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# UNRAVELING GENETIC MECHANISMS IN AUTISM SPECTRUM DISORDERS

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## ABSTRACT

Autism Spectrum Disorders (ASDs) are a group of neurodevelopmental disorders characterized by impairments in socialization and communication accompanied by repetitive and stereotypic behaviors. ASDs are highly heritable and heterogeneous with a complex genetic etiology. Numerous candidate genes have been suggested by linkage, association and candidate gene studies and recurrent submicroscopic deletions and duplications have been identified using array technology. In order to screen for deletions and duplications in ASD candidate genes and regions, we developed a Multiplex Ligation-dependent Probe Amplification (MLPA) assay (paper I). Screening of 26 genes in 148 individuals we found a 15q11-13 interstitial duplication, which had escaped detection by conventional karyotyping, in 1.3% of the patients. Synthetic MLPA showed to be an easy, reliable and cost-effective method for the identification of Copy Number Variants (CNVs) in selected candidate regions. In order to screen the whole genome for CNVs in ASD patients and identify alterations associated with susceptibility for ASDs we used high resolution array-based comparative genomic hybridization (array-CGH). In 4-year-old girl we identified a 7.1 Mb interstitial deletion of chromosome band 6p22.3 (paper II). The patient had cognitive delay, specific language impairments and dysmorphic features. The deletion overlapped with six previously reported cases with a 6p22–24 interstitial deletion. Developmental delay was present in all cases, while heart defects, short neck and/or redundant skin folds, eye abnormalities, and ear anomalies were present in the majority of cases. By our finding we could narrow down the critical region for the 6p22 deletion phenotype to 2.2 Mb comprising twelve genes including the *ATXN1* gene previously reported susceptibility gene for learning difficulties. In the whole genome screening of 223 ASD patients by array-CGH (paper III), clinically significant CNVs were identified in 18 individuals (8%) and CNVs of unclear clinical relevance in 20 individuals (9%). Among the latter cases, 13 individuals carried rare inherited CNVs, while parental samples were unavailable in the remaining seven cases. All patients were classified into different phenotype and inheritance subgroups. Rare inherited CNVs were present in a higher proportion of ASD cases having first- or second-degree relatives with an ASD related neuropsychiatric phenotype in comparison with cases without reported heredity ( $P=0.0096$ ). We concluded that rare CNVs, encompassing potential candidate regions for ASDs, increase the susceptibility for ASDs and related neuropsychiatric disorders. In 514 ASD patients screened by array-CGH (paper IV), an exonic *PARK2* deletion was found in three cases (~0.6%). No such deletion was identified in 149 control subjects. In a summary of comparable CNVs reported in the Database of Genomic Variants (DGV), 9/5141 controls had a deletion within the *PARK2* gene (~0.2%). Compared with the DGV controls, deletions in the *PARK2* gene were significantly more common in our ASD patient cohort ( $p=0.019$ ). *PARK2* deletions have previously been reported in autism and our results further support that *PARK2* deletions may be a risk factor for the development of ASDs. *PARK2* encodes for the E3 ubiquitin-protein ligase *Parkin*, which belongs to the Ubiquitin proteasome system (UPS). UPS operate pre- and postsynaptic compartments demonstrating a direct link between these two major systems that may be important in the pathophysiology of autism.

The future challenge will be to, in combination with the increased usage of high resolution array-CGH and whole genome sequencing identifying genetic alterations, create useful analysis systems in which the co-occurring pathways and gene-gene interactions in ASDs can be linked together and the different genes involved identified.

## LIST OF PUBLICATIONS

- I. BREMER A, Giacobini M, Nordenskjöld M, Brøndum-Nielsen K, Mansouri M, Dahl N, Anderlid B, Schoumans J, **Screening for Copy Number Alterations in Loci Associated With Autism Spectrum Disorders by Two-Color Multiplex Ligation-Dependent Probe Amplification**, Am J Med Genet B Neuropsychiatr Genet. 2011 Mar;156(2):115-24.
- II. BREMER A, Schoumans J, Nordenskjöld M, Anderlid BM, Giacobini MB, **An interstitial deletion of 7.1 Mb in chromosome band 6p22.3 associated with developmental delay and dysmorphic features including heart defects, short neck, and eye abnormalities**, Eur J Med Genet. 2009 Sep-Oct;52(5):358-62.
- III. BREMER A, Giacobini M, Eriksson M, Gustavsson P, Nordin V, Fernell E, Gillberg C, Nordgren A, Uppströmer A, Anderlid BM, Nordenskjöld M, Schoumans J, **Copy number variation characteristics in subpopulations of patients with autism spectrum disorders**, Am J Med Genet B Neuropsychiatr Genet. 2011 Mar;156(2):115-24.
- IV. BREMER A, Nordgren A, Sahlén S, Gustavsson P, Nordenskjöld M, Lundin J, Rasumussen P, Anderlid BM, Giacobini MB, **PARK2-gene Deletions in Autism Spectrum Disorders**, Manuscript.

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# CONTENTS

|         |                                                                              |    |
|---------|------------------------------------------------------------------------------|----|
| 1       | INTRODUCTION.....                                                            | 7  |
| 1.1     | CLINICAL FEATURES.....                                                       | 7  |
| 1.1.1   | Treatment.....                                                               | 8  |
| 1.2     | GENETICS IN AUTISM SPECTRUM DISORDERS .....                                  | 10 |
| 1.3     | GENETIC STUDIES IN AUTISM SPECTRUM DISORDERS .....                           | 12 |
| 1.3.1   | Linkage- Association- and Candidate Gene Studies .....                       | 12 |
| 1.3.1.1 | <i>The RELN gene.....</i>                                                    | 12 |
| 1.3.1.2 | <i>The FOXP2 gene .....</i>                                                  | 13 |
| 1.3.1.3 | <i>The Serotonin Transporter, SLC6A4 .....</i>                               | 14 |
| 1.3.1.4 | <i>GABA receptor genes .....</i>                                             | 14 |
| 1.3.1.5 | <i>Genes encoding cell-adhesion molecules.....</i>                           | 15 |
| 1.3.1.6 | <i>Genes encoding CAM related proteins.....</i>                              | 15 |
| 1.3.1.7 | <i>The PTEN gene .....</i>                                                   | 16 |
| 1.3.1.8 | <i>Circadian rhythm regulation genes.....</i>                                | 16 |
| 1.3.2   | Chromosomal Studies.....                                                     | 17 |
| 1.3.2.1 | <i>Maternal duplications in chromosome band 15q11-q13.....</i>               | 17 |
| 1.3.2.2 | <i>Potocki-Lupski syndrome.....</i>                                          | 18 |
| 1.3.3   | Whole-genome screening studies.....                                          | 18 |
| 1.3.3.1 | <i>Microdeletions and microduplications in chromosome band 15q13.3.....</i>  | 19 |
| 1.3.3.2 | <i>Microdeletions- and microduplications in chromosome band 16p11.2.....</i> | 19 |
| 1.3.4   | Whole-genome sequencing studies .....                                        | 20 |
| 1.4     | MECHANISMS IN CNV FORMATION .....                                            | 20 |
| 1.5     | EPIGENETICS AND ENVIRONMENTAL FACTORS IN ASDs .....                          | 21 |
| 2       | AIM OF THE THESIS.....                                                       | 23 |
| 3       | MATERIAL AND METHODS .....                                                   | 24 |
| 3.1     | PATIENT MATERIAL .....                                                       | 24 |
| 3.2     | MULTIPLEX LIGATION-DEPENDENT PROBE AMPLIFICATION .....                       | 25 |
| 3.3     | ARRAY-BASED COMPARATIVE GENOMIC HYBRIDIZATION (ARRAY-CGH).....               | 26 |
| 3.4     | FLUORESCENCE IN SITU HYBRIDIZATION (FISH) .....                              | 27 |

|         |                                                                                              |           |
|---------|----------------------------------------------------------------------------------------------|-----------|
| 3.5     | DNA SEQUENCING .....                                                                         | 28        |
| 3.6     | GENOTYPING USING MICROSATELLITE MARKERS.....                                                 | 28        |
| 4       | RESULTS AND DISCUSSION .....                                                                 | 29        |
| 4.1     | GENETIC ALTERATIONS .....                                                                    | 29        |
| 4.1.1   | Alterations identified with MLPA (paper I) .....                                             | 29        |
| 4.1.1.1 | <i>15q11-q13 duplications of maternal origin .....</i>                                       | <i>29</i> |
| 4.1.1.2 | <i>Single nucleotide polymorphism in the RELN gene .....</i>                                 | <i>30</i> |
| 4.1.2   | Alterations identified with array-CGH (paper II, III, IV).....                               | 31        |
| 4.1.2.1 | <i>6p22.3 deletion (paper II).....</i>                                                       | <i>31</i> |
| 4.1.2.2 | <i>Microdeletion- and microduplication syndromes and recurrent alterations (paper III) .</i> | <i>34</i> |
| 4.1.2.3 | <i>Sporadic alterations (paper III).....</i>                                                 | <i>34</i> |
| 4.1.2.4 | <i>Genomic alterations of unclear clinical relevance and rare variants (paper III) .....</i> | <i>35</i> |
| 4.1.2.5 | <i>Copy number variations in the PARK2 gene (paper IV).....</i>                              | <i>35</i> |
| 4.2     | CNV DISTRIBUTION IN DIFFERENT ASD SUB-POPULATIONS (PAPER III).....                           | 38        |
| 4.2.1   | CNVs and ASD inheritance.....                                                                | 38        |
| 4.2.2   | CNVs in different phenotype subgroups .....                                                  | 39        |
| 4.2.3   | CNV distribution and gender .....                                                            | 40        |
| 5       | CONCLUDING REMARKS AND FUTURE PRESPECTIVES .....                                             | 42        |
| 6       | ACKNOWLEDGEMENTS .....                                                                       | 46        |
| 7       | REFERENCES.....                                                                              | 47        |

## LIST OF ABBREVIATIONS

|           |                                                                                |
|-----------|--------------------------------------------------------------------------------|
| ASD       | Autism Spectrum Disorder                                                       |
| ADHD      | Attention-deficit/hyperactivity disorder                                       |
| Array-CGH | Array-based Comparative Genomic Hybridization                                  |
| BAC       | Bacterial artificial chromosome                                                |
| BNP       | Broader neuropsychiatric phenotype                                             |
| CAM       | Cell-adhesion molecules                                                        |
| CNV       | Copy Number Variant                                                            |
| CS        | Cowden syndrome                                                                |
| ddNTPs    | dideoxynucleotides                                                             |
| dNTPs     | deoxynucleotides                                                               |
| DSM-IV    | Diagnostic and Statistical Manual of Mental Disorders, 4 <sup>th</sup> edition |
| FISH      | Fluorescence in situ hybridization                                             |
| FoSTeS    | Fork Stalling and Template Switching                                           |
| LCRs      | Low-Copy Repeats                                                               |
| LINE      | Long interspersed nuclear elements                                             |
| LTR       | Long terminal repeat                                                           |
| MIM       | Mendelian Inheritance in Man                                                   |
| MLPA      | Multiplex Ligation-dependent Probe Amplification                               |
| MMBIR     | Microhomology-mediated break-induced replication                               |
| MR        | Mental retardation                                                             |
| NAHR      | Nonallelic Homologous Recombination                                            |
| NHEJ      | Nonhomologous End Joining                                                      |
| PD        | Parkinson disease                                                              |
| PDD-NOS   | Pervasive developmental disorder – not otherwise specified                     |
| SINE      | Short interspersed nuclear elements                                            |
| UPS       | Ubiquitin proteasome system                                                    |



# 1 INTRODUCTION

Autism Spectrum Disorders (ASDs) [Mendelian Inheritance in Man (MIM) 209850] comprise a heterogeneous group of disorders including autistic disorder, Aspergers syndrome and pervasive developmental disorder – not otherwise specified (PDD-NOS). Common for ASDs are reduced abilities in social- and communicational interaction together with behavioral problems such as stereotypic and repetitive behaviors as well as specific interests.

