded proteins (78). When not efficiently cleared from the cells, UBB⁺¹ acts as a potent inhibitor of the UPS, which possibly might play a role in accelerating neurodegeneration. In line with this idea, Song and co-workers appointed a critical role for UBB⁺¹ in the neurotoxicity of AD (257). E2-25K/Hip-2, the E2 capable of polyubiquitinating UBB⁺¹ is upregulated in neurons exposed to the A β peptide. Furthermore, E2-25K/Hip-2 is required for A β induced neurotoxicity, as well as for neurotoxicity mediated by UBB⁺¹, suggesting UBB⁺¹ as a link between A β and dysfunction of the UPS.

Ub is translated as a precursor-protein, either as a fusion with ribosomal proteins or as multiple head-to-tail copies. In either case single Ub moieties are generated by cleavage by UCH (figure 9). In the case of UBB⁺¹, the GAGAG hot spot leading to a frame shift is at the end of the first Ub moiety. Also in UBB⁺¹, the GA deletion leads to a premature

stopcodon giving rise to an Ub molecule with an additional frame shifted 19 amino acids, here referred to as the +1 tail (figure 9). Moreover, the frame shift also gives rise to a change of the last Gly of Ub to a tyrosine (Tyr), making it unsusceptible for cleavage by UCH, and rendering it insensitive to activation by E1 so it cannot be part of a Ub chain. However, due to the fact that all Lys residues are present, UBB^{+1} itself can be targeted for ubiquitination.

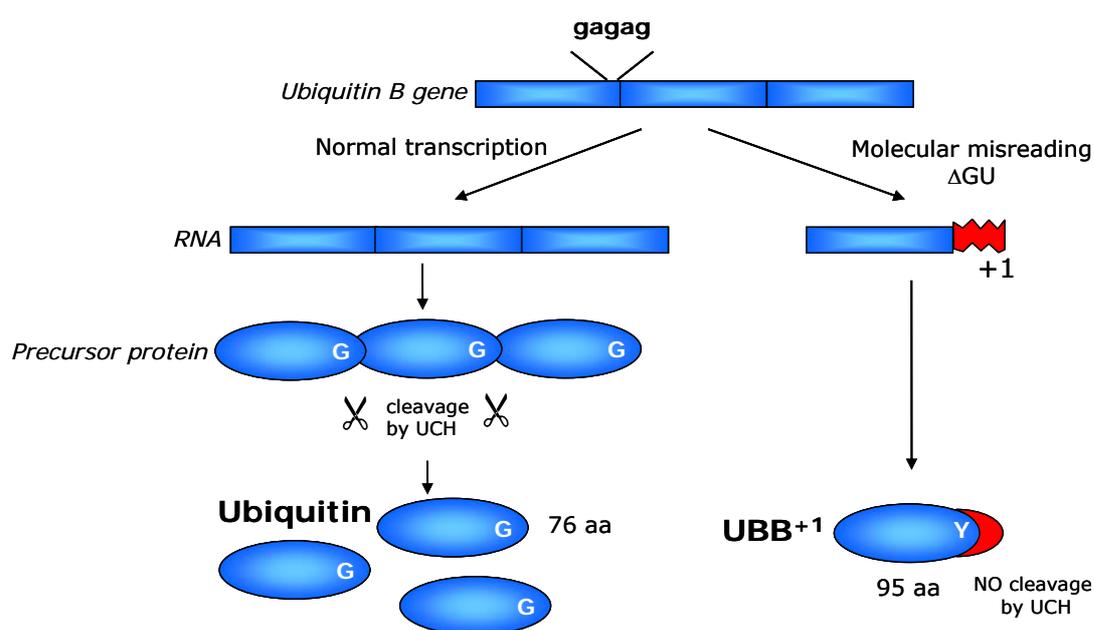


Figure 9. Generation of Ub and UBB^{+1} from the Ub B gene. The Ub B gene encode three head-to-tail copies of Ub with a GAGAG nucleotide repeat near the end of the first Ub. Transcription and translation lead to a precursor protein that can be cleaved by UCH into three single Ub molecules, each with a Gly at position 76. Molecular misreading can take place in or adjacent to the GAGAG repeat leading to a frame shift deletion in the RNA. Translation of this product results in one Ub molecule with an additional 19aa. Additionally, the Gly at position 76 is replaced by a Tyr at position 76 which is insensitive for cleavage by UCH.

