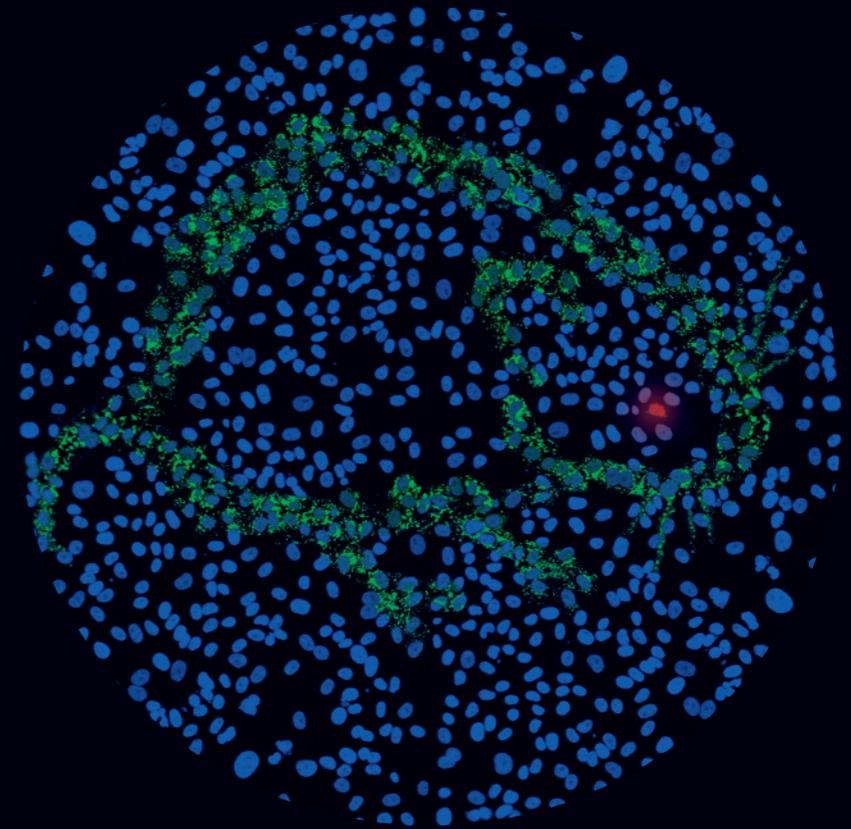


Thesis for doctoral degree (Ph.D.)
2008

HANTAVIRUSES - SHEDDING, STABILITY AND INDUCTION OF APOPTOSIS



Jonas Hardestam

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Jonas Hardestam



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ABSTRACT

Hantaviruses are spread and maintained in different rodent and insectivore species worldwide. Humans are believed to be infected mainly by inhalation of aerosolized contaminated rodent excreta. The natural hosts are generally unaffected by the virus, whereas infection of humans can result in either hemorrhagic fever with renal syndrome (HFRS) in Europe and Asia, or hantavirus cardiopulmonary syndrome (HCPS) in the Americas. Infection can also be asymptomatic and the severity of disease partly depends on the hantavirus causing the infection. An increased capillary permeability is the main manifestation for the disease in humans, but the exact mechanisms behind the pathogenesis are unclear. In Sweden and other parts of northern Europe, Puumala (PUUV) hantavirus causes a relatively mild form of HFRS called nephropathia epidemica (NE).

In this thesis, we have followed hantavirus shedding from the host, observed its stability in the environment, studied cytopathogenicity as well as pathogenesis in humans and finally investigated the possibility of transmission between humans.

In detail, we used real-time RT-PCR to study how and when PUUV hantavirus is secreted from the natural host. We observed a clear peak in PUUV-RNA shed in saliva, urine and feces at around 3 weeks after experimental infection of bank voles. Further we showed that all types of excretions were infectious when inoculated intranasally in naïve bank voles, indicating that saliva can transfer the virus via other routes than biting. We also observed a remarkable *ex vivo* stability for Hantaan virus, with infectious virus observed after nearly hundred days incubation at 4°C. Interestingly, this stability was not exceptional for hantaviruses when compared to vector-borne members of the *Bunyaviridae* family.

Further we wanted to study if the disease in humans could be due to induction of apoptosis and we measured apoptosis both in hantavirus-infected Vero-E6 cells and in hospitalized NE-patients. Increased apoptosis was not observed *in vitro*. However, we found increased levels of serum perforin, granzyme B, and the epithelial cell apoptosis marker caspase cleaved cytokeratin 18 in the patient samples, suggesting that tissue damage is immune-mediated and that apoptosis contributes significantly to the damage.

Andes hantavirus, spread in South America, is the only known hantavirus with evidence of person-to-person transmission. We detected PUUV-RNA in saliva from NE-patients. However, the patient saliva did not induce seroconversion in inoculated bank voles, indicating that it did not contain infectious virus. This might be due to the fact that human saliva, and especially the salivary component mucin, was able to partly inactivate hantavirus.

LIST OF PUBLICATIONS

- I. Jonas Hardestam, Malin Karlsson, Kerstin Falk, Gert Olsson, Jonas Klingström and Åke Lundkvist.
Puumala hantavirus excretion kinetics in bank voles (*Myodes glareolus*)
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- II. Jonas Hardestam, Melinda Simon, Kjell-Olof Hedlund, Antti Vaheri, Jonas Klingström and Åke Lundkvist
Ex vivo stability of the rodent-borne Hantaan virus in comparison to that of arthropod-borne members of the *Bunyaviridae* family.
Applied and Environmental Microbiology. 2007, 73: 2547-51. PMID: 17337567
- III. Jonas Hardestam, Jonas Klingström, Karin Mattsson and Åke Lundkvist
HFRS causing hantaviruses do not induce apoptosis in confluent Vero E6 and A-549 cells.
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- IV. Jonas Klingström, Jonas Hardestam, Malin Stoltz, Bartek Zuber, Åke Lundkvist, Stig Linder, and Clas Ahlm
Loss of cell membrane integrity in Puumala hantavirus-infected patients correlates with levels of epithelial cell apoptosis and perforin.
Journal of Virology. 2006, 80: 8279-8282. PMID: 16873286
- V. Lisa Pettersson, Jonas Klingström, Jonas Hardestam, Åke Lundkvist, Clas Ahlm och Magnus Evander.
Hantavirus RNA in saliva from patients with hemorrhagic fever with renal syndrome.
Emerging Infectious Diseases. 2008, 14: 406-411. PMID: 18325254
- VI. Jonas Hardestam, Lisa Pettersson, Clas Ahlm, Magnus Evander, Åke Lundkvist and Jonas Klingström.
Antiviral effect of human saliva against hantavirus.
Submitted

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LIST OF ABBREVIATIONS

ANDV	Andes virus
CCHFV	Crimean Congo hemorrhagic fever virus
CD	cluster of differentiation
CK 18	cytokeratin 18
CPE	cytopathic effect
cRNA	complementary RNA
CTL	cytotoxic T-lymphocyte
DAF	decay-accelerating factor
DISC	death inducing signalling complex
DNA	deoxyribonucleic acid
DOBV	Dobrava virus
EBV	Epstein-Barr virus
ELISA	enzyme-linked immunosorbent assay
EM	electron microscopy
ER	endoplasmic reticulum
FADD	Fas-associated death domain
Gc	carboxyl-terminal part of glycoprotein precursor
Gn	amino-terminal part of glycoprotein precursor
gp	glycoprotein
GPC	glycoprotein precursor
GrB	granzyme B
HCPS	hantavirus cardiopulmonary syndrome
HEK	human embryonic kidney
HFRS	hemorrhagic fever with renal syndrome
HIV	human immunodeficiency virus
HLA	human leucocyte antigen
HUVEC	human umbilical vein endothelial cells
ICAD	inhibitor of caspase activated deoxyribonuclease
IFN	interferon
Ig	immunoglobulin
IL	interleukin
im	intramuscular
in	intranasal
ip	intraperitoneal
LDH	lactate dehydrogenase
mRNA	messenger RNA
MxA	myxovirus resistance A
NE	nephropathia epidemica
NK	natural killer
NO	nitric oxide
NYV	New York virus
PAMP	pathogen-associated molecular pattern
PARP	poly (ADP-ribose) polymerase
PHV	Prospect Hill virus

PRR	pattern recognition receptor
PUUV	Puumala virus
RdRp	RNA-dependent RNA-polymerase
RNA	ribonucleic acid
RT-PCR	reverse transcriptase polymerase chain reaction
S, M, L-segment	small, medium, large segment
SAAV	Saaremaa virus
sc	subcutaneous
SEOV	Seoul virus
SFSV	Sandfly fever Sicilian virus
SLPI	secretory leucocyte protease inhibitor
SNV	Sin Nombre virus
TNF	tumor necrosis factor
TNF-R	tumor necrosis factor receptor
TRADD	TNF receptor-associated death domain
TRAIL	TNF-related apoptosis inducing ligand
TRAIL-R	TNF-related apoptosis inducing ligand receptor
TULV	Tula virus
TUNEL	terminal deoxynucleotidyl transferase-mediated dUTP nick end labeling
UV	ultraviolet
VEGF	vascular endothelial growth factor
vRNA	viral RNA

For a complete list of hantavirus abbreviations see table 1.

1 HANTAVIRUS BACKGROUND

The hantavirus genus within the *Bunyaviridae* family is a group of viruses that are spread and maintained worldwide in different rodent and insectivore species. Infection of humans is believed to mainly be caused by inhalation of aerosolized contaminated rodent excreta. Disease in humans can have a large variation of severity, from asymptomatic to death, partly depending on the hantavirus that causes the infection.

1.1 THE DISCOVERY OF A NEW ZONOTIC AGENT

Infectious diseases are often known long before the discovery of the microbiological agents that cause them. This is the case also for hantaviruses. More than 1000 years ago, Chinese physicians described a disease with specific symptoms similar to what is today known as hemorrhagic fever with renal syndrome (HFRS) [1]. Similar diseases with names like epidemic hemorrhagic fever and Songo fever caught attention during the 20th century, often in association with human conflicts, e.g. the “trench nephritis” that affected many soldiers during World War I [2]. During the Korean War, 1950 – 1953, more than 3000 American soldiers fell ill and hundreds died in a similar disease, also this time associated with kidney failure and, sometimes, severe hemorrhagic manifestations [3]. The disease was named Korean hemorrhagic fever. A contemporary report demonstrates the uncertainty about the natural source of the infectious agent:

“Evidence is strong that it is an infectious disease (caused by a not as yet identified agent) and one which is probably not transmitted directly from person-to-person but rather a disease in which exposure in man results from contact with some arthropod vector which harbours the etiologic agent.” [4].

The discovery of this unknown agent did not come about until 1976 when Ho Wang Lee and colleagues identified the virus causing the disease that had been known for so long time [5]. The virus was isolated from a striped field mouse (*Apodemus agrarius*) captured in a village called Songnaeri and was named Hantaan virus after the river running nearby [3]. A few years later the newly discovered virus was successfully propagated in the human carcinogenic lung epithelial cell-line A-549, and electron microscopy (EM) revealed that it represented a new genus within the *Bunyaviridae* family [6, 7]. This was quite surprising, since all other genera of this virus family were strictly arthropod-borne.

1.2 THE HANTAVIRUSES

Shortly after the discovery of HTNV, another pathogenic Korean hantavirus was identified and named Seoul virus (SEOV). The rodent host in this case was the brown rat (*Rattus norvegicus*) [8]. During 1980, the first hantavirus was found in Scandinavia [9]. It was identified by antigen detection in the lungs of a bank vole that had been caught in Southeast Finland and the virus was isolated shortly afterwards by passage in colonized bank voles [10]. The virus was named Puumala virus (PUUV), also this time after the area where the rodent was trapped. PUUV appeared to be the causative agent of nephropathia epidemica (NE), a milder variant of HFRS [11, 12], which was first described independently by two Swedish physicians in 1934 [13, 14]. A strain different from the Finnish PUUV prototype has since then been isolated from a patient in Umeå, Sweden [15]. In Year 1993, a new hantavirus was isolated from a striped field mouse

captured in Slovenia, and named Dobrava virus (DOBV)[16]. However, not until 1995, when a number of soldiers contracted severe HFRS during the conflicts in former Yugoslavia, was DOBV proven pathogenic for man [17].

The first hantavirus reported from the Americas was Prospect Hill virus (PHV), identified in 1982 [18, 19]. It appears to be non-pathogenic to humans, and for about a decade this was thought to be a major discrepancy between hantaviruses in Europe/Asia and the Americas. Unfortunately, this was soon proven wrong. In 1993, a mysterious pulmonary disease started to spread in the Four Corner states (New Mexico, Colorado, Utah, and Arizona) of the southwestern United States. Patients suddenly fell ill and many died shortly after due to shock or pulmonary edema. These symptoms had earlier not been associated with hantaviruses. Intensive laboratory work revealed that the causing agent indeed was a hantavirus, the first pathogenic one to be discovered in the Americas. After intense arguments about what to call this new virus, it was finally decided to simply call it Sin Nombre virus (SNV) [20].

After an outbreak of severe pulmonary syndrome among patients in Argentina in 1996, yet another novel hantavirus was isolated, this time from the long-tailed pygmy rice rat (*Oligoryzomys longicaudatus*), and named Andes virus (ANDV). Since then there has been an explosion of hantavirus discoveries in South America, with more than 15 new variants reported so far (Fig. 1).