Dr. Leo Kanner (1894–1981) described infantile autism already in 1943. He noted that in most of the cases the altered behaviors were discovered very early in life and suggested that the condition is inborn and presumably due to a genetic cause (Kanner 1943). Only one year later, pediatrician Hans Asperger (1906-1980) described children with similar conditions but with much higher cognitive abilities. Aspergers work remained largely unknown outside German speaking countries until Lorna Wing brought it to attention almost 40 years later (Wing 1981). Asperger had noted that the childrens fathers often had similar disabilities as their children. However, during the 1950s these conditions were described to be of a psychogenic nature and assumed to be the result of poor parenting (Kanner 1949). The term “refrigerator mother” was coined and Bruno Bettelheim, among other leading psychologists, championed the notion that autism was the result of a cold, distant and rejecting mother (Bettelheim 1967). These theories remained from the 1950s throughout the 1970s. Today, there are convincing data indicating a strong genetic component in autism. These data together with the lack of convincing evidence for environmental factors causing autism have lead to an increasing number of genetic studies within these disorders.

## 1.1 CLINICAL FEATURES

ASDs manifest early in life, often before three years of age. Individuals with autism display impairments in social interaction encompassing impairments in the use of nonverbal behaviors such as eye contact, facial expression, body postures, and gestures as well as failure to develop appropriate peer relationships and lack of social sharing or reciprocity. Patients with autism also have impairments in communication, such as a delay in, or total lack of, the development of spoken language. In patients who develop adequate language, there often remains a marked

impairment in the ability to initiate or sustain a conversation, as well as stereotyped or idiosyncratic use of language. In addition to the social and communication interaction impairments, individuals with autism also exhibit restricted, repetitive and stereotyped patterns of behavior, interests, and activities, including abnormal preoccupation with certain activities and inflexible adherence to routines or rituals.

ASDs encompass broader phenotypes including Asperger syndrome and pervasive developmental disorder - not otherwise specified (PDD-NOS). Individuals with Asperger syndrome do not exhibit delay in language skills and PDD-NOS is a subthreshold condition where some but not all diagnostic features of autism are displayed. The Diagnostic and Statistical Manual of Mental Disorders, 4<sup>th</sup> edition (DSM-IV) (American Psychiatric Association, Washington, D.C. 1994) specifies the diagnostic criteria for the autism spectrum disorders (Table 1).

A large proportion of individuals with ASDs also have intellectual disabilities, physical/visible malformations and/or dysmorphic features. The patient group is very heterogeneous with regard to cognitive abilities and daily life skills.

The prevalence for these disorders is higher than previously believed and is now estimated to be at least 1% when the whole autism spectrum is included (Baron-Cohen et al. 2009; 2003; Gillberg and Wing 1999). In a recent comprehensive study of autism prevalence using a total population sample, an international team of investigators from the U.S., South Korea, and Canada estimated the prevalence of ASDs in South Korea to be 2.64%, or approximately 1 in 38 children (Kim et al. 2011). There is also a sex bias within the ASDs with a male-female ratio of 4:1, and with an increase in this ratio as the intelligence of the affected individuals increases (Folstein and Rosen-Sheidley 2001).

### **1.1.1 Treatment**

There is today no known cure for autism but medical treatment and educational interventions can be used to reduce some of the challenges associated with the condition. Pharmacological treatment can be used to improve specific aspects of the disorders such as aggressive-, self-

destructive-, and overactive behaviors as well as anxiety, depression and sleep disturbances. In order to enhance communication skills, teach social skills and reduce maladaptive behaviors, different educational interventions are used (for review see Myers and Johnson 2007).

Table 1. Diagnostic criteria for ASDs according to DSM-IV.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Diagnostic criteria for Autistic Syndrome 299.00</p> <p>(I) A total of six (or more) items from (A), (B), and (C), with at least two from (A), and one each from (B) and (C):</p> <p>(A) qualitative impairment in social interaction, as manifested by at least two of the following:</p> <ol style="list-style-type: none"> <li>1. marked impairments in the use of multiple nonverbal behaviors such as eye-to-eye gaze, facial expression, body posture, and gestures to regulate social interaction</li> <li>2. failure to develop peer relationships appropriate to developmental level</li> <li>3. a lack of spontaneous seeking to share enjoyment, interests, or achievements with other people, (e.g. by a lack of showing, bringing, or pointing out objects of interest to other people)</li> <li>4. lack of social or emotional reciprocity ( note: in the description, it gives the following as examples: not actively participating in simple social play or games, preferring solitary activities, or involving others in activities only as tools or "mechanical" aids )</li> </ol> <p>(B) qualitative impairments in communication as manifested by at least one of the following:</p> <ol style="list-style-type: none"> <li>1. delay in, or total lack of, the development of spoken language (not accompanied by an attempt to compensate through alternative modes of communication such as gesture or mime)</li> <li>2. in individuals with adequate speech, marked impairment in the ability to initiate or sustain a conversation with others</li> <li>3. stereotyped and repetitive use of language or idiosyncratic language</li> <li>4. lack of varied, spontaneous make-believe play or social imitative play appropriate to developmental level</li> </ol> <p>(C) restricted repetitive and stereotyped patterns of behavior, interests and activities, as manifested by at least two of the following:</p> <ol style="list-style-type: none"> <li>1. encompassing preoccupation with one or more stereotyped and restricted patterns of interest that is abnormal either in intensity or focus</li> <li>2. apparently inflexible adherence to specific, nonfunctional routines or rituals</li> <li>3. stereotyped and repetitive motor mannerisms (e.g. hand or finger flapping or twisting, or complex whole-body movements)</li> <li>4. persistent preoccupation with parts of objects</li> </ol> <p>(II) Delays or abnormal functioning in at least one of the following areas, with onset prior to age 3 years:</p> <p>(A) social interaction</p> <p>(B) language as used in social communication</p> <p>(C) symbolic or imaginative play</p> <p>(III) The disturbance is not better accounted for by Rett's Disorder or Childhood Disintegrative Disorder</p> |
| <p>Diagnostic criteria for Asperger Syndrome 299.80</p> <p>(I) Qualitative impairment in social interaction, as manifested by at least two of the following:</p> <p>(A) marked impairments in the use of multiple nonverbal behaviors such as eye-to-eye gaze, facial expression, body posture, and gestures to regulate social interaction</p> <p>(B) failure to develop peer relationships appropriate to developmental level</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |

(C) a lack of spontaneous seeking to share enjoyment, interest or achievements with other people, (e.g. by a lack of showing, bringing, or pointing out objects of interest to other people)

(D) lack of social or emotional reciprocity

(II) Restricted repetitive & stereotyped patterns of behavior, interests and activities, as manifested by at least one of the following:

(A) encompassing preoccupation with one or more stereotyped and restricted patterns of interest that is abnormal either in intensity or focus

(B) apparently inflexible adherence to specific, nonfunctional routines or rituals

(C) stereotyped and repetitive motor mannerisms (e.g. hand or finger flapping or twisting, or complex whole-body movements)

(D) persistent preoccupation with parts of objects

(III) The disturbance causes clinically significant impairments in social, occupational, or other important areas of functioning.

(IV) There is no clinically significant general delay in language (e.g. single words used by age 2 years, communicative phrases used by age 3 years)

(V) There is no clinically significant delay in cognitive development or in the development of age-appropriate self help skills, adaptive behavior (other than in social interaction) and curiosity about the environment in childhood.

(VI) Criteria are not met for another specific Pervasive Developmental Disorder or Schizophrenia.

#### Diagnostic criteria for PDD-NOS 299-80

This category should be used when there is a severe and pervasive impairment in the development of reciprocal social interaction or verbal and nonverbal communication skills or when stereotyped behavior, interests, and activities are present but the criteria are not met for a specific pervasive developmental disorder, schizophrenia, schizotypal personality disorder, or avoidant personality disorder. For example, this category includes "atypical autism" presentations that do not meet the criteria for autistic disorder because of late age at onset, atypical symptomatology, or subthreshold symptomatology, or all of these.

## 1.2 GENETICS IN AUTISM SPECTRUM DISORDERS

The highly genetic component in autism was first revealed through twin- and family studies. The concordance rate in autism has been estimated to approximately 70-90% in monozygotic twins and between 2-10% in dizygotic twin pairs (Folstein and Rosen-Sheidley 2001), making autism one of the most genetically influenced disorders of all developmental neuropsychiatric disorders (Kumar and Christian 2009). During the first years of the autism genetics research era, mainly linkage, association and candidate gene screening studies were performed in order to identify genetic regions or genes for the disorders. Numerous interesting loci and genes have been

identified but the support for association has in most cases been weak and it has shown difficult to obtain consistent results in independent samples (Levy et al. 2009; Pinto et al. 2010b; Veenstra-Vanderweele et al. 2004) indicating a complex genetic disorder involving multiple interacting genes as well as epigenetic and environmental effects. The complex genetics of ASDs is most likely due to the high degree of heterogeneity present within this patient group.

However, a few recurrent aberrations are well-known to cause ASDs. Maternal duplications of chromosome band 15q11.2-13 are identified in 0.5-3% of ASD cases (Hogart et al. 2008) (see chapter 1.3.2.1). In addition, autism or autistic features often occur in the single gene disorders Fragile X syndrome, Tuberous Sclerosis, and Retts syndrome (Gillberg and Coleman 2000; Zafeiriou et al. 2007). Reversely, these disorders explain around 2%, 0-4% and 0.5% of autism cases, respectively (Abrahams and Geschwind 2008; Kumar and Christian 2009; Zafeiriou et al. 2007). In the table below (Table 2), additional conditions in which autistic traits have shown to co-occur are listed. Furthermore, alterations in several highly interesting genes have been reported in a small number of individuals with ASDs (see chapter 1.3) (Ching et al. 2010; Jamain et al. 2003; Laumonnier et al. 2004; Moessner et al. 2007).

*Table 2. A presentation of several genetic conditions were co-occurrence with autistic traits have been reported (Ekstrom et al. 2008; Fombonne 1999; Gillberg and Coleman 2000; Laje et al. 2010; Moss and Howlin 2009; Niklasson et al. 2002; Roubertie et al. 2001; Skuse et al. 1997).*

| Genetic condition                      |                                        |
|----------------------------------------|----------------------------------------|
| Maternal 15q11-q13 duplication         | 16p11.2 microdeletion/microduplication |
| Fragile X syndrome                     | Tuberous Sclerosis                     |
| Retts syndrome                         | Phenylketonuria                        |
| 15q13.3 microdeletion/microduplication | Potocki-Lupski Syndrome                |
| Down syndrome                          | Angelman syndrome                      |
| 22q11 deletion syndrome                | Neurofibromatosis                      |
| CHARGE syndrome                        | Joubert syndrome                       |
| Williams syndrome                      | Goldenhar Syndrome                     |
| Hypomelanosis of Ito                   | Noonan syndrome                        |
| Sotos syndrome                         | Myotonic Dystrophy                     |
| Leber's amaurosis                      | Turner syndrome                        |
| Smith-Magenis syndrome                 | 2q37 deletion syndrome                 |

Until recently, karyotyping has been the standard method for the detection of cytogenetic aberrations in patients with developmental disorders. The development of whole-genome screening methodologies for the detection of CNVs, such as Array-based Comparative Genomic Hybridization (array-CGH), provides a much higher resolution than karyotyping. This has led to the identification of novel microdeletion- and microduplication syndromes often associated with an autism phenotype (Ballif et al. 2007; Miller et al. 2009; Weiss et al. 2008). The constantly increasing resolution of the arrays has further improved the detection of copy number abnormalities down to single genes and is likely to provide new advances in the autism genetics field.

### **1.3 GENETIC STUDIES IN AUTISM SPECTRUM DISORDERS**

#### **1.3.1 Linkage- Association- and Candidate Gene Studies**

In linkage studies genetic markers are used in family samples in order to investigate if there are any shared genetic regions within the affected family members that can be linked to the disease. In association studies, markers are used to compare allele differences or genotype frequencies between cases and controls. The genetic markers can be distributed throughout the whole genome or in a specific chromosome of interest. In candidate gene studies, specific genes, located in linked or associated regions, are selected and screened for alterations in a group of patients. Genes encoding proteins involved in brain development and function or proteins for which the level in patients has shown to be disturbed compared to controls are examples of candidate genes. There have been reports of linkage to almost all chromosomes in ASD. There are no regions with particularly strong evidence of linkage, but there are a few chromosomal regions in which the linkage has been consistently replicated (for review see Bacchelli and Maestrini 2006 and Kumar and Christian 2009). From these studies, several loci and genes have been suggested to be involved in the etiology of ASDs and below some of the most interesting genes are presented.