UBB^{+1} was shown *in vitro* to be resistant against deubiquitination (155). The formation of polyubiquitinated UBB^{+1} inhibited proteasomal degradation *in vitro*, similar to proteasomal inhibition of unanchored Ub chains when not deubiquitinated (155). This inhibition was suggested as a mechanism for UBB^{+1} mediated toxicity in AD. The presence of UBB^{+1} in brains of patients with neurodegenerative disorders combined with the fact that *in vitro* UBB^{+1} could inhibit proteasomal degradation led us to investigate if expression of UBB^{+1} in cells had an effect on the UPS

(**paper II**). Expression of UBB⁺¹ in HeLa or neuroblastoma cell lines expressing either the Ub^{G76V}-GFP or the N-end rule Ub-R-GFP reporter revealed also inhibition of the UPS *in vivo*. Accumulation of polyubiquitinated proteins suggested that UBB⁺¹ inhibits the UPS at another step than ubiquitination. To investigate if ubiquitination of UBB⁺¹ was required for its inhibitory effect, Lys⁴⁸ was mutated to an arginine (UBB^{+1 K48R}). Surprisingly, UBB^{+1 K48R} was still found ubiquitinated, and therefore Lys²⁹ was mutated as well (UBB^{+1 K29,48R}). Either Lys²⁹ or Lys⁴⁸ was sufficient for ubiquitination, whereas mutation of both basically prevented ubiquitination. The inhibitory effect on the UPS was lost when both Lys residues were mutated.

Ubiquitination at both Lys²⁹ and Lys⁴⁸ has been shown before for UFD substrates (131, 146). The fact the UBB⁺¹ can be ubiquitinated at these two Lys residues plus the fact that UBB^{+1 K29,48R} has higher steady state levels than UBB⁺¹ led us to investigate if UBB⁺¹ is a UFD substrate and can be degraded by the proteasome. Indeed polyubiquitinated UBB⁺¹ is degraded by the proteasome and resembles a UFD substrate.

Besides the aggregation-prone polyGln proteins, UBB⁺¹ is another protein that can resist proteasomal degradation. We set out to investigate the underlying mechanism behind the resistance against degradation and the inhibitory effect of UBB⁺¹ on the UPS (**paper III**).

The difference between Ub and UBB⁺¹ are the additional 19 amino acids encoded by the +1 open reading frame. Database searches revealed no homology of this 19 amino acid expansion with any other polypeptide. Ub is a tightly folded structure. It is unlikely that the 19 amino acids are part of this structure but more likely will be an unstructured peptide. Since unfolded and unstructured polypeptides are prone to aggregation which can have stabilizing effects (**paper I**) we set out to determine if insertion of the extension of UBB⁺¹ in proteasome substrates could affect the turnover of these proteasomal substrates similar to other aggregation-prone domains. Degradation of Ub^{G76V}-GFP or Ub-R-GFP was however not affected by the extension with the 19 amino acids of UBB⁺¹ suggesting that the +1 tail is not a transferable element with a stabilizing capacity on other substrates. Moreover, UBB⁺¹ did not form visible IBs also arguing against aggregation as a possible stabilizing mechanism.

