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# MECHANISMS OF TUMOR MICROENVIRONMENT IN PROMOTING METASTASIS

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# Mechanisms of tumor microenvironment in promoting metastasis

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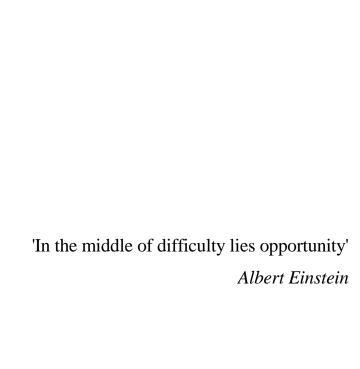
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### **ABSTRACT**

Tumor tissues contain diverse cell populations that relentlessly cross-communicate with each other in the tumor microenvironment. In addition to malignant cells, infiltration of other host cells including inflammatory cells, fibroblasts, and cells in the vessel walls in tumors significantly contribute to tumor growth and metastasis. The diversity of cell populations in the tumor microenvironment determines the production of various growth factors and cytokines, which are often upregulated. Although these signaling molecules interact with their specific receptors to trigger signaling events in the targeted cells, they often crosstalk to each other to elicit additive or synergistic effects in the tumor tissue. This thesis work provides several examples of such complex interactions between various cellular and signaling components in the tumor microenvironment in promoting metastasis. We particularly focused our research on angiogenesis-related signaling events to identify molecular mechanisms underlying tumor metastasis. In paper I, we show that expression levels of PDGF-BB in tumor cells can serve as a surrogate marker for drug response. One of the most surprising findings is that high levels of tumor cell-derived PDGF-BB ablates pericytes from the tumor microvasculature. Mechanistically, tumor cell-derived PDGF-BB attracts pericytes from the vessel wall toward tumor cells, leaving the endothelium unprotected. Ablation of pericytes leads to exposure of primitive microvessels susceptible for tumor cell intravasation. As a result, inhibition of the PDGF-BB-PDGFR signaling in high PDGF-BB-producing tumors prevents tumor cell intravasation and metastasis. Conversely, inhibition of the PDGF-BB-PDGFR signaling in PDGF-BB negative tumors ablates pericytes from the tumor microvasculature and promotes tumor metastasis. Therefore, PDGF-BB levels may serve as a potential surrogate marker for predicting anti-PDGF therapeutic outcomes. In paper II, we uncover a novel mechanism of pericytes in promoting tumor metastasis. In PDGF-BB-activated pericytes, genome-wide profiling shows that IL-33 is the most upregulated gene among all genes. ST2 as a receptor for IL-33 is abundantly expressed in macrophages. In various in vitro and in vivo experimental settings, IL-33 promotes the polarization of macrophages to an M2 subtype. Gain- and loss-offunction experimental data show that IL-33-activated macrophages promote tumor metastasis. Together, this work reveals a previously unknown mechanism underlying pericyte-mediated tumor metastasis and targeting the PDGF-PDGFR-IL-33-ST2 signaling axis provides a novel therapeutic option for treatment of cancer patients. Paper III identifies VEGF-B; a VEGFR-1 exclusive binding ligand, as a promoter of tumor metastasis through a VEGF-A-independent mechanism. VEGF-B remodels tumor vessels to become pseudonormalized and highly leaky by ablating pericytes from tumor vessels. The highly leaky tumor vessels permit tumor cell intravasation into the circulation and facilitate metastasis. Importantly, high expression levels of VEGF-B in cancer patients correlate with poor prognosis. In the last paper, we show that FGF-2 and VEGF-C collaboratively promote lymphangiogenesis. For the first time, we show that the VEGFR3 signaling is crucial for non-VEGF-C-induced lymphatic networks. Importantly, FGF-2 and VEGF-C synergistically promotes metastasis. Altogether, this thesis work uncovered several novel mechanisms underlying tumor metastasis and targeting these signaling pathways may offer new opportunities for effective treatment of cancer patients.

# POPULÄRVETENSKAPLIG SAMMANFATTNING

Cancer är en sjukdom som drabbar allt fler människor världen över och där dödligheten är fortsatt väldigt hög. Den främsta orsaken till att patienter dör, är att sjukdomen spridits till flera organ i kroppen via en process som kallas metastasering. Idag finns det ingen effektiv behandling för patienter som utveckar metastaser. En målsättning med den här avhandlingen är att med ny kunskap kunna utveckla bättre behandling av dessa patienter. En tumör uppstår på grund av okontrollerad tillväxt av celler som överlever trots att dom inte borde. Dessa växande celler klarar sig dock inte på egen hand, utan behöver hjälp från andra typer av celler som tillsammans utgör den så kallade mikromiljön. Här finner man celler som bygger upp blodkärl och lymfkärl, stödceller, celler i bindväven, samt immunceller. Utöver celler, spelar även lösliga faktorer stor roll för uppbyggnaden av tumörer. Det sker intensiv kommunikation och samarbete mellan alla dessa delar och det påverkar hur tumörer växer, sprider sig, samt hur väl dom svarar på behandling. Idag har vi endast begränsad kunskap om detta samspel. I det beskrivna arbetet har vi lyckats samla information om sådana samspel. Den växande massan bestående av tumörceller behöver konstant inflöde av syre och näring, vilket sker med hjälp av blodkärl. Man vet att tillväxt av nya blodkärl i tumörer är avgörande för tumörens överlevnad. Spridning av tumörceller kan ske lokalt till närliggande vävnader, men vanligtvis sker det via kärlsystemen. Blodkärl kan ses som ett rör med flera skyddande lager på utsidan. Ett av dessa skyddande lager utgörs av så kallade stödceller som har i uppgift att skydda kärlen och förhindra läckage. Tumörceller har väldigt svårt att bryta detta lager och kan normalt sett inte ta sig in i blodkärlen. Det finns dock olika sätt som detta skyddande lager kan försämras och ge tumörcellerna en chans att ta sig in blodkärlen och vidare sprida sig till andra organ. Vi har påvisat hur tumörceller direkt kan producera lösliga faktorer som leder till ett försämrat skyddande lager. Som ett resultat, fann vi att tumörer började växa i lungor och i lever. Tumörceller är som fabriker där allt möjligt tillverkas. Celler i omgivningen påverkas av olika saker som produceras. Vi har funnit hur stödcellerna runt blodkärl kan utbildas av tumörceller till att aktivt bidra till att underlätta spridning av tumörceller. Som i många fall när det kommer till cancer, så är förklaringen till ett visst beteende väldigt komplicerat. Ha i åtanke att det, som tidigare nämnt, sker intensiv kommunikation mellan olika delar i mikromiljön. I stora drag:

Tumörceller påverkar mängden och kvalitén på blod- och lymfkärl → tumörceller har lättare tillgång till blodkärl → metastaser

Tumörceller påverkar immunceller → immunceller hjälper tumörceller att sprida sig via blodkärl → metastaser

Tumörceller kommunicerar med stödceller runt blodkärl → stödceller påverkar immunceller → immunceller hjälper tumörceller att sprida sig via blodkärl → metastaser

Genom den kunskapen vi bidrar till att utöka, kan vi hitta nya sätt för behandling av cancerpatienter. Samt underlätta valet av behandling som bör ges till en viss patient utifrån olika kännetecken hos den enskilde individens tumör.

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# **CONTENTS**

1	INTRODUCTION						
	1.1	Cancer					
	1.2	Hallmarks of cancer					
	1.3	Angiogenesis					
	1.4	Vascular remodeling					
	1.5	Lymphangiogenesis					
	1.6	Tumor-related growth factors					
		1.6.1	Vascular endothelial growth factor (VEGF)-family	7			
			1.6.1.1 VEGF-A	7			
			1.6.1.2 VEGF-B	8			
			1.6.1.3 VEGF-C	8			
		1.6.2	Platelet-derived growth factor (PDGF)-family	9			
			1.6.2.1 PDGF-B	10			
		1.6.3	Fibroblast growth factor (FGF)-family	10			
			1.6.3.1 FGF-2	11			
	1.7	Cytok	ines	12			
		1.7.1	Interleukin (IL)-1 family	12			
			1.7.1.1 IL-33	13			
	1.8	The metastatic cascade and involvement of the tumor microenvironment		14			
		1.8.1	The metastatic process	15			
		1.8.2	Endothelial cells (ECs)	18			
		1.8.3	Pericytes (PCs)	19			
		1.8.4	Macrophages (Mφ)	20			
	1.9	Treatr	ment of cancer patients	21			
2	AIM	AIMS					
3	RES	ULTS		25			
	3.1	Paper	I	25			
	3.2	•		27			
	3.3						
	3.4	Paper	IV	32			
4	DIS	_	ON AND CONCLUSIONS				
5		nowledgements40					
6		Perences AA					

#### LIST OF ABBREVIATIONS

Ang Angiopoietin

CML Chronic myeloid leukemia

CSF Colony stimulating factor

CTC Circulating tumors cell

EC Endothelial cell

ECM Extracellular matrix

EGF Epidermal growth factor

EMT Epithelial-to-mesenchymal transition

FDA Food and Drug Administration

FGF Fibroblast growth factor

HIF Hypoxia inducible factor

IFN Interferon

IL Interleukin

IL-1RAcP IL-1 receptor accessory protein

LEC Lymphatic endothelial cell

Mφ Macrophage

MMP Matrix metalloproteinase

NHL Non-Hodgkin's lymphoma

PC Pericyte

PDGF Platelet-derived growth factor

PHD Prolyl hydroxylase

PIGF Placenta growth factor

RTK Receptor tyrosine kinase

TGF Transforming growth factor

TIR Toll/IL-1R

TNF Tumor necrosis factor

VSMC Vascular smooth muscle cell

VEGF Vascular endothelial growth factor

#### 1 INTRODUCTION

#### 1.1 CANCER

The World Health Organization reported that 8.2 million deaths due to cancer occurred worldwide during 2012. These numbers are projected to increase by 75% over the coming two decades<sup>1</sup>. According to recent estimations, about one third (1/3) of the Swedish population will suffer from cancer<sup>2</sup>.

Cancer is a complex disease that is not restricted to the simple outgrowth of a malignant tumor at a single location in the body. Rather, the disease is affecting the host systemically and most commonly, tumor cells are spreading throughout the body, forming metastases<sup>3</sup>. The consequences of metastatic growth, ultimately, are the major causes of death for cancer patients<sup>4</sup>. To reduce mortality, additional efforts are necessary to understand the processes by which tumors are spreading, which constitutes one major hurdle in the treatment of cancer patients<sup>5</sup>. This thesis work aimed to reveal mechanisms microenvironmental regulation of tumor metastasis.

#### 1.2 HALLMARKS OF CANCER

The formation of a tumor is an intricate process with a few shared common features, known as the hallmarks of cancer. The six hallmarks were first described by Hanahan and Weinberg in 2000, and later revisited in 2011 when four additional features were included<sup>6</sup>. Three of the hallmarks are specifically addressed in this current thesis; 1) induction of vessel growth by angiogenesis, 2) generation of a tumor-promoting microenvironment, and 3) metastasis (Figure 1). These hallmarks will be discussed in detail throughout the thesis.

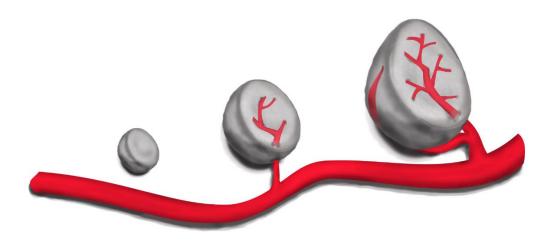


Figure 1. The hallmarks of cancer.

#### 1.3 ANGIOGENESIS

There are multiple steps that are crucial during the formation of a solid tumor. Understanding how angiogenesis and modulation of blood vessels promotes tumor metastasis was of central focus of the current study. Angiogenesis is a process based on a sprouting principle where committed endothelial cells (ECs) from a pre-existing vessel are instructed to form new vessels<sup>7</sup>. The concept of tumor angiogenesis was initiated by Dr. Judah Folkman in 1971. He hypothesized that tumor masses would require additional blood supply to sustain their growth beyond 2 mm<sup>3</sup>, and that tumors are able to initiate vessel growth<sup>8</sup>. Tumors that fail to induce such blood vessel growth will regress or remain at a dormant stage. The field of anti-angiogenic targeting therapy was initiated based on this theory, and will be discussed further in sections 1.8.2 and 1.9.