##### *1.3.1.1 The RELN gene*

The *RELN* gene encodes for a large secreted extracellular matrix protein believed to control cell-cell interactions critical for cell positioning and neuronal migration during brain development.

The *RELN* gene maps to chromosome band 7q22 which is located in a linkage region 7q22-36 [MIM 209850] reported in multiple genome scans and association studies and *RELN* has shown to be a likely candidate gene in this locus (IMGSAC 2001a; b; Serajee et al. 2006; Skaar et al. 2005; Ullmann et al. 2007). Furthermore, mice with deletions of the *RELN* gene have been reported to show abnormal positioning of neurons in the cerebral cortex, cerebellum, and hippocampus regions where alterations have been found in autistic brain (Bailey et al. 1998; D'Arcangelo et al. 1995). It has also been demonstrated that there are impairments in the Reelin signaling system in individuals with autism which were shown to have reduced Reelin protein levels and elevated numbers of Reelin receptors (Fatemi et al. 2005). Although there are many reports on an association between the *RELN* gene and autism, there are also several replication studies in which no association have been identified (Bonora et al. 2003; Krebs et al. 2002; Li et al. 2004; Zhang et al. 2002).

#### 1.3.1.2 *The FOXP2 gene*

The *FOXP2* gene is located within chromosome band 7q31, within the most susceptible locus of 7q22-36 reported in autism. Initially, *FOXP2* was shown to be mutated in patients with language and speech disorders (Lai et al. 2001). Even though impairments in language and speech are core features of the autistic phenotype, indicating an involvement in autism of this gene, none of the above mentioned patients had an ASD. However, the identification of a breakpoint disrupting the *FOXP2* gene in an autistic individual and CNVs in individuals with speech and language impairments that in addition had an ASD diagnosis confirmed the presumed involvement of *FOXP2* (Feuk et al. 2006; Scherer et al. 2003). *FOXP2* encodes for a member of the forkhead/winged-helix (FOX) family of transcription factor mRNAs. These transcription factors are known to regulate the expression of a variety of genes. In embryogenesis, *FOXP2* has shown to be strongly expressed in the central nervous system and highly enriched in various brain structures (Lai et al. 2001). A recent study suggested *FOXP2* to act as a regulator in many networks important for the development of neural connections in the brain (Vernes et al. 2011). However, several studies have presented a lack of association between *FOXP2* and autism and specific language and speech impairments concluding that *FOXP2* unlikely plays a major role in the onset of these disorders (Gauthier et al. 2003; Newbury et al. 2002). Furthermore, Feuk et al

(2006) reported a very specific phenotype of language and speech impairments present in patients with *FOXP2* alterations.

#### *1.3.1.3 The Serotonin Transporter, SLC6A4*

The serotonin transporter gene *SLC6A4* map to chromosome band 17q11, a locus which has been associated with autism in several studies [MIM 609378]. *SLC6A4* has been suggested as a strong candidate gene for several reasons. The neurotransmitter serotonin has been shown to regulate brain development and is involved in many psychiatric conditions. It has also been shown that patients with autism have elevated levels of serotonin in blood. Furthermore, treatment with serotonin reuptake inhibitors (SSRIs) is sometimes effective for some of the symptoms in autism. In many studies an association between variants in *SLC6A4* and autism has been identified while other studies have been unable to replicate the association (reviewed by Huang and Santangelo 2008).

#### *1.3.1.4 GABA receptor genes*

The gamma-aminobutyric acid (GABA) neurotransmitters are a group of inhibitory neurotransmitters in the central nervous system which play a major role in regulating neuronal excitability throughout the nervous system. Multiple lines of evidence indicate that the receptors of the GABA neurotransmitters may be involved in autism. First, one of the receptor subunit genes is located on chromosome band 15q11-q13 within the PW/AM syndrome region that is recurrently duplicated in a proportion of ASD cases. Furthermore, studies have shown the GABA receptor density to be reduced in the brain in ASD (Blatt et al. 2001; Oblak et al. 2010). In multiple studies, an association between GABA receptor genes on chromosome 15 and chromosome 4 have been reported (Buxbaum et al. 2002; Collins et al. 2006; Cook et al. 1998; Ma et al. 2005). A report of an inversion in chromosome 4p with a breakpoint disrupting the *GABRG1* gene (Vincent et al. 2006). Many reports have been published indicating an association between autism and the GABA receptor genes, but many reports in which no association has been identified have been published as well (Curran et al. 2005; Maestrini et al. 1999; Martin et al. 2000; Salmon et al. 1999).



#### *1.3.1.5 Genes encoding cell-adhesion molecules*

The involvement of the cell-adhesion molecules (CAMs) neuroligins was indicated by linkage (Auranen et al. 2002; Philippe et al. 1999), the identification of deletions in chromosome band Xp22.3 (Thomas et al. 1999) and expression studies (Jamain et al. 2003). These results encouraged the screening of *NLGN4* on band Xp22.3 and its homolog *NLGN3* on band Xq13, two chromosome regions linked to ASD, and deleterious- and protein altering mutations were identified in patients while absent in controls (Jamain et al. 2003; Laumonnier et al. 2004). CAMs such as *NLGN4* and *NLGN3* are critical within the contact between pre- and post synaptic cells and the formation of a functional synapse. The CAMs maintain the adhesion which enables scaffolding proteins to assemble signaling molecules, neurotransmitter receptors and proteins in the cytoskeleton. The CAMs and their associated proteins work together in maintaining the development and plasticity of synapses.

Neurexins are another group of CAMs associated with ASDs. It was shown that the postsynaptic neuroligins involved in ASDs bind to the presynaptic neurexins. Therefore, Feng et al. (2006) suggested that neurexins may also be involved in ASDs and screened ASD patients for mutations in the *NRXN1* gene. Mutations were identified in several patients while absent in the control population. Further evidence for altered neurexins being involved in ASDs was shown by Szatmari et al. (2007), Kim et al. (2008) and Yan et al. (2008) (Kim et al. 2008; Szatmari et al. 2007; Yan et al. 2008). More recent studies have also confirmed the implication of neurexin in ASDs (Gauthier et al. 2011; Sanders et al. 2011)

Other CAMs associated with the pathogenetics in ASDs are the cadherin (CDH), protocadherin (PCDH) and contactin (CNTN) protein families (Marshall et al. 2008; Morrow et al. 2008; Roohi et al. 2009; Wang et al. 2009).

#### *1.3.1.6 Genes encoding CAM related proteins*

The *SHANK3* gene was suggested as a candidate gene in autism since it was a strong candidate for the 22q13.3 deletion syndrome including ASDs (Anderlid et al. 2002; Bonaglia et al. 2001; Bonaglia et al. 2006; Durand et al. 2007; Manning et al. 2004; Wilson et al. 2003). In several

studies, mutations leading to heterozygous deletions of the gene in patients with ASDs have been identified suggesting that the *SHANK3* gene may be one synaptic pathway that can be altered in ASDs (Durand et al. 2007; Moessner et al. 2007). Shank proteins are scaffolding proteins in the synapse formation and connect membrane proteins to the actin cytoskeleton and G-protein-coupled signaling pathways. Shank proteins also play a role in dendritic spine maturation (Roussignol et al. 2005). Further, *SHANK3* is able to bind neuroligins which interact with neuexins indicating that this network is a strong candidate for being altered in ASDs.

The *CNTNAP2* gene, encoding a contactin associated protein that shows structural similarity to neuexins, has also been suggested to be involved in autism. The gene is located on chromosome 7q35 within the most susceptible locus of 7q22-36 reported in autism. Linkage- and association studies indicated variations within the *CNTNAP2* gene to be associated with ASDs (Alarcon et al. 2008; Arking et al. 2008). However, it has also been reported that common variants were not significantly increased in ASD individuals and that alterations in *CNTNAP2* only may have a modest contribution in ASDs (Bakkaloglu et al. 2008).

#### *1.3.1.7 The PTEN gene*

The *PTEN* gene is a tumor suppressor gene localized to chromosome band 10q23. Individuals with Cowden syndrome (a cancer syndrome) and other related disorders are characterized by *PTEN* mutations. Many of these patients have neurobehavioural features including mental retardation, autism, seizures as well as overgrowth and macrocephaly. Mutations in the *PTEN* gene have been found in a subgroup of autism patients recognized by having extreme macrocephaly (Butler et al. 2005). A remarkable finding concerning the *PTEN* gene is that neurological abnormalities in *PTEN* knockout mice have shown to be reversed by treatment with rapamycin (Zhou et al. 2009).

#### *1.3.1.8 Circadian rhythm regulation genes*

A consistent finding in autism patients is low levels of melatonin (Kulman et al. 2000; Melke et al. 2008; Tordjman et al. 2005). Melatonin is a hormone secreted by the pineal gland serving as the signal for darkness in the body. It's involved in various physiologic functions, including

sleep induction, circadian rhythm regulation, and immune response (Simonneaux and Ribelayga 2003). The cause of decreased levels of melatonin in autism patients has been shown, at least partly, to be due to low activity of the acetylserotonin O-methyltransferase (*ASMT*), which is the last enzyme in the melatonin synthesis (Melke et al. 2008). Detection of various mutations in the *ASMT* gene, such as splice site and stop mutations and duplications within the gene or in the promoter sequence, has been identified and shown to be significantly more common in ASD patients than in healthy controls (Cai et al. 2008; Melke et al. 2008). In addition, other genes involved in circadian rhythm regulation and central effects of melatonin, have also been associated with autism. This indicates that the melatonin signaling pathway and the *ASMT* gene may play an important role in the etiology of ASDs (Melke et al. 2008; Nicholas et al. 2007).

### **1.3.2 Chromosomal Studies**

By using traditional cytogenetic analysis, chromosomal G-banding techniques and fluorescent in situ hybridization, chromosomal abnormalities can be identified in 3-7% of ASD cases (Veenstra-Vanderweele et al. 2004; Vorstman et al. 2006; Xu J 2004). There are several chromosome syndromes in which ASDs often are present and the majority of these syndromes are presented in Table I (Chapter 1.2).

#### *1.3.2.1 Maternal duplications in chromosome band 15q11-q13*

The most frequent cytogenetic anomaly in ASD is duplications of chromosome band 15q11-15q13 of maternal origin. Duplications of paternal origin give no or possibly a very mild phenotype. However, ASDs are not fully penetrant in the 15q11-q13 duplication syndrome but the majority of cases fulfill the criteria for an ASD diagnosis or show ASD-like behaviors (Battaglia et al. 2010; Rineer et al. 1998). In ASD cases, maternal duplications of chromosome band 15q11-13 are identified in 0.5-3%. The phenotypes of patients with a 15q duplication are highly variable and include hypotonia, hypogonadism, fine motor delays, speech and language delays, moderate to severe mental retardation, epilepsy, and other behavioral problems (Hogart et al. 2008).

### 1.3.2.2 Potocki-Lupski syndrome

The reciprocal duplication of the deletion syndrome Smith-Magenis on chromosome band 17p11.2 is referred to as the Potocki-Lupski Syndrome. The clinical features of the duplications are milder than the features present in the deletions and include dysmorphic features, developmental delay, mental retardation, language impairment, and ASDs (Potocki et al. 2000). The most likely candidate gene within this region is the dosage sensitive gene *RAI1* mainly responsible for the phenotype outcome in the Smith-Magenis Syndrome (Potocki et al. 2007). The *RAI1* gene is expressed at high levels in neuronal tissues. In both mice and humans, decreased or increased dosage of *RAI1* causes distinct neurobehavioral and craniofacial features (Carmona-Mora and Walz 2010; Walz et al. 2006).