The major difference between the UFD substrates Ub^{G76V}-GFP and UBB⁺¹ is the limited length of the C-terminal extension of UBB⁺¹. We wondered if this limited size could be the reason for poor degradation of UBB⁺¹. We therefore generated an engineered UFD substrate by truncating Ub^{G76V}-non fluorescent GFP (nfGFP) to the same size as UBB⁺¹. This resulted in two proteins of the same size with an N-terminal Ub but unrelated C-terminal extensions. ^{myc}Ub-19aa, like UBB⁺¹ resisted proteasomal degradation suggesting that the stability of these UFD substrates is due to the length of the C-terminus and independent of its sequence. We hypothesized that these proteins are too short to be efficiently degraded. To test this possibility we stepwise truncated the C-terminus of ^{myc}Ub^{G76V}-nfGFP to maintain engineered UFD substrates with different lengths of the C-terminal extension. Surprisingly, a minor difference in length of the C-terminal extension had dramatic consequences for proteolysis. While ^{myc}Ub-20aa is a stable protein, ^{myc}Ub25aa was rapidly degraded. Possibly, ^{myc}Ub-20aa is too short to reach from the binding site at the proteasome to the centre of the ATPases that provide translocation of the protein into the catalytic core leading to degradation of the protein. Additionally strong binding of the uncleavable Ub moiety of the UFD protein might counteract the inwards movement of the protein into the 20S CP.

Similar to ^{myc}UBB⁺¹, the stable ^{myc}Ub-20aa also inhibits the UPS. Degradation of ^{myc}Ub-25aa however, was accompanied by the loss of inhibitory effect on the UPS. Thus, the inability to degrade UFD proteins is required for inhibition of the UPS.

The question remains exactly how these natural or designed UFD proteins inhibit proteasomal degradation. Both ^{myc}Ub-25aa and its stable counterpart ^{myc}Ub-20aa interact with the proteasome. However, there seems to be more of the stable ^{myc}Ub-20aa bound to the proteasome compared to ^{myc}Ub-25aa. It is tempting to speculate that lack of degradation and resistance against deubiquitination traps ^{myc}Ub-20aa bound to the proteasome. Consequently, by occupying the proteasome, these substrates may affect degradation of other proteasomal substrates.

There is no evidence in the literature suggesting that monoubiquitination can target proteins for proteasomal degradation. Moreover, a chain of minimal four Ub molecules is required for

proteasomal binding (269). Surprisingly, binding of the UFD substrates is predominantly found in its unmodified form, suggesting that monoUb is sufficient at least to maintain proteasomal binding. Polyubiquitinated species of UBB⁺¹ are seen *in vivo* as well as *in vitro* (155, 167). Possibly, polyubiquitination of UFD substrates is required for initial binding to the proteasome but rapid deubiquitination leaves the unmodified form bound to the proteasome. Deubiquitination is thought to play a regulatory role in proteasomal degradation, and can rescue proteins from destruction (102). Long polyUb chains might be required to prevent release by deubiquitination from the proteasome prior to degradation. Remarkably, polyUb chains of UBB⁺¹ are often not more than a few Ub molecules. UBB⁺¹ is insensitive to deubiquitination due to its uncleavable Ub molecule. Our results suggest that to maintain proteasomal binding this uncleavable Ub is sufficient. Long Ub chains might therefore not be required. Additional experiments will have to be done to provide more answers.

7. PROTEIN QUALITY CONTROL, ER STRESS AND NEURODEGENERATION

The ER is the major site for synthesis of membrane and secretory proteins. It receives newly synthesized proteins from the cytosol via a narrow channel formed by the Sec61 complex, the translocon (67). In the ER the proteins encounter an elaborate system of chaperones and can undergo post-translational modifications that together catalyse the folding and assembly of the polypeptides allowing them to fold correctly in their native conformation. Correctly folded proteins are packaged into transport vesicles that deliver them to their final destination in or outside the cell. Immature molecules are retained in the ER until maturation is complete. The sequence of events in the ER is strictly regulated as the release of unfolded proteins would have disastrous consequence for the cell. The ER quality control monitors protein folding and assembly and prevents the transport of immature molecules. The ER is also challenged with proteins that become irreversible misfolded. Errors in transcription or translation or environmental stress such as elevated temperature and oxidative damage are examples leading to the formation of aberrant proteins. The importance of quality control mechanisms is underscored by the presence of several human diseases caused by aberrant folded proteins.