As the tumor mass continues to grow, it transitions from a stage of being avascular (lacking blood vessels), to being able to induce the first sprout, subsequently experiencing enhanced growth with continuous increase in the intratumoral vascularity<sup>9</sup> (Figure 2).



**Figure 2.** Stepwise growth of new blood vessels into the growing tumor mass by angiogenesis.

Coordinated events are required to permit sprouting of a quiescent vessel. The majority of mature vessel consists of multiple layers surrounding the inner EC layer, including mural cells and a basement membrane <sup>10</sup>. The basement membrane is a unique part of the extracellular matrix (ECM), mainly composed of laminin and collagen, and provides stability for the vessel, as well as playing a crucial role for EC survival<sup>11, 12</sup>. When angiogenesis is initiated, this fibrous structure needs to be degraded by ECM enzymes; the proteases<sup>13</sup>. Upon receiving the appropriate signals, one selected cell from each branch-point, the tip EC, will extend filopodia, start migrating towards the angiogenic stimuli and lead the way for the sprout. Following this tip cell are cells known as stalk cells that mainly proliferate and produce ECM to form and stabilize the new vessel<sup>14</sup>.

Angiogenesis is, however, not restricted to tumor tissues. On the contrary, the mode of generating new vessels by sprouting angiogenesis is essential for various physiological processes, such as in developmental tissues in the embryo, during wound healing, and for the reproductive system<sup>7, 15, 16</sup>. If the angiogenic process is not occurring within certain limitations, it can lead to the development of diseases or even be lethal. In addition to cancer, dysregulated blood vessel growth is involved in the pathology of atherosclerosis, obesity, and retinopathy<sup>9, 17, 18</sup>. The blood system is vital as its main functions are to supply oxygen and nutrients, as well as removing metabolic waste products from tissues. Although the basis of angiogenesis during physiology and pathology is very similar and involves many of the same steps, the vascular outcome is, importantly, quite different in a tumor compared to healthy tissues. The capillaries that are formed during the tightly regulated angiogenic process occurring in healthy tissues are organized and smooth<sup>19</sup>. They are usually well-balanced, commonly characterized by high

perfusion and low permeability owing to high coverage with mural cells, such as pericytes (PCs) and vascular smooth muscle cells (VSMCs), as well as an intact basement membrane <sup>12, 20</sup>. Although there are exceptions and some healthy vessels are relatively leaky. In the tumor, the angiogenic process lacks tight regulation and thereby generating a rather immature vascular network consisting of disorganized, leaky, and, in many cases, dysfunctional vessels<sup>21, 22</sup>. The perivascular coverage of these vessels is often poor<sup>23, 24</sup>. Consequently, the structure facilitates intra- and extravasation of cells to and from the tissue, hence, increasing the possibility of metastatic seeding via the vascular system<sup>25, 26</sup>. The vascular endothelial growth factor (VEGF)-A signaling is the most potent inducer of angiogenesis and will be discussed in detail in section 1.6.1.1.

#### 1.4 VASCULAR REMODELING

The vascular characteristics are not only depending on the active process of angiogenesis. Vascular remodeling plays an important role in determining the structure and quality of vessels within the tissue, both during physiological processes and under pathological conditions<sup>27-29</sup>. This is important for both the blood and lymphatic systems. The newly formed blood vessel is dependent on extracellular signals and support from mural cells for its survival. This provides an opportunity for regulating the vascularity by modulating, for example, the perivascular coverage. Several factors have been reported to have vascular remodeling properties<sup>30, 31</sup>. One of the most potent family of proteins that has been widely studied in this context, is the angiopoietin (Ang) family consisting of four identified members, the Ang-1-4<sup>32</sup>, where Ang-1 and -2 have received most attention so far. By interacting with their receptor tyrosine kinases (RTKs), namely Tie-1 and Tie-2, Ang-1 and -2 have been shown to have opposing functions. Ang-1 plays a role in vessel stability and maturation by providing adhesion and survival signals. On the contrary, Ang-2 is promoting vascular de-stabilization and regression by ablating the perivascular coverage, thereby removing the support-system<sup>33</sup>. Ultimately, the balance between Ang-1 and Ang-2 determines vascular stability and provides an opportunity to fine-tune the vascular remodeling according to the need of the tissue.

In addition, as discussed later in sections 1.6.1.2 and 1.6.2.1, as well as in Papers I and III, some other common growth factors expressed in tumors, such as VEGF-B and platelet-derived growth factor (PDGF)-BB, are also exerting their functions by vascular remodeling.

#### 1.5 LYMPHANGIOGENESIS

Alongside the development of a blood vasculature system, lymphatic vessels are simultaneously formed in a process known as lymphangiogenesis<sup>34-36</sup>. In contrast to the blood system, postnatal lymphangiogenesis does not occur under physiological circumstances, however, there can be extensive remodeling<sup>37</sup>. The lymphatic vascular network is a very important system with various functions, including maintenance of tissue homeostasis by regulating fluids and macromolecules, and is also involved in the immune system by providing means of transportation of immune cells from tissues to lymph nodes where antigen presentation is occurring<sup>35</sup>. Moreover, while the structure of a blood vessel is complex, consisting of several layers and secondary cells, the majority of lymphatic vessels are a simple assembly of lymphatic ECs (LECs). Although, there are support cells surrounding the large lymph vessels too. Unlike the blood system, except the major collective lymphatic vessels, the lymphatic system does not need additional support as it has a relatively passive and low pressure mode of transportation, relying on contractions of skeletal muscles for its circulation of lymphatic fluid<sup>38</sup>. Importantly, the LECs are forming valves within the vessels to ensure a unidirectional flow of fluids<sup>39</sup>.

The most common symptom of a malfunctioning lymphatic system is lymphedema, which can be the result of insufficient drainage of fluids from tissues leading to swelling. This can either be caused by lymphatic hypoplasia due to an inherited genetic mutation, by surgical intervention, or as a consequence of infections<sup>40</sup>. In the tumor tissue, the lymphatic system is a common route of tumor dissemination<sup>41</sup>. The intravascular environment in a lymph vessel is less stressful compared to blood vessels, leading to increased chance of tumor cell survival and successful distal seeding<sup>42</sup>. As discussed later in section 1.6.1.3 and Paper IV, the main prolymphangiogenic signaling pathway is VEGF-C/VEGFR3.

#### 1.6 TUMOR-RELATED GROWTH FACTORS

Many proteins found in tumors are classified as growth factors. Signaling by these factors is instrumental for basically all steps in tumor progression. The high frequency of activating-mutations in growth factor-related genes, e.g. receptors and downstream components, commonly found in tumors, is really emphasizing the importance this type of signaling. Some proteins are regulating multiple pro-tumorigenic steps, such as epidermal growth factor (EGF) which is involved in early clonal expansion, angiogenesis and invasion<sup>43</sup>, whereas other factors, such as VEGF-A mainly regulate angiogenesis. In addition to VEGF-A, several other factors have been found to function as positive or negative regulators of angiogenesis<sup>44</sup>. Under

physiological circumstances, the balance is in favor of inhibition, whereas in a pathological setting, for instance in a tumor, this balance is skewed. An 'angiogenic switch' is required to initiate the angiogenic process<sup>45</sup>.

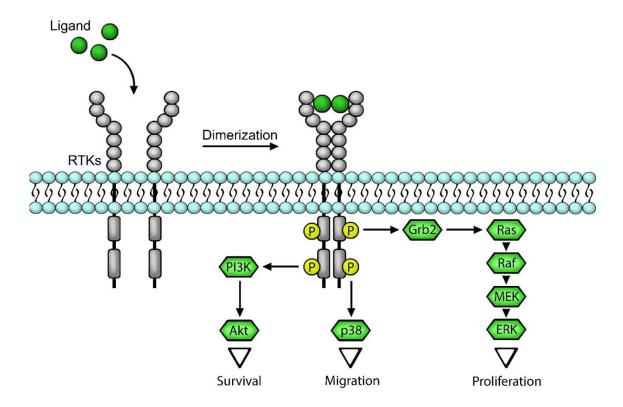


Figure 3. Classical RTK signaling pathways.

The pro-angiogenic growth factors most commonly active in the tumor are ligands that signal through RTKs (Figure 3). These receptor proteins are, in general, membrane-bound with a single transmembrane domain spanning the plasma membrane. The extracellular domain is responsible for ligand recognition and binding, which can occur as monomer or dimer (for simplicity, only ligand dimers are illustrated in Figure 3). Upon ligation, the receptor proteins will dimerize to form hetero or homodimers. Receptordimerization subsequently leads to activation of the intracellular tyrosine kinase domains, which will become phosphorylated and activate classical downstream adaptor proteins 46-48. Various signaling events are initiated depending on the type of extracellular signal received. Biological outcomes involving proliferation are generally conveyed through Ras-Raf-MEK-ERK pathway. PI3K-Akt activation is related to survival, whereas p38 is responsible for migratory behavior 46, 49-52.

The three most common and relevant protein families will be introduced in the following sections, including: the VEGF-family, PDGF-family, and fibroblast growth factor (FGF)-family.

#### 1.6.1 Vascular endothelial growth factor (VEGF)-family

The VEGF family consists of five structurally similar members, namely VEGF-A, VEGF-B, VEGF-C, VEGF-D, and placenta growth factor (PIGF). To exert their functions, these proteins are relying on binding to specific RTKs. There are three different VEGF receptors; R1, R2, and R3. VEGFR1, which can also be spliced to generate a soluble, non-membrane bound form, is able to bind VEGF-A, VEGF-B, and PIGF<sup>53-55</sup>. Signaling through this receptor plays a role in vascular modulation. Noticeably, the binding affinity of VEGF-A to VEGFR1 is higher compared to VEGFR2, whereas the signaling capacity through VEGFR1 is much lower compared to VEGFR2. VEGFR1 is therefore also thought to be important in sequestering VEGF-A, hence, acting as a negative regulator of angiogenesis<sup>56-58</sup>. VEGFR2 on the other hand is responsible for the most potent pro-angiogenic signal by binding VEGF-A, but VEGF-C and VEGF-D are also capable of interacting with this receptor. The last member, VEGFR3, is ligated by VEGF-C and VEGF-D<sup>59</sup>. Activation of this receptor is important for lymphangiogenesis and essential for tip cell formation during angiogenesis. In addition to the importance for physiological processes, this family of proteins has been shown to play a role in other diseases than cancer, such as neurodegenerative disorders, age-related macular degeneration, and rheumatoid arthritis<sup>9, 60</sup>.

#### 1.6.1.1 VEGF-A

VEGF-A is the most potent pro-angiogenic factor playing instrumental roles under physiological and pathological conditions. VEGF-A mainly signals through binding to VEGFR2. Global knock-out of either VEGF-A or VEGFR2 is embryonically lethal<sup>61-63</sup>, emphasizing the crucial role of this pathway. Expression of VEGF-A has been found to be induced by a wide range of stimuli. In addition to hypoxia-dependent transcription and mRNA stabilization, factors such as PDGF, FGF, and EGF are able to effectively regulate VEGF-A expression<sup>64, 65</sup>. As VEGF-A is mainly a mitogen, its primary function in ECs is to promote proliferation, but is also involved in migration and survival, hence is important for the overall angiogenic process<sup>66, 67</sup>. One key feature of VEGF-A is its ability to increase vascular permeability, which plays a critical role in acute inflammation as well as for angiogenesis<sup>68</sup>.

Noticeably, both the receptor and ligand are expressed by different cell types<sup>69</sup>, however, an interesting study demonstrated that VEGFR2 is largely restricted to the vascular ECs in human tumors<sup>70</sup>. VEGF-A is commonly expressed at elevated levels in both pre-clinical tumor models<sup>71, 72</sup> and in human tumors<sup>73, 74</sup>. In the clinical setting, studies have indicated a strong correlation between high levels of VEGF-A and poor prognosis in many cancer types, including prostate cancer<sup>75</sup>, breast cancer<sup>76</sup>, and gastric cancer<sup>77</sup>. Anti-VEGF therapy will be discussed in sections 1.8.2 and 1.9.