### 1.3.3 Whole-genome screening studies

The usage of high-resolution whole genome screening methodologies such as array-CGH has shown that *de novo* and rare CNVs are significantly more common in individuals with ASDs than in healthy controls (Bucan et al. 2009; Levy et al. 2011; Marshall et al. 2008; Pinto et al. 2010a; Sebat et al. 2007). Many of these variants are unique and include many different genes making it difficult to sort out what genes and pathways in fact are involved in the development of ASDs. The future challenge will be to create useful analysis systems in which the co-occurring pathways and gene-gene interactions in ASDs can be linked together and the different genes involved identified. Actually, just recently the first study in which such a method had been developed and implicated on *de novo* and rare CNVs identified in a cohort of ASD individuals was published (Gilman et al. 2011). The results showed support for the hypothesis that autism primarily is due to malfunctions within the synaptic and neuronal connectivity. However, several CNVs including only one or a few genes have been identified in the majority of the whole genome screening studies previously published (Bucan et al. 2009; Glessner et al. 2009; Levy et al. 2011; Marshall et al. 2008; Pinto et al. 2010a; Sanders et al. 2011; Szatmari et al. 2007). Most of these reports support the involvement of genes encoding proteins important for correct neuronal and synaptic development. Nevertheless, the increased usage of array-CGH has led to the identification of novel microdeletion- and microduplication syndromes associated with ASDs.

#### *1.3.3.1 Microdeletions and microduplications in chromosome band 15q13.3*

Through usage of array-CGH, microdeletions and microduplications in chromosome band 15q13.3 were discovered to recurrently occur in ASD patients (Miller et al. 2009; Pagnamenta et al. 2009; Sharp et al. 2008). This region is located distally to the Prader-Willi/Angelman region. Patients with the deletion or duplication show phenotypes including minor dysmorphic features, seizures, cognitive impairments, ASD, language delay, ADHD, anxiety disorder and mood disorder. ASDs are not always, but commonly represented in this syndrome. The 15q13.3 CNVs are often inherited and the duplications seem much less penetrant than the deletions (Helbig et al. 2009). Interestingly, many of the patients reported have been adopted and their biological parents have been reported to show psychiatric conditions including the above mentioned phenotypes as well as bipolar disorder and schizophrenia (Ben-Shachar et al. 2009). The *CHRNA7* gene is one of the at least six genes located in this region. It encodes the  $\alpha$ -subunit of the neuronal nicotinic receptor, which is a synaptic ion channel protein. The *CHRNA7* gene is considered a compelling candidate gene since it has been associated with epilepsy and broader phenotypes of neuropsychiatric and neurological disorders (Miller et al. 2009).

#### *1.3.3.2 Microdeletions- and microduplications in chromosome band 16p11.2*

Chromosome band 16p11.2 deletions and duplications, identified by array-CGH, have been associated with mental retardation, ASDs, behavioral problems such as ADHD, seizures, and schizophrenia (Kumar et al. 2008; McCarthy et al. 2009; Shimojima et al. 2009; Shinawi et al. 2010; Weiss et al. 2008). In ASD patients, these deletions and duplications have shown to be present in approximately 1% of individuals and the variants are significantly more common in patients than in healthy controls (Kumar et al. 2008; Weiss et al. 2008). Over twenty genes are located in this region. Several of these have shown to be expressed in the brain and considered good candidates for being responsible for the phenotypes present in this syndrome (Kumar et al. 2008; Weiss et al. 2008).

### **1.3.4 Whole-genome sequencing studies**

During recent years, new methodologies in which the whole genome can be sequenced have been developed. These methods can be used either for sequencing the whole genome, or only the coding sequences within the whole genome referred to as exome sequencing, or sequencing of selected candidate regions. In contrast to arrayCGH, which identifies CNVs that most often include several genes, this approach has greater potential to implicate single genes in ASDs. The first study of exome sequencing in ASD patients was recently published (O'Roak et al. 2011). The exome was sequenced in 20 individuals with sporadic ASD and their parents. The authors found several *de novo* and protein altering mutations. Four of these were considered potentially causative and involved the genes *FOXP1*, *GRIN2B*, *SCN1A* and *LAMC3*. In the *FOXP1* mutation carrier, the authors also observed a rare inherited *CNTNAP2* missense variant and suggested a multi-hit model for disease risk being involved. Such a model has previously been predicted for ASDs and other conditions and is described elsewhere (see chapter 4.2.1 and the discussion in paper III) (Girirajan et al. 2010).

## **1.4 MECHANISMS IN CNV FORMATION**

This thesis comprises studies mainly exhibiting the involvement of CNVs as alterations in the genome leading to the development of ASDs. CNVs are structural variations of the genome that results in the cell having an abnormal number of copies of one or more sections of the DNA. CNVs are either inherited or caused by *de novo* mutations. CNVs have like other genetic mutations been associated with the susceptibility to disease and have in previous studies been associated with autism, schizophrenia and idiopathic learning disability. There are three mechanisms that most likely generate the majority of CNV rearrangements in the human genome. These include Nonallelic Homologous Recombination (NAHR), Nonhomologous End Joining (NHEJ) and Fork Stalling and Template Switching (FoSTeS) (for review see Stankiewicz and Lupski 2010 and Zhang et al. 2009).

NAHR is caused by the alignment of and the following crossover between two nonallelic Low-Copy Repeats (LCRs) of high similarity. NAHR can mediate the formation of deletions and

duplications as well as inversions and translocations. This mechanism has shown to be responsible for the majority of recurrent reciprocal deletions and duplications.

In contrast to NAHR, NHEJ does not require LCRs for the recombination but may also be stimulated by genome architecture since the breaks often are located within repetitive elements such as long interspersed nuclear elements (LINE), short interspersed nuclear elements (SINE) and long terminal repeat (LTR) retroposons. In NHEJ, double stranded breaks of broken DNA ends are first detected, then bridged, modified, and finally ligated together. NHEJ often leave a “molecular scar” in the form of loss or addition of several nucleotides at the DNA end junction. The NHEJ mechanism mediates nonrecurrent genomic rearrangements.

The FoSTeS mechanism is based on DNA replication error. In this model, the DNA replication fork stalls and the strand disengage from the original template and anneals to another replication fork in physical proximity on which the DNA synthesis restarts. Further, a template-switch model has been proposed called the microhomology-mediated break-induced replication (MMBIR) model. The identification of a new template in the FoSTeS mechanism has been found to be utilized by nucleotide microhomology at the new template sequence. FoSTeS/MMBIR seems to be a major mechanism for generating nonrecurrent CNVs and complex genomic rearrangements.

## **1.5 EPIGENETICS AND ENVIRONMENTAL FACTORS IN ASDs**

Autism spectrum disorders are multifactorial, with many risk factors acting together to produce the phenotype. The concordance rate of 70-90% in monozygotic twins suggests that the main cause is genetic but that there may exist other risk factors as well. Both epigenetic and environmental factors have been suggested to be involved in ASDs. Epigenetic modifications include DNA methylation, RNA modification, and histone and non-histone protein modifications (methylation, acetylation, phosphorylation, ubiquitination). Interestingly, several of the linkage peaks found in ASDs overlap or are in close proximity to regions that are known to be subject to imprinting (chromosomes 15q11–13, 7q21–31.31, 7q32.3–36.3) (Schanen 2006). Furthermore, the increased susceptibility for ASDs in males than in females has been argued to depend on

epigenetic effects such as an increased vulnerability for dysregulation of methylation of brain-expressed genes on the X-chromosome and sex-specific responses to different hormones (Carter 2007; Jones et al. 2008). However, males may also be more vulnerable to minor variations in ASD susceptibility genes located on the X-chromosome (Noor et al. 2010). Paternal age has also been shown to act as a risk factor for autism in several studies. *De novo* germline mutations and epigenetic alterations have been suggested to act as possible biological mechanisms increasing with paternal age and thereby increasing risk for ASDs (Hultman et al. 2010). Environmental factors that have shown to contribute to causing autism are exposure of alcohol or medicine, such as valproate and thalidomide, during pregnancy (Williams et al. 2001).



## 2 AIM OF THE THESIS

The aim of the thesis was to identify genetic alterations and susceptibility genes involved in the development of ASD in order to gain a better understanding of the underlying genetic mechanisms of as well as improve the genetic diagnostic tools for this group of disorders. Specifically we wanted to address the following questions:

- Are CNVs common in ASD candidate genes previously identified with association-, linkage-, candidate gene- and whole-genome screening studies (Paper I and III)?
- How common are CNVs in patients with ASD discovered by array based whole genome screening methods and are there phenotypic differences with regard to CNV presence (paper III)?
- How common are alterations in potential ASD candidate genes in patients with ASD when compared to healthy controls (paper I and IV)?
- Is MLPA a suitable and successful screening method for the discovery of CNVs involving ASD candidate genes and how does it compare to whole genome array based screening (Paper I and III)?
- Can we expand the knowledge of lesser known microdeletion syndromes (Paper II and III)?

### **3 MATERIAL AND METHODS**

For detailed descriptions of materials and methods, please see the individual papers.

#### **3.1 PATIENT MATERIAL**

In all studies, blood samples from ASD patients were collected for DNA extraction at the Karolinska University Hospital. DNA was isolated from peripheral blood samples using standard procedures. All patients were tested negative for Fragile-X syndrome and the majority of cases were investigated by conventional karyotyping (see papers for more information).

In paper I, we received additional DNA samples from our collaborators at the Uppsala University, Sweden and the John F Kennedy Institute, Denmark.

In paper III, the 223 patients collected at the Karolinska University Hospital were divided into different subgroups regarding their family history of ASDs and related broader neuropsychiatric phenotypes (BNPs) and into different subgroups depending on phenotypic expressions. BNPs included autistic traits and related neuropsychiatric disorders such as attention-deficit/hyperactivity disorder (ADHD), mental retardation (MR), dyslexia, and/or other speech and language disorders. The phenotypic subgrouping was made with regard to syndromic features and cognitive ability. Patients with dysmorphic features and/or growth disorders and/or malformations were classified as syndromic, and mental retardation was defined as an IQ below 70 (measured by Weschsler scales sometimes in combination with Leiter or Wechsler non-verbal scale) in conjunction with significant limitations in the adaptive functioning.

- There were four different types of family history- or inheritance subgroups. Of the 223 participating ASD individuals, 164 cases were sporadic – they had no relatives with ASDs or BNPs, 27 cases were familial – they had one or several first degree relatives with ASDs, 25 cases were BNP-familial – they had one or several first and/or second degree relatives with BNPs and/or one or several second degree relatives with ASDs, and the seven remaining cases had an unknown family history

due to adoption in three of the cases and due to lack of information in the four remaining cases (Table in chapter Results and discussion).

- There were four different types of phenotypic subgroups. Of the 223 patients participating, 25 cases were syndromic with an IQ within the normal range, 45 cases were syndromic and had MR, 60 cases were nonsyndromic with a normal IQ, and 93 patients were non-syndromic but had MR (Tables in chapter Results and discussion).

### **3.2 MULTIPLEX LIGATION-DEPENDENT PROBE AMPLIFICATION**

Multiplex ligation-dependent probe amplification (MLPA) is a method where the copy number of many loci can be investigated in a single reaction. In MLPA, the two oligonucleotide half-probes hybridize with the target DNA sequence adjacently permitting ligation between the half-probes creating one whole probe. The MLPA half-probes are designed in such way that the length of each ligation product has a unique size between 87 and 130 nucleotides. The ligation products are amplified in a subsequent PCR amplification and by using fluorescently labeled primers the PCR-product can be separated and measured by capillary electrophoresis. Comparison of the relative peak area of each amplification product to a normal control reflects the relative copy number of the target sequence. MLPA is a method well suitable for screening many loci in a large group of patients. (Paper I, III and IV)