The misfolded proteins in the cell have three fates: refolding by chaperones, destruction by proteases or aggregation. Chaperones are a diverse group of proteins that can bind and stabilize non-native conformations of other proteins. Under conditions of stress, when proteins become unfolded and require protection and stabilization, the concentration of chaperones is greatly increased. The ER has its own set of chaperones many of which are also involved in protein quality control, such as BiP, calnexin and calreticulin and GRP94 (69). The main quality control in the ER consists of a retention-based system that recognizes and retains incompletely folded or unassembled oligomers inside the ER.

When proteins, despite the effort of ER chaperones, are not properly folded, they have to be eliminated. It turned out that not proteases inside the ER are responsible for the degradation of misfolded ER client proteins but the UPS. The UPS is however not present in the

lumen of the ER and aberrant or misfolded proteins have to be retranslocated into the cytosol where they undergo ubiquitination and subsequent degradation by the proteasome, a process called ERAD (185). Retranslocation is thought to process through the Sec61 channel (271). When polyubiquitination is prevented, some substrates can not be retranslocated into to cytosol (127, 248, 271) indicating that ubiquitination is not only required for proteasomal degradation but also participates in the transfer of proteins across the ER membrane. Several E2 and E3 enzymes involved the ERAD pathway have been found associated to the ER (28, 84). In addition, the cytosolic chaperone VCP, together with its cofactors Ufd1 and Npl4, is thought to be involved in 'pulling' the polyubiquitinated protein across the ER membrane (9, 127) which is dependent on VCP's ATPase activity (298, 307).

When misfolded proteins are not refolded or destroyed the third option is aggregate formation. The accumulation of misfolded proteins inside the ER elicits a stress-response, the unfolded protein response (UPR), that will induce apoptosis unless the proper ER environment is restored on time (304). The UPR is characterised by a shutdown of global protein synthesis and activation of expression of genes coding for ER residence proteins that are involved in the folding and processing reactions (244). The ER chaperone GRP78 plays a major role in the ER stress response together with three ER membrane proteins, ATF6, PERK and IRE1 (207) (figure 10). Activation of the UPR is required for the cell to withstand the stressful conditions associated with ER dysfunction. When the stress sustains and is not restored, it will result in ER stress induced apoptosis through CHOP, caspase 12 or mitochondrial cytochrome c release (207).