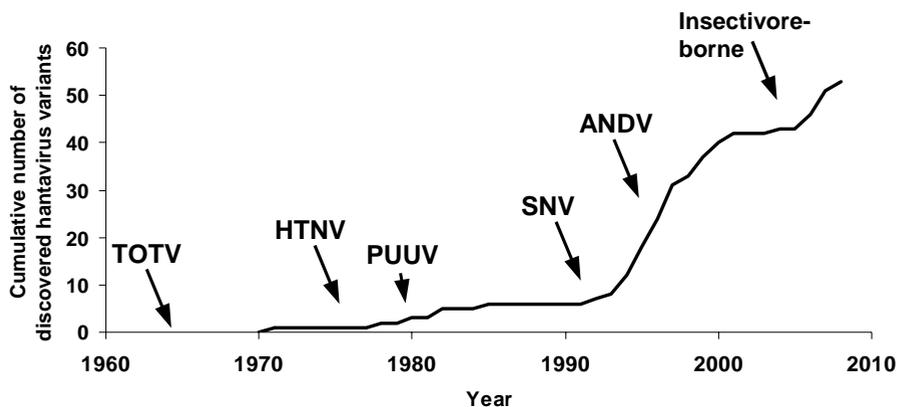


Figure 1. Cumulative number of hantavirus variants known so far.

To date, about 54 different hantavirus variants have been identified worldwide (Table 1). Some of these represent officially recognized novel hantaviruses, whereas others are new strains of already known viruses. Another subset so far only represents discoveries of a novel hantavirus sequence. Most hantaviruses are associated with a specific rodent or insectivore host, or a few closely related species. The remarkable similarity between the evolutionary trees of the hantaviruses and their rodent hosts indicates millions of years of co-evolution [21], probably since the common ancestor of the three rodent (*muridae*) subfamilies, *Murinae*, *Sigmodontinae* and *Arvicolinae*, about 50 million

years ago [22] (Fig. 2). Interestingly, the association of particular hantaviruses to their host animals of the three different rodent subfamilies appears to have caused differences in the ability to cause disease in humans [23]. The *Murinae* subfamily seems to mainly carry hantaviruses that cause severe HFRS, whereas the *Sigmodontinae*-borne hantaviruses cause hantavirus cardiopulmonary syndrome (HCPS). The *Arvicolinae* subfamily is instead associated with hantaviruses causing mild HFRS, like NE, or no disease at all.

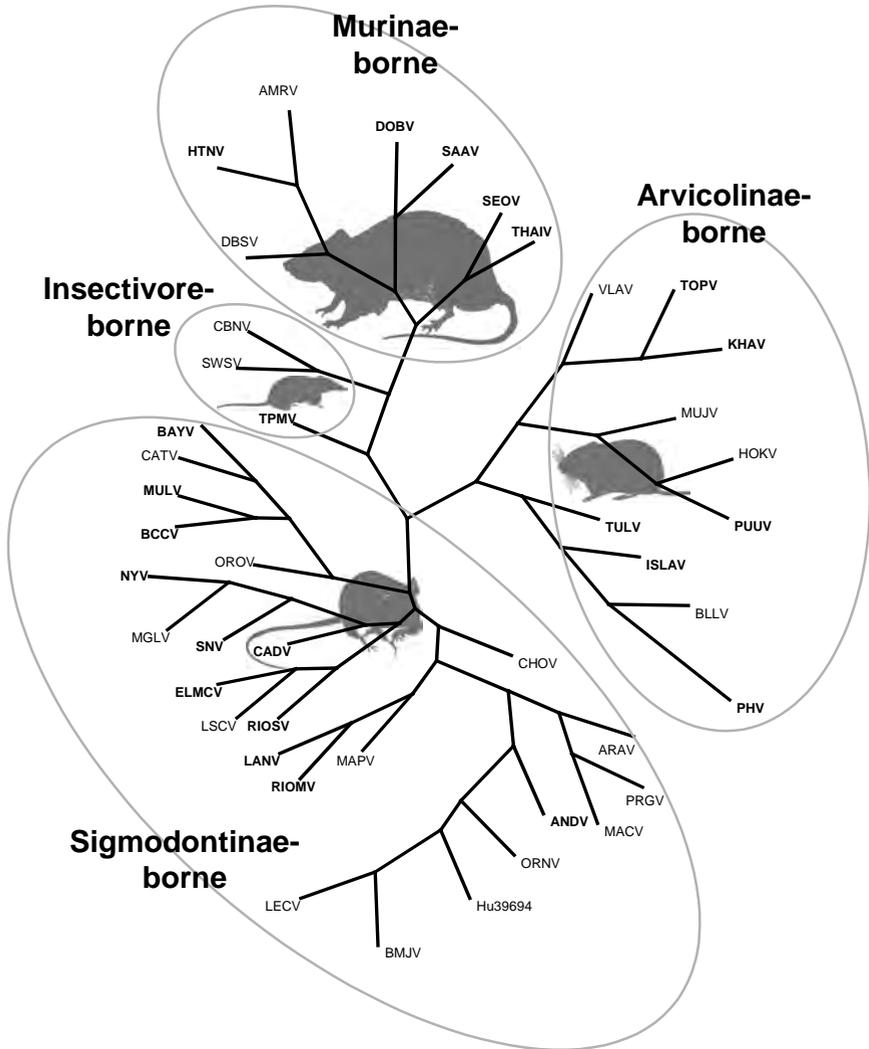


Figure 2. Phylogenetic tree of hantavirus variants. For abbreviations see table 1. Officially recognized virus species are shown in bold. Kindly provided by Dr. Kirill Nemirov.

Almost all hantaviruses have so far been isolated from rodents in Europe, Asia and the Americas, but increasing evidence is now indicating that hantaviruses are also present in other parts of the world. It is probably just a matter of looking carefully enough. The occurrence of anti hantavirus antibodies among humans in Africa have been known for several years [24] and in 2006, hantavirus genome sequences were detected in an African wood mouse (*Hylomyscus simus*) captured in Guinea, indicating that hantaviruses are present also on this continent [25]. Since then, a novel sequence of hantavirus RNA has been recovered from the Therese's Shrew (*Crocidura theresae*) also caught in Guinea [26]. This finding together with the recent isolation of hantaviruses from different species of shrews in the USA, Switzerland and Vietnam is interesting [27-30], since for a long time the only hantavirus known to be associated with an insectivore host was the Thottapalayam virus (TOTV), which was isolated from a Musk shrew in India in 1964 [31]. If the occurrence of TOTV and the other hantaviruses found in shrews are not explained by a more recent host switch, there is reason to believe that co-evolution has occurred since the divergence of rodentia and insectivore, about 100 million years ago [32, 33]. Interestingly, the rate of molecular evolution in the hantaviruses has recently been estimated to be much faster than the earlier assumptions, and a re-evaluation of the co-divergence hypothesis has been suggested [34].

As the insectivore-borne hantaviruses known so far still constitute a minority within the genus and show no evidence of human infections, hantaviruses will in this thesis be described simply as rodent-borne viruses.

Table 1. Hantavirus variants (officially recognized virus species are shown in bold).

Virus (Abbr.)	Disease	Rodent host	Originally discovered in	Ref.
Old World Hantaviruses				
<u>Murinae subfamily-associated viruses</u>				
Hantaan (HTNV)	HFRS	Striped field mouse (<i>Apodemus agrarius</i>)	Korea	[5]
Amur (AMVR)	HFRS	Korean field mouse (<i>Apodemus peninsulae</i>)	Russia	[35]
Da Bie Shan (DBSV)		Chinese white-bellied rat (<i>Niviventer confucianus</i>)	China	[36]
Dobrava (DOBV)	HFRS	Yellow-necked field mouse (<i>Apodemus flavicolis</i>)	Slovenia	[16, 37]
Seoul (SEOV)	HFRS	Black rat (<i>Rattus rattus</i>) Brown rat (<i>R. norvegicus</i>)	Korea	[8]
Thailand (THAIV)		Bandicoot rat (<i>Bandicota indica</i>)	Thailand	[38]
Saaremaa (SAAV)	HFRS	Striped field mouse (<i>Apodemus agrarius</i>)	Estonia	[39]
Sangassou (SANGV)		African wood mouse (<i>Hylomyscus simus</i>)	Guinea	[25]
<u>Arvicolinae subfamily-associated viruses</u>				
Hokkaido (HOKV)		Red bank vole (<i>Myodes rufocanus</i>)	Japan	[40]
Muju (MUJV)	HFRS	Royal vole (<i>Myodes regulus</i>)	Korea	[41]
Puumala (PUUV)	HFRS	Bank vole (<i>Myodes glareolus</i>)	Finland	[9]
Khabarovsk (KHAV)		Reed vole (<i>Microtus fortis</i>)	Russia	[42]
Tula (TULV)	HFRS	European common vole (<i>Microtus arvalis</i>)	Russia	[43, 44]
Topografov (TOPV)		Lemming (<i>Lemmus sibericus</i>)	Russia	[45]
Vladivostok (VLAV)		Reed vole (<i>Microtus fortis</i>)	Russia	[46]
<u>Insectivore-associated viruses</u>				
Cao Bang (CBNV)		Chinese mole shrew (<i>Anourosorex squamipes</i>)	Vietnam	[29]
Seewis (SWSV)		Eurasian common shrew (<i>Sorex araneus</i>)	Switzerland	[28]
Tanganya (TGNV)		Therese's Shrew (<i>Crocidura theresae</i>)	Guinea	[26]
Thottapalayam (TPMV)		Musk shrew (<i>Suncus murinus</i>)	India	[31]

New World Hantaviruses

Arvicolinae subfamily-associated viruses

Bloodland Lake (BLLV)		Prairie vole (<i>Microtus ochrogaster</i>)	USA, Canada	[47]
Isla Vista (ISLAV)		California vole (<i>Microtus californicus</i>)	USA	[48]
Prospect Hill (PHV)		Meadow vole (<i>Microtus pennsylvanicus</i>)	USA, Canada	[18, 19]

Sigmodontinae subfamily-associated viruses

Andes (ANDV)	HCPS	Long-tailed pygmy rice rat (<i>Oligoryzomys longicaudatus</i>)	Argentina	[49, 50]
Araraquara (ARAV)	HCPS	Hairy-tailed Bolo mouse (<i>Bolomys lasiurus</i>)	Brazil	[51, 52]
Bayou (BAYV)	HCPS	Rice rat (<i>Oryzomys palustris</i>)	USA	[53]
Bermejo (BMJV)	HCPS	Chacoan pygmy rice rat (<i>Oryzomys chacoensis</i>)	Argentina	[54, 55]
Black Creek Canal (BCCV)	HCPS	Cotton rat (<i>Sigmodon hispidus</i>)	USA	[56, 57]
Blue river (BRV)		White-footed mouse (<i>Peromyscus leucopus</i>)	USA	[58]
Calabazo	HCPS	Cane mice (<i>Zygodontomys breviceauda</i>)	Panama	[59]
Caño Delgadito (CADV)		Alston's cotton rat (<i>Sigmodon alstoni</i>)	Venezuela	[60]
Castelo dos Sonhos (CASV)	HCPS	Unknown	Brazil	[51]
Catacamas (CATV)		Coues' oryzomys (<i>Oryzomys couesi</i>)	Honduras	[61]
Choclo (CHOV)	HCPS	Pygmy rice rat (<i>Oligoryzomys fulvescens</i>)	Panama	[59]
El Moro Canyon (ELMCV)		Western harvest mouse (<i>Reithrodontomys megalotis</i>)	USA	[62]
Hu39694	HCPS	Long-tailed mouse (<i>Oligoryzomys flavescens</i>)	Argentina	[55, 63]
IP37/38		Black-footed Pygmy Rice Rat (<i>Oligoryzomys nigripes</i>)	Paraguay	[64]
Juquitiba (JUQV)	HCPS	Black-footed pigmy rice rat (<i>Oligoryzomys nigripes</i>)	Brazil	[52, 65]
Laguna Negra (LANV)	HCPS	Veser mouse (<i>Calomys laucha</i>)	Paraguay	[66, 67]
Lechiguanas (LECV)	HCPS	Rice rat (<i>Oligoryzomys flavescens</i>)	Argentina	[55]
Limestone Canyon (LSCV)		Brush mouse (<i>Peromyscus boylii</i>)	USA	[68]
Maciel (MACV)		Dark field mouse (<i>Necromys benefactus</i>)	Argentina	[55]

Maporal (MAPV)		Pygmy rice rat (<i>Oligoryzomys fulvescens</i>)	Venezuela	[69, 70]
Monongahela (MGLV)	HCPS	Deer mouse (<i>Peromyscus maniculatis</i>)	USA	[71]
Muleshoe (MULV)		Hispid cotton rat (<i>Sigmodon hispidus</i>)	USA	[72]
New York (NYV)	HCPS	White-footed mouse (<i>Peromyscus leucopus</i>)	USA	[73, 74]
Oran (ORNV)	HCPS	Long-tailed Pygmy Rice Rat (<i>Oligoryzomys longicaudatus</i>)	Argentina	[54]
Pergamino (PRGV)		Grass field mouse (<i>Akodon azarae</i>)	Argentina	[55]
Playa de oro (OROV)		Coues' Rice Rat (<i>Oryzomys coues</i>), Jaliscan Cotton Rat (<i>Sigmodon mascotensis</i>)	Mexico	[75]
Rio Mamore (RIOMV)		Small-eared pygmy rice rat (<i>Oligoryzomys microtis</i>)	Bolivia	[76, 77]
Rio Segundo (RIOSV)		Mexican harvest mouse (<i>Reithrodontomys mexicanus</i>)	Costa Rica	[78]
Sin Nombre (SNV)	HCPS	Deer mouse (<i>Peromyscus maniculatis</i>)	USA	[20, 79]
<u><i>Insectivore-associated viruses</i></u>				
Camp Ripley (RPLV)		Northern short-tailed shrew (<i>Blarina brevicauda</i>)	USA	[30]
Ash River (ARRV)		Masked shrew (<i>Sorex cinereus</i>)	USA	[27]
Jemez Springs (JMSV)		Dusky shrew (<i>Sorex monticolus</i>)	USA	[27]

1.2.1 Hantavirus in Sweden

PUUV, the only known hantavirus circulating in Sweden, is mainly spread north of the Limes Norrlandicus at around 59°-60° N, that among other things constitutes a border between different vegetation types, e.g. the southern mixed deciduous and the northern coniferous forest. The number of NE patients fluctuates yearly and reflects the cyclic variation in the density of the natural host, bank vole (*Myodes glareolus*) (Fig. 3), that peaks every three to four years [80-83]. Why infected bank voles (and thereby humans) are found almost solely in the northern part of Sweden, despite the fact that bank voles are endemic throughout the country, remains unknown.