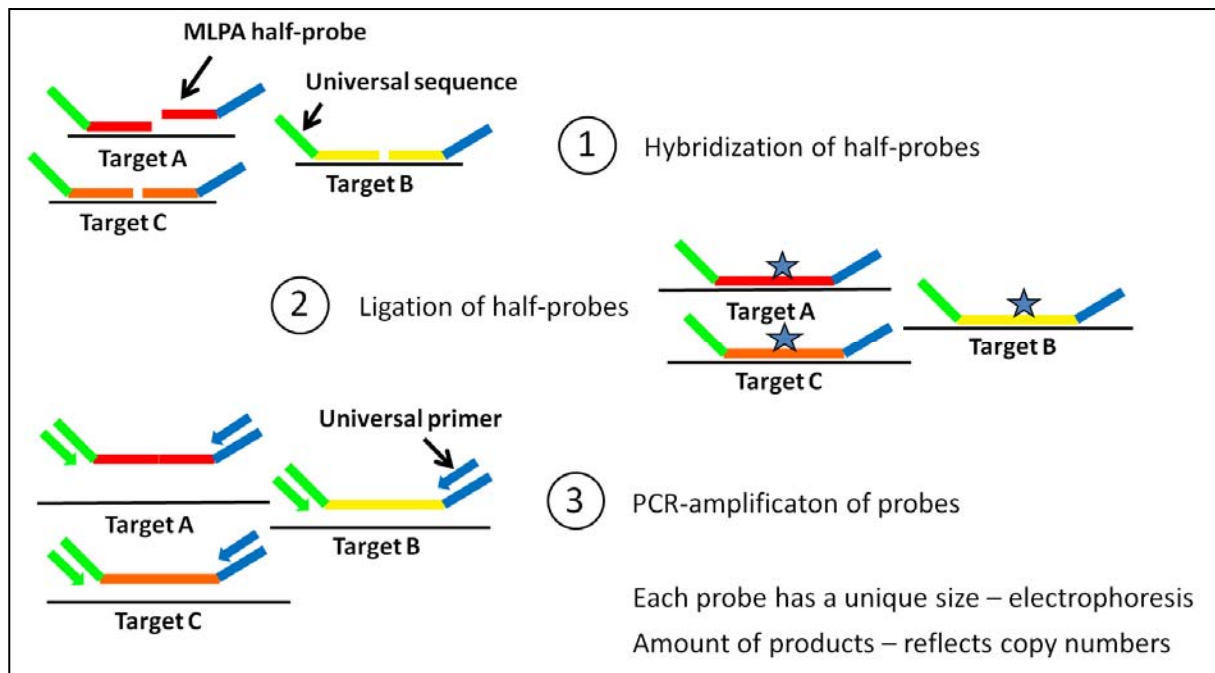


Figure 1. A schematic presentation of the different reaction steps in MLPA. In the first step, the MLPA half-probes (red, yellow and orange), with a universal sequence attached at the end (blue and green), hybridize to the target sequences. Second, by adding ligase enzyme, the two half-probes located adjacently to each other ligate together creating one whole probe. In step 3, the whole probes are amplified by adding polymerase and universal primers annealing to the universal end sequences of the probes. The primers are fluorescently labeled and each probe has a unique size enabling measurement of the PCR product by electrophoresis. The amounts measured reflect the copy number of the target sequences.

### 3.3 ARRAY-BASED COMPARATIVE GENOMIC HYBRIDIZATION (ARRAY-CGH)

Array-CGH is a method permitting simultaneous detection of gene dose imbalances throughout the whole genome. The microarray contains immobilized DNA segments corresponding to regions of the human genome, and the resolution of this technique depends on the content and coverage of the microarray. Differently fluorescently labeled test and reference DNA are competitively hybridized to the immobilized DNA fragments. By measuring the fluorescent signal intensity from the array, the ratio between hybridized test and reference DNA is achieved. This allows detection of gene dose imbalances in the test DNA. In collaboration with Åke Borg (Swegene Lund University) we used the tiling path BAC array with complete genome coverage containing 38,370 large insert clones, resulting in a resolution of ~300 kb. We have also used the

commercially available 244k and 180k arrays from Agilent technologies containing 244 000 and 180 000 oligos with an average resolution of 30-50 kb. The clones on the BAC arrays have a size of 150-350 kb while the oligonucleotides on the Agilent arrays are short sequences of 60 bases. Array-CGH analysis was used for genome wide screening of genomic imbalances at high resolution in ASD patients. (All papers)

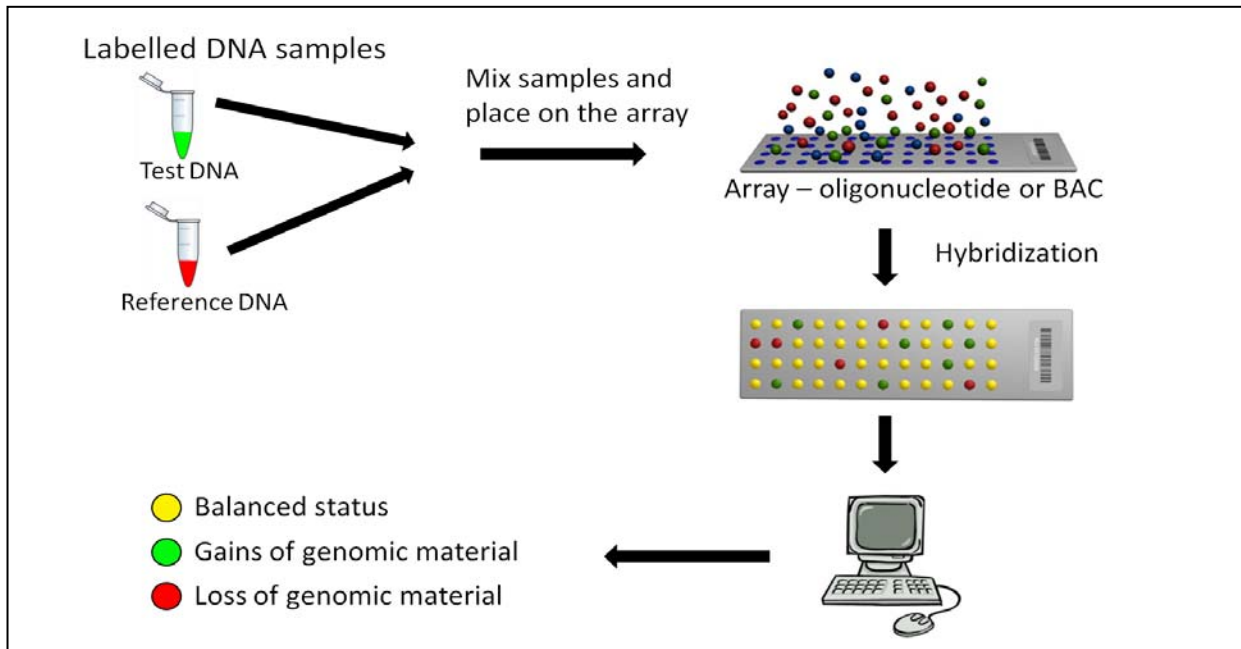


Figure 2. An outline of the different steps in array-CGH. First the test DNA and the reference DNA samples are differently labeled and then the sample is mixed together and added on to the probe area of the array-CGH glass slide. The mixed sample is allowed to hybridize on to the probes of the array for one or two days. After the hybridization, the glass slide is washed and scanned. The image is loaded into a computer program measuring the ratio between the two labels in each probe. An uneven ratio between the different labels indicates a loss or gain of genomic material in the test sample.

### 3.4 FLUORESCENCE IN SITU HYBRIDIZATION (FISH)

FISH visualizes genetic alterations, including deletions, translocations and more complex rearrangements, directly on interphase nuclei and metaphase chromosomes. FISH is based on the use of chromosome region specific fluorescent-labeled DNA probes hybridizing the DNA of denatured metaphase chromosomes air-dried on a glass slide. The signal from the labeled probes is subsequently visualized by a fluorescent microscope and allows visualization of the location of the target probes on the chromosomes. FISH can outline rearrangements larger than 50 kb but is

not optimal for the detection of small tandem duplications. In addition, the number of loci investigated is limited and the method is not suitable for a multiple assay. This technique was used to confirm chromosome rearrangements detected by array-CGH. (Paper II and III)

### **3.5 DNA SEQUENCING**

DNA sequencing analysis is a method that detects sequence alterations such as base substitutions and small insertions or deletions. In dye-terminator sequencing, dideoxynucleotides (ddNTPs) labeled with different fluorescent colors, one for each nucleotide type (A,T,G,C), are mixed with deoxynucleotides (dNTPs), sequencing enzyme polymerase, primer sequence and the double stranded PCR product of interest. The double stranded PCR product is denatured and hybridized with the sequencing primer, which allows the polymerase enzyme to incorporate additional nucleotides. Each time a ddNTP is incorporated, the chemical properties of the ddNTP disallow further incorporation of nucleotides. The final product contains DNA strands of different length with a labeled ddNTP at the 3' end. Size separation of the DNA strands is performed with capillary electrophoresis and fluorescence is detected with a CCD camera in an automatic DNA sequencer. The differently labeled nucleotides are presented as peaks of different colors, and sequence alterations are seen as overlapping peaks in generated chromatograms. (Paper I)

### **3.6 GENOTYPING USING MICROSATELLITE MARKERS**

Microsatellites consist of di, tri, or tetra repeats. They are highly polymorphic, dense and spaced across the whole genome and easy to amplify by PCR by using different fluorescently labeled primers and allele length PCR products. The PCR-product is separated and measured by capillary electrophoresis revealing the number of repeats within the different alleles. Microsatellite markers were used to trace inheritance patterns. (Paper I)

## 4 RESULTS AND DISCUSSION

Two methods for detecting genetic alterations in patients with ASDs have mainly been used in this thesis; MLPA and array-CGH. By using these methods we have screened cohorts of ASD patients by two different types of approaches. MLPA for screening selected candidate genes and regions for CNVs and array-CGH for screening of the whole genome for rare CNVs susceptible for ASDs.

### 4.1 GENETIC ALTERATIONS

Table 3. A presentation of the methods used and the different findings identified in each paper.

| Method    | Study                                                                                     | Genetic alterations                        |
|-----------|-------------------------------------------------------------------------------------------|--------------------------------------------|
| MLPA      | Paper I                                                                                   | Two cases with a 15q11-q13 duplication     |
|           |                                                                                           | Three cases with a <i>RELN</i> SNPs        |
| Array-CGH | Paper II                                                                                  | One case with a 6p22.3 deletion            |
|           | Paper III                                                                                 | Eighteen cases with causative CNVs         |
|           |                                                                                           | Seven cases with CNVs of unclear relevance |
|           |                                                                                           | Thirteen cases with rare inherited CNVs    |
| Paper IV  | Four cases with a deletion- and two cases with a duplication within the <i>PARK2</i> gene |                                            |

#### 4.1.1 Alterations identified with MLPA (paper I)

##### 4.1.1.1 15q11-q13 duplications of maternal origin

In the screening study of 26 autism candidate genes (Table I, paper I) by MLPA in 148 ASD patients, we detected chromosome 15q11.2-13.1 duplications in two cases (1.3%). This finding is in accordance with the previously estimated frequency of such duplications occurring in approximately 1-3% of ASD cases. The phenotypes of the two patients were variable. One of the patients, a young adult male, had autism, neurodevelopmental delay and minor dysmorphic facial features, while the other patient, a young female, had a diagnosis of Asperger syndrome and no

dysmorphic features. Both duplications were further investigated with BAC array-CGH analysis in order to narrow down the accurate breakpoints. The array analysis showed the size of the duplications to be 5.4 and 6.6 Mb, respectively, and they extended from the centromere to position 26681850 (clone RP11-550A14) and position 27911013 (clone RP11-680F8), respectively (genome assembly build 36). Microsatellite analysis performed in DNA samples from the patients and their parents showed that both duplications were of maternal origin. Almost all 15q duplications reported in ASD patient has been of maternal origin, while only one or a few cases of very mildly affected individuals has been reported to be of paternal origin.