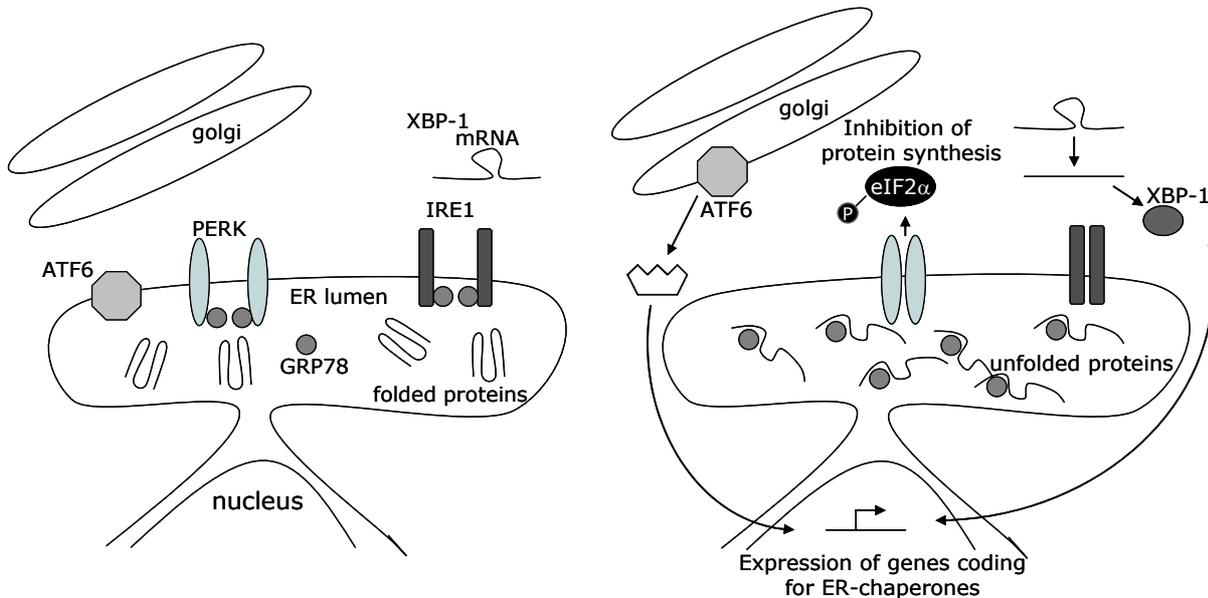


Figure 10. *The UPR.* Under normal conditions, GRP78 is bound to ATF6, PERK and IRE1 keeping them in an inactive state. When the amount of misfolded proteins exceeds the levels of free GRP78, it dissociates from the three membrane proteins leading to their activation. Dimerized PERK will phosphorylate the α -subunit of eIF2 resulting in a shutdown in global protein synthesis. Dimerization of IRE1 results in endonuclease activity, cleaving a sequence out of the XBP-1 mRNA. XBP-1 is then translocated to the nucleus where it functions as a transcription factor for genes involved in folding and processing of misfolded proteins. ATF6 will translocate into the golgi where it is cleaved by proteases to form an active transcription factor that induced expression of ER stress genes including GRP78. Adjusted from Paschen & Mengesdorf (207).

Several studies support the idea that ER stress is involved in neurodegenerative diseases. High ER calcium levels are required for protein folding inside the ER and mutations in presenilins, involved in AD, have been shown to disturb the calcium levels (159, 302). Mutation variants of presenilin 1 and 2 have also been shown to impair functioning of the ER stress inducers PERK, IRE1 and ATF6 (138, 239). Parkin, a Ub ligase, might protect neurons through the degradation of unfolded proteins (122). Loss of parkin function, involved in PD, might result in aggregation of unfolded proteins, thereby possibly impairing proteasome function leading to dysfunction of ERAD and subsequent ER stress (232). Several other proteins have been shown to inhibit the UPS, such as polyGln proteins or UBB⁺¹ and might thereby contribute to dysfunction of ERAD and induction of ER stress. However, inhibition of the UPS is followed by rapid cell death and is therefore unlikely to be responsible for the long-term gradual accumulation of misfolded proteins as seen in

neurodegenerative disorders. In **paper IV** we studied therefore the effect of ER stress on the functionality of the UPS.

To monitor the functionality of the UPS, human melanoma MelJuSo cell lines stably transfected with YFP-based reporter proteins were generated. Four different reporter substrates were used. Three cytosolic/nuclear substrates which have been described before: the N-end rule substrate Ub-R-YFP, the UFD substrate Ub^{G76V}-YFP and YFP-CL1 (see also chapter 3.5) (11, 54). In addition, the ERAD substrate CD3 δ was fused to YFP, generating CD3 δ -YFP. All four cell lines expressing the different reporter substrates have low levels of YFP due to rapid turnover. Inhibition of the proteasome by chemical inhibitors resulted in a dramatic increase in YFP fluorescence, demonstrating that these reporter substrates can be used to monitor functionality of the UPS. Moreover, the four reporter substrates represent a versatile tool to measure three different pools of UPS substrates; cytosolic, nuclear and misfolded proteins.

ER stress can be induced by several chemicals, such as thapsigargin, which depletes the ER calcium storage by specific inhibition of ER calcium ATPases, tunicamycin which inhibits N-linked glycosylation, or the reducing agent dithiothreitol (DTT). Induction of ER stress resulted in increased levels of CD3 δ -YFP similar to chemical inhibition of the proteasome, suggesting that ER stress severely impairs the degradation of ERAD substrates. Interestingly, induction of ER stress caused a small but highly significant accumulation of both the soluble and misfolded cytosolic/nuclear substrates. The increase of reporter levels was not due to an increase in protein synthesis but due to a delay in proteasomal degradation. Expression levels of two of the 20S core subunits of the proteasome were however unaltered under conditions of ER stress. Measuring proteolytic activity of the proteasome by cleavage of fluorogenic substrates revealed no decrease in proteolytic activity either. Neither expression levels of the proteasome nor its activity was altered, suggesting that the 20S CP of the proteasome is unaffected under conditions of ER stress and thereby likely not responsible for the accumulation of the reporter substrates.