#### 1.6.1.2 VEGF-B

The functions of VEGF-B are not well-studied compared with VEGF-A, and the biological roles of its signaling are still controversial. Global deletion of VEGF-B in mice seems to only produce minor defects in the heart, such as an atrial conduction abnormality <sup>78, 79</sup>. This data somewhat diminishes the possibility of VEGF-B playing any critical roles during development. VEGF-B interacts with VEGFR1 to transduce its signals, and interestingly, knock-out of VEGFR1 is embryonically lethal<sup>80</sup>. In contrast, when only the intracellular tyrosine-kinase domain, responsible for signal transduction, is deleted (VEGFR1-TK), mice are viable, further complicating the potential role of VEGFR1-binding ligands<sup>81</sup>. It should be pointed out that in this model, the receptor is still present and able to bind VEGF-A, VEGF-B and PIGF. The regulation of VEGF-B expression remains to be elucidated. Common growth factors, cytokines and hormones do not seem to be involved, and in contrast to VEGF-A, VEGF-B is not induced by hypoxia<sup>82, 83</sup>. As an endothelial mitogen, VEGF-B is only displaying modest angiogenic capacity<sup>84</sup>. It is debated whether VEGF-B is to be considered pro- or anti-angiogenic<sup>85-87</sup>. Studies have shown that the angiogenic effects of VEGF-B could be a result of modulating the VEGF-A signaling<sup>88</sup>. By activation of VEGFR1, VEGF-B seems to be involved in vascular remodeling as its signaling leads to expression of plasminogen activator inhibitor-1 and urokinase, both of which are important for protecting the ECM from degradation<sup>89, 90</sup>. Despite an unclear picture of the biological contributions of VEGF-B, one study reported the expression of VEGF-B in a wide range of human tumors<sup>91</sup>, and high expression levels are correlated with metastasis in colorectal cancer<sup>92</sup>, and breast cancer patients<sup>93</sup>.

#### 1.6.1.3 VEGF-C

VEGF-C exerts its biological functions mainly through VEGFR3 activation. This member of the VEGF family plays an instrumental role in the lymphangiogenic process, but has also been

shown to be involved in blood vessel formation<sup>94, 95</sup>. Experimental data emphasizes the critical role of this signaling pathway as it was shown that embryos do not survive until birth when VEGF-C is globally deleted; this was due to the lack of lymphatic vessels<sup>96</sup>. Interestingly, VEGFR3 deficient mice die earlier compared to VEGF-C null mice, and in contrast, these mice display severe defects in the blood vasculature<sup>97</sup>. Mechanistically, VEGF-C is a potent driver of lymphangiogenesis by promoting lymphatic ECs proliferation, migration and survival<sup>84, 98</sup>. Production of VEGF-C can be upregulated by various growth factors, including PDGF and EGF<sup>82</sup>, or pro-inflammatory cytokines, such as tumor necrosis factor (TNF)- $\alpha^{99}$ . There is conflicting evidence on whether or not hypoxia is involved<sup>82, 100-102</sup>. One recent publication identified hypoxia as an inducer of VEGF-C expression; however, this regulation was hypoxia inducible factor (HIF)-1α-independent<sup>103</sup>. In line with its lymphangiogenic properties, preclinical studies have confirmed the ability to promote intra-tumoral lymphatic growth. For example, in a human breast cancer xenograft model, where tumor cells were propagated to overexpress VEGF-C, a significant increase in lymphangiogenesis was observed. This was, moreover, correlated with lymph node and lung metastases<sup>104</sup>. VEGF-C has also been identified to play a role in human cancer patients. In one extensive study, it was reported that VEGF-C mRNA levels could be detected in roughly 50% of cases, including breast cancer and melanoma<sup>91</sup>. Other studies have detected VEGF-C in tumors such as colorectal cancer<sup>105, 106</sup>, gastric cancer<sup>107</sup>, and esophageal cancer<sup>108</sup>. Additionally, a link between VEGF-C expression and lymph node metastasis was reported for breast cancer<sup>109</sup>, gastric cancer<sup>110</sup>, and esophageal cancer<sup>111, 112</sup>. In all the above cases, VEGF-C was also correlated to poor patient prognosis.

#### 1.6.2 Platelet-derived growth factor (PDGF)-family

The four ligands belonging to the PDGF family mostly form homodimers (AA, BB, CC, and DD); however, heterodimerization can also occur resulting in AB dimers. Two different RTK protein chains,  $\alpha$  and  $\beta$ , respectively, are forming homo- or heterodimers to function as receptors. Receptor dimers consisting of  $\alpha\alpha$  are able to bind AA, BB, AB, and CC ligands, whereas receptor dimers of  $\beta\beta$  are binding BB and DD ligands. Various experimental data shows that all ligand combinations, except AA, can interact with  $\alpha\beta$  receptor heterodimers<sup>113</sup>. Expression of these ligands and receptors can be found in a broad spectrum of cell types, including VSMCs, PCs, ECs, and fibroblasts<sup>114</sup>. The PDGF family is generally important for transducing mitogenic as well as chemotactic signals<sup>115, 116</sup>, thereby playing a role in development, wound healing, and tumor angiogenesis, including both hematogenous and lymphatic vessel growth<sup>113, 117</sup>. Evidence for implication of abnormal PDGF signaling has been

found in various pathological conditions and processes, including cancer, diabetic retinopathy<sup>118</sup>, and atherosclerosis<sup>119, 120</sup>.

#### 1.6.2.1 PDGF-B

PDGF-B is the major factor responsible for the recruitment of PCs and VSMCs to vessels to provide necessary support and, hence, is essential for the formation of mature blood vessels<sup>121</sup>, <sup>122</sup>. Signaling from PDGF-B by activation of its PDGFR-β receptor on PCs and VSMCs is essential for proliferation, migration and survival 123, 124. The mechanisms of PDGF-B-induced angiogenesis are still debated. Experimental data support the finding that PDGF-B directly promotes sprouting of ECs in vitro<sup>123, 125</sup>, however, to date, it has not yet been confirmed in *vivo*<sup>117</sup>. The indispensable role of PDGF-B signaling in development was realized when global knock-out models were generated. Both PDGF-B and PDGFR-β null mice die perinatally due to severe hemorrhages, confirming the involvement of PDGF signaling for proper vascular development<sup>126, 127</sup>. In fact, the vascular endothelium, including in tumors, is a key source of PDGF-B<sup>128</sup>. However, importantly, tumor cells also express PDGF-B<sup>129</sup>. There are multiple factors with potential to regulate PDGF-B expression, including TNF-α<sup>130</sup> and transforming growth factor (TGF)- $\beta^{131}$ . Hypoxia has also been confirmed to have an important regulatory function by stimulating PDGF-B expression<sup>132, 133</sup>. In the tumor setting, PDGF-B can act either directly on tumor cells, or indirectly be promoting tumor progression by its angiogenic effects<sup>134, 135</sup>. In line with the pro-tumorigenic effects of PDGF-B, significant correlations between its expression and poor prognosis have been demonstrated in lung cancer 136, 137. Interestingly, PDGF-B expression has been linked to lymph node metastasis in gastric cancer<sup>138</sup>. Potential of PDGF-targeting therapy will be discussed in sections 1.8.3 and 1.9.

#### 1.6.3 Fibroblast growth factor (FGF)-family

At the time of writing, 22 different proteins have been identified and classified as members of the FGF family<sup>139</sup>. Their biological effects are in general mediated by four different RTKs: FGFR1, FGFR2, FGFR3, and FGFR4. Interestingly, four members, the FGF-11, -12, -13, -14, are not signaling through these surface receptors, but rather have intracellular functions<sup>140</sup>. Furthermore, most FGFs are secreted from cells, however, certain members, including FGF-2, lack a secretory signaling peptide<sup>141</sup>. Release of FGF-2 is believed to occur through an endoplasmic-reticulum-independent mechanism (exocytosis), or from damaged cells<sup>142</sup>. The signaling axis of FGFs and their receptors are involved in multiple biological processes,

including differentiation, proliferation, migration, and survival<sup>143, 144</sup>. In a broad sense, they are instrumental for the overall healthy organ development, but particularly in skeletal and vascular development<sup>145, 146</sup>. Global deletion models in mice reveal dramatically different outcomes dependent of which FGF-member that is removed. Some are viable with varying degrees of phenotypes, whereas others are embryonically lethal<sup>147</sup>. FGFs have also been implicated in development of diseases such as cancer<sup>148</sup>, Parkinson's disease<sup>149</sup>, Alzheimer's disease<sup>150</sup>, and skeletal diseases<sup>151</sup>.

#### 1.6.3.1 FGF-2

FGF-2 has not only been identified to be a potent regulator of angiogenesis<sup>152</sup>, but also plays a role in lymphangiogenesis 153, 154. FGF-2 induced angiogenesis has been reported to occur through both VEGF-A dependent and independent mechanisms<sup>155</sup>. Interestingly, FGF-2 signaling leads to VEGF-A upregulation in ECs<sup>156-158</sup>. Mechanistically, FGF-2 is a pleiotropic factor acting on various cell types, such as ECs, PCs and VSMCs<sup>159</sup>, to regulate multiple processes including proliferation, migration, survival, and differentiation <sup>152, 160, 161</sup>. FGF-2 signaling in VSMCs modulates contractility of the vessels and maintains the vascular tone. Consequently, FGF-2 is essential for regulation of blood pressure, inflammation, wound healing, metabolism, and bone formation <sup>161-163</sup>. Despite being involved in vital processes, mice with global deletion of FGF-2 are still viable and fertile. These mice only display moderate phenotypes, including reduced blood pressure, thrombocytosis, delayed wound healing, and neuronal defects<sup>161, 163</sup>. FGF-2 expression is induced in response to hypoxia<sup>164</sup>, but can also be regulated by factors such as PDGF-B $^{165}$ , EGF $^{166}$ , and TGF- $\beta^{167}$ . FGF-2 is known to contribute to tumorigenesis and its expression has been detected both in tumor cells and stromal cells <sup>168</sup>, <sup>169</sup>. Furthermore, elevated levels of FGF-2 were detected in a wide range of cancer types <sup>170</sup>. Nevertheless, the clinical impact of FGF-2 expression is not clear. There are reports indicating significant correlation with poor prognosis in head and neck squamous cell carcinoma<sup>171</sup>, and oral squamous cell carcinoma patients<sup>172</sup>. In a study on breast cancer, the findings were opposite where patients with high levels of FGF-2 showed improved survival<sup>173</sup>. Moreover, survival in lung cancer patients appears to be dependent on the cellular source of FGF-2 as it was demonstrated that high tumor cell expression of FGF-2 correlated with poor prognosis, whereas opposite correlation was found if the FGF-2 was expressed in the stromal compartment<sup>174</sup>. Taken together, additional studies are required before conclusions of the tumor-contributing properties of FGF-2 can be drawn.

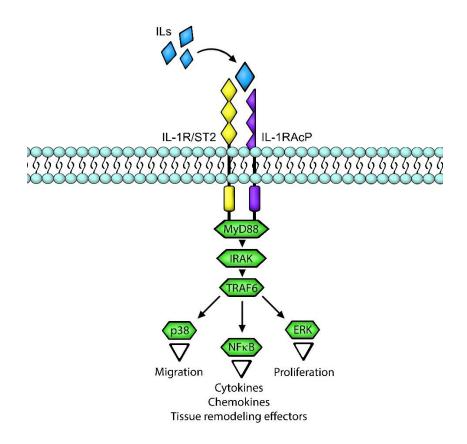
#### 1.7 CYTOKINES

Cytokines are small specialized secreted proteins classified according to their immune response-related effects. In general, cytokines are defined as being pleiotropic, i.e. the effect of one cytokine can be different depending on the target cell type. In addition, there is a redundancy in the system, meaning that different cytokines have overlapping functions<sup>175</sup>. There are several subgroups of cytokines, the; interleukins (ILs), interferons (IFNs), colony stimulating factors (CSFs), TGFs, TNFs, and chemokines 176. Chemokines are characterized by having an effect on cell migration, as well as adhesion and activation <sup>177</sup>. Furthermore, cytokines can be grouped according to their pro- or anti-inflammatory effect; however, that can be context dependent as well. Four different classes of receptor proteins have been identified to be involved in cytokine signaling. These receptors are divided into type I cytokine receptors, type II cytokine receptors, TNF receptor family, and IL-1 receptor family<sup>178</sup>. Downstream signaling pathways of cytokine receptors include most of the common adaptor proteins such as ERK, Akt, p38, but frequently also NF-κB and JAK/STAT components for various biological outcomes 179-181. The category of cytokines is comprised of a broad spectrum of proteins, and as one might expect, the processes where these are involved are equally diverse. Implication of cytokine signaling have be noted in the regulation of, for example, inflammation, infection, injury, body temperature, and psychological state including mood and appetite<sup>182-185</sup>.