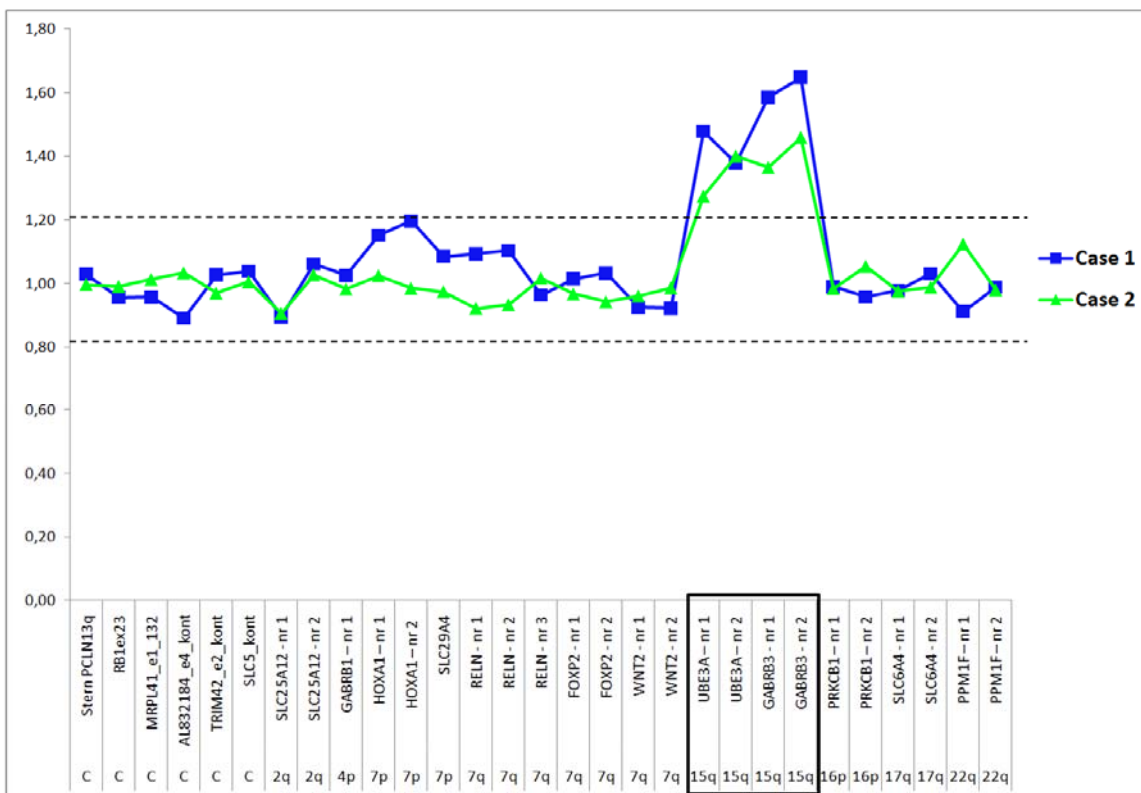


Figure 3. The figure displays MLPA results for case 1 and 2 in paper I. A duplication of the *UBE3A* and *GABRB3* genes located on chromosome 15q was detected in both cases since the ratio values of the probes located in these genes exceeded the threshold of 1.2.

#### 4.1.1.2 Single nucleotide polymorphism in the *RELN* gene

A deletion in one of the three probes targeting the *RELN* gene on chromosome band 7q22.1 was seen in three out of 148 ASD patients and one positive control in the screening study of autism



candidate genes using MLPA (paper I). Direct DNA sequencing analysis revealed a single nucleotide substitution in the ligation site of the MLPA probe (c.533C>T) resulting in a missense mutation (p.Ser1719Leu) in all four individuals. This substitution had not been reported as a SNP in the UCSC Genome Browser (2006) but had previously been reported by Bonora et al. (2003) who observed this variant in 0.5% of healthy controls. We screened 192 healthy control samples obtained from blood donors for this variation and identified it in one individual (0.5%). In addition, the single nucleotide substitution was inherited from a healthy parent in two of the cases in which parental samples were available indicating that this SNP is likely a rare polymorphism.

#### **4.1.2 Alterations identified with array-CGH (paper II, III, IV)**

##### *4.1.2.1 6p22.3 deletion (paper II)*

When we started to screen ASD patients by whole genome BAC array-CGH we identified an interstitial deletion located on chromosome band 6p22.3 in a 4-year-old girl. The patient was referred for whole-genome screening due to a general developmental delay and a suspected ASD diagnosis along with syndromic features including eye abnormalities, short neck, and a ventricular septum defect. However, after having undergone a complete neuropsychiatric assessment she didn't fulfill the criteria for ASD, but was diagnosed with expressive speech disorder (delay of expressive language development with only a few spoken words).

Searching the literature, interstitial deletions involving the chromosome 6p22.3 region had only been reported in seven cases. An accurate genotype-phenotype correlation was complicated since all patients had large deletions of variable sizes and locations, resulting in somewhat variable phenotypes. However, developmental delay was present in all cases, while heart defects, short neck and/or redundant skin folds, eye abnormalities, and ear anomalies were present in the majority of cases (Table 1, paper II).

Comparison of the location and size of the deletions in our and the previously reported cases revealed an overlapping region of 2.2 Mb, located between genomic position 16.13 and 18.33 Mb on chromosome 6p (UCSC Genome Browser 2006), in seven of the eight cases (Fig. 4). In

the eighth case the deletion breakpoints reported were uncertain due to the low-resolution technologies used and it was therefore not possible to distinguish whether the deletion really overlapped with the deletion in our case. However, the overlapping region identified in the seven remaining cases involved twelve genes; the *MYLIP*, *GMPR*, *ATXN1*, *RBM24*, *CAP2*, *FAM8A1*, *NUP153*, *KIF13A*, *KIN13A*, *NHLRC1*, *TPMT*, and *AOF1* gene. The *ATXN1* (ataxin-1 protein) and *MYLIP* (themyosin regulatory lightchain interacting protein) genes had previously been reported as likely candidate genes involved in the cognitive delay in patients with a deletion encompassing chromosome band 6p22. Mice with homozygous deletions in the *ATXN1* gene are phenotypically normal but show learning deficits and the *MYLIP* gene has been shown to be expressed in both developing and adult rat brain and it suppresses neurite outgrowth. In addition, we proposed the *CAP2* (adenylyl cyclaseassociated protein 2) gene as a plausible candidate gene. The *CAP2* gene is expressed in brain, heart- and skeletal muscle, and in the skin. The function of *CAP2* is unknown, but it has been shown that the amount of *CAP2* is strongly enriched in developing cardiomyocytes. A heterozygous deletion of this gene could therefore be involved in both the heart defects as well as the cognitive dysfunctions present in patients with an interstitial deletion involving chromosome band 6p22.

After publication of the paper, we got information of another patient with a similar deletion (Dr. Shen, Children's Hospital Central California, USA). The patient, a young girl, had a deletion comprising almost only the overlapping region presented in our publication. The phenotypic features of the patient included global developmental delay – predominantly in speech -, an atrial septal defect, hypotonia and strabismus. We performed an Agilent array-CGH analysis on this patient in order to accurately distinguish the breakpoints and compare them with the breakpoints in our patient. The analysis revealed a 4.1 Mb deletion between genomic position 15.08 and 19.17 Mb on chromosome 6p (Fig. In addition, the deletion in our patient was reanalyzed by Agilent array and showed a 7 Mb deletion between position 16.21 and 23.21 Mb. The overlapping region of approximately 3 Mb was located between position 16.21 and 19.17 Mb on chromosome 6 and included almost only the critical region we suggested in our publication. This finding further confirms that the overlapping region in our publication indeed is a critical region that includes one or more of the causing genes in the 6p22 deletion syndrome.

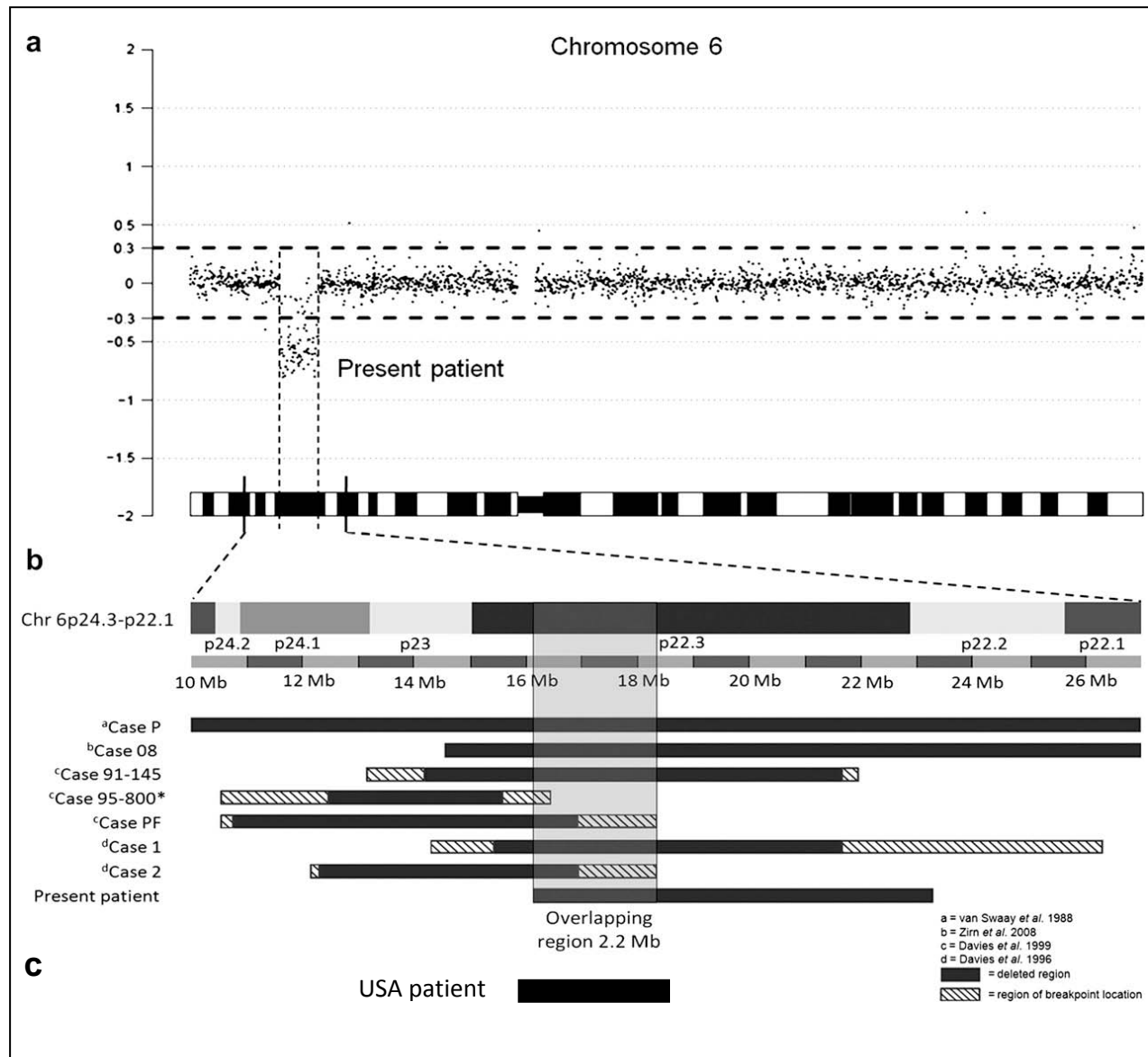


Figure 4. a) The array-CGH result of the patient presented in paper II. The CGH plot presents the log2 ratio of all clones located on chromosome 6 detecting a 7.1 Mb deletion on band 6p22.3. (b) A closer view of chromosome band 6p24.2-p22.1 is displayed along with a schematic representation of the overlapping deletions in previously reported cases and our case (referred to as present patient). The overlap of previous cases together with our patient narrows down the overlapping critical region from 4.1 Mb down to a maximum size of 2.2 Mb located on chromosome band 6p22.3. One of the previously reported cases had to be excluded from the comparison since it was uncertain whether the deletion really overlapped with our deletion due to the low-resolution technologies used at the time not allowing accurate mapping\*. c) The black bar presents the deletion detected in a patient from USA with a 6p22 deletion phenotype. This finding further confirms that the overlapping region presented in b) indeed is a critical region including causing genes.

#### 4.1.2.2 *Microdeletion- and microduplication syndromes and recurrent alterations (paper III)*

In the screening of 223 ASD patients in paper III, 18 (8%) cases were identified with causative alterations. Twelve (5.4%) of these cases had microdeletion- and microduplication syndromes or alterations that included regions containing genes in which deletions or duplications have previously been reported to cause ASDs. These alterations involved chromosome band 2p16.3, 3q27.2q29, 15q13.2q13.3, 16p11.2, 16p13.3, 17p11.2, 17p13.3, 22q11.2, 22q13.3, and Xq28 (Table 1 in paper III).

The alteration in chromosome band 2p16.3 was a partial heterozygous, partial homozygous deletion including the *NRXN1* gene. Deletions in the *NRXN1* gene have been reported as causing genetic alterations recurrently occurring in patients with ASDs (see chapter 1.3.1.5). In our case, the patient had inherited a heterozygous deletion from each parent which had led to the partial heterozygous, partial homozygous deletion including the *NRXN1* gene.