Figure 3. Bank vole (*Myodes glareolus*), the natural host of Puumala virus. This photograph was kindly provided by Jens Schou, Biopix.

In 2007 as many as 2195 cases of NE were reported in Sweden, which was the highest number since the disease became notifiable in 1989, and almost four times higher than the previous record from 1998 with 562 reported cases [84]. During 2007, as many as 313 patients/100,000 persons in the Västerbotten county was reported, as compared to the median incidence of 38 for the same area during the last 10 years [84]. This outbreak was recently investigated by Petterson and colleagues, who found that there were fewer days with ground snow cover in December 2006 compared to December the two previous bank vole peak periods (2001-2002 and 2004-2005) [85]. Since snow cover provides an important protection for small rodents, this might have contributed to an increased bank vole infestation of human dwellings and thereby to an increased risk

for human exposure [85]. Interestingly, before this outbreak, bank vole numbers in autumn 2007 (and consequently the number of human cases) were predicted, and subsequently verified by trapping, to be the highest since the early 1970's (Olsson G. et al., submitted manuscript).

1.3 STRUCTURE AND REPLICATION

Hantaviruses particles are spherical or ovoid and 80-210 nm in diameter [86] (Fig. 4). The virions are enveloped and contain a single stranded, negative sense, tri-segmented RNA-genome, which consist of the small (S), medium (M) and large (L) segments, encoding the nucleocapsid protein (N), the glycoprotein precursor (GPC) of two glycoproteins (Gn and Gc), and the RNA-dependent RNA-polymerase (RdRp), respectively. In addition to the structural proteins, the S segments of PUUV and Tula virus (TULV) have recently been shown to also encode a non-structural protein, which seems to down-regulate the host interferon (IFN) response [87].

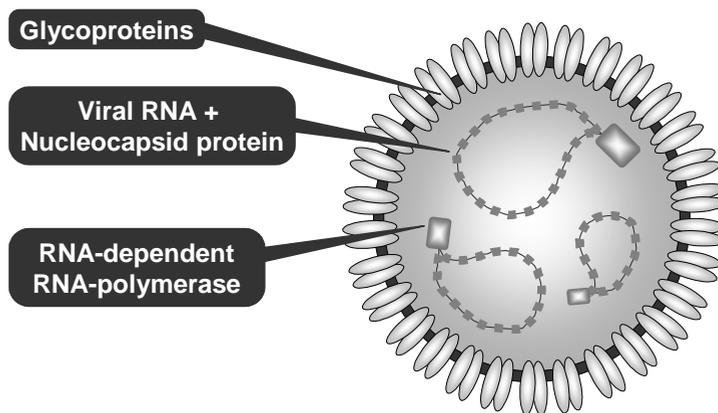


Figure 4. Hantavirus particle.

Infection starts by the binding of hantavirus Gn and Gc to integrin receptors of the human cell [88, 89] (Fig. 5). Decay-accelerating factor (DAF) has recently been suggested as a critical co-factor for entry [90]. The binding is followed by receptor-mediated endocytosis via the clathrin-coated pit pathway [91]. Once inside the cell, the endo-lysosome and the viral membrane are fused and the viral genome enters the cytoplasm. Like all other negative stranded RNA viruses, hantaviruses carry their own RdRp into the cell where it immediately starts the transcription of messenger RNA (mRNA). The primers needed for viral transcription are acquired from the host cell mRNAs through a process called cap-snatching [92, 93], which was first described for influenza virus [94]. Soon after the initial transcription, synthesis of antigenomic complementary RNA (cRNA) is started. The initiation of both transcription and replication has been suggested to follow the “prime-and-realign” principle [93]. The produced cRNA will in turn be used as template for production of new viral RNA (vRNA). The produced vRNA can serve as an additional template for mRNA or, at later stages, as the genome of progeny virions [95]. Like for any cellular protein, the translation of viral S, M and L mRNA takes place on ribosomes.

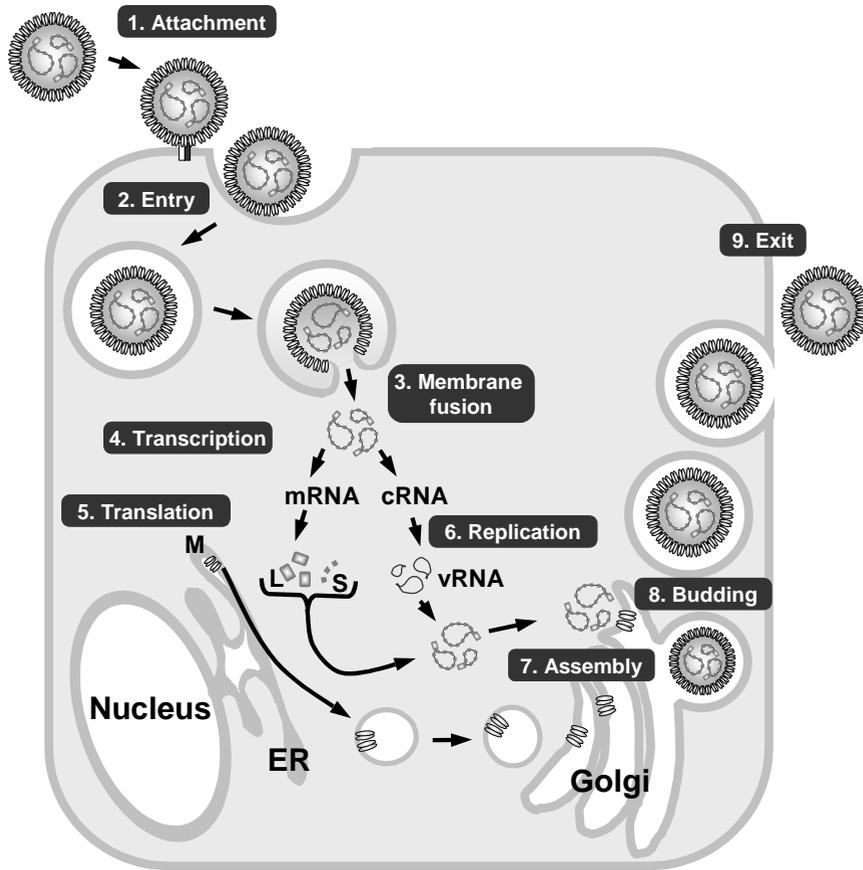


Figure 5. Hantavirus replication.

L and S segment mRNA is translated on free ribosomes to generate the RdRp and the N, respectively. The N is synthesized first and is accumulated early after infection. M segment mRNA is translated on membrane bound ribosomes to generate the GPC, which is cleaved to Gn and Gc at the conserved WAASA-motif [96]. After addition of N-linked oligosaccharides, the Gn and Gc proteins dimerize in the endoplasmic reticulum (ER) [97]. Interaction of the Gn and Gc in the ER seems to be crucial in order for them to be transported to the Golgi complex [98]. Glycoproteins are transported in vesicles through yet unknown mechanisms to the Golgi, where they accumulate [99]. Retention of the glycoproteins at the Golgi complex is thought to be responsible for the maturation of hantaviruses at the Golgi, where assembly takes place [95, 100]. Unlike many other negative stranded RNA viruses, hantaviruses do not have a matrix protein. Therefore it is likely that the cytoplasmic tails of the Gn and Gc directly interact with the N during assembly [95]. Unlike most other enveloped viruses, the members of the *Bunyaviridae* family obtain their membrane by budding from the Golgi and are thereafter transported in vacuoles to the plasma membrane where they are released by

exocytosis [101]. In contrast to Golgi maturation, EM studies of SNV and Black Creek Canal virus have suggested the cell surface as an alternative maturation and budding site for the New world hantaviruses [86, 102].

1.4 CLINICAL SYNDROMES

Hantaviruses cause two distinct diseases in humans, HFRS in Europe and Asia and HCPS in the Americas. HFRS is characterized by fever, hemorrhagic manifestations and renal impairment, whereas HCPS is associated with cardiopulmonary dysfunction. It should however be pointed out that some HFRS-patients show symptoms of pulmonary dysfunction and some HCPS-patients have renal dysfunction [103]. Irrespective of the clinical manifestations, both diseases involve the same principal abnormality, a vascular dysfunction [104].

1.4.1 HFRS

About 150,000 hospitalized HFRS-patients are recognized each year, predominantly in China, but also in other parts of Asia and in Europe [2]. After inhalation of aerosolized virus, infection starts in the human lungs. The incubation period is typically 2-3 weeks but can be as long as 42 days [105]. After infection of primary target cells, i.e. endothelial cells in the lung [106], virus is spread via the blood to other organs. Typically, HFRS can be divided into five phases: the febrile, hypotensive, oliguric, polyuric and convalescent phases. The febrile phase, lasting 3-5 days, starts with an abrupt onset of high fever which soon is followed by headache, nausea, abdominal pain, backache and thirst [105, 107]. Some HFRS-patients show conjunctival hemorrhage and fine petechiae, often together with proteinuria [105]. The disease progresses into the hypotensive phase, which can lead to cardiovascular collapse and severe or lethal shock. The thirst, abdominal pain and the decreased blood pressure are all manifestations of the increased capillary permeability. The hypotensive phase lasts from several hours up to two days and is followed by the oliguric phase, which lasts from a few days to two weeks during which hemorrhages continues and anuria can occur. Subsequently renal function returns, which marks the beginning of the polyuric phase. Although this phase is a positive sign for the patient [104] it also involves new problems in terms of fluid and electrolyte management [108]. The convalescent phase can last for several weeks or months before full recovery is reached.

NE, caused by PUUV, is a milder form of HFRS [12] and normally displays a less distinct pattern of the five phases observed for HFRS. The febrile phase of NE is generally less severe, shock seldom occurs and hemorrhages are generally limited to petechiae [11]. Also the renal failure is often less severe and sometimes absent. Up to one third of the patients experience blurred vision [11]. Due to these vague symptoms, NE is often misdiagnosed [108]. Deaths due to NE are rare and the case fatality rate is 0.1-1% [23, 109]. The proportion of subclinical cases is large and seroprevalence has been reported to be as high as 8.9% in northern Sweden [110].

1.4.2 HCPS

About 500 cases of HCPS have been reported in the United States since the disease was first recognized in May 1993 [111]. After an incubation period of 1-5 weeks [112]

HCPS typically progresses through four different phases: febrile, cardiopulmonary, diuretic and convalescence. The febrile phase (3-5 days) is in many ways similar to that described for HFRS and for many other viral prodromes. A dry cough can appear at the end of this phase due to the impending pulmonary edema. The cardiopulmonary phase is characterized by severe pulmonary edema, hypotension, oliguria and shock. Once pulmonary edema is present, patients may die within 24-48 hours [113]. In surviving patients, the disease proceeds to the diuretic phase, which is characterized by a rapid clearance of the pulmonary edema. The shock and fever are resolved and a convalescent phase of up to 2 months follows before the patient fully recovers.

1.5 TREATMENT, PREVENTION AND CONTROL

1.5.1 Antiviral drugs

Ribavirin is the only antiviral drug in use against hantavirus infections. An anti-viral effect *in vitro* [114] and in suckling mice [115] has been observed. The drug has also been tested on HFRS-patients in a double blind trial where the ribavirin-treated group showed a seven-fold reduction in morbidity as compared to the placebo control [116]. However, for the treatment of HCPS, ribavirin seems not to be effective [117].

1.5.2 Vaccines

In light of the worldwide distribution of hantaviruses, the severity of many hantavirus infections, and the lack of effective treatment, it is easy to see the need for an effective and easily produced vaccine. Several attempts to develop a hantavirus vaccine, such as recombinant live vaccines, virus-like particles, naked DNA and recombinant proteins, have showed promising results *in vivo*. However, the only commercially available candidate so far is HantavaxTM [118], which has been used extensively in China and Korea. The use of HantavaxTM has been connected to a 45% decrease in Korean HFRS-cases between 1991 and 1996 [119]. Although HantavaxTM seems to be well tolerated in humans [120], the production by formalin inactivating HTNV grown in rat or mouse brains is a controversial technique that has precluded its use in many countries [121]. Cell culture derived inactivated vaccines, developed and tested in human trials in China, have been shown to produce effective immunity with high levels of neutralizing antibodies and fewer side effects compared to the rodent-brain derived vaccine [122, 123]. However the long-term efficacy of both the rodent brain and the cell-line derived vaccines has been questioned as levels of neutralizing antibodies are quite low as soon as one year after vaccination and frequent boosters seem to be needed [104, 121, 124].