#### 1.7.1 Interleukin (IL)-1 family

The IL-1 superfamily of cytokines contains five identified members; IL-1, IL-18, IL-33, IL-36, and IL-37. The receptors for the IL-1 ligands are structurally similar and rely on similar principles for activation <sup>186</sup>. The intracellular domain, responsible for initiation of downstream signaling, is composed of a special Toll/IL-1R (TIR) domain <sup>187</sup>. In contrast to RTKs, most of these receptors do not form dimers among themselves, but rather depend on dimerization with an IL-1R accessory protein (IL-1RAcP) which also contains an intracellular TIR domain. Upon ligand binding, the receptor will interact with the IL-1RAcP leading to TIR domain dimerization, allowing for downstream signaling events to occur. Usually the intracellular signaling is conveyed through ERK, p38, or NF-κB leading to various biological outcomes, such as proliferation, migration, and production of cytokines, chemokines and tissue remodeling effector proteins <sup>176, 188, 189</sup> (Figure 4). In addition to the involvement of biological processes previously described for cytokines in general, ILs can be drivers of pathological conditions, such as cancer <sup>190</sup>. Specifically, during diseases where chronic inflammation is an

underlying cause, including atherosclerosis<sup>191</sup>, Alzheimer's disease<sup>192</sup>, and rheumatoid arthritis<sup>193</sup>, ILs commonly play an important role.



*Figure 4. Interleukin signaling pathways.* 

#### 1.7.1.1 IL-33

IL-33 has been widely studied in various immunological settings as it was identified to be a potent regulator of the innate immunity as well as the adaptive T-cell response<sup>194, 195</sup>. Studies in both IL-33 and ST2 (IL-1RL1)-deficient mice revealed no obvious abnormal phenotypes under pathogen-free conditions and mice were fertile and developed normally. The mice do, however, have reduced immune reactivity and impaired production of certain cytokines<sup>194, 196, 197</sup>. IL-33 is constitutively expressed in many different tissues<sup>198, 199</sup>, but typically is restricted to epithelial cells, fibroblasts and smooth muscle cells<sup>200</sup>. In humans, expression was first described in ECs<sup>201, 202</sup>, yet, such expression appears to be lacking in mice<sup>199, 203</sup>. Interestingly, in contrast to most other cytokines, IL-33 seems to be exclusively located in the nucleus<sup>195, 198</sup>, implying that IL-33 can act as a nuclear factor<sup>204, 205</sup>. Importantly, in line with the nuclear localization pattern and its constitutive expression, IL-33 has been recognized as an alarming cytokine signaling upon tissue damage or as a result of necrotic cell death<sup>205, 206</sup>. Cellular stress

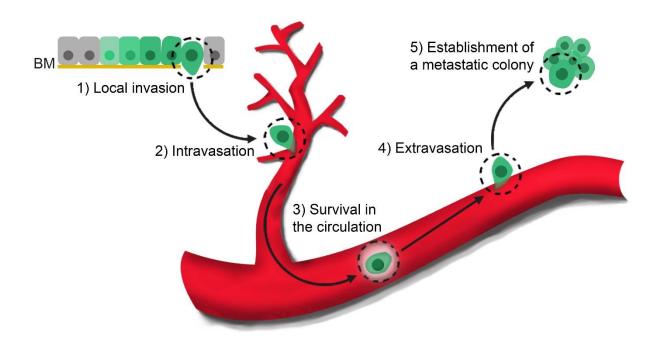
or injury would, hence, lead to the extracellular release of IL-33, functioning as an alarm signal and trigger an immune response. This process does not occur during apoptosis as active caspases would cleave IL-33 to become inactive<sup>207</sup>. Recent findings have, however, reported that living cells also actively can secrete IL-33<sup>208, 209</sup>. Extracellular biological activity is mediated by binding to the ST2 receptor present on many immune cells, including macrophages<sup>210, 211</sup>, mast cells<sup>212</sup>, and CD4+ T-cells<sup>213</sup>. IL-33 has been demonstrated to be involved in cellular differentiation, activation, adhesion, proliferation, and cytokine production in its target cells<sup>214</sup>. Pro-inflammatory cytokines, such as TNF-α and IFN-γ, in turn, potently regulate IL-33 expression<sup>212</sup>. Implication of IL-33 in pathology includes cancer, asthma and rheumatoid arthritis<sup>215, 216</sup>. Regarding the role of IL-33 signaling in cancer, results from preclinical models are pointing towards different directions. There are several tumor studies performed in mice that indicate a pro-tumorigenic effect of IL-33 on tumor progression, invasion and metastasis in breast cancer<sup>217</sup>, ovarian cancer<sup>218</sup>, gastric cancer<sup>219</sup>, and colorectal cancer<sup>220, 221</sup>. In contrast, opposing results were recently published by others using mouse models of breast cancer and melanoma<sup>222</sup>, as well as colon cancer<sup>223</sup>. In one of these studies, the anti-tumorigenic effects were probably due to an increased number of cytotoxic T-cells and natural killer cells<sup>222</sup>. In cancer patients, the findings are reflecting the pro-tumorigenic effects of IL-33 and strong associations between high IL-33 expression and poor prognosis have been reported in ovarian cancer<sup>218</sup>, glioma<sup>224</sup>, and squamous cell carcinoma of the tongue<sup>225</sup>.

# 1.8 THE METASTATIC CASCADE AND INVOLVEMENT OF THE TUMOR MICROENVIRONMENT

The involvement of tumor microenvironment in initiation, progression and spreading of tumors has been well recognized during the past years and was introduced as an important key compartment in the updated version of hallmarks of cancer in 2011<sup>6</sup>. It is known that an extensive dynamic communication occurs within tumors; between tumor cells, vascular cells, perivascular cells, and fibroblasts, as well as immune cells. In fact, the tumor cells are not only able to escape the regulatory systems, but also induce an active signaling to produce a favorable environment. Under those signals, tumor cells educate the body's own cells to use their functions for tumor-promoting advantages<sup>226</sup>. This interplay is of crucial importance for providing immune escape, treatment resistance mechanisms, and facilitating tumor spreading<sup>227</sup>. Microenvironmental opportunities for cancer therapy will be discussed in the following sections.

#### 1.8.1 The metastatic process

Despite being an inefficient process where tumor cells either do not survive in the circulation or fail to establish a metastatic colony<sup>228</sup>, metastatic spread is very common and in fact responsible for around 90% of cancer-related deaths<sup>229</sup>. These numbers justify the prominent role of acquiring an invasive phenotype as one of the hallmarks of cancer. There are several important and challenging steps that occur during the process of metastatic dissemination and eventually lead to successful colonization<sup>230</sup> (summarized in Figure 5).



*Figure 5.* A simplified overview of the metastatic process.

In brief, cellular changes occur within the primary tumor to generate tumor cells with more invasive characteristics that are able to break loose, invade locally, and migrate towards the blood and lymphatic vessels. The cells then need to intravasate into the vascular system. As previously discussed, the environment in the circulation, especially the blood system, is mechanically harsh but there are also plenty of immune cells posing a threat to the tumor cells. The tumor cells struggle to survive such stressful conditions. Tumor cells are usually arrested in capillaries at the site of a distant organ, commonly lungs and liver. Here, the tumor cells must extravasate in order to form metastatic colonies. Subsequent metastatic growth is dependent on several aspects. In fact, if the environment is unfavorable, tumor cells have been found to remain in a dormant stage for years before regrowth is initiated and metastases can be clinically detectable<sup>231</sup>.

The complex process of metastatic dissemination can be influenced at many levels and by many different factors. As in most steps in tumor progression, the microenvironment has been found to play instrumental roles in the metastatic process<sup>232</sup>. This can be exemplified by hypoxia, which is commonly found in the growing tumor and is known to be one of the major inducers of metastasis-related genes<sup>233, 234</sup>. Under normoxic conditions, the HIF-1a, a transcription factor, is targeted for degradation. This process is dependent on hydroxylation of the HIF-1a protein by a group of enzymes known as prolyl hydroxylases (PHDs). When there is a lack of oxygen, these PDHs are not active and hence, HIF-1α can be accumulated and translocated to the nucleus where it will regulate transcription of its target genes<sup>235</sup>. Importantly, hypoxia affects all cells in the tumor tissue. Again, there is an effect on the stromal compartment by factors produced under hypoxic conditions to recruit cells and engage them in the metastatic process to facilitate dissemination. For example, certain immune cells, including macrophages (Mφ) and neutrophils that can be recruited to boost tumor cell proliferation and promote invasion by different mechanisms<sup>236, 237</sup>. Clinically, it has been reported that expression of HIF-1 and HIF-2 correlates to poor prognosis in various tumor types, for instance in late stage breast cancer<sup>238</sup>, colorectal cancer<sup>239</sup>, and metastatic renal cancer<sup>240</sup>. Collectively, these findings further confirm the role of direct and indirect regulation by hypoxia in the tumor progression and metastasis.

#### 1.8.1.1 Local invasion

Prior to understanding the process of local invasion, it is central to understand the fundamental structures and cell behaviors of tissues. Importantly, as tumor cells in the primary tumor are frequently (approx. 90%) of epithelial origin<sup>241</sup>, the cells are well organized and have a specific polarization with apical (luminal), lateral, and basal sides. On the basal side of the cell layer, cells are anchored to the basement membrane via, for example, integrins. On the lateral sides between epithelial cells, there are several different categories of junctions, such as tight junctions, adherens junctions, and desmosomes that provide strong cell-cell adhesions<sup>242</sup>. All these aforementioned features are limiting cell migration. During tumor progression, tumor cells are gaining various advantages by gene mutations or regulation of gene expression. In context of primary tumor invasion, the cells need to detach from neighboring cells. A cell can accomplish that by different means. One such process known to occur; is epithelial-to-mesenchymal transition (EMT)<sup>243</sup>. Probably the most well-studied factor in the context of EMT is TGF- $\beta^{244}$ . Signaling through the TGF- $\beta$  type I and II receptors, activates Smad2/3 downstream effector proteins and eventually initiates several important signaling events. In the

frame of reference of EMT, TGF- $\beta$  signaling will, for example, lead to the loss of E-cadherin, the receptor that forms adherens junctions. As a consequence of this event,  $\beta$ -catenin, a transcription factor that is associated to E-cadherin, will be released and relocate to the nucleus where it can upregulate various genes involved in proliferation, survival, and differentiation. Collectively, the process of EMT leads to increased migratory capacity and reduced cell-cell junctions. Together, these downstream events of TGF- $\beta$  signaling are sufficient to induce the EMT program and allow cells with an acquired mesenchymal phenotype to detach<sup>245, 246</sup>.

#### 1.8.1.2 Intravasation

Following acquisition of migratory and invasive phenotypes, tumor cells are facing another rate-limiting step, the intravasation process. Entry into the blood system seems to require more advanced steps compared to entry into the lymphatic system, for reasons discussed in sections 1.3 and 1.5. The approaches by which tumor cells are crossing the endothelial barrier can be different. Two modes of intravasation by transmigration; paracellular (between ECs) and transcellular (through ECs), have been proposed to be utilized by tumor cells<sup>247</sup>. Paracellular transmigration is believed to be the most common mechanism in vivo. The molecular details underlying this process are not fully understood, however, it has been shown that matrix metalloproteinases (MMPs) are involved. One report identified a regulation of endothelial permeability by MMP-1 via activation of the protease-activated receptor-1 expressed on ECs, leading to increased tumor cell intravasation<sup>248</sup>. Importantly, for tumor cells to gain access to the circulation, the ECM and basement membrane act as a protective barrier that needs to be degraded. Various MMPs and other proteases, which can be both tumor cell-derived or produced by non-malignant cells such as Mo, are responsible for degrading different components of these structures<sup>249</sup>. Blocking of, for example, MMP-9 in pre-clinical models has been shown to lead to significantly impaired metastatic capacity<sup>250, 251</sup>.