One case had a 25 kb de novo deletion involving the *SHANK3* gene and the *ACR* gene. Deletions in *SHANK3* have recurrently been reported to cause neurodevelopmental disorders (see chapter 1.3.1.6). Interestingly, the patient's father had behavior problems and her half-brother had neurodevelopmental delay, but none of them had the deletion in the *SHANK3* gene. All three individuals on the other hand had a 50 kb deletion including the *ASTN2* gene located on chromosome band 9q33.1. The *ASTN2* gene has also been associated with ASD and is known to be involved in neuronal development (Glessner et al. 2009). However, the girl was more severely affected with a more moderate developmental delay compared with her father and brother.

#### 4.1.2.3 *Sporadic alterations (paper III)*

In the screening of 223 ASD patients in paper III, six cases (2.7% of all cases) had deletions and duplications that were not located within any specific genetic syndrome regions, but within regions with previously described larger or partly overlapping aberrations. These six aberrations appeared in chromosome bands 1q25.3q31.1, 3p25.3-pter, 7p22.1, 9q13q21.31, 17p13.2, and 18q22.2-qter. The four aberrations within chromosome 1, 7, 13 and 18 were shown to be de novo, while one or both parental samples were unavailable for the two remaining cases with aberrations in chromosome 3 and 17. In the case identified with a duplication in chromosome

band 3p25.3, an unbalanced translocation between chromosome 3 and 13, 46,XX,der(13)t(3;13)(p25.3;qter), was identified by FISH analysis (Table 1 in paper III).

#### *4.1.2.4 Genomic alterations of unclear clinical relevance and rare variants (paper III)*

During the array-CGH screening, not only cases with clear causative alterations were identified, but also seven cases with genomic alterations of unclear relevance in which the parental origin could not be investigated and thirteen cases with rare but inherited variants that most likely are benign but possibly could increase the risk for ASDs.

#### *4.1.2.5 Copy number variations in the PARK2 gene (paper IV)*

In the first cohort of 160 patients screened in paper II, two of the cases had deletions within the *PARK2* gene. *PARK2* gene deletions have been reported as a plausible cause of ASDs. The gene, located on chromosome band 6q26, encodes for the E3 ubiquitin-protein ligase *Parkin*, which belongs to the Ubiquitin proteasome system (UPS) proteins that process proteins for proteasomal degradation. UPS operate pre- and postsynaptic compartments, such as CAMs and CAM related proteins, demonstrating a direct link between these two major systems that may be important in the pathophysiology of autism (Glessner et al. 2009; Lehman 2009). An additional case with a *PARK2* deletion was collected through collaboration with the Sahlgrenska University Hospital, Gothenburg (Dr Peder Rasmussen and colleagues). In a second ASD cohort of 354 ASD patients collected from the clinic (Clinical Genetics, Karolinska University Hospital, Solna, Sweden), we identified one patient with a deletion and two patients with duplications in the *PARK2* gene. Parental samples are being collected for the cases identified in our two ASD cohorts. We are also screening healthy controls for CNVs in the *PARK2* gene by MLPA with probes designed in all exons of the gene. So far, 149 control samples have been screened and no variation in copy number has been identified.

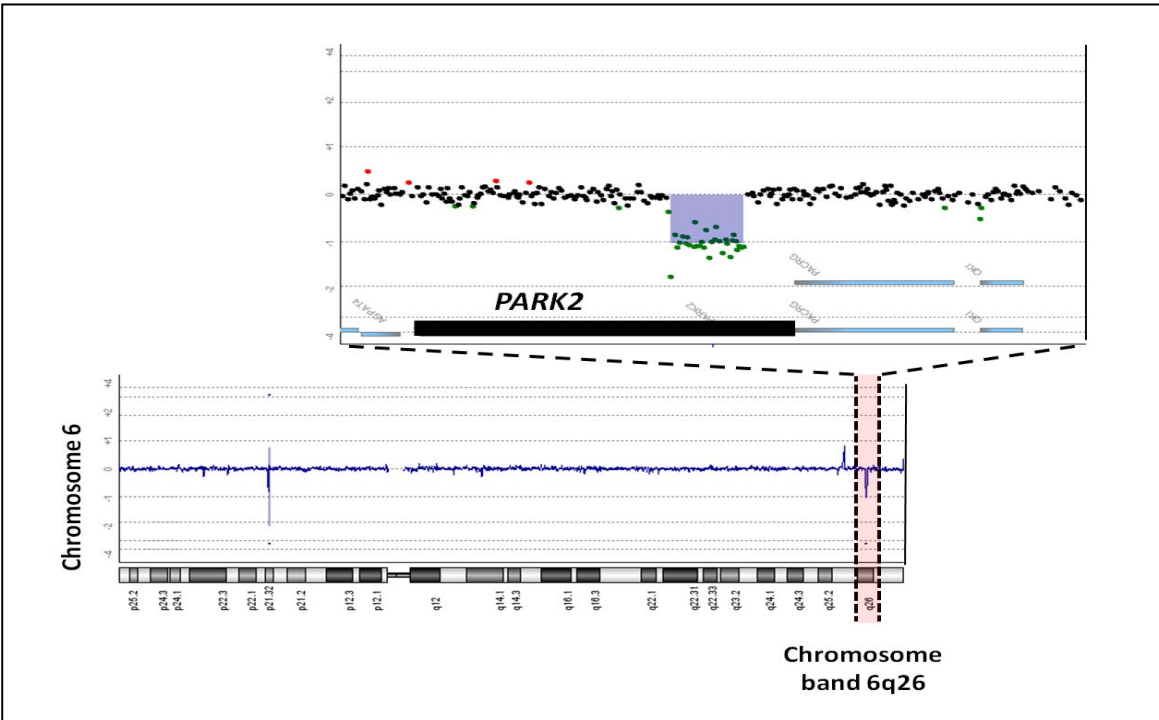


Figure 5. A presentation of the results from Agilent array analysis on chromosome 6. Within the *PARK2* gene there is a cluster of probes showing decreased values indicating a deletion.

In order to further explore the frequency of heterozygous CNVs in *PARK2* in healthy controls we summarized all comparable CNVs reported in the DGV. The cohorts in the DGV studies were combined and the frequency of cases with deletions and duplications were compared with the frequency in our combined patient cohort. Cases with deletions were shown to be significantly more common in our patient cohort (3/514 had deletions, 0.58%) than in the DGV controls (9/5141 had deletions, 0.18%) ( $P=0.019$ ), while there was no significant difference between cases with duplications. The overall results from the comparisons have shown to be in agreement with the findings by Glessner et al. (2009) but we included larger deletions located within the whole gene. The accumulation of *PARK2* deletions in ASD patients compared with healthy controls indicates that these may play a role and increase the risk for the development of ASDs.

In a previous report by Kay et al. (2010), in which the presence of CNVs in *PARK2* was examined in individuals with Parkinson disease (PD) and in healthy controls selected against PD, the authors concluded that heterozygous CNVs in the first exons, without presence of any other mutations in the gene, are common and well tolerated. CNVs between exon 5-12, which include

the coding region for the highly conserved functional domains of *Parkin*, on the other hand seemed rare or absent in healthy individuals but present in PD patients and may plausibly be deleterious. Interestingly, the trend of CNV location within the *PARK2* gene identified by Kay et al. (2010) is consistent with our CNV summary of healthy control populations reported in the DGV (Fig 1, 22 exonic and six non-exonic CNVs were located between exon 1-4 while two CNVs were located in exon 3-6 and exon 10-12 respectively, and three non-exonic CNVs were located between exon 5-12). However, in order to further understand the significance of heterozygous CNVs in the *PARK2* gene and what role the location of CNVs within the gene may play, investigations in larger sample cohorts are needed, including both patients with ASDs as well as large size populations of healthy controls.

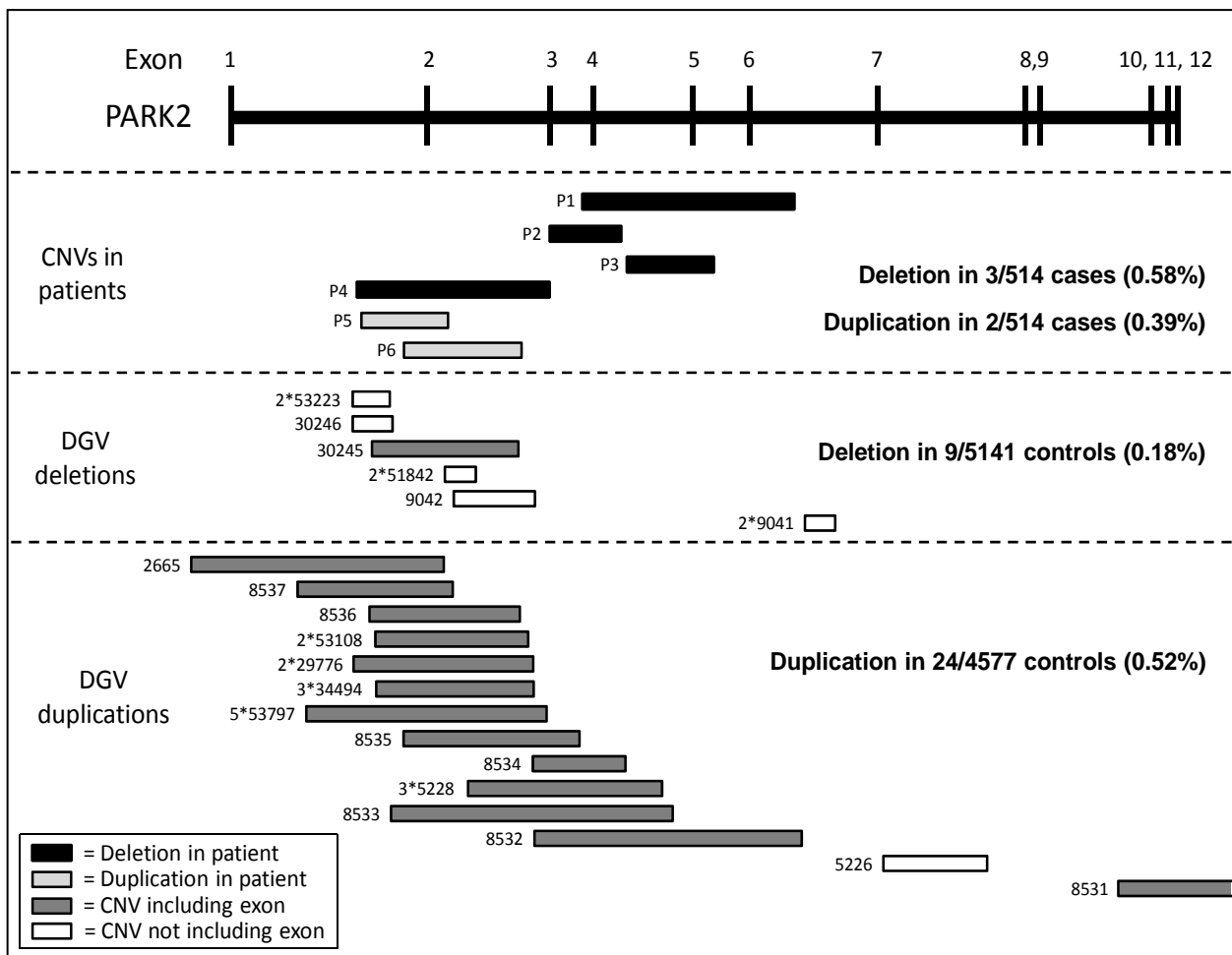


Figure 6. A schematic presentation of the deletions and duplications identified in the ASD patient cohort and CNVs reported in the DGV. The DGV CNVs have been marked with their DGV Report Number.

## **4.2 CNV DISTRIBUTION IN DIFFERENT ASD SUB-POPULATIONS (PAPER III)**

In the array-CGH study (paper III), all patients were divided into different subgroups regarding their family history of ASDs and related BNPs and into different subgroups depending on phenotypic expressions. The patients were also divided into two groups depending on gender. The distribution of CNVs was shown to vary between different subgroups.