1.5.3 Passive immunization

To date, no specific treatment or pharmacologic prophylaxis is available for HFRS or HCPS. A strategy for therapeutics or for a short-term instant prevention of infection can instead be the passive transfer of antibodies. During the acute phase of HCPS, a strong correlation between a positive outcome of the disease and the patients' titers of neutralizing antibodies has been observed, which suggests that a strong serologic response is important during infection [125, 126]. So far no studies of passive immunization in man have been reported, but two promising animal studies have been performed recently. Cynomolgus macaques showed sterile protection against PUUV challenge after being passively immunized with sera from previously infected monkeys

[127] and passive transfer of neutralizing antibodies produced by DNA vaccination of rabbits, protected Syrian hamsters from lethal intranasal challenge of ANDV [128].

1.5.4 Control

Most hantavirus transmissions to humans are believed to occur inside or close to man-made structures and rodent-proofing houses previously infested by rodents has been shown to decrease the risk of infection substantially [129]. Hantaviruses are easily inactivated by detergents, chlorine solutions or other house-hold disinfectants [130, 131] and when removing rodent excreta, wet wiping is preferable to vacuum cleaning in order to avoid production of aerosols [131]. An increased awareness among physicians for the sometimes rather indefinable symptoms of HFRS and HCPS is also desired, as early supportive treatment is crucial.

2 TRANSMISSION

Unlike all other genera of the *Bunyaviridae* family, the hantaviruses are non-vector-borne viruses, and are transmitted either directly via contacts or indirectly via the environment. Hantaviruses have therefore been suggested to be called roboviruses (rodent-borne) as compared to the term arboviruses (arthropod-borne) used for the other genera within the *Bunyaviridae* family [21]. By investigating the mechanisms by which hantaviruses are moving from rodent to rodent and identifying the factors that influence the transmission, being viral, host or environmental factors, more sophisticated models for hantavirus transmission can be constructed and used to predict outbreaks and prevent the transmission of hantavirus to humans. Hantaviruses are forced to adopt different strategies in order to maintain their circulation in the seasonally often highly variable rodent population. Such strategies may include persistent infection of the host, massive and prolonged virus shedding and extended *ex vivo* stability of the virions.

Various reports have shown the occurrence of anti-hantavirus antibodies in several wild animals, e.g. hares, deer, owl, moose, as well as domestic animal like cows, cats and dogs [132, 133] and limited data has shown the excretion of infectious hantavirus from pigs [134]. However, before the occurrence of excreted infectious virus or at least viral RNA from these animals is further studied, the significance for the transmission to humans is still unclear.

2.1 BETWEEN HOSTS AND FROM HOST TO HUMAN

The higher the number of infected rodents, the higher the risk for human transmission, which means that many factors that influence hantavirus transmission between rodents indirectly also influence the risk for humans to get infected [80]. There are also common factors, e.g. temperature or humidity in the environment, which by affecting the *ex-vivo* stability of the virus, directly influences both rodent-to-rodent and rodent-to-human transmission.

2.1.1 Host factors

2.1.1.1 Behavior

Host behavior can to a large extent influence the transmission rate of a pathogen [135]. In fact, there are several examples of pathogens that induce a behavioral change of the infected host, in order to increase fitness benefits for the pathogen [135-137]. If a pathogen is transmitted through social contact, natural selection should favor those pathogens that induce behaviors that lead to an increased amount of interactions with other animals [138]. Studying behavior in wild animals has its obvious difficulties. One way by which aggressive behavior among rodents can be studied retrospectively is by the appearance of wounds, if unbiased from other influencing factors like age and sex. In laboratory experiments, SEOV has been shown to be more effectively transmitted via intramuscular injections than by inhalation of aerosols [139] and a correlation between wound appearance and hantavirus infection of wild rodents has been observed for SEOV and SNV [140-143]. These findings suggest that biting is the main route for transmission of these viruses, and that the virus therefore would benefit from a more

aggressive behavior of the host. If biting is not the main route, an alternative explanation might be that more urine aerosol is produced during fights, thereby favoring the transmission of virus via urine [144]. It is however hard to distinguish if hantaviruses cause changes in certain behaviors or if an animal's behavior affects its likelihood of getting infected. It is also possible that the correlation between aggressive behavior and hantavirus infection is due to the fact that aggressive rodents, apart from fighting, also are more engaged in mating, or are more active in general [145, 146].

Laboratory experiments have shown that SEOV infection of male adult rats did indeed increase aggressive behavior and further, that while the more aggressive rats had more virus present in their organs, they did not shed more virus in saliva, urine or feces [138]. Experimental infection with PUUV was shown to induce increased urination frequency in bank voles (De Jaegere F. and Lundkvist Å., unpublished data). The mechanisms behind these phenomena is not clear, but the induced change in behavior is suggested to affect the brain indirectly via the action of hormones rather than by direct effects [145], since hantavirus has been shown to infect the testes [141] but rarely crosses the blood brain barrier [141, 147].

In contrast, although ANDV RNA was only found in saliva, a correlation between wounding and infection rate was not found when studying ANDV transmission in caged wild pygmy rice rats (*Oligoryzomys longicaudatus*) and it was therefore speculated that virus in saliva is transmitted via other routes than biting, such as food contamination or production of saliva aerosols [148].

2.1.1.2 Sex

Males of many species are, compared to females, more susceptible to infectious diseases [149] and this is the case also for the hantavirus hosts [143]. The difference appears after sexual maturation and therefore a change in hormone production has been hypothesized to cause the difference between the sexes [143]. Hormones might either change male behavior, and thereby increase their exposure to the virus, or alternatively, hormones regulate the immune response, thereby rendering the males susceptible to the infection [150, 151], or a combination of both. When adult rats were experimentally inoculated with SEOV, both sexes were equally susceptible to the infection whereas males shed virus for longer time and via more routes, and also had higher levels of viral RNA in their blood [150, 152]. One factor that can explain the higher seroprevalence among males is that they are more often engaged in fights [153]. Another factor could be that the larger home range of males leads to an increased risk for transmission by aerosols [154].

2.1.1.3 Age

Hantavirus infections in general do not seem to influence the age of the animal and infection of adult animals is chronic and non-pathogenic [155, 156]. However, it has been shown that PUUV infected bank voles have a lower over-winter survival probability than antibody negative bank voles, suggesting that infection has a negative effect on the voles [157]. Whether this is caused by the infection *per se*, or an underlying factor e.g. more risk prone behaviour, resulting in early infection and death, remains unknown and is probably difficult to elucidate. In wild caught rodents, weight

(used as an indicator of age) strongly correlates with the prevalence of hantavirus infection [82, 142, 155, 156, 158], which indicates a horizontal transmission route [144]. For many hantaviruses a correlation has been observed between the likelihood of the respective host rodent being infected and reaching the age of physiological maturity [140, 159, 160]. The increased seroprevalence observed among young animals is explained by the transfer of antibodies from the mother to pups via the placenta and during lactation [161, 162]. Passive immunization with maternal antibodies has been reported to protect the pups for 3-3.5 months [159] or up to 145 days [163].

2.1.1.4 Immune defense – viral persistence

Hantavirus infections in humans are transient and cleared by the immune response whereas the infection in the natural host is generally believed to be persistent. The reason behind virus persistence may be that hantaviruses either down-regulate or evade host immune responses or due to the ability of hantaviruses at some point after infection to down-regulate replication or induce antigenic variation [144]. Regulatory T-cells have been suggested to mediate SEOV persistence in Norway rats [164] and SNV persistence in deer mice [165].

The association of hantavirus to the brown fat tissue of the natural host has been suggested to be important for the maintenance [147, 166]. However, it has been argued that, in nature, viral persistence for a few months is probably enough to encompass the life span of the host [144]. On the other hand, maintenance of infection in only a few long-lived animals might be important for the virus to remain in circulation during periods of low host population density [142].

2.1.2 Host population density

Many rodent populations show cyclic variations in density with recurrent periods of very few animals and the density of the rodent host population is connected to the number of hantavirus infections among humans [80-83]. This effect is simply due to the fact that a higher number of rodents results in a higher absolute number of infected rodents. One example is the increase in rodent populations preceding the outbreak of HCPS in the USA in 1993, which is believed to have been associated with an increase in precipitation, associated with an El Niño southern oscillation and leading to increased food resources [103]. However, whether host seroprevalence is correlated to host density is not completely clear and the appearance of this relation might partly be an effect of the sampling frequency in field studies. According to several studies, the density of the host population is one of the factors that affects the host hantavirus seroprevalence in nature [82, 158, 167]. Host seroprevalence can also be delayed-density-dependent as shown for SNV infection in deer mice, where the seroprevalence during spring is associated to the host density the previous fall [168, 169]. Host population density can also be inversely proportional to the hantavirus seroprevalence as shown by several epidemiological studies in the Americas [156, 170, 171]. This phenomenon might be explained by the fact that high densities of rodents with high reproductive success and high turnover rate, generates populations with a large proportion of young (seronegative) animals. When these populations decrease, seroprevalence increases due to the gradually larger proportion of older (infected) animals [168, 169]. However, although prevalence (calculated as a percentage) is low

during periods of high rodent densities, there can still be a substantial risk for human infections since the actual numbers of infected rodents are higher [170].

2.1.3 Environmental factors

The density of rodent populations are very much affected by variations in environmental factors, such as vegetation type, food supply, predator abundance, protective snow cover and temperature. Remote sensing (satellite photography) together with geographic information systems characterizing areas regarding vegetation type, elevation, slope and hydrologic features have been used to estimate the infection status of deer mice with 80% accuracy, and subsequently also the risk of human infections [172]. Similarly, efforts to correlate landscape characteristics and the occurrence of HFRS have been made in China [173]. Other authors point out the importance of adding variables related to human behaviour and land use (in addition to land cover and habitat variations) in epidemiological models that should predict disease risk for humans [174].

2.1.4 Shedding of virus

For viral transmission efficacy, persistent infection of the host is of little value unless virus is also shed to the environment and thereby to new hosts. For several hantaviruses, the levels of shed virus seem to peak a few weeks after infection and then decrease to low or even undetectable levels [159, 175, 176, paper I] (Table 2).

Table 2. A summary of studies investigating shedding of hantaviruses after experimental infection of natural hosts. Bold letters indicate time points when positive shedding occurred, whereas normal letters indicate a selection of the days when excretions were negative.

Virus (route)	Detection of virus in excretions at days post infection				Method for detection	Ref.
	Saliva/oropharyngeal secretions	Urine	Feces	Blood / organs		
PUUV(sc ^A)	4, 8-84 , 91	9, 11-70 , 77	9, 11-44 , 49	Blood: 133	Real time RT-PCR	[Paper I]
PUUV(im ^B)	7, 14, 21, 28 , 35	35, 42, 49, 56, 63 , 99	28, 35, 42, 49 , 56, 63, 99, 103 , 191	Blood: 7, 10 , 14, 21 (Antigen in lung d. 270)	Im inoculation of weanling bank voles	[175]
HTNV(in ^C)	7, 10, 15, 20, 25, 30 , 40	7, 10, 15, 20, 25 , 30, 40	15, 20, 25, 30 , 40	Lung: 15, 20 , 25, 30, 40	Im inoculation of Apodemus mice	[177]
HTNV(im)	9, 12, 15, 25, 35, 45	9-360	9, 12, 15, 25, 35 , 45	Blood: 7, 9, 12, 15	Im inoculation of Apodemus mice + intracage transmission d. 10-35	[177]
SEOV(ip ^D)	0, 10, 20, 30, 40	0, 10, 20, 30, 40	0, 10, 20, 30, 40	Lung: 0, 10 , 20, 30, 40	Nested RT-PCR	[152]
SNV(im)	8, 15, 22, 29, 43 , 51, 60, 78, 90 , 120	8, 15, 22, 29, 43, 51, 60, 78, 90, 120	8, 15, 22, 29, 43, 51, 60, 78, 90, 120	Blood: 120 , 180	Real time RT-PCR	[176]

^Asc, subcutaneously

^Bim, intramuscularly

^Cin, intranasally

^Dip, intraperitoneally

A variation in the levels of shed virus with periods of absent shedding followed by recurrence of shedding has also been observed in both wild and colonized animals [175, 178, 179, paper I]. Whether the observed variation is induced by stress, immune factors, hormones or some unknown physiological factor or are just due to technical errors of the assays, remains to be shown. Despite numerous reports on the subject [141, 148, 152, 175-177, 180-182, paper I] it is hard to determine a certain route of major importance for shedding of hantaviruses.