#### 1.8.1.3 Extravasation

Tumor cells that are able to survive in the blood circulatory system have a new challenging step to complete, the process of extravasation, before they have gained access to the distal organ. In regards to the immune system, extravasation is the manner by which leukocytes enter tissues. Tumor cells are believed to utilize the same steps of extravasation, i.e. rolling, adhesion, and transmigration<sup>252</sup>. The underlying mechanisms are not yet well characterized in the case of tumors. There are studies indicating a role for integrins as the means by which tumor cells are

capable to attach to the endothelium and subsequently transmigrate<sup>253</sup>. Specifically, integrin  $\beta 1$  has been identified as a mediator of extravasation<sup>254</sup> and has been shown to be associated with poor prognosis in various type of cancer types, including lung cancer<sup>255</sup>, breast cancer<sup>256</sup>, and metastatic melanoma<sup>257</sup>. Interestingly, in a pre-clinical model, tumor cells were recently found to promote their extravasation by inducing necroptosis (a form of programmed cell-death) in ECs to gain access to lung tissues. This process was shown to be mediated by death-receptor 6 activation<sup>258</sup>. In addition, transmigration can be facilitated by enhancing vascular permeability, which can be accomplished by secretion of factors such as VEGF-A<sup>259</sup>, TGF- $\beta$ <sup>260</sup>, or angiopoietin-like-4<sup>261</sup>. After successfully completing this difficult step-wise process, the tumor cells have the opportunity to form an established metastatic colony.

Non-malignant cells have been identified to be critical in the overall metastatic process. As the central focus of the current study, was to investigate the mechanisms of tumor microenvironment in promoting metastasis, the roles of ECs, PCs, and M $\phi$  will be discussed in the following sections in relation to metastasis and potential targeted therapy. Although the contributions of tumor-associated fibroblasts are being well recognized in tumor development and progression, the topic is outside to scope of our findings, and hence will not be discussed in detail.

#### 1.8.2 Endothelial cells (ECs)

Blood vessels are a crucial part of the architecture within a tumor and, as detailed earlier, provides a common route for dissemination. As the main role of the blood system is to deliver oxygen, the vessels are in that sense also regulating tissue hypoxia, which is known to affect various aspects of tumor progression, as previously discussed in section 1.8.1. Even in a healthy tissue, the ECs are important for maintaining tissue homeostasis and regulating tissue inflammation by, for example, controlling the transmigration of immune cells<sup>262</sup>. In addition to the previously mentioned, obvious vessel-related functions, the ECs have been found to make further contributions. In fact, recent findings indicate a link to direct tumor-promoting effects on proliferation and invasion exerted by EC-derived factors<sup>263</sup>. Also, tumor cell-EC communication has been reported to render ECs resistant to a number of chemotherapeutic agents by acquiring expression of anti-apoptotic proteins. As a result, the tumor vasculature can remain even after treatment and continue to support surviving tumor cells, again contributing to resistance<sup>264</sup>.

When looking at the blood vasculature as a target for treatment, the initial strategy and idea was to starve the tumor. Killing of ECs would lead to reduced tumor vascularity, consequently less oxygen and nutrients will reach the tissue and increase the chance of apoptosis and tumor regression<sup>265</sup>. Early experimental data was in support of this hypothesis and blockade of VEGF-A signaling in pre-clinical models proved to be successful in a range of different tumor models<sup>266</sup>. These findings led to the development of a first anti-VEGF-A neutralizing antibody, Bevacizumab (Genentech)<sup>267</sup>. Clinically, the results have been less beneficial and researcher are still attempting to understand the mechanisms. However, Bevacizumab is currently approved by the Food and Drug Administration (FDA) for treatment of various tumor types, in general, at an advanced metastatic or recurrent stage. Importantly, the approval is based on combination treatment with chemotherapeutics. Despite a promising initial hypothesis, emerging evidence is, however, suggesting that induction of hypoxic conditions might not be that beneficial. Reports are instead proposing a strategy leading to improved blood perfusion and alleviated hypoxia. This would be preferred, especially in combinational settings, since it has been shown to increase delivery of a secondary drug, commonly a chemotherapeutic agent<sup>265</sup>. Additional efforts are ongoing. The second and third generations of anti-angiogenic agents, such as the tyrosine kinase inhibitors sorafenib (Bayer) and sunitinib (Pfizer), are now available for clinical use. The key question is how to select the right treatment for individual patients.

#### 1.8.3 Pericytes (PCs)

The tumor-regulating functions of PCs are still being debated. As PCs are covering the outside of the capillary blood vessels to provide support, they also constitute an effective barrier to prevent intravasation of cells and hence are, in that context, believed to limit the metastatic potential of a tumor<sup>268</sup>. One report indicated a strong correlation between low perivascular coverage and poor prognosis in clinical samples from breast cancer patients<sup>269</sup>. It is, however, important to realize that the tumor vasculature is highly heterogeneous with different levels of coverage in different areas, which may affect treatment outcome<sup>270</sup>. We and others have shown how PCs can actively participate to promote metastasis by different mechanisms. On one hand, PCs have been found to undergo transition into tumor-associated fibroblasts and contribute to metastasis<sup>271</sup>. Additionally, we identified PCs as a source of IL-33, which was reported to promote metastasis by modulating the immune cell compartment<sup>221,272</sup>. The therapeutic setting can be exemplified by imatinib (Novartis), a small molecule, which is approved as first-line treatment of KIT+ gastrointestinal stromal tumors<sup>273</sup>. Imatinib has several targets, including

PDGFRs, and is reported to modulate the vascular coverage by ablating PCs<sup>274</sup>. This consequently renders ECs more susceptible for combination treatment with, for example, anti-VEGF-targeting agents. Such combinations have shown promising results in various preclinical models<sup>275, 276</sup>. However, one recent clinical study on combination therapy in melanoma failed to observe any clinical benefits<sup>277</sup>.

Available literature is not only focused on if there is a therapeutic effect of targeting PCs in tumors, or not. But also, caution is to be taken, as it has been reported that targeting PCs can also increase the risk for metastasis. Interestingly, targeting PCs at early stages in tumors or when the level of PDGF-BB is high seem to reduce tumor progression and inhibit dissemination. In contrast, in late stage tumors or if levels of PDGF-BB are low, metastasis was promoted in settings where PCs were targeted<sup>278, 279</sup>. In light of these reports, it is noteworthy to mention that, in the clinical setting, cancer is usually detected at a later stage, possibly reducing the potential success of this approach. In contrast to this strategy, some results are suggesting that attempts should be made to stabilize the EC-PC interactions to increase the PC coverage, which could be accomplished by antagonizing the Ang-2 signaling<sup>280</sup>. Trebananib (Amgen), a peptibody blocking both Ang-1 and -2 interaction with Tie-2, was reported to increase progression-free survival in recurrent ovarian cancer patients when combined with paclitaxel compared to paclitaxel alone<sup>281</sup>. A more specific antibody, Nesvacumab (Regeneron), which is only neutralizing Ang-2, is showing promising antitumorigenic effects in early clinical trials<sup>282</sup>.

#### 1.8.4 Macrophages (Mφ)

The components of the immune system protect the body against danger and potential diseases. Tumor cells are, however, to a large extent capable of evading the immune surveillance and persist<sup>283</sup>. As is true for other cellular compartments, tumor cells are able to modulate the phenotypes of immune cells. Immune cells are intrinsically dynamic and their functions and polarization can be changed according to the environmental need<sup>284</sup>. One population of immune cells found in abundance in tumor tissues is Mφ. Macrophages can be tissue-resident or recruited from the periphery and they play an important role in host defense both by direct phagocytosis of microbes and activation of T- and B-lymphocytes<sup>285, 286</sup>. Depending on the circumstances, Mφ can become "classically activated" to generate M1 type, which is proinflammatory, or "alternatively activated" to become anti-inflammatory M2 type<sup>287</sup>. In the context of tumor studies, the M1 subtype has been identified to be anti-tumorigenic by e.g. stimulating the immune response. In contrast, the M2 subtype has been found to act in favor of

the tumor by engaging in various processes. For example, these cells are producing anti-inflammatory cytokines to dampen the immune response<sup>288</sup>. In addition, they are an important source of matrix degrading proteins that facilitates tumor cell invasion, but also contribute to resistance by producing pro-angiogenic factors<sup>289</sup>. Moreover, M $\varphi$  have been shown to play an even more active role in the metastatic process by guiding and helping tumor cells to intravasate<sup>290, 291</sup>. At least in part, this M $\varphi$ -tumor cell communication was shown to involve CSF-1R<sup>292, 293</sup>. Collectively, there is clear evidence for M $\varphi$  being an important contributor to tumor progression.

Recently, growing interest in the population of M $\phi$  as an attractive target for therapy has led to development of CSF-1R neutralizing antibodies and small molecules. The mechanisms behind the potential success of such approach are still under investigation, some reports indicate a process of re-programming upon treatment<sup>294</sup>, whereas others point towards induction of cell death<sup>295</sup>. Of note, a recent publication described an increase in spontaneous metastatic potential when neutralizing CSF-1R in a breast cancer model, indicating that caution might be needed<sup>296</sup>. Additional studies are required before final conclusions can be drawn on the future of CSF-1R targeting agents. Interestingly, a different M $\phi$ -targeted approach, taking advantage of the fundamental characteristics of this cellular population of being an effective contributor to cell and pathogen destruction, is based on CD40 activation. CD40 is expressed on many cell types and was found to activate M $\phi$  leading to IFN- $\gamma$ -mediated tumor cell killing<sup>297</sup>. Based on that notion, agonistic CD40 antibodies have been developed. One such antibody, CP-870,893 (Pfizer), is currently in clinical trials<sup>298, 299</sup> after displaying promising anti-tumorigenic activity in mouse models<sup>300</sup>.

#### 1.9 TREATMENT OF CANCER PATIENTS

From the evolvement of modern cancer surgery in the 19<sup>th</sup> century, radiotherapy in early 20<sup>th</sup> century, and chemotherapy in the middle of the same decade, today's cancer therapy has come a long way. The realization that tumors are able to regrow or become resistant when applying these therapeutic interventions<sup>301,302</sup>, contributed to continuous effort being put on finding new strategies for cancer treatment. Along with great progress in tumor detection using computed tomography and introduction of detection and screening processes, several different types of treatments were developed, including improved chemotherapeutics, hormonal therapy for breast and prostate cancer patients, vaccination for colon and cervical cancer, and new radiotherapy techniques. Tumors, however, often respond poorly to many of these available drugs<sup>303</sup>.

In recent years, interesting progress in the field of targeted therapies has improved the opportunities for individual treatment and increased overall survival in many types of cancer. Although the already established tamoxifen treatment is regarded as a targeted therapy by its binding to the estrogen receptor and blocking its interaction with estrogen, the first antibodybased drug designed for a molecular target in cancer, rituximab (IDEC Pharmaceuticals), received a lot of attention and initiated the field of targeted therapy. In 1997 it became the first drug ever of its kind to get approval by the FDA, the indication was treatment of Non-Hodgkin's lymphoma (NHL). Rituximab is a monoclonal antibody targeting the CD20 antigen expressed on B-cells, which is the most common malignant cell type in NHL<sup>304</sup>. Following this approval, additional tumor cell-targeting antibodies were approved, such as trastuzumab (target; HER2, Genentech) in breast cancer, and cetuximab (target; EGFR, ImClone Systems) in colon cancer. Not only antibody-based approaches have been developed, small molecules are an attractive alternative due to their chemical stability, potency, and less immune-reactive properties along with being more cost-effective<sup>305</sup>. FDA-approved small molecules includes imatinib (target; Bcr-Abl, c-Kit and PDGFRs, Novartis) in chronic myeloid leukemia (CML), and gefitinib (target; EGFR, AstraZeneca) in lung cancer.