Degradation of the disease related protein UBB⁺¹, a problematic proteasomal substrate, was more dramatically affected. The steady state

levels of UBB⁺¹ increased due to ER stress and consequently caused an increase in inhibition of the UPS. ER stress can cause accumulation of the toxic proteasome substrate UBB⁺¹ which results in a general blockage of the UPS. It has been suggested that polyGln proteins can cause ER stress. We therefore tested if polyGln proteins can cause a similar accumulation of UBB⁺¹ as seen by chemical induction of ER stress. Cotransfections of the mutant polyGln proteins Htt or ataxin-1 with UBB⁺¹ revealed an increase in detectable UBB⁺¹ levels in cells with aggregated mutant polyGln proteins (unpublished observations L.G.G.C. Verhoef & N.P. Dantuma). Similar to our results, de Pril and co-workers showed an increase of UBB⁺¹ in cells expressing mutant polyGln proteins (57). Even though induction of ER stress has not been measured under these conditions it is tempting to speculate that the accumulation of the aggregation-prone mutant polyGln proteins induced ER stress which compromises the UPS resulting in accumulation of UBB⁺¹.

In conclusion, ER stress causes impairment of degradation of ERAD substrates and a delay in degradation of nuclear/cytosolic substrates. In addition, aggresome formation of YFP-CL1 has been detected in a small percentage of cells when the UPS is compromised, suggesting that a mild inhibition of the UPS is sufficient to induce the formation of aggresomes. Despite the fact that aggresome formation might be a protective mechanism of the cell against an excess of aberrant proteins, it is found as an initial stage in neurodegenerative diseases. Although it remains to be resolved what the limiting factor is causing the delay in proteasomal degradation, ER stress has a subtle negative effect on the functionality of the UPS. While a full block of the UPS has been suggested in the pathology of neurodegenerative diseases, it is hard to reconcile with the long-term slow progression of these diseases since a full block of the UPS results in cell-cycle arrest and apoptosis. A mild inhibited UPS as discovered in ER stressed cells might be an explanation for the slow progression of neurodegenerative diseases.

8. CONCLUSIONS AND FUTURE PROSPECTS

In **paper I** we investigated the degradation of soluble and aggregated polyGln proteins by the proteasome. Mutant polyGln proteins carrying a strong degradation signal were degraded by the proteasome in a Ub-dependent way. However, IB formation rendered the mutant polyGln proteins resistant to proteasomal degradation. The formation of IBs led to redistribution of proteasomes to the IBs. Additionally, proteins with a short non-pathological polyGln repeat interacted and co-aggregated with expanded polyGln proteins which resulted in stabilization of the co-aggregated protein. It has been suggested that mutant polyGln proteins can cause a general blockage of the UPS. In contrast, both soluble and aggregated polyGln proteins did not cause accumulation of the Ub^{G76V}-GFP reporter substrate. This suggests that mutant polyGln proteins do not cause a general inhibition of the UPS. It is tempting to speculate that misfolded or aggregation-prone proteins block the turnover of certain but not all substrates. Further research comparing the turnover of different reporter substrates should provide more answers. Additional crossing of the GFP-reporter mice with mouse models for neurodegeneration shed light on a possible role of the UPS in neurodegenerative diseases.