2.1.5 Virus *ex vivo* stability

An ability to survive outside the host, which probably is important for the transmission efficacy, has been shown for several viruses such as hepatitis A virus [183, 184], avian influenza virus [185] and astroviruses [186]. The *ex vivo* stability becomes extra important in order to maintain an endemic infection in rodent populations that often undergo strong density fluctuations, which has been highlighted by mathematical modeling based on epidemiological field data for PUUV in bank voles [187, 188]. Environmental factors such as UV-irradiation, temperature, and soil moisture have effects on the *ex vivo* survival of several viruses [189, 190] and a close correlation has been observed by wet habitats and the occurrence of PUUV antibody positive bank voles [155]. The reason for this correlation might be that humidity increases the ability of the excreted virus to survive outside the host. In laboratory experiments the *ex vivo* stability of hantaviruses has been shown to persist much longer in a humid as compared to a dry environment [191, paper II]. It has been suggested that above a certain density of host animals, the risk for human infection is decided by environmental factors that affect the virus stability outside the host (soil moisture etc.) rather than factors that affect the number of host animals (climate, landscape configuration etc.) [192]. Further, it has been shown that bank voles caught on northerly facing slopes and on marsh land more often are infected by PUUV (independent of the bank vole density), suggesting the importance of environmental conditions for virus *ex vivo* survival and transmission rates [155]. The *ex vivo* stability of PUUV has been tested by using colonized bank voles in an intra-cage system. Excreted virus in cage beddings was infectious to naïve recipient bank voles for up to 12-15 days after removal of the infected donors [191]. However the *ex vivo* stability seen for hantaviruses does not seem to be a unique property due to the non vector-borne transmission, as the vector-borne sandfly fever Sicilian virus and the Crimean-Congo hemorrhagic fever virus also showed quite extensive stability in laboratory experiments [paper II].

2.2 HOST TO HUMAN TRANSMISSION

Transmission to humans is believed to occur mainly as a consequence of inhalation of aerosolized virus-contaminated rodent saliva, urine or feces. Other possible routes might be ingestion of contaminated food or water or after direct introduction of virus into broken skin or conjunctiva [131]. There are also a few reports when rodent bites might have caused the transmission [193, 194]. However, when a rodent bites a human, it is probably hard to completely rule out the possibility that aerosolized excreta are also inhaled at the occasion. There are also several reports of laboratory-acquired cases of HFRS [194, 195], many occurring during studies unrelated to hantavirus research, but with unintentionally hantavirus-infected laboratory animals.

Hantavirus infection of humans is epidemiologically related to activities such as entering or cleaning previously vacant rodent-infested human dwellings, cleaning barns and other outbuildings or handling firewood stored in woodsheds and woodpiles [80, 131]. Farmers, mammalogists, construction and forestry workers constitute occupational risk groups for infection in Europe [196-200], whereas there is little or no serological evidence supporting an increased risk among certain occupational groups with exposure to rodents in USA [201-203]. In Sweden, middle-aged men are overrepresented among NE patients [80].

3 IMMUNE RESPONSE AND PATHOGENESIS IN HUMANS

3.1 WHY DO WE GET SICK (AND NOT THE RODENTS)?

The fact that there are hantaviruses that are pathogenic to humans whereas others cause very mild or no disease at all, indicates that there are viral factors that can determine the outcome of the infection. On the other hand, the fact that a certain hantavirus causes severe disease in humans and is cleared by the immune system, whereas infection of the natural host is non pathogenic and generally persistent, indicates that there are dramatic differences on the host level in coping with the infection. Therefore the pathogenicity for humans most probably depends on a combination of viral and host factors. From the virus' point of view the perfect situation is when the infected host lives a long life and sheds a lot of virus for a long time. In other words, killing or severely injuring the host is not a successful strategy, unless it is part of the viral transmission mechanism. Therefore the generally non-existent pathogenicity of hantaviruses for the natural hosts reflects the long-term co-evolution of the viruses with the different rodent species, in ways similarly to the co-evolution of humans and certain herpesviruses [204].

The lack of symptoms in the natural host as well as in many other animals has lead to difficulties in finding a proper animal model for studying hantavirus pathogenesis. A monkey model for the study of HFRS pathogenesis has been developed [205, 206] but these experiments are very expensive and demand advanced animal facilities. For studies of HCPS pathogenesis, a lethal model using Syrian hamsters has been developed [207]. Although hantaviruses are non-pathogenic in most natural hosts, one study has shown SNV infection to be pathogenic in deer mice [208], and another has found pathogenic markers in New York virus (NYV)-infected white-footed mice [209]. Whether these findings are unique for the two viruses and whether these findings can be benefited from in the study of HCPS or HFRS pathogenesis remains to be shown.

One major factor during the disease in infected humans is the increased capillary permeability that causes the vascular leakage and hemorrhagic manifestations. The mechanisms behind this dysfunction are, however, unclear. Hantaviruses are generally considered as non-cytopathic [106, 144, 210-218], although findings of high serum levels of lactate dehydrogenase (LDH) in patients indicate damaged tissue [219-221].

3.2 THE ROLE OF ENDOTHELIAL CELLS IN PATHOGENESIS

Endothelial cells are the epithelial cells lining the capillaries. They are the primary target for hantavirus infection and an increased permeability of the endothelial cell layer has been seen in lung tissues from lethal HCPS and HFRS cases [217]. However, since no endothelial cell damage has been observed due to hantavirus infections either *in vitro* or *in vivo*, the increased permeability most likely results from the influence of immune effector cells and/or cytokines.

An increase in the expression of several genes, especially in the early induction of IFN-stimulated genes and other genes which regulate immune cell responses, was observed after infection of endothelial cells with the pathogenic HTNV and NY-1 viruses, as compared to infection with the non-pathogenic PHV, which suggests a contribution to the pathogenesis [222].

Infection of human lung endothelial cells with HTNV or SNV induced an increased expression of the chemokines RANTES and IP-10, whereas no cytopathic effect (CPE) or increased permeability was observed [215]. Since these chemokines are chemotactic for mononuclear leucocytes this finding suggests that cellular immune responses rather than direct viral effects can explain the increased vascular permeability associated with HCPS and HFRS [215].

When endothelial cells were infected with either the non-pathogenic TULV or the pathogenic HTNV, a delayed production of the antiviral myxovirus resistance A (MxA) protein was seen after HTNV infection, which may contribute to the pathogenesis by allowing an early dissemination of the virus [223].

Further factors influencing pathogenicity include findings indicating that pathogenic hantaviruses use the $\alpha v\beta 3$ -integrin receptor for cell entry, whereas non-pathogenic hantaviruses instead bind to the $\alpha v\beta 1$ integrin [88, 89, 224]. Pathogenic hantaviruses inhibit $\beta 3$ -integrin-directed endothelial migration, important for the maintenance of vascular permeability [225]. In addition, $\alpha v\beta 3$ -integrin regulates the function of a chemokine called vascular endothelial growth factor (VEGF), and a recent report shows that pathogenic hantaviruses sensitize endothelial cells to VEGF by inhibiting the function of $\alpha v\beta 3$ -integrin [226].

3.3 HUMAN IMMUNE RESPONSE AGAINST HANTAVIRUS INFECTION

Since there is considerable evidence that the pathogenicity of hantaviruses in humans to a large extent is immune-mediated [104, 218], a presentation of the immune response during hantavirus infection is here combined with its suggested contribution to the pathogenesis.

3.3.1 Innate immunity

Before an adaptive immune response is mounted, virus infection is counteracted by the innate immune responses. Viral RNA can function as pathogen-associated molecular patterns (PAMPs) that are recognized by pattern recognition receptors (PRRs) on various cells, such as epithelial and endothelial cells, and thereby activate the innate immune response [227]. The PAMP-PRR-interaction induces a production of antiviral cytokines called type I IFNs (IFN- α and IFN- β). IFN:s stimulate cells to produce antiviral proteins and antiviral molecules, like nitric oxide (NO) and peroxyxynitrate. Elevated levels of inducible nitric oxide synthase are found in the lungs of HCPS-patients [228]. Hantaviruses have been shown to be sensitive *in vitro* to IFNs [229], NO [230] as well as the IFN-inducible MxA protein [231, 232]. There are also indications that hantaviruses can interfere with the IFN-production [233] *in vitro*, and levels of IFN- λ are decreased in NE-patients [234].

Several proteins found in saliva constitute an important part of the innate immune response. These are further discussed in chapter 5 of this thesis.

Natural killer (NK) cells are important effector cells of the innate immune response, as they are able to kill infected cells by the induction of apoptosis. This is further discussed in chapter 4.

3.3.2 Cytokines

Cytokines are signal molecules of the immune system and can have several functions such as antiviral effects (e.g. IFN- α and IFN- β), to modulate or limit the immune response (e.g. IFN- γ and interleukin (IL)-10), to induce inflammation (e.g. TNF and IL-6) and to stimulate proliferation of T-cells (e.g. IL-2). Many cytokines also stimulate the production of other cytokines, which leads to a cascade of activation. Several findings indicate that cytokines play a major role in the immune response and possibly also in the pathogenesis in HFRS and HCPS. High levels of cytokine-producing cells have been found in lung tissues of patients with fatal HPS [235]. Elevated plasma levels of TNF, IL-6 and IL-10 were observed in NE patients as well as in PUUV infected cynomolgous macaques [205, 236] and TNF levels in NE patients were inversely correlated to blood pressure and symptoms [237]. Another finding that further supports TNF's contribution to the pathogenesis is the finding that the TNF2 allele, which is associated with an increased TNF production during infection, is more common among NE patients as compared to the general population [237, 238]. Raftery and colleagues showed that HTNV could infect and activate dendritic cells, without signs of CPE or apoptosis, which resulted in an up-regulation of major histocompatibility complex (MHC) and adhesion molecules and induced a release of proinflammatory cytokines [239]. It was recently shown that there is a difference between male and female NE-patients in the induction of several cytokines [240].

3.3.3 Humoral response

Hantavirus infections induce strong humoral immune responses, with high titers of anti N and neutralizing antibodies, which are often already present at the onset of symptoms and therefore constitute good markers in diagnostics [241]. There is no evidence that hantavirus infections in humans can be persistent, yet PUUV-specific anti-N immunoglobulin G (IgG) and neutralizing anti-Gn and anti-Gc antibodies are detected several decades after infection [242]. High levels of PUUV-specific IgG₄ and IgA₁ antibodies, usually indicators of chronic infection, have been seen in late convalescent serum samples [243, 244]. However, apart from one study, where PUUV-RNA was detected from patients up to 16 days after onset of symptoms [245], all antigen detection or RT-PCR studies performed on samples drawn after the first week post onset of disease, have been negative [246-248].

High levels of virus specific IgM correlate to the appearance of clinical symptoms. As IgG responses may differ during the acute phase, it is recommended to rely on IgM for serodiagnosis of NE [249]. The decline in IgM levels during the convalescence phase usually coincides with a rise in IgG [250].

IgA is important for neutralizing virus in the mucosa during viral infections [251, 252] and elevated levels of total serum IgA have been found in acute-phase samples from NE-patients [244]. The importance of IgA during hantavirus infection is, however, not completely clear.

Compared to other viral diseases, levels of both total and virus specific IgE is increased in HFRS-patients infected with PUUV or DOBV [253-255]. The high levels of IgE found early after onset of disease have suggested a role for IgE in the pathogenesis of HFRS [253]. Further, plasma levels of PUUV-specific IgE correlated with the levels of soluble (s) CD23, a membrane glycoprotein, and a possible role for sCD23 in regulating the humoral immune response during HFRS has been suggested [256]. Interestingly, IgE can increase vascular permeability by triggering pro-inflammatory cytokines e.g. TNF and IL-1 β [257] and by inducing vasoactive factors like serotonin and histamine [253]. However, levels of IgE do not correlate to the severity of the disease in NE-patients [104].

3.3.4 Adaptive cellular response

The adaptive cellular immune response consists of CD4⁺ T-helper (Th) cells and CD8⁺ cytotoxic T-lymphocytes (CTL). Although virus specific CTLs are important for the effective clearance of many virus infections, they have also been associated with apoptosis and severe tissue damage [258] and injury of endothelial cells [259]. CTL-induced cell death is discussed more thoroughly in chapter 4. Several studies reveal that the cellular immune response is of great importance during hantavirus infection in humans. Increased levels of activated T-cells have been observed in the circulation during HFRS [260]. The levels of CTL-responses are correlated with the severity of SNV infections [261]. Infiltrations of mononuclear cells containing CTLs have been seen in acute HFRS [262, 263] and HCPS [217, 235] patients. CTLs are capable of producing cytokines, such as TNF and IFN- γ , which can stimulate inflammation and induce apoptosis.

T-cells recognize viral peptides that are presented on human leucocyte antigen (HLA)-molecules on the surface of infected cells or antigen presenting cells. HLA molecules occur in various haplotypes that differ in their ability to present different peptides. One finding which may have implications for many parts of the immune system during a hantavirus infection is that the occurrence of certain HLA-haplotypes correlate with the severity of disease in NE patients [264-266]. HLA-haplotypes have also been correlated with an increased CTL response in SNV-infected patients with severe HCPS [235].

3.3.5 Immune complexes and complement activation

Immune complexes formed by immunoglobulins and antigens can activate the complement system and have been suggested to be the cause of pathogenicity in various autoimmune [267] and infectious diseases such as hepatitis [268] and mononucleosis [269]. Immune complexes have been found in biopsies and autopsy tissues from HFRS patients [270, 271] and in the plasma of HCPS patients [272]. However, whether the occurrence of immune complexes correlates with the severity of HFRS and HCPS is still not clear [273, 274].

4 APOPTOSIS

Principally, cell death can be divided into two main entities, necrosis and apoptosis, which differ fundamentally in several aspects. Necrotic cell death is inflammatory, energy independent, less regulated and results in cell swelling, membrane rupture and leakage of lysosomal enzymes. Apoptosis on the other hand, is non-inflammatory, energy dependent, highly regulated by the activation of specific proteases and leads ultimately to cell shrinkage and the formation of apoptotic bodies, a process during which membrane integrity is continuously maintained.