All these aforementioned therapies are based on targeting tumor cells, and are commonly facing the problem of resistance in the clinical setting. As discussed in previous sections, the different compartments of the tumor microenvironment have been identified to influence all the steps of tumor progression, including metastasis and resistance<sup>306-308</sup>. Despite the layer of complexity of microenvironmental interactions, it provides an attractive opportunity for therapeutic interventions. In fact, looking at clinical trials and already FDA-approved drugs, there are targets for different compartments in the tumor microenvironment. One of those attractive targets are the immune cells with the overall aim to amplify the anti-tumorigenic effects of the immune system. Such approaches are employed by drugs targeting proteins expressed on T-cells (CTLA-4 and PD-1), or macrophages (CD40 and CSF-1R). Some examples are: ipilimumab (target; CTLA-4, Bristol-Myers Squibb), nivolumab (target; PD-1, Bristol-Myers Squibb), CP-870,893 (target; CD40, Pfizer), and AMG-820 (target; CSF-1R, Amgen). Furthermore, several drugs have been generated to modulate vascular and perivascular cells by targeting VEGFRs, PDGFRs, or the Ang system. Some examples are: bevacizumab (target; VEGF-A, Genentech/Roche), aflibercept (target: VEGF-A, VEGF-B, and PIGF, Regeneron), sunitinib (target; VEGFRs and PDGFRs, Flt3, and CSF-1R, Pfizer), imatinib (target; Bcr-Abl, c-Kit and PDGFRs, Novartis), sorafenib (target; VEGFRs, PDGFRs, Raf, and Kit, Bayer), pazopanib (target; VEGFRs, PDGFRs, Kit, GlaxoSmith Kline), AMG-386 (target; Ang-2, Amgen), trebananib (target; Ang-1 and Ang-2, Amgen), and nesvacumab (target; Ang-2, Regeneron). These drugs have generated promising pre-clinical data and showed potential in clinical trials in combination with other anti-cancer therapies. However, the anti-angiogenic drugs, so far, to reach the clinic have only limited efficacy<sup>309</sup>. Pre-clinical data from a study using VEGFR inhibitor in a mouse pancreatic tumor model, demonstrated that anti-angiogenic targeting in late-stage tumors, a point where patients usually come to the clinic, had limited effect compared to early treatment. It was concluded that VEGFR blockade was inefficient in regressing tumors with pre-established vascular network. Furthermore, this study indicated that combination therapy where VEGFR and PDGFR are targeted concomitantly, yielded superior anti-tumorigenic properties, even in late-stage tumors<sup>275</sup>.

As is true for most treatment options today, drug resistance is one of the major hurdles. In the frame of references of anti-angiogenic therapy, there are many hypotheses to how tumors are becoming resistant. Again, the microenvironment seems to play an important role in the contribution to resistance. Upon anti-angiogenic therapy, some tumor vessels will regress, leading to a hypoxic environment. On one hand, hypoxic tumor cells are resistant to chemotherapy and radiotherapy<sup>310, 311</sup>, and there is a selection of cells that do survive, for example those insensitive to apoptosis and thereby not responsive to drugs with apoptosis-dependent mechanisms<sup>311</sup>. On the other hand, as mentioned in an earlier section, hypoxia is regulating a wide range of processes by controlling gene expression in basically all cells within the tumor. Many of those processes are involved in escape from cell death. These issues are challenging, but there is intriguing research ongoing in the field of targeting hypoxia in tumors to improve the efficacy of secondary drugs<sup>312</sup>.

Taken together, drugs targeting the microenvironment can be successful when applied in appropriate settings. It is crucial to gain further understanding of the intratumoral communication that has been proven to limit the therapeutic effects, and to apply the right combinations of drugs, in the right settings to achieve improved treatment of cancer patients.

## 2 AIMS

The overall aim of this thesis was to study the influence of tumor microenvironment in the process of metastasis and to investigate current cancer therapy.

More specifically, the aims were:

- I. To explore the role of PDGF-BB in the tumor microenvironment
- II. To investigate the mechanisms behind PDGF-targeting therapy in cancer
- III. To study the influence of VEGF-B in context of tumor metastasis
- IV. To investigate lymphangiogenesis and potential interplay of two common lymphangiogenic factors; VEGF-C and FGF-2

## 3 RESULTS

The constituent papers in this thesis work describe different mechanisms employed by various cellular compartments in regulating the metastatic process. We report how modulation of angiogenesis (paper I, and III) and lymphangiogenesis (paper IV) influence the metastatic potential of tumors. In addition, we identify mechanisms underlying the prometastatic contributions of PCs (papers I, and II) and M $\phi$  (papers II, and III) in the tumor microenvironment.

### 3.1 PAPER I

## PDGF-BB expression serves as a biomarker for anti-PDGF therapy by influencing the vascular remodeling and having an impact on tumor metastasis

PDGF-BB is a known mitogen that contributes to tumor growth, and plays an instrumental role in PCs recruitment to vessels. Despite several studies in pre-clinical and clinical settings, the role and mechanisms of PDGF-BB-modulated tumor vasculature remains largely unclear. Understanding the role of PDGF-BB in cancer is motivated by the frequent expression of PDGF-BB in tumors, which has been noted in various types of tumors<sup>313</sup>. The fact that anti-PDGF drugs are currently a part of clinical practice for targeting of PDGF signaling in tumors, justifies further investigations on the underlying mechanisms to guide appropriate use of anti-PDGF targeting. We and others previously identified how PDGF signaling is essential for vascular remodeling, however, overexpression of PDGF-BB has been found to increase metastasis in pre-clinical models. In this study, we wanted to understand the mechanisms of PDGF-BB effects on the vascular phenotype and impact on metastasis in tumors, as well as clarify the impact of anti-PDGF targeting.

To address the role of PDGF-BB in tumors, we employed human tumor xenografts in mice. A human epidermoid cancer cell line (A431) was selected for its high expression, and a neuroblastoma cell line (IMR32) displayed relatively low PDGF-BB expression. Vascular analysis of these tumors, revealed significant differences in the perivascular coverage. Interestingly, despite the role of recruiting PCs to the vasculature, the high PDGF-BB expressing A431 tumors showed less coverage and reduced total number of PCs. To validate that PDGF-BB was playing a role in the vascular phenotype, we propagated murine tumor models to overexpress PDGF-BB at different levels. In these models, we were able to reproduce the findings observed in the human tumor models. We could also confirm that vascular disorganization was increased as the level of PDGF-BB increased.

To elucidate the effects of anti-PDGF drugs in settings where expression of PDGF-BB is different, we treated the human and mouse tumors with a neutralizing PDGFR-β antibody or imatinib. From these experiments, it was evident that the tumors responded unexpectedly different. In line with the suggested mechanism of such drugs, the vascular coverage was significantly decreased in tumors with low PDGF-BB levels. In contrast, to our surprise, blockade in tumors with high expression of PDGF-BB, displayed improved vascular coverage. Further analyses of the vascular qualities by injection of low molecular weight dextran (70kDa) indicated that the high vascular leakage observed in PDGF-BB high tumors, was significantly reduced upon anti-PDGF treatment. Here, again, opposing results were detected in tumors with low PDGF-BB expression.

As the degree of vascular coverage and permeability are possibly related to the feasibility of intravasation, we wanted to study the metastatic outcome. Detection of circulating tumor cells (CTCs) after treatment with imatinib, showed that dissemination was inhibited in A431 tumors, hence when PDGF-BB is high. Inversely, IMR32 tumors had a trend of increased CTCs. These findings where reproduced in the murine tumor model, where CTCs, in addition, were also found to be correlated to pulmonary metastasis.

To further understand how PDGF-BB is regulating PCs in the tumor, we conducted several in vitro studies using isolated PCs. Interestingly, persistent stimulation with high doses of PDGF-BB leads to downregulation of surface-available PDGFR-β by promoting internalization. In line with these *in vitro* studies, levels of PDGFR-β in tumor tissues exposed to high PDGF-BB expression were downregulated. By performing a gene array of PDGF-BB stimulated PCs and comparing to non-stimulated control PCs, we identified a wide range of genes with differential expression. We chose to focus on genes involved in EC-PC interaction, and identified integrin  $\alpha 1$  as being the most downregulated adhesion molecule. To generate a functional adhesion molecule, integrin  $\alpha 1$  combines with integrin  $\beta 1$ , both of which were confirmed by qPCR to be downregulated upon PDGF-BB stimulation. These results indicate that loss of α1β1-binding is a consequences of prolonged PDGF-BB stimulation and PDGFR-\beta downregulation. Subsequent experiments with treatment of tumors with  $\alpha 1\beta 1$  neutralizing antibodies could successfully reproduce the loss of PCs observed in PDGF-BB high tumors. Collectively, this data demonstrates that high doses of tumor cell-derived PDGF-BB, at least in part, leads to reduced vascular coverage by downregulation of integrin a1\beta1-dependent EC-PC interaction. This destabilization does not occur during angiogenesis when ECs are the main source, probably due to the relatively low production of PDGF-BB.

In summary, we report that PDGF-BB expression levels strongly determine the treatment outcome when using anti-PDGF drugs, and that treatment may potentially promote metastasis. Furthermore, we identify the underlying mechanisms of PDGF-BB-induced vascular remodeling in tumors. In addition, we found that PDGF-BB may serve as a biomarker for selection of patients for anti-PDGF therapy.

#### 3.2 PAPER II

# PDGF-BB is inducing an IL-33-dependent communication between perivascular stromal cells and macrophages leading to a metastasis-promoting microenvironment

When analyzing tumor tissues from PDGF-BB overexpressing tumors, we noted that the population of M $\phi$  where drastically increased in numbers. Interestingly, M $\phi$  are not directly responsive to PDGF-BB stimulation as they lack PDGFR expression, indicating a potential role for an indirect mechanism. In this study, we set out to investigate how PDGF-BB is able to trigger an increase in M $\phi$ . Additionally, as M $\phi$  have been demonstrated to be important in tumor cell dissemination, we wanted to explore any potential impact on metastasis in our tumor settings.

For initial studies, we chose to use the previously identified high PDGF-BB expressing human tumor cell line, A431. As a control, shRNA was used to downregulate the PDGF-BB levels. By performing immunohistochemical staining and FACS analyses of such tumors, we could conclude that M $\phi$  were effectively reduced when PDGF-BB was downregulated. To confirm the role of PDGF-BB we used two established murine tumor cell lines with stable PDGF-BB overexpression. Here, we detected significant increases in PDGF-BB high tumors compared to vector-only transfected tumors, further confirming the contributions of PDGF-BB. In an effort to point-out which receptor that was responsible, we treated tumors with imatinib (blocks both PDGFR- $\alpha$  and - $\beta$ ), a specific anti-PDGFR- $\alpha$ , or an anti-PDGFR- $\beta$  neutralizing antibody. Imatinib and PDGFR- $\beta$  blockades were able to inhibit PDGF-BB-induced M $\phi$  infiltration, whereas PDGFR- $\alpha$  failed to do so. Co-localization experiments demonstrated that M $\phi$  in the murine tumor model lacks PDGFR expression. As it is known that the main cellular target of PDGF-BB is PCs, we focused on this cell type.

The effects of PDGF-BB on PCs, in addition to the main role of recruitment onto vessels, is not known. By performing a gene array of PDGF-BB stimulated PCs and comparing to non-stimulated control PCs, we were able to identify potential mediators of PDGF-BB-induced Mφ infiltration. Among all genes, IL-33 was the most upregulated and known to regulate

various immune cells and, hence, was selected for subsequent studies. Analyses of IL-33 protein and mRNA levels in PDGF-BB tumors validated PDGF-BB-driven increase in IL-33 expression. Specifically, detailed analysis identified PDGFR-β-positive cells, including PCs, to be the main source of IL-33. Previously, PDGFR-β was identified *in vivo* for Mφ infiltration. To verify if PCs are producing IL-33 in response to PDGFR-β activation, we performed blockade experiment *in vitro* and could conclude the involvement of PDGFR-β, whereas PDGFR-α not seemed to be involved. The underlying molecular mechanisms of PDGF-BB-induced upregulation of IL-33 was previously unidentified. *In vitro* experiments and array analysis of PDGF-BB-stimulated PCs revealed that Sox7, a transcription factor, is mediating the transcriptional regulation of IL-33 by binding to SRY-boxes in the promoter region.