Introduction of a strong degradation signal in the polyGln proteins resulted in a reduction of IBs and toxicity. Thus, targeting these proteins for degradation might be an interesting therapeutic approach. Strategies have been developed to redirect the cellular ubiquitination machinery to a target proteins-of-interest. One method was based on the expression of a chimeric ligase adaptor harbouring a binding motif for the target protein (308). The second approach utilizes small peptides that form a trimeric complex with the target protein and the Ub ligase (234). It would be interesting to investigate the possibility to target polyGln proteins for degradation through the above mentioned techniques. The interaction between a short glutamine stretch and an expanded repeat might be a possible binding target.

UBB⁺¹ (**paper II**) is an aberrant form of Ub that accumulated in the brains of patients suffering from various neurodegenerative disorders.

UBB⁺¹ is a UFD substrate that can be degraded in a Ub-dependent manner by the proteasome. In addition, it is a potent inhibitor of the UPS in cells and this inhibitory activity is dependent on ubiquitination of UBB⁺¹. The underlying mechanism of the accumulation of UBB⁺¹ remained unknown. This was the focus of investigation in the study presented in **paper III**. UBB⁺¹ is too short for efficient proteasomal degradation, and consequently accumulates in the cell. The lack of degradation is required for the inhibitory effect of UBB⁺¹ on the UPS. Additionally, a minimal length of UFD substrates is required for efficient proteasomal degradation. Together these data provide a mechanistic insight in the requirements for turnover of UFD substrates and shed light on a possible mechanism of UPS inhibition of UBB⁺¹ in neurodegeneration. Several questions remain:

First, how does UBB⁺¹ interact with the proteasome? Is polyubiquitination a transient state to provide proteasome interaction or is UBB⁺¹ capable of binding to the proteasome in an unmodified form? Additionally, with which subunit does UBB⁺¹ interact and is this different from non-UFD substrates?

Second, does binding of UBB⁺¹ compete with the binding of other proteasome targets? UBB⁺¹ might compete with the proteasomal binding of other polyubiquitinated substrates. In addition, the uncleavable Ub moiety resembles an uncleavable UBL domain, suggesting that binding of these proteins to the proteasome might be similar. Competition experiments with shuttle factors such as Rad23 or VCP might provide some answers.

Third, how exactly can UBB⁺¹ inhibit the UPS? Analysis of interaction between the proteasome and UBB⁺¹ suggest that UBB⁺¹ may be 'stuck' at the proteasome, thereby preventing recruitment of other proteasomal substrates and delaying their degradation. Showing that the degradation of UBB⁺¹ is indeed delayed once it interacts with the proteasome would give a final answer to that question. Instead of being stuck at the proteasome, another possibility is that UBB⁺¹ is released from the proteasome and inhibits the UPS at another level than the proteasome.

Fourth, what role does UBB⁺¹ play in neurodegeneration?

An important unresolved question in many neurodegenerative diseases is why the UPS fails to destroy the misfolded proteins. It has been suggested that aggregation-prone misfolded proteins can cause a general blockage of the UPS and thereby allow the accumulation of the toxic mutant proteins (11, 120, 214). A general feature in almost all of the neurodegenerative disorders is the slow progression of the disease over many years or even decades. A full block of the UPS is accompanied by apoptosis and is therefore hard to reconcile with the slow progression of these diseases. The UPS is also involved in degradation of misfolded proteins from the ER through ERAD. Accumulation of misfolded proteins in the ER causes ER stress and a subsequent UPR to restore the integrity of the ER. ER stress has been implicated in the pathogenesis of neurodegenerative diseases but it is not completely clear what the exact role of ER stress is during the slow progression of the diseases. In **paper IV** we show that ER stress has a subtle effect on the functionality of the UPS, which might explain the long-term slow progression of the disease. The degradation of ERAD substrates is blocked during ER stress and the degradation of cytosolic and nuclear substrates is delayed. Since the expression levels and the catalytic activity of the 20S CP seem unaltered during ER stress, the inhibition has to take place at another level. The four reporter substrates represent a large fraction of different proteasomal substrates. The degradation of each substrate is affected by the induction of ER stress making it unlikely that one specific factor is affected, like an E2/E3 enzyme or a shuttle factor, but rather a more general key player. Further research has to be done to provide additional answers.

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