4.1 HISTORY

Knowledge about programmed cell death first arose in the field of developmental biology and the first insight into the mechanisms that regulate apoptosis came from Sulston and Horvitz [275] while studying the development of the nematode *Caenorhabditis elegans*. However, not until Vaux and colleagues discovered that the human *bcl-2* gene was able to block apoptosis in *C. elegans* [276] was it realized how conserved the mechanisms involved in apoptosis were among both invertebrates and humans, and what possible applications the discoveries made in *C. elegans* had in human medicine [277].

4.2 APOPTOSIS PATHWAYS

Independent of the immune system, apoptosis can be induced by different stimuli, such as growth factor withdrawal, DNA damage, starvation, drugs, UV-irradiation or viral infection. This type of apoptosis is usually initiated by actions associated to the mitochondria [277].

Alternatively, apoptosis can be induced by CTL and NK cells as an important mechanism by which the immune system eliminates virus infected or transformed cells [278]. Immune-mediated apoptosis is divided into two main pathways: the receptor-mediated and the granule-mediated (Fig. 6).

The receptor-mediated pathway involves the binding of different cell-death inducing ligands to their corresponding receptor on the target cell. Three major receptor/ligand pairs have been identified: 1) Fas and Fas ligand (FasL) [279], 2) TNF-related apoptosis inducing ligand receptor (TRAIL-R) and TRAIL [280] and 3) TNF receptor (TNF-R) and TNF [281]. Ligand binding induces the association of the receptor with the adaptor proteins FADD or TRADD [282, 283] and procaspase 8, an inactive precursor of a proteolytic enzyme. This formation, which is called the death inducing signaling complex (DISC), activates caspase 8, which cleaves the effector caspase 3, which in turn induces a cascade of effector caspase activation [284]. These caspases degrade several key cellular substrates, and this ultimately leads to nuclear fragmentation and cell death.

In granule-mediated cell death, proteolytic enzymes, such as granzyme B (GrB), are delivered to the target cell via an immunological synapse [285]. The entry of GrB is mediated by the pore forming protein perforin through yet unknown mechanisms.

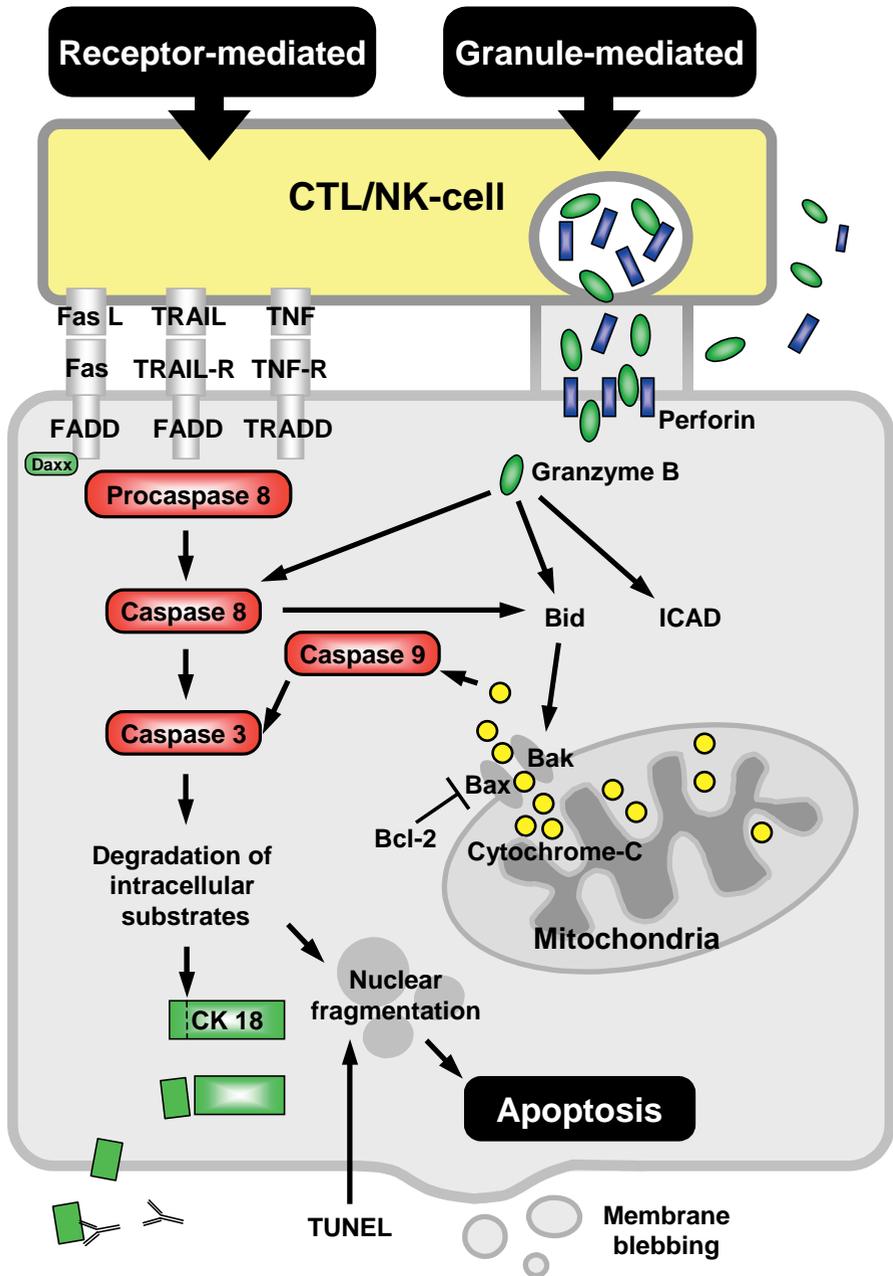


Figure 6. Pathways of immune-mediated apoptosis.

During this process, some of the GrB and perforin most probably leak out into the circulation and can be detected in patient serum, as has been observed in patients with an ongoing CTL-response due to e.g. Epstein-Barr (EBV) or HIV infection [286, 287]. Once inside the target cell, GrB promotes cell death either via direct cleavage of caspases, such as caspase 3 and 8, or by promoting mitochondrial permeabilization [278]. Mitochondrial-mediated apoptosis is regulated by the Bcl-2 family of proteins. GrB can cleave one proapoptotic member of this family, Bid, which thereby starts the oligomerization of two other Bcl-2 family members, Bak and Bax. These proteins form a pore in the outer mitochondrial membrane through which cytochrome-c can be released into the cytosol [288]. This release is inhibited by the anti-apoptotic Bcl-2. Once in the cytosol, cytochrome c activates caspase 9, which in turn cleaves caspase 3. The receptor-mediated induction of apoptosis can also involve the mitochondria via the caspase 8 induced activation of Bid [289].

GrB can also induce apoptosis in the absence of caspases via the cleavage of other substrates such as the inhibitor of caspase activated deoxyribonuclease (ICAD), which leads to internucleosomal degradation of DNA [290].

The intermediate filament protein cytokeratin 18 (CK 18), expressed in simple epithelial cells, such as endothelium, is one of the proteins that are cleaved by caspase 8. During apoptosis, the cleaved form of CK 18 leaks out from the dying cell and can be detected by the use of specific antibodies [291, 292]. This method has been used to monitor apoptosis in cancer patient sera [293]. The free 3'-ends that are produced during apoptosis-induced DNA fragmentation can be detected by the TUNEL (terminal deoxynucleotidyl transferase-mediated dUTP nick end labeling) assay, in which modified nucleotides are added in an enzymatic reaction [294].

4.3 VIRUSES AND APOPTOSIS

Apoptosis as a consequence of viral infection can be either host-induced as a means of controlling the infection, or virus-induced in order to promote virus progeny. The simple fact that apoptosis is detected during a viral infection tells nothing about which of these two mechanisms that lies behind it. There are also numerous examples of viruses that have evolved mechanisms to manipulate the host's cell death program by blocking apoptosis [295]. Examples are adenovirus and EBV expression of viral proteins that mimic the anti-apoptotic host protein Bcl-2 [296, 297]. The importance of these mechanisms have been shown by the production of viral constructs deleted for such genes, which have generated attenuated viruses [298, 299].

Although apoptosis can be detrimental for the virus during replication, it can be beneficial when induced after virus assembly. Apoptosis induced at the culmination of a lytic infection can constitute a mechanism by which viruses spread to other cells without causing inflammation. Viruses can be transferred to phagocytotic cells carried in apoptotic bodies and thereby avoid recognition by neutralizing antibodies [298]. Using EM, it has been shown that adenovirus have evolved such a strategy for viral dissemination [300]. Structural proteins can act as super ligands for death receptors as exemplified by HIV gp120, which alone is able to induce apoptosis in non-infected bystander cells. It thereby contributes to the depletion of lymphoid and neuronal cells,

whereas infected cells are resistant to apoptosis since they express another viral protein, tat, which counteracts apoptosis [301].

4.3.1 Hantaviruses and apoptosis

Apoptosis and its possible contribution to hantavirus pathogenesis has been investigated during the last decade. One can speculate why apoptosis would occur as a consequence of hantavirus infection in humans and consider the following three reasons:

- 1) Hantavirus induces apoptosis “against the cell’s will” because the virus benefits from it for some reason. Since humans are generally a dead end host, the evolution of such a strategy would probably have to have taken place in the rodent host.
- 2) Hantavirus activity in the cell gives rise to a cell autonomous apoptosis, as a host defense mechanism, independent of the immune system. Apoptosis due to this reason would probably not be induced in non-infected bystander cells, unless the infected cell produces apoptosis-inducing signaling molecules.
- 3) The immune system senses the hantavirus infection and apoptosis is induced by CTL and/or NK cells as a way to clear the infection. Although this action is host induced, an over-reaction could lead to an immune-mediated pathogenesis.

Kang and colleagues were the first to report hantavirus induced apoptosis. They found that both HTNV and the non-pathogenic PHV induced apoptosis in Vero E6 cells [302]. The HTNV-infected cells also showed down-regulated levels of the anti apoptotic Bcl-2 protein. TULV has been reported to induce apoptosis *in vitro* involving an interaction with the Fas-mediated apoptosis enhancer Daxx [303]. However, whether Daxx is associated to pro- or anti- apoptotic mechanisms has been questioned elsewhere [304]. Further, TULV-infection induced an activation of TNF and caspase-8 [305] and ER-stress [306]. Markotic and colleagues reported detection of both CPE and apoptosis in HEK293-cells after infection with ANDV, SEOV and HTNV [307]. In those experiments apoptosis was however mainly seen in bystander cells and was not connected to an increased expression on the mRNA-level of FasL or TRAIL. Additionally, HEK293 has been reported to be CPE-inclined [308].

There have also been reports where hantaviruses induced no or very low levels of apoptosis *in vitro*. SNV and PHV have been reported not to cause apoptosis after infection of human umbilical vein endothelial cells (HUVECs) [309] and no apoptosis was seen after PUUV, SAAV, DOBV or HTNV infection of confluent Vero E6 cells [paper III].

It is hard to draw comprehensive conclusions from these to some extent contradictory studies. Apoptotic events may also be depending on cell health and passage status, and *in vitro* studies on apoptosis have their obvious limitations in explaining hantavirus pathogenesis in humans. However, during recent years increasing evidence has been found for the occurrence of apoptosis in HFRS patients. An increase in Fas/FasL and an activation of both initial- and effector- caspases were observed in PBMC drawn from acute and convalescent HFRS patients [310]. Positive cleaved poly (ADP-ribose)

polymerase (PARP) immunohistochemistry staining, a sign of apoptosis, was observed in kidney biopsies from 4 out of 5 NE patients [311]. Elevated levels of the soluble and membrane bound forms of the apoptosis inducing ligands FasL, TRAIL and TNF, were detected in HTNV infected HFRS patients [312]. Serum levels of the epithelial cell apoptosis marker caspase-cleaved CK 18 were elevated during the acute phase in 14 of 18 NE-patients [paper IV].

It is too early to clearly define the role for apoptosis in the pathogenesis of HFRS and HCPS but one can suggest that the apoptosis seen in HFRS-patients contributes to the cellular damage that causes the increased capillary permeability, which in turn leads to the hemorrhagic symptoms. It can, however not be excluded that the absence of apoptosis seen in some studies is due to a possible ability of hantaviruses to block apoptosis, although there has so far been no reports on such a mechanism.

5 PERSON-TO-PERSON TRANSMISSION

Zoonotic diseases are generally transmitted from animals to humans and only rarely between humans. Humans have long been considered dead end hosts for hantaviruses, but with the increasing evidence for person-to-person transmission of ANDV [313-317], this dogma is now about to be rewritten.

5.1 ANDES HANTAVIRUS

ANDV is the only known hantavirus where person-to-person transmission has been documented. The first reports on suspected interpersonal transmission came from Argentina and Chile 1996-98 and were based on epidemiological data where clusters of cases were studied to find the probable sources of infection [313, 316, 317]. The time interval for onset of disease between patients in a cluster can provide a clue to possible person-to-person transmission (Fig. 7). Interpersonal transmission should be suspected when approximately three weeks pass between onset of symptoms for an index case and epidemiologically related persons, whereas a less than two week interval suggests a common rodent source [314]. As it is often difficult to completely rule out the possibility that patients in a cluster have been exposed to the same rodent source, it was an interesting finding when in 1998, Padula and colleagues found the first molecular evidence for person-to-person transmission [315]. In this study, a chain of 16 epidemiologically related patients appeared to be infected with ANDV carrying an identical nucleotide sequence (a total of 1075 nucleotides from the S and M segments). One hypothetical explanation to this fact could be that the patients were infected with a genetically identical hantavirus, either from the same rodent or from rodents of the same local population. However, given the large geographical distance between the residences of the patients, sometimes up to 1400 km, the most reasonable explanation is that they were infected through person-to-person transmission while hospitalized [315].