So far, IL-33 has been identified as a potential link between PDGF-BB and M $\phi$  infiltration. To investigate the effect of IL-33 on M $\phi$ , we performed *in vitro* studies using a murine M $\phi$ -like cell line. As IL-33 is known to signal through its receptor ST2, we first confirmed that our cell line expressed this receptor by FACS and RT-PCR. IL-33 potently induced activation of ERK, p38, and NF $\kappa$ B pathways in these cells. Upon IL-33 stimulation, increased migratory capacity along with activated morphological changes could be detected. Together with induction of several M2-related genes, including CD206, these results are confirming the ability of IL-33 to activate and polarize M $\phi$ .

In tumor settings, the role of IL-33 remains largely unknown, but has been well studied for its role in modulating the immune system. Here, we used a murine pancreatic tumor cell line, Panc02, selected because of its high expression of IL-33 in tumor tissue. In addition, we generated murine tumor cells with stable expression of IL-33. In this gain-of-function tumor model, we detected an increase in M $\varphi$  infiltration. Notably, no significant effect on tumor growth was observed, probably indicating a direct tumor-promoting effect of PDGF-BB in those tumors, independent of IL-33. IL-33 effects on the M $\varphi$  population was further confirmed in loss-of-function models by implanting Panc02 tumors in mice lacking IL-33 or ST2 gene expression, or treating with soluble ST2 protein (acting as a decoy receptor). In these models, M $\varphi$  infiltration was significantly impaired. Similar results could be reproduced by using PDGF-BB expressing tumors in the same models, further strengthening the link between PDGF-BB and IL-33.

The field of tumor immunology is boosting, especially tumor-associated M $\phi$  are popular to study in tumors. M $\phi$  are plastic and depending on the environment, different subtypes can be induced, and we wanted to investigate the effects of IL-33. By performing array, qPCR, and

FACS analyses, along with immunohistochemical staining of independent markers, we could conclude that IL-33 is a potent inducer of Mφ polarization towards M2, which has been shown to be the tumor-promoting subtype.

Furthermore, as we previously found that PDGF-BB expressing tumor show increased metastatic capacities, we wanted to explore the IL-33 potential in relation to metastasis. Using subcutaneous and liver implantation models, it became evident that IL-33 is driving tumor cell dissemination, concluded from CTC detection, as well as metastatic growth as we detected increased pulmonary metastasis. Depletion of  $M\phi$  with clodronate liposome treatment, significantly impaired the metastatic potential of IL-33-driven tumors, including the gain-of-function overexpressing tumors, as well as Panc02 tumors.

In summary, we provide detailed information of PDGF-BB-induced metastasis in tumors by a previously unknown mechanism. We identify the common inflammatory cytokine, IL-33, as being responsible for promoting the M $\phi$  infiltration seen in PDGF-BB expressing tumors. Furthermore, we confirm that this population of M $\phi$  are the critical mediators of metastasis. In light of these findings, new strategies, including IL-33 blockade and M $\phi$  targeting, for therapeutic interventions can be developed to prevent tumor metastasis.

#### 3.3 PAPER III

# Identification of VEGF-B as a marker of poor prognosis by modulating the tumor microenvironment and promoting metastasis

Tumor angiogenesis and vascular remodeling are important processes for tumor development and progression<sup>314</sup>. There are many potent regulators of the vasculature found to be expressed in tumors. Here, we focused on one of the VEGF-family members, VEGF-B. Whereas VEGF-A-driven angiogenesis has been widely studied, the VEGFR1-only binding ligands, especially VEGF-B, remains less characterized. Early reports on the pro-angiogenic activity of VEGF-B has not been able to be reproduced, and its dependence on VEGF-A is debated. This promoted us to engage in a thorough study to investigate the role of VEGF-B in a tumor setting.

As VEGF-B expression has been detected in various human tumors, we selected 28 different human tumor cell line to screen for VEGF-B expression. From this experiment, we identified two human melanoma cell lines with differential expression, the MDA-MB-435 was among the highest, and UACC-62 expressed relatively low amounts. To generate appropriate

controls, we used specific shRNA to downregulate VEGF-B expression in MDA-MB-435, while we propagated UACC-62 to overexpress VEGF-B.

Loss-of-function experiments were performed by implantation of the two MDA-MB-435 cell lines in mice. Notably, loss of VEGF-B expression had no impact on primary tumor growth. Examination of the vascular phenotype in these tumors, revealed a significant increase in vessel density and disorganization in the shRNA-tumors compared to endogenously high VEGF-B expressing tumors. In correlation to these findings, the vasculature displayed improved perfusion and decreased permeability. As a consequence, tumors showed reduced level of tissue hypoxia. Interestingly, we also detected a decrease in the number of tumor-associated M $\varphi$ , as determined by CD206 (M2 marker) and F4/80 (pan-marker) co-staining, in VEGF-B-downregulated tumors. Collectively, these results indicate that VEGF-B is able to significantly modulate the tumor microenvironment, function as a negative regulator of angiogenesis, and have pronounced effect on the vasculature.

Next, we studied the effect on VEGF-B in an independent, gain-of-function model, where we implanted control and VEGF-B overexpressing UACC-62 cells in mice. Introduction of VEGF-B expression in this tumor model, in contrast to the loss-of-function model, led to reduced tumor growth. Furthermore, VEGF-B tumors displayed decreased vascular density with fewer branch-points. Despite this normalized appearance, pericytes coverage was poor, and endothelial cell junctions, as determined by VE-cadherin staining, were impaired. Consequently, the vasculature was leaky and poorly perfused, showing increase level of hypoxia. In addition, VEGF-B significantly increased the number of tumor-associated M $\varphi$ . For confirmation purposes, we generated a murine tumor cells to stably express VEGF-B using fibrosarcoma cell line, T241, with no significant endogenous VEGF-B expression. This model was able to reproduce the findings detected in the human tumor models. These results suggest that VEGF-B is inhibiting tumor growth and angiogenesis, along with displaying vascular remodeling properties rendering tumors hypoxic, and contributing to increased numbers of M $\varphi$  infiltration.

The vascular phenotypes observed under the influence of VEGF-B signaling, together with the increase in hypoxia and expansion of tumor-associated  $M\phi$ , which both have been linked to tumor cell dissemination, promoted us to investigate the metastatic potential in our available models. In the first model, blood was collected from tumor-bearing mice and analyzed for CTCs. For MDA-MD-435 tumors where VEGF-B had been downregulated, we saw a significant reduction in CTCs compared to controls. In contrast, the overexpression of VEGF-B in UACC-62 and fibrosarcoma tumors contributed to increased number of CTCs.

These indications of tumor cell dissemination were also correlated to the degree of pulmonary metastasis in all three models, where knock-down reduced the metastatic incidence, and overexpression led to increased metastasis.

The influence of VEGF-B on the vasculature has previously been indicated to occur through a VEGF-A-dependent mechanism. Here, we took advantage of a murine tumor cell line, 528ras, that lacks VEGF-A expression (originating from a VEGF-A null mouse). These cells were propagated to express VEGF-B and subsequently implanted into mice. Although many parameters were similar as detected in the previous VEGF-A positive tumors, there were important differences. Unexpectedly, the vasculature displayed a disorganized phenotype in VEGF-B expressing tumors, which is in contrast to previous models, suggesting that the effects of VEGF-B on vascular remodeling occurs in collaboration with VEGF-A. Although the perfusion and hypoxia did not differ between the two groups, permeability was increased and vascular coverage was reduced. The population of tumor-associated  $M\phi$ , however, was still increased. Importantly, independent of VEGF-A, VEGF-B promoted tumor cell dissemination as we detected increased CTCs and pulmonary metastasis. This effect of VEGF-B was confirmed in a model where we treated T241 tumors with anti-VEGF-A neutralizing antibody. Here, again, VEGF-B still promoted metastasis.

VEGF-B is known to interact with VEGFR1 to convey its biological signals. So we took an approach where T241 tumors were implanted in VEGFR1-TK knockout mice. Tumors generated in these mice were to a large extent similar to tumors grown in wild-type mice, where VEGF-B altered the tumor microenvironment, including  $M\phi$ , and promoted metastasis. These findings indicate that VEGF-B in the tumor setting, is promoting metastasis via, at least in part, a VEGFR1-independent mechanism.

Finally, to validate if there was any clinical relevance of our findings, we analyzed the correlation of VEGF-B expression and survival. Patients in high expression groups of melanoma and lung cancer were found to have significantly poorer survival rates.

In summary, we identify VEGF-B as a promoter of metastasis by modulating the tumor microenvironment, including altering the vascular phenotype and increasing the population of tumor-associated M $\varphi$ . We further demonstrate that pro-metastatic effects of VEGF-B is independent of VEGF-A and VEGFR1 activation. Clinically, patients with high levels of VEGF-B had decreased survival, hence, suggesting that VEGF-B is a marker of poor prognosis.

#### 3.4 PAPER IV

### VEGFR3 mediates FGF-2 induced lymphangiogenesis and metastasis

Tumor cell dissemination into the lymphatic system is commonly detected in cancer patients. VEGF-C is the main pro-lymphangiogenic factor, but members of other growth factor families, including FGF-2, have demonstrated lymphangiogenic properties. Several factors are simultaneously co-expressed in the tumor microenvironment, but little is generally known about any potential interplay. Results from one previous study indicated that there might be a link between VEGF-C and FGF-2 signaling, as FGF-2-induced lymphangiogenesis could be blocked by VEGFR3 inhibition<sup>153</sup>. In this study, we aimed to further investigate the underlying mechanisms of FGF-2-induced lymphangiogenesis and potential involvement of VEGF-C/VEGFR3 signaling.

For initial studies, we chose to use an established mouse cornea assay model to investigate the angiogenic effects of VEGF-C and FGF-2. The cornea is providing an advantageous system to study angiogenesis *in vivo* by its nature of being an avascular tissue<sup>315</sup>. Here, the factors were implanted as pellets in the avascular cornea and allow for studies of blood and lymph vessel growth. We confirmed the individual pro-angiogenic and lymphangiogenic properties of VEGF-C and FGF-2. To our surprise, co-implantation of both factors resulted in synergistic effect on both angiogenesis and lymphangiogenesis.

Next, we performed *in vitro* studies to gain further understanding of the synergism on a cellular level. For these experiments, we used primary mouse and human LECs. Both VEGF-C and FGF-2 induced proliferation in mLECs and hLECs, demonstrating that both factors are directly effecting these cells. This effect was significantly potentiated upon costimulation. Further experiments demonstrated that mainly FGFR1 was expressed, whereas FGFR2-4 were barely detectable, indicating that the FGF-2-FGFR1 axis was responsible for FGF-2 induced effects. Interestingly, qPCR analyses demonstrated that FGFR1 levels were increased by both VEGF-C and FGF-2 stimulation. Similarly, both factors also upregulated VEGFR3 expression. These results suggest a reciprocal amplification of the receptors induced by the ligands.

To study the involvement of VEGFR3 and FGFR1 in mediating biological processes upon stimulation, we used specific receptor neutralizing antibodies. FGF-2-induced proliferation and migration of mLEC was completely blocked by the addition of an anti-FGFR1 antibody, but no significant inhibitory effects upon VEGFR3-blockade. Opposite findings were observed with VEGF-C stimulation as only VEGFR3 neutralizing antibody had inhibitory

effects. Collectively, *in vitro* experiments indicate that these ligands are exerting the lymphangiogenic activities via their own receptor-specific axis.

Moving back to the cornea assay, to verify the role of FGFR1 in transducing FGF-2 activities *in vivo*, we co-implanted VEGF-C and FGF-2 and treated with the anti-FGFR1 neutralizing antibody. FGFR1-blockade significantly inhibited angiogenesis and lymphangiogenesis. Furthermore, we applied VEGFR3-blockade and could observe complete inhibition of VEGF-C-induced lymphangiogenesis. Unexpectedly, VEGFR3-blockade also significantly inhibited FGF-2-induced lymphangiogenesis. To understand how VEGFR3 is involved in FGF-2 promoted lymphangiogenesis, we took a closer look at the tip cells, as it was reported that tip cell formation is crucial for the angiogenic process. Individually, both VEGF-C and FGF-2 could induce tip cells in the growing lymph vessels. Co-implantation significantly contributed to an additive effect. Surprisingly, VEGFR3-blockade completely suppressed lymphatic tip cell formation in all conditions (FGF-2/VEGF-C/VEGF-C+FGF-2), but no inhibition was detected for blood vessel growth. These results are suggesting that FGF-2-promoted lymphangiogenesis is dependent on VEGFR3 for tip cell formation. In addition, we demonstrated that VEGF-C stimulates angiogenesis through activating VEGFR2, rather than VEGFR3.