### **4.2.1 CNVs and ASD inheritance**

Out of the 18 cases identified with a clinically significant alteration, 14 were sporadic (8.5% of 164) and 4 were familial (14.8% of 27) (Table 4). Of the seven cases with CNVs of unclear significance, four were sporadic (2.4% of 164), one was familial (3.7% of 27), one was BNP-familial (4% of 25), and one had an unknown inheritance pattern (adoption) (Table 4). Of the 13 cases identified with rare CNVs inherited from a healthy parent, 6 were sporadic (3.7% of 164), 2 were familial (7.4% of 27), and 5 were BNP-familial (20.0% of 25) (Table 4). Separate comparison of CNV distribution between the three inheritance subgroups (excluding all cases with another type of CNV than the CNV compared) using two-tailed Fisher exact P-tests (2x2 tables) showed that rare inherited CNVs were significantly more common in BNP-familial cases (in 5/24 cases) compared with in sporadic cases (in 6/146 cases) ( $P=0.0096$ , the 95% confidence intervals lied between 1.5 and 8.7% and 7.1–42.2% in respective group). It has been suggested that two or several CNVs could interact in predisposing individuals for neuropsychiatric disorder (Stankiewicz and Lupski 2010) and furthermore a two-hit model has been reported in which a second CNV in patients with 16p12.1 deletions lead to a more severe phenotype outcome of the syndrome (Girirajan et al. 2010). It has also been reported that rare CNVs containing ASD or BNP associated genes are enriched in ASD cases compared with healthy controls (Bucan et al. 2009). These findings together with our result of a significantly higher presence of rare inherited, potentially neuropsychiatric disorder related CNVs in BNP-familial cases than in sporadic cases, may indicate that these types of CNVs, rather than being directly causative, increase susceptibility for the development of ASDs and ASD related phenotypes. This may also, at least in part, explain the complex genetics underlying ASDs and the difficulties we face in finding recurrent genetic causes. Hence, CNVs currently hypothesized to be clinically benign, may play an important role in the development of ASDs and ASD-related phenotypes as well as in other



complex diseases and should be judged with caution. Our finding further illustrates the complex genetics underlying ASDs and the importance of reporting rare variants concerning these disorders.

*Table 4. Distribution of different types of CNVs identified in the patient subgroups recognized by having different inheritance patterns regarding first and second degree relatives.*

|                                              | Inheritance pattern |                |                    |                                |
|----------------------------------------------|---------------------|----------------|--------------------|--------------------------------|
|                                              | Sporadic cases      | Familial cases | BNP-familial cases | Cases with unknown inheritance |
| Patients n                                   | 164                 | 27             | 25                 | 7                              |
| Patients with clinically relevant CNVs % (n) | 8.5% (14)           | 14.8% (4)      | 0                  | 0                              |
| Patients with unclear CNVs % (n)             | 2.4% (4)            | 3.7% (1)       | 4.0% (1)           | 14.3% (1)                      |
| Patients with rare inherited CNVs % (n)      | 3.7% (6)            | 7.4% (2)       | 20.0% (5)          | 0                              |

#### **4.2.2 CNVs in different phenotype subgroups**

When comparing the frequency of clinically relevant aberrations across phenotypically different patient groups, non-syndromic patients without MR had a lower number of pathogenic CNVs compared with the other phenotypic subgroups, especially compared with the syndromic patient groups (Table 5). However, no difference in frequency of aberrations was statistically significant.

Dividing the groups with regard to presence of syndromic features alone showed clinically relevant CNVs to be present in ~13% in the syndromic patients versus ~6% in the non-syndromic (Table 6). The latter finding was not statistically significant but in line with previous studies (Jacquemont et al. 2006; Sebat et al. 2007). However, as genomic abnormalities were identified in a significant number of non-syndromic patients with ASDs it is advisable to clinically investigate all ASD patients with whole-genome screening methodologies.

When patients were categorized according to intellectual disability, no clear difference in pathogenic CNV frequency was noted between the two patient groups (Table 6).

*Table 5. Distribution of the patients, male/female ratio and CNV findings into all the different phenotypic subgroups.*

|                                            | Phenotype        |               |                      |                   | Total  |
|--------------------------------------------|------------------|---------------|----------------------|-------------------|--------|
|                                            | Syndromic, IQ>70 | Syndromic, MR | Non-syndromic, IQ>70 | Non-syndromic, MR |        |
| Patients (n)                               | 25               | 45            | 60                   | 93                | 223    |
| Male/female ratio                          | 2.13:1           | 1.65:1        | 3.29:1               | 2.72:1            | 2.48:1 |
| Patients with clinically relevant CNVs (n) | 4                | 5             | 2                    | 7                 | 18     |
| Patients with clinically relevant CNVs (%) | 16.0%            | 11.1%         | 3.3%                 | 7.5%              | 8.1%   |

*Table 6. Distribution of the patients, male/female ratio and CNV findings within subgroups of syndromic and nonsyndromic patients and in subgroups when patients were divided depending on if they had MR or IQ>70.*

|                                            | Phenotype |               |        |        | Total  |
|--------------------------------------------|-----------|---------------|--------|--------|--------|
|                                            | Syndromic | Non-syndromic | MR     | IQ>70  |        |
| Patients (n)                               | 70        | 153           | 138    | 85     | 223    |
| Male/female ratio                          | 1.80:1    | 2.92:1        | 2.29:1 | 2.86:1 | 2.48:1 |
| Patients with clinically relevant CNVs (n) | 9         | 9             | 12     | 6      | 18     |
| Patients with clinically relevant CNVs (%) | 12.9%     | 5.9%          | 8.7%   | 7.1%   | 8.1%   |

### **4.2.3 CNV distribution and gender**

Out of the 18 patients with clinically significant CNVs, 10 were males (6.3% of the male cases) and 8 were females (12.5% of the female cases) giving a male/female ratio of 1.25:1 compared with the initial whole patient cohort male/female ratio of 2.48:1 [nonsignificant (n.s.)]. The probability of finding a clinically significant CNV in a female was almost twice that of a male in our cohort. Similar findings have been reported in previous studies but with even larger differences (Qiao et al. 2009; Sebat et al. 2007). The increased risk in males for developing ASDs (Fombonne 2003) together with the higher presence of clinically relevant CNVs in females with ASDs may point toward factors other than CNVs being responsible for the

increased susceptibility for ASDs in males. It has been argued to depend on epigenetic effects such as an increased vulnerability for dysregulation of methylation of brain-expressed genes on the X-chromosome and sex-specific responses to different hormones (Carter 2007; Jones et al. 2008). Males may also be more vulnerable to minor variations in ASD susceptibility genes located on the X-chromosome (Noor et al. 2010).

## 5 CONCLUDING REMARKS AND FUTURE PERSPECTIVES

The project has led to the identification of a genetic diagnosis in many patients. Parents of children with a chronic condition like ASD often experience great grief, not just because of the condition itself, but also because they may not understand what the condition involves and what their expectations should be. It has earlier been reported that medical knowledge about a specific diagnosis affects the parents' reactions and enhances their sense of control (Cunningham et al). Our own experience together with this report infers that getting an explanation of why the child has been affected is very important and meaningful for the parents and that parents are helped by receiving a genetic explanation to their child's disorder. The identification of the genetic cause in families also gives them the opportunity to an adequate genetic counseling and makes prenatal diagnosis possible.

MLPA and array-CGH were the main tools for genetic screening used in this thesis. MLPA showed to be a fast and cost-effective method for screening several selected loci in many patients simultaneously. At the time of the study, MLPA was a good alternative as a screening tool for alterations in previously described candidate genes in ASDs, partly because it was much cheaper, and partly because it could detect smaller alterations than early array-CGH methodologies. However, there has been a rapid advancement in the development of whole-genome screening array-CGH methodologies which has led to a great increase in resolution and a large decrease in usage expenses making the usage of MLPA in these types of studies lose its advantage. Today, a suitable use for MLPA is confirmation of identified CNVs. This is excellently demonstrated in one of the related publications (I), where MLPA probes were designed for several alterations identified by array-CGH and thereby confirmed. Another suitable area for the usage of MLPA is screening of controls for particular CNVs which have been identified in one or more patients, as has been done in paper IV. However, during the MLPA screening study, two out of 148 patients were identified with a duplication of the maternal chromosome band 15q11-15q13, the same region that is deleted in Prader-Willi- and Angelman syndrome. Such duplications are recurrent in 0.5-3% of ASD cases which was confirmed in our study.

Trough the identification and publication of the patient with a chromosome band 6p22 deletion a communication with the parents of our patient and of the girl in California was established. They are now exchanging experiences with each other, which have led to a great sence of support for the two families.

In the third study, several genetic alterations were identified including both recurrent aberrations known to cause ASD, sporadic causative alterations, variants with unclear clinical relevance and rare inherited variants. All patients were divided into different subgroups depending on their family history and their phenotype. In previous reports, patients have only been divided into sporadic and familial cases. We introduced a third inheritance group reffered to as BNP-familial cases – a group that included patients with relatives that had BNPs. Rare but inherited CNVs were significantly more common in this group compared with the sporadic inheritance group. Such CNVs may therefore have a significant influence in increasing the risk for development of ASDs and related neuropsychiatric disorders. This finding did not only illustrate the genetic complexity in ASD but also presented new insights into what may be one of the underlying causes of the genetic complexety. It also reminds us of the importance of reporting rare variants in these disorders since we in the future may be able to see enrichments of certain CNVs or CNV patterns that can reveal further genetic causes in ASDs. Concerning the CNV distribution of causative CNVs in the phenotypic subgroups, we found that they were more common in syndromic patients than in non-syndromic and in females compared with males. None of these findings were statistically significant but in line with previous reports. However, causative CNVs were found in a significant proportion in all phenotypic subgroups and it is therefore recommended to clinically investigate all ASD patients with whole genome screening methodologies.

The last study was generated from the results in the whole genome screening study discussed above. The identification of two *PARK2* gene deletions in paper III lead to the screening of more patients in which one additional case with a deletion and two cases with a duplication were identified. Comparison of CNV frequency in the *PARK2* gene between our ASD cohort and DGV controls indicated an enrichment of deletions in ASD patients compared with healthy

individuals. The association between deletions in the *PARK2* gene and ASDs has only been reported once before by Glessner et al. (2009). Our results confirm the association but we included larger deletions located within the whole gene. Further studies, in larger patient cohorts and with matched large size control populations, are needed in order to evaluate the true significance of *PARK2* deletions in ASDs. The results of this particular study is a good example of and in line with the emerging evidence which begins to identify the biological networks in which the affected genes operate and in many cases have shown to alter synaptic connectivity and function.

During the first years of the autism genetics research era, mainly linkage, association and candidate gene screening studies were performed. Multiple loci and genes have been reported to be linked or associated with autism but the association has in most cases been weak in it has shown difficult to obtain consistent results in independent samples. The usage of high-resolution whole genome screening methodologies has revealed that rare or *de novo* CNVs are significantly more common in ASD individuals than in healthy controls. It has also lead to the identification of novel microdeletion- and microduplication syndromes often associated with an autism phenotype and to the identification of alterations in genes likely to be involved in ASDs. Altogether, there are indications for several different pathways and genes to be involved in the development of ASDs. Neuronal migration and cell growth influenced by for instance *PTEN* are strong candidates for being causative in ASDs. Genes involved in excitatory and inhibitory neurotransmission such as *GABA* and glutamate receptors are other strong candidates. One of the most genetically evident tracks is alterations in cell-adhesion molecules (CAMs) and related proteins working in this pathway system.

The increased resolution of array-CGH together with the development of new technologies such as whole genome sequencing, in which new genetic alterations already have started to be reported in ASDs, will most likely lead to the detection of even smaller rare variants affecting single genes. The future challenge will be to, in combination with the increased usage of high resolution array-CGH and whole genome sequencing, create useful analysis systems in which the

co-occurring pathways and gene-gene interactions in ASDs can be linked together and the different genes involved identified.

Furthermore, it has recently been shown that the phenotypes in Fragile X syndrome and Rett's syndrome mice models can be altered after birth through administration of metabotropic glutamate antagonists and reinstatement of *MECP2* (Dolen *et al.* 2007; Guy *et al.* 2007; Yan *et al.* 2005). Similarly, neurological abnormalities in *TSC1* and *TSC2* knockout mice (tuberous sclerosis) and *PTEN* knockout mice (macrocephaly and ASD) can be reversed by treatment with rapamycin (Ehninger *et al.* 2008; Zhou *et al.* 2009). This is highly encouraging implying that the identification of causative targets in ASDs, as well as in other neuropsychiatric disorders, may lead to the development of therapeutic strategies.

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