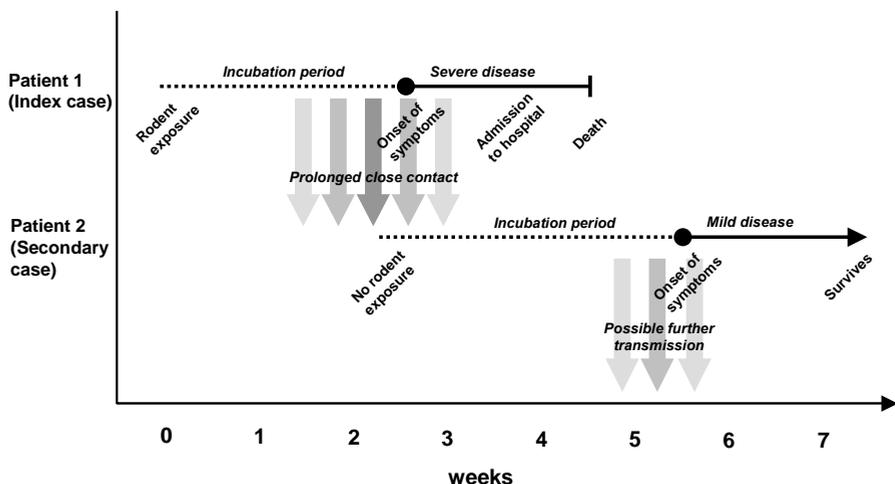


Fig. 7. Suggested timescale for person-to-person transmission of ANDV.

Interpersonal transmission of ANDV appears to be limited to a short period in the late prodrome or the early cardiopulmonary phase and probably demands close contact with an infected person [314, 318]. At the time of the patients' admission to the hospital, the disease has most often progressed to the cardiopulmonary phase, which together with the protective equipment in use, can explain the few cases among medical personnel [314, 318]. Apart from the 1996 outbreak in Argentina, where one receptionist and five doctors were infected, ANDV infections in hospital workers are rare events and the seroprevalence among healthcare workers is not different from that seen in the general population [319].

There are facts indicating that severe, in contrast to mild, cases of HCPS are more likely to generate secondary cases and that the secondary cases in turn often have a milder course with fewer deaths as compared to the index cases [314]. Whether this is due to differences in the viral load from rodents and humans and/or due to changes in the virus as a result of host change, remains to be shown.

5.1.1 How is the virus transmitted between humans?

Oral transmission is believed to be the main factor for ANDV transmission among pygmy rice rats [148] and studies on primary airway epithelial cells from hamster have suggested an important role for the infection of respiratory epithelium in the early or prodrome phase of disease in humans as well as for transmission [214]. Viral shedding from ANDV patients has not been studied, but ANDV RNA has been detected in the salivary glands of ANDV patients in Argentina [320]. Together with the finding of virus in intraalveolar pulmonary macrophages [316] this suggests that ANDV can be shed via saliva or during coughing. However, the limited number of recorded cases of person-to-person transmission suggests that a close and long contact is needed for virus to be transmitted. The study of case clusters can give information of transmission rates and risk factors. In an epidemiological prospective study during a HCPS outbreak in Chile during 1997-1998, 76 index cases were followed [321]. When 421 household contacts to these patients were observed, 16 new cases were found, 6 of which showed presence of ANDV RNA in their blood up to 2 weeks before onset of symptoms or presence of antibodies. Among these 16 cases, 3 were definite, 9 were probable and 2 were possible person-to-person transmissions. Among these household contacts, sex partners to the index cases had a 17.6% risk of developing HCPS compared to 1.2% among non-sex partners [321].

5.2 OTHER HANTAVIRUSES?

There is so far no evidence that hantaviruses, other than ANDV, have been transmitted from person-to-person, although this might of course be due to the lack of investigations. Also for SNV the number of cases among healthcare personnel seems to be limited [322]. If the suspected early and short period of contagiousness for ANDV also is true for SNV, this does not necessarily indicate that interpersonal transmission is unlikely or impossible. However, since interpersonal transmission of ANDV became evident, SNV and different clusters of HCPS in the USA, have been studied quite extensively and it has so far not been possible to exclude a common contact with a rodent reservoir [323]. Further, for HTNV there were no reported cases of infections among healthcare personnel during the Korean War [324]. So far no clusters of NE

cases, where direct exposure to rodent excreta can be excluded, have occurred in Sweden [paper V].

When studying epidemiological data to find support for person-to-person transmission of hantavirus it is important to consider the extensive *ex vivo* stability of the virions that has been shown experimentally [191, paper II]. Although a household contact to an index case, perhaps living far from the area with endemic rodents, states no contact with rodents or rodent droppings, it might still be theoretically possible that virus in dried aerosols has been transported on the patients clothing and thereby been exposed to the other members of the family, perhaps after several days of incubation. Molecular evidence would not help to find the true pattern of transmission in this case. Further, the generated time interval between the onsets of disease for the index patient and the family member (due to the virus incubation and the possibly lower dose of inhaled virus) would falsely point towards an interpersonal transmission.

5.3 ANTI-VIRAL EFFECT OF HUMAN SALIVA

The most likely route for a person-to-person transmission of hantaviruses is via the saliva. Human saliva has many important functions such as mechanical cleansing of the oral cavity, buffer capacity, taste, digestion of starch and antimicrobial mechanisms [325]. Apart from water (99%), saliva consists of many substances, such as antibodies (mainly IgA) and various non-specific proteins with a documented effect against microorganisms (Table 3). A healthy person produces about 1 to 1.5 liters of saliva each day [326].

Table 3. A selection of salivary components with documented antiviral activity

Component	Antiviral mechanism	Reference
Antibodies, mainly IgA	Neutralize and inactivate viruses	[244, 327]
Lactoferrin	Binds iron, binds host cell and viral particles, delays viral protein synthesis, inhibits viral entry and shedding.	[328-330]
Lysosyme	Destroys viral membranes.	[331, 332]
Secretory leucocyte protease inhibitor (SLPI)	Limits viral entry.	[333, 334]
Mucin	Aggregates viral particles.	[335]

Despite the antiviral mechanisms of human saliva there are several examples of viruses that are normally transmitted via saliva e.g. herpes simplex virus and EBV [336, 337]. There are also examples of viruses that are detected in saliva but where evidence of oral transmission is rare or absent. Hepatitis C virus (HCV) RNA has been detected in the saliva from 50% of infected patients [338] and the infectivity of the saliva has been proven by the detection of HCV RNA in the serum of a chimpanzee after inoculation with HCV-infected human saliva [339]. However, although there is evidence that non-

sexual intrafamilial transmission of HCV has occurred [340], probably via other biological fluids than blood [341], this kind of transmission has been reported to be extremely rare or absent [342, 343] and it has been suggested that saliva can attenuate or abolish the infective capacity of HCV and that the HCV RNA found in saliva originates from non-infectious fragments of the virus [344].

HIV and possible transmission via saliva has also been studied extensively. HIV is detected in saliva from a proportion of HIV patients [345, 346], but exposure to HIV-infected saliva poses a far lower risk, compared to blood exposure as extremely few cases of oral transmission are reported from epidemiological studies [327]. One reason to this might be that HIV in saliva is inhibited by several antiviral substances, of which the secretory leucocyte protease inhibitor (SLPI) seems to be of great importance [327].

6 AIMS

The general aims of this work have been to gain better understanding of hantavirus transmission and to better understand the pathogenesis in infected humans. The specific aims have been:

- To investigate the patterns of Puumala virus shedding from the natural host.
- To evaluate the *ex vivo* stability of Hantaan virus in comparison to arthropod-borne members of the *Bunyaviridae* family.
- To investigate if apoptosis is induced by hantavirus infection *in vitro* and in patients.
- To evaluate the possibility of person-to-person transmission of Puumala virus.
- To study the effect of human saliva against hantavirus.

7 RESULTS AND DISCUSSION

7.1 THE SHEDDING OF PUUMALA VIRUS FROM THE NATURAL HOST (PAPER I)

Virus shedding from the host is of major importance for the transmission efficacy of hantaviruses. It has long been known that PUUV is shed in bank vole saliva, urine and feces [175]. However, how the levels of shed virus change over time in an infected bank vole has not been studied in detail. In this study, we subcutaneously inoculated 10 bank voles with PUUV and sampled excretions regularly until day 133 post infection (PI). Levels of shed viral RNA peaked within 11-28, 14-21 and 11-28 days post infection for saliva, urine and feces, respectively. The latest detection of viral RNA was 84, 44 and 44 days post infection, in saliva, urine and feces respectively. In contrast, viral RNA was detected in blood from five out of six animals at day 133 post infection, suggesting that bank voles, although persistently infected, secrete virus only during a limited time of the infection. As detection of RNA does not necessarily correspond to the occurrence of infectious virions, we wanted to test if the RNA-positive excretion samples also contained infectious virus. We also wanted to test if intranasal inoculation was a possible infection route for all types of excretions. Therefore, a subset of the PUUV RNA positive urine, feces and saliva samples, collected from the subcutaneously inoculated bank voles, were administered intranasally to 14 naïve bank voles. In total, 7 (2/4, 2/5 and 3/5 in the groups given saliva, urine or feces, respectively) out of these 14 bank voles seroconverted, indicating that all these three transmission routes may occur in nature and that also rodent saliva might play a role in transmission to humans.

The 14 intranasally inoculated animals were also sampled for saliva, urine and feces and by running real-time RT-PCR on a selection of these samples, we showed that all types of excretions enabled subsequent detection of viral RNA, which indicates that virions excreted via different routes do not show restricted tropism for particular tissues.

When serum drawn at day 42 PI from the intranasally inoculated bank voles was analyzed by ELISA, we observed that seven out of 14 bank voles had seroconverted. Interestingly, only one of the animals was positive according to a previous ELISA performed on serum from day 21 PI. The reason for this late seroconversion in the majority of the bank voles might be that the bank vole saliva, urine and fecal samples used for intranasal inoculation contained relatively low virus doses.

7.2 EX VIVO STABILITY OF HANTAAVIRUS (PAPER II)

For viruses that are transmitted via the environment, a prolonged *ex vivo* stability could significantly increase the transmission efficacy, especially when the density of susceptible hosts is low [188]. The *Bunyaviridae* family consists of viruses that are either arthropod-borne, which means that they are never exposed to an *ex vivo* environment, or rodent-borne, and thus generally transmitted via the environment. It is reasonable to suspect that the rodent-borne viruses would derive an advantage from

having a longer *ex vivo* stability, as excreted virus would infect more new hosts before infectivity is lost. To test this hypothesis we compared the stability of HTNV (a rodent-borne hantavirus), Sandfly fever Sicilian virus (SFSV, a sandfly-borne phlebovirus) and Crimean Congo hemorrhagic fever virus (CCHFV, a tick-borne nairovirus). These viruses are morphologically similar, with a spherical structure and a lipid envelope. We studied if these viruses differed regarding the *ex vivo* stability when exposed to different temperatures (4, 20 and 37°C) and drying at 20°C. We observed a clearly different stability in wet conditions, particularly at 4°C, where infectious SFSV, HTNV and CCHFV were detectable after 528, 96 and 15 days, respectively. All three viruses were equally sensitive to drying, as shown by drying on aluminium discs. Infectious particles, representing 6-14% of the input concentration, were extracted from the three assayed viruses after 90 minutes. We were not able to detect any infectious virus from any of the genera from discs incubated for 24 hours or longer.

To investigate possible differences in the membrane integrity, we also tested the susceptibility of the viruses to inactivation by different concentrations of ethanol. All viruses were completely inactivated after two minutes in 40% ethanol whereas HTNV and SFSV partially survived two minutes in 30% ethanol. A lower concentration (10%) of ethanol had no detectable effect on the inactivation of any of the viruses. These observations might have implications for laboratory work where decontamination with ethanol is a commonly used method to inactivate virus.

In order to find what caused the decreased infectivity over time, we performed EM studies on HTNV, SFSV and CCHFV stored at 37°C until infectivity was lost. We found approximately the same virus concentrations in non-incubated (infectious) and incubated (non infectious) samples. However, the abnormal shape and density of the virions in the incubated samples indicates that inactivation was due to disruption of the virus particles. The EM studies also revealed the presence of SFSV aggregates consisting of 20-300 virions. The concentration of these aggregates corresponded well to the concentration of infectious units observed in our samples. This indicates that we, in the plaque assay, in fact were measuring the infection by these aggregates and not by individual virions. It was not possible for us to increase the virus titer by vortexing, but if an adequate method is found, the titers may increase approximately a hundred- fold, provided that all the virus particles within the aggregates are infectious. Whether this aggregation phenomenon is beneficial in terms of increased stability or immune escape of the virus remains to be shown.

The examined viruses within the *Bunyaviridae* family showed great variation in *ex vivo* stability at wet conditions, while they were equally sensitive to drying. The results indicate that HTNV, although being non vector-borne, does not seem to have evolved a higher ability to survive *ex vivo* as compared to CCHFV or SFSV.