Based on these initial findings, we wanted to investigate the effects of VEGF-C and FGF-2 in the tumor setting to study lymphangiogenesis and metastasis. For this purpose, we propagated a murine fibrosarcoma cell line, T241, to overexpress (and secrete) FGF-2 or VEGF-C. Implantation of these cells into mice showed that both VEGF-C and FGF-2 individually are promoting tumor growth, angiogenesis, and intratumoral lymphangiogenesis. Interestingly, all these processes were significantly potentiated beyond the additive effects when implanting a mixture of VEGF-C and FGF-2 expressing tumor cells, demonstrating collaborative effect of the two factors. Finally, as our previous findings clearly showed that both blood and lymph vessels were promoted in these models, we sought to examine the metastatic capacity. Individually, mice with VEGF-C expressing tumors displayed more metastatic burden in lungs and lymph nodes compared to FGF-2 implanted tumors. Notably, co-implantation of VEGF-C and FGF-2 expressing tumor cells drastically increased the number of visible metastatic nodules present in the lungs, and all mice in this group developed lymph node metastasis.

In summary, there is a clear microenvironmental interaction between different factors that regulate various processes in the tumor. Here, we revealed the underlying mechanisms of FGF-2-induced lymphangiogenesis by showing how VEGFR3-blockade completely

inhibited lymphangiogenic sprouting upon FGF-2 stimulation *in vivo* in both cornea and tumor models. We showed that tip cell formation is dependent on VEGFR3 activation. Furthermore, we identified how VEGF-C and FGF-2 are reciprocally amplifying each other's signaling to synergistically promote blood and lymph vessel growth, leading to metastasis. Collectively, our results demonstrate the importance of microenvironmental communication between growth factors in tumors. Therefore, combination therapy should be designed to interfere with such interplay.

## 4 DISCUSSION AND CONCLUSIONS

Metastatic disease is responsible for the majority of cancer-related deaths and the underlying mechanisms for tumor cell dissemination remains largely unknown. Increasing evidence is indicating how non-malignant cells of the host are contributing to a pro-metastatic phenotype<sup>227</sup>. Continuous efforts are being put on understanding the communication between compartments in the tumor microenvironment. The tumor microenvironment is highly complex, consisting of various cellular types, including ECs, PCs, VSMCs, fibroblasts, and immune cells. These cells are responsible for growth of blood and lymph vessels, generating connective tissues, and inflammation. The microenvironment provides, as previously mentioned, an opportunity for therapeutic intervention. By increasing the knowledge behind microenvironmental communication, we can begin to understand how to optimally block tumor-promoting processes.

Therapeutic targeting aiming to alter the density and structures of blood vessels to become less accessible to tumor cell intravasation would decrease metastasis and increase patient survival. It has proven not to be that straight-forward, as we provide several examples of in our current work, and additional efforts are needed. Can use vascular targeting and remodeling to reduce vascular density and improve the quality of the vessels that do remain? So far, the clinical experience of anti-angiogenic targeting has reduced the interest of such approaches. If antiangiogenic and angiogenesis-modulating therapies are applied in the right setting, tumor would struggle to survive. The questions are when and how to treat. Anti-angiogenic therapy can be applied by different strategies. Either directly targeting the ECs by modulating the VEGFs/VEGFRs signaling axis, or by indirect targeting of the vascular support cells. Anti-PDGF targeting is one example of the latter. Clinically, several drugs are available that prevent activation of PDGFRs, including imatinib, sunitinib, and sorafenib. Except for imatinib in treatment of leukemia (blocking Bcr-Abl in CML), there is no molecular indication for their use in specific patients, pointing-out the need for new understanding of the underlying mechanisms. We sought to investigate the underlying principles for PDGF-BB signaling in tumors. In paper I, we report how one growth factor, PDGF-BB, produce opposing outcomes depending on its expression level, especially following therapeutic intervention using imatinib. On one hand, PDGF-BB is frequently expressed in tumors and its biological effects are mediated by directly acting on PDGFR-β expressing cells, such as PCs. Interestingly, in contrast to the role in recruitment of PCs to vessels, we found that high levels of PDGF-BB decreased vascular coverage and increased vessel disorganization. We identify a functional link

relating PDGF-BB signaling and the impairment of integrin interaction between ECs and PCs, leading to detachment of PCs. Consequently, the metastatic potential is increased in these tumors. These results are indicating that PDGF-BB signaling should be targeted in cancer patients. Our findings, however, demonstrated that the issue is more complex. In tumors where PDGF-BB is relatively low, anti-PDGF targeting is causing metastasis rather than having an anti-tumorigenic effect. Similar mechanisms could be true for other factors as well, indicating the importance of understanding the context-dependent outcomes before applying any type of therapy. Collectively, we point-out the need of a clear indication for therapy. In the clinical setting, it can be difficult to justify the use of a specific therapeutic agent. Many patients are found to be non-responsive to the treatment and there is a need for improvements in selection of patients. Reliable biomarkers that can predict treatment outcome and select patients that will benefit from a certain treatment. Here, we identify PDGF-BB as a potential biomarker for anti-PDGF targeting therapy.

In tumors, there are both direct and indirect mechanisms for regulation of various processes. For example, blood vessels are carriers of oxygen, hence, direct targeting of blood vessels, will indirectly lead to increased hypoxia, which is responsible for many tumor promoting processes. Hypoxia is involved in the regulation of PDGF-BB expression<sup>132, 133</sup>. The contributions of PDGF-BB to the tumor tissue, in addition to the extensively studied effects on PCs, are not clear. Growth factors, such as PDGF-BB, are not only potently regulating the functions of cellular populations through their specific receptor signaling axis, but indirect mechanisms are equally important. It will be essential to understand the secondary impacts of signaling by one factor, in addition to the direct effects in targeted cells. This could provide novel strategies for therapy. We exemplify such complex interaction using PDGF-BB as we previously noticed that PDGF-BB signaling in tumors is contributing to increased numbers of M\phi despite the lack of PDGFR expression by this cell type. In paper II, we report a previously unknown mechanism of PDGF-BB-induced Mφ infiltration. By activation of PDGFR-β-positive cells, PDGF-BB is stimulating the production of IL-33. In turn, IL-33 is regulating different populations of cells that express the ST2 receptor, including M\phi. Interestingly, not only numbers of M\phi were increased, but we also detected a clear polarization towards M2 phenotype. Many publications have indicated that M2 M\phi have tumor promoting functions and are important in the metastatic process. In our models, we confirmed the contributions of tumor-associated M $\varphi$  to metastasis, demonstrating that the metastatic potential of PDGF-BB is mediated through Mφ via IL-33 signaling. The question that remains to be addressed is how M\phi are facilitating metastasis. Are there unidentified mechanisms in addition to the ones that have been reported? Even without further understanding, the population of  $M\varphi$ , on its own, is emerging as an important target for

prevention of metastasis. Here we identify and highlight a link between the growth factor signaling and cytokine systems, indicating the importance of microenvironmental interplay. Essentially, our findings could provide a new therapeutic opportunity, especially in inflammatory-driven tumors. Whereas anti-PDGF targeting is already established, targeting of M $\phi$  is currently being developed by different strategies and it will be interesting to follow clinical trials using such therapies. We show how IL-33 drives the increase of M $\phi$  and is responsible for polarization towards M2, hence, targeting of IL-33 would offer an upstream approach to prevent tumor-associated M $\phi$ . Blocking IL-33 signaling would be feasible by neutralizing antibodies or soluble receptor protein. In fact, recently it was announced that AnaptysBio is entering an IL-33 neutralizing antibody (ANB020) in phase I clinical trials aiming for patients with asthma, atopic dermatitis and peanut allergy (Clinical Trial ID: NCT02920021). An application in cancer would be an interesting addition and, based on our results, potentially useful for preventing metastasis.

The blood vascular system is a common route of dissemination and angiogenesis is a prerequisite for growth of a solid tumor. In addition to diverse vascular phenotypes being generated during the sprouting of vessels under influence of different factors, where VEGF-A is known to generate a disorganized tumor vascular network, there is an important contribution of vascular remodeling<sup>314</sup>. Much remains to be learnt about the regulation of this process and its involvement in tumor progression. In paper III, we demonstrate how VEGF-B promotes metastasis by modulating the tumor vasculature and inflammation. Importantly, gross examination of the vascular structures generated under the influence of VEGF-B signaling, appeared to be normalized. When analyzing the vessels, we could identify a poor vascular coverage and impaired EC junctions, leading to a leaky and tumor cell-accessible vessel structure. This finding pointed-out that vascular density and degree of disorganization, in terms of branch-points, cannot reliably be used for metastatic prediction. In combination with an altered tumor microenvironment, including vascular remodeling, increased numbers of M\phi and increased hypoxia, despite simultaneously retarding tumor growth, VEGF-B potently promoted metastasis. Collectively, VEGF-B exemplifies the difficulty of tumor biology. Tumors are inhibited in their growth, which is a good clinical sign, and vascular density is reduced, but tumors are still metastatic. Further efforts are needed to understand how these processes are being uncoupled. Of importance for targeting of VEGF-B signaling in tumors, even with being identified as only binding to VEGFR1, in this work, we demonstrated that VEGF-B-induced metastasis is VEGFR1-independent. These results are suggesting that intracellular targeting of VEGFR1 by small molecules, would not effectively block the prometastatic properties of VEGF-B. Additional studies are needed to identify a potential receptor

mediating these VEGFR1-independent processes of VEGF-B. Such findings would discover a novel target for prevention of metastasis.

One additional important compartment of the tumor microenvironment and a common route of dissemination, is the lymphatic system. Cancer patients often develop lymph node metastasis<sup>316</sup> and will, in for example breast cancer, frequently undergo surgery to remove sentinel lymph nodes along with the primary tumor. The main system for regulation of lymphangiogenesis is VEGF-C/VEGFR3 signaling, although additional factors also have pro-lymphangiogenic properties. Further complicating the matter, is the fact that many factors are simultaneously expressed in the tumor tissue. The possible relationship between signaling pathways in regards to angiogenesis and dissemination is unclear, but potentially important for resistance and treatment outcome. Clinically, this issue is not addressed. In paper IV, we identify a synergistic interplay of two growth factors, VEGF-C and FGF-2, in promoting metastasis by collaboratively inducing blood and lymph vessel growth. The most important conclusion from our experiments is the demonstration how VEGFR3-blockade is able to completely prevent FGF-2-induced lymphangiogenesis. Importantly, blood vessel growth promoted by FGF-2 is VEGFR3-independent and instead meditated by FGFR1 activation. These findings are showing that lymphangiogenesis is triggered in a sequential process and that VEGFR3 is essential for the initial step, the tip cell formation. This would suggest that VEGFR3-targeting, irrespectively of the driving force, will be important in tumors with high potential to induce lymphangiogenesis with subsequent lymphatic dissemination. Importantly, our findings are showing that FGF-2-induced blood vessel growth is independent of VEGFR3-activation. Collectively, it is becoming evident that combinational targeting will be necessary to prevent interplays such as these.

In this thesis work, we identify new concepts in tumor biology by revealing previously unknown mechanisms:

- High levels of tumor-derived PDGF-BB lead to reduced vascular coverage and facilitated tumor dissemination. We suggest to use PDGF-BB as a biomarker for anti-PDGF therapy
- IL-33 as a novel potential therapeutic target for inflammation-induced metastasis
- VEGF-B as a promoter of metastasis through a VEGFR1-independent mechanism
- VEGFR3-activity as being required for lymphatic tip cell formation, even under non-VEGFR3-ligand stimulation

In conclusion, we identify how tumors are employing different mechanisms for supporting the metastatic process by modulating different aspects of the tumor microenvironment. There is no effective treatment currently available for patients with metastatic disease. By characterizing the common factors expressed in tumor tissues and revealing the underlying mechanisms of the involvement of the tumor microenvironment, we can define new targets and strategies for therapy. Prevention of tumor cell dissemination will be critical to reduce mortality.

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