7.3 ABSENCE OF APOPTOSIS IN VITRO (PAPER III)

Hantaviruses are known to cause little or no CPE *in vitro*, but as HTNV and PHV were reported to cause apoptosis in Vero E6 cells in 1999 [302] we wanted to test additional hantaviruses and study if there was a difference between more pathogenic and less pathogenic hantaviruses in the ability to induce apoptosis. Therefore, we infected

confluent Vero E6 cells with HTNV, DOBV, SAAV and PUUV. However, when studying signs of cell death and apoptosis at three, six, nine or twelve days after infection, we found no differences in the percentage of adherent cells, or cells with condensed nuclei between the different hantaviruses, and surprisingly also not when comparing the virus-infected to the non-infected cells. Furthermore, no differences in the percentage of cells with inter-nucleosomal cleavage of DNA, between uninfected and HTNV-infected cells, could be detected using the TUNEL assay. Possibly, slightly more apoptotic cells, but never more than 5%, were detected after HTNV infection of non-confluent cells as compared to the negative control. Further, we could verify the earlier reported results of TULV-induced apoptosis [305], suggesting that non-pathogenic hantaviruses might differ from HFRS-causing strains regarding induction of apoptosis.

The explanation to the differences between our results and those presented by Kang et al. [302] is far from obvious, as the experimental procedures seem quite similar. One explanation could be that although the same virus strain was used, mutations might have occurred during propagation, resulting in differences in induction of apoptosis. Alternatively, the differences are depending on the cells, as the ability to induce apoptosis in a cell culture has been reported to be dependent on the passage history of the infected cells [307].

In conclusion, the finding that HFRS-causing hantaviruses in our experiments are very poor inducers of apoptosis *in vitro* might indicate that if the mechanisms behind the increased capillary permeability involved in hantavirus pathogenicity is due apoptosis, the apoptosis is likely to be induced by immune cells rather than stimulated by hantavirus infection alone.

7.4 INDUCTION OF APOPTOSIS *IN VIVO* (PAPER IV)

Although pathogenic hantaviruses are not cytotoxic, increased levels of serum LDH, aspartate aminotransferase, and alanine aminotransferase, indicative of cellular damage, are observed in patients [219-221]. This shows that the cellular membrane integrity is disturbed during infection. The pathogenesis is believed to be immune-mediated and special attention has been drawn to the cellular response [218]. Therefore we wanted to investigate if apoptosis could be observed in HFRS-patients during the acute phase of disease.

This study was based on two phenomena that occur during CTL induced target cell apoptosis. 1) When apoptosis is induced via the granule exocytosis pathway, some of the perforin and granzymes probably find their way out of the synapse and into the circulation [347]. 2) During epithelial cell apoptosis, CK 18 is cleaved by caspases and diffuses into serum where it can be detected by a specific antibody that only recognizes the caspase-cleaved form of CK18 [291].

We studied acute and convalescent serum samples from 18 patients hospitalized with a laboratory verified PUUV-infection. The levels of caspase-cleaved CK18, perforin, and GrB were analyzed by ELISA.

All patients showed increased levels of serum LDH during the acute phase of infection and there were significantly higher levels of caspase-cleaved CK 18, extracellular perforin and GrB in the acute, as compared to the convalescent, sera. This indicates that apoptosis is induced in cells of the epithelial cell lineage during the acute phase of HFRS. The level of LDH correlated significantly with that of epithelial cell apoptosis, which suggests that the majority of the cell damage observed during hantavirus infection, is caused by apoptosis.

The levels of LDH and perforin correlated significantly, suggesting that hantavirus specific CTLs and/or NK cells might be involved in causing the observed cell damage during HFRS/HCPS. Interestingly, the levels of GrB and LDH did not correlate. This is in line with the proposed functions of perforin and GrB during the killing of target cells by cytotoxic cells: although GrB induces the apoptosis, perforin is needed for GrB to enter the cell [285].

The levels of caspase-cleaved CK 18 did not correlate significantly to the levels of perforin or GrB, which might be due to a delay between induction of apoptosis and release of granular enzymes. Although it could be speculated that the increased vascular permeability observed during HFRS/HCPS is due to apoptosis caused by hantavirus-specific CTLs, this remains to be clearly shown. Apoptosis of epithelial cells other than endothelial cells, might also contribute to the increased levels of caspase-cleaved CK18.

In conclusion, the capillary leakage during HFRS/HPS could be due to apoptosis, and the strong hantavirus-specific CTL responses observed [248, 260, 348] may contribute significantly to the damage.

7.5 PUUMALA VIRUS RNA IN HUMAN SALIVA (PAPERS V AND VI)

ANDV is so far the only hantavirus with evidence for a person-to-person transmission [315] and deep kissing with the index case has been suggested as a possible risk-factor for infection [321]. This, together with the finding of relatively high levels of PUUV RNA in saliva collected from bank voles [paper I] made us investigate whether PUUV RNA could be detected in saliva from NE patients. Therefore, we collected saliva and plasma from 14 hospitalized NE patients with verified PUUV infection. Using real-time RT-PCR, PUUV RNA was detected in saliva from 10 of these patients with samples taken between 2-9 days post onset of symptoms. All patients were positive for PUUV RNA in plasma and the PUUV S-segment sequences from saliva and plasma of the same patients were identical.

The next step was to investigate if the detected viral RNA corresponded to infectious virus. Using Vero E6 cells, we were not able to isolate any virus from the saliva samples. Furthermore, we used saliva from all 14 patients to inoculate bank voles. Forty-two days after inoculation bank voles were bled, and serum analyzed for PUUV-specific IgG-antibodies. Seroconversion was however not observed in any of the inoculated bank voles, suggesting that the saliva did not contain infectious particles.

7.6 ANTI HANTAVIRUS EFFECT OF HUMAN SALIVA (PAPER VI)

To test if human saliva interferes with hantavirus replication, we studied the effect of saliva and salivary proteins on hantavirus replication. Whole saliva collected from healthy individuals partly reduced HTNV infectivity when incubated for 1h at 37°C, whereas pre-incubation of cells with saliva before infection had no effect on HTNV infectivity, suggesting that the observed antiviral effect of saliva was caused by a direct effect of salivary components on the virus particles. Furthermore, HTNV was resistant against the antiviral capacity of several salivary proteins (at concentrations above those normally observed in saliva) like histatin 5, lysozyme, lactoferrin, and SLPI, but was partly inhibited by mucin. The observed inhibition of HTNV by mucin was concentration-dependent.

In conclusion, we found no evidence of infectious virus in patient saliva, which might be at least partly due to the anti-HTNV activity of human saliva. However, the *in vitro* experiments showed that HTNV was insensitive to several antiviral salivary proteins, and was not completely inactivated by human saliva. Therefore, it remains to be shown if human saliva might contain infectious hantavirus particles early during infection, for instance before neutralizing antibodies are produced.

8 POPULÄRVETENSKAPLIG SAMMANFATTNING PÅ SVENSKA (SUMMARY IN SWEDISH)

Vad är ett virus?

Virus är inte levande till skillnad från exempelvis bakterier. Virus är endast små protein/fett-strukturer som i sin tur omsluter virusets gener. Virus är därför helt beroende av levande celler för att produceras och spridas. Virusets gener innehåller information om hur den infekterade cellen ska bygga ihop nya virus. Det finns många olika sorters virus som kan infektera människor, djur eller till och med bakterier.

Vad är hantavirus?

Den grupp av virus som kallas hantavirus är ämnet för denna avhandling. Hantavirus sprids av gnagare, framförallt sorkar, möss och råttor, runt om i världen. Viruset utsöndras via gnagarens saliv, urin och avföring och smittas på så sätt andra gnagare eller oss människor om vi råkar andas in damm som förorenats av t.ex. sorkar. De naturliga värdjuret, d.v.s. gnagarna, blir inte sjuka av viruset, men det kan vi människor bli. Om vi blir sjuka och vilka symptom vi får beror till stor del på vilken sorts hantavirus vi smittats av. Det finns nämligen en mängd olika kända varianter, och de flesta sprids av en egen gnagarart. De hantavirus som finns i Nord- och Sydamerika kan ge allvarliga lungsjukdomar medan hantavirus i Europa och Asien snarare ger allvarliga njursymptom. En mildare sjukdomsvariant kallas sorkfeber och orsakas av ett hantavirus, Puumala-virus, som sprids av skogssorkar, mestadels i norra Sverige och övriga delar av norra Europa. Gemensamt för de olika sjukdomstyperna är att blodkärlen börjar läcka och att blödningar lätt uppstår. Därför kallas hantavirus ibland för blödarfebervirus. De exakta bakomliggande orsakerna till dessa blödningar är dock inte kända. Det finns i dagsläget varken ett effektivt vaccin mot hantavirus eller någon specifik behandlingsmetod för sjukdomarna som det orsakar.

Vad handlar avhandlingen om?

I denna avhandling har vi arbetat både med Puumalavirus och med andra hantavirus när vi sökt svar på följande frågor:

Hur utsöndrar sorkar viruset?

Kunskap om hur virus utsöndras från värdjuret kan hjälpa oss att förstå hur virus sprids i naturen och därigenom kan vi få möjlighet att förutsäga förekomsten av hantavirus-infektion hos värdjuret. Därmed kan vi också bättre förutspå risken för att människor ska smittas. Genom att mäta mängden virusgener har vi undersökt hur Puumalavirus utsöndras från skogssorkar i fångenskap. Vi upptäckte att utsöndringen var som störst ungefär tre veckor efter att djuret infekterats. Det verkade också som att saliv innehåller förhållandevis mycket virus. Vi visade vidare att såväl saliv, urin som avföring kunde infektera nya sorkar när de smittades via inandning genom nosen, dvs. så som man tror att smittspridning ofta sker i naturen. Detta tyder på att vi människor kan smittas av sorkarnas urin och avföring, men även via deras saliv, exempelvis när vi äter mat som sorkar smakat på.

Hur länge är hantavirus smittsamt i miljön?

Eftersom hantavirus sprids via miljön gynnas de av att vara stabila. Ju längre ett virus är intakt och därmed smittsamt, desto större sannolikhet är det att en passerande oinfekterad gnagare smittas. Virus som smittar fler individer kommer att öka i antal och därmed spridas mer effektivt. Hantavirus har besläktade virus som i stället för att spridas via miljön överförs av insekter från värddjur till värddjur. Vi ville undersöka om hantavirus har utvecklat en bättre stabilitet än dessa insektsburna virus som ju egentligen aldrig förekommer fritt i miljön. När virus utsattes för intorkning så försvann infektiviteten helt inom ett dygn. I fuktig miljö kunde hantavirus däremot fortfarande infektera celler efter nästan 100 dagar. Det verkade dock inte som om hantavirus var mer stabilt än de insektsburna virussläktingarna. Resultaten från denna studie kan också användas som grund för ett säkrare laborativt arbete med dessa virus.

Varför blir vi människor sjuka av hantavirus när inte gnagarna blir det?

En huvudsaklig anledning till detta är antagligen att virusets långa utveckling tillsammans med "sin" gnagare har bidragit till en ömsesidig anpassning. Det är egentligen inte lönsamt för viruset att orsaka sjukdom, utan det viktiga är att spridas, d.v.s. att föra det genetiska materialet vidare. Vi människor däremot, smittas mer av tillfällighet och viruset är helt oanpassat till oss, vilket verkar få en del allvarliga konsekvenser. Vad sker då i kroppen? Många virus orsakar sjukdom genom att de dödar kroppens celler. När man odlar hantavirus i cellkultur sker dock ingen markant celledöd. Därför har man traditionellt inte trott att det är därför man blir sjuk av hantavirus. Men celler kan dö på olika sätt. Så kallad apoptos, en mycket kontrollerad celledöd, är inte lika märkbar. Apoptos kan vara ett sätt för kroppen att försvara sig mot en virusinfektion. Celler i kroppens immunförsvar kan via apoptos döda de virusinfekterade cellerna och därigenom stoppa virusproduktionen. Vi har undersökt om hantavirus leder till apoptos. När vi infekterade celler i provrör kunde vi inte se någon ökning av apoptos. Men när vi i stället undersökte blodprover från sorkfeberpatienter upptäckte vi att apoptos förekom under sjukdomens akuta skede. Kanske är det så att kroppens immunförsvar försöker döda de hantavirusinfekterade cellerna med hjälp av apoptos, men att reaktionen blir för kraftig och rent av skadlig för människan?

Smittar hantavirus mellan människor?

Man har länge kallat människan för en återvändsgränd på hantavirusets smittoväg. Men nu har man upptäckt flera fall där ett mycket farligt sydamerikanskt hantavirus faktiskt har överförts mellan människor. En misstänkt smittväg är saliven. Detta innebär att hantavirus alltså kan smitta människor som aldrig ens kommit i kontakt med infekterade gnagare. Vi undrade om Puumala-viruset, det hantavirus som finns i Sverige, också kan smitta mellan människor. Vi upptäckte att höga halter av hantavirusets gener faktiskt fanns i saliven hos sorkfeberpatienter. För att undersöka om detta motsvarade smittsamt virus, använde vi salivproverna till att försöka infektera skogssorkar. Eftersom ingen av skogssorkarna blev infekterad verkar det som att patienternas saliv inte innehöll smittsamt virus, trots att virusets gener hade påvisats. Frågan var nu varför?

Kan människans saliv bekämpa hantavirus?

Man har tidigare visat att människans saliv kan bekämpa virus som till exempel HIV och hepatit C virus. Kanske har vi ämnen i saliven som också kan attackera hantaviruset och göra så att det inte kan infektera. Vi visade att saliv från friska försökspersoner faktiskt kunde minska virusets smittsamhet drastiskt. Vi undersökte också olika enskilda ämnen som finns i saliv och fann att det slembildande proteinet mucin var mest effektivt mot hantavirus